

**RSPO PRINCIPLE AND CRITERIA
PUBLIC SUMMARY REPORT**

- Initial Assessment**
- Annual Surveillance Assessment (1_1)**
- Recertification Assessment (Choose an item.)**
- Extension of Scope**

<p>Client Company Name / Parent Company: Univanich Palm Oil Public Company</p>
<p>Client Company / Parent Company Address: 258 Aoluk – Laemsak Rd. P.O. Box 8-9, Aoluk District, Krabi, 81110 Thailand</p>
<p>Certification Unit: Univanich Palm Oil Public Company TOPI mill, Siam Mill and Lamthap mill</p> <p>Location of Certification Unit: TOPI Mill: 592 M.9 Plaipraya Sub-district, Plaipraya District, Krabi 81160 Siam Mill: 258 Aoluk – Laemsak Rd., Aoluk, Krabi 81110 Lamthap Mill: 142 M.1 Thungsaithong Sub-district, Lamthap, Krabi 81120</p>
<p>Date of Final Report: 14/08/2023</p>

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Section 1: Scope of the Assessment

1. Company Details			
Parent Company	Univanich Palm Oil Public Company Limited		
RSPO Membership Number	1-0074-09-000-00	Membership Approval Date	06/05/2009
Address	258 Aoluk – Laemsak Rd. P.O. Box 8-9, Aoluk District, Krabi 81110, Thailand		
Palm Oil Mill / Group Manager / Estate (Certification Unit)	Univanich Palm Oil PLC (Siam Mill) Univanich Palm Oil PLC (Lamthap Mill) Univanich Palm Oil PLC (TOPI Mill)		
Location / Address	SIAM MILL: 258 Aoluk – Laemsak Rd., Aoluk, Krabi 81110 Thailand LAMTHAP MILL: 142 M.1 Thungsaithong Sub-district, Lamthap, Krabi 81120, Thailand TOPI MILL: 592 Aoluk-Prasaeng Rd, Plaipraya District, Krabi 81160 Thailand		
Website	www.univanich.com		
Management Representative	Mr. Harry Brock (CEO) Mr. PraiwanTohdam (RSPO Project Coordinator)	E-mail	Harry.brock@univanich.com Praiwan.t@univanich.com
Telephone	+66 075 681112	Facsimile	+66 075 684117

2. Certification Information			
Certificate Number	RSPO 787562	Certificate Start Date	22/05/2022
Date of First Certification	22/12/2016	Certificate Expiry Date	21/05/2027
Scope of Certification	Production of Sustainable Crude Palm Oil (CPO) and Palm Kernel (PK).		
Visit Objectives	The objective of the assessment was to conduct a surveillance assessment (ASA1_1) and look for positive evidence to ensure that elements of the scope of certification and the requirements of the management standard are effectively addressed by Univanich Palm Oil Public Company Limited (TOPI POM, SIAM POM, LAMTHAP POM and Supply Base's management system and that the system is demonstrating the ability to support the achievement of statutory, regulatory and contractual requirements and the organisation's specified objectives.		
Assessment Cycle	<input type="checkbox"/> Pre-Assessment (Choose an item.) <input type="checkbox"/> Initial Assessment <input checked="" type="checkbox"/> Annual Surveillance Assessment (ASA 1_1) <input type="checkbox"/> Recertification Assessment (Choose an item.) <input type="checkbox"/> Scope Extension		
Applicable Standards / Normative Reference	RSPO Certification System for P&C and RSPO ISH 2020 <input type="checkbox"/> Choose an item.		

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	<input checked="" type="checkbox"/> Thailand National Interpretation 2021 for RSPO P&C 2018 for the Production of Sustainable Palm Oil		
Supply Chain Module	<input type="checkbox"/> Identity Preserved; <input checked="" type="checkbox"/> Mass Balance	Mill Capacity	SIAM MILL: 45 (MT/Hr) TOPI MILL: 60 (MT/Hr) LAMTHAP MILL: 45 (MT/Hr)
ISH certification Phase	<input type="checkbox"/> Eligibility <input type="checkbox"/> Milestone A <input type="checkbox"/> Milestone B <input checked="" type="checkbox"/> Not Applicable		
Is this a remote audit or on-site audit	<input checked="" type="checkbox"/> On-site audit (Option AI)	<input type="checkbox"/> On-site audit (Option AII)	<input type="checkbox"/> Remote audit (Option B)

3. Other Certifications			
Certificate Number	Standard(s)	Certificate Issued by	Expiry Date
BVC-RSPO-20170124-1	RSPO Supply Chain	Bureau Veritas Certification	23-01-2024
74Q21171, 12Q21170, 55Q21066	ISO9001:2015	GCL International	09-04-2024, 29-04-2024, 26-04-2024

4. Location(s) of Mill & Supply Bases			
Name (Mill / Supply Base / Group Manager / Smallholders)	Location	GPS Coordinates	
		Latitude	Longitude
TOPI Mill	592 M.9 Plaipraya Sub-district, Plaipraya District, Krabi 81160, Thailand	8° 34' 43.65" N	98° 55' 14.70" E
Siam Mill	258 Aoluk – Laemsak Rd. Aoluk, Krabi 81110, Thailand	8° 23' 08.24" N	98° 43' 44.87" E
Lamthap Mill	142 M.1 Thungsaithong Sub-district, Lamthap, Krabi 81120, Thailand	7° 59' 58.58" N	99° 19' 48.83" E
Chean Vanich Estate	159 M.8 Plaipraya Sub-district, Plaipraya District, Krabi 81160, Thailand	8° 30' 53.34" N	98° 53' 43.78" E
TOPI Estate	231 Moo9, Plaipraya Sub-District, Plaipraya District, Krabi 81160, Thailand	8° 35' 17.39" N	98° 55' 09.07" E
Siam Palm Estate	105 M.1 Ban Klang Sub-district, Aoluk District, Krabi 81110, Thailand	8° 22' 38.05" N	98° 47' 58.05" E
Nanua Division	79/2 M.4 Khaoyai Sub-district, Aoluk District, Krabi 81110, Thailand	8° 29' 19.70" N	98° 43' 16.22" E
Wanee Division	2 M.3 Khiriwong Sub-district, Plaipraya District, Krabi 81160, Thailand	8° 28' 29.25" N	98° 48' 28.76" E
Lamthap Estate	142 M.1 Thungsaithong Sub-district, Lamthap, Krabi 81190, Thailand	7° 59' 58.58" N	99° 19' 48.83" E

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Klongtom Division	69/3 M.5 Klongtom Nua Sub-district, Klongtom District, Krabi 81120, Thailand	7° 59' 21.56" N	99° 12' 52.16" E
Cha-uat estate	173/2 M.6 Tha Pracha Subdistrict, Cha-uat District, Nakhon Sri Thammarat 80180, Thailand	7° 59' 42.10" N	99° 57' 55.86" E

Note: Chean Vanich Estate including AK estate

5. Description of Supply Base

New Planting Development	<input checked="" type="checkbox"/> No (no change in total planted area)		<input type="checkbox"/> Yes (please refer to Principle 7 for details)		
Estate / Smallholders	Total Planted (Mature + Immature) (ha)	HCV (ha)	Infrastructure & Other (ha)	Total Area (ha)	% of Planted
Chean Vanich Estate	583.25	0.00	20.80	604.05	96.56
TOPI Estate	2179.10	0.00	110.10	2289.20	95.19
Siam Palm Estate	755.30	0.00	11.00	766.30	98.56
Nanua Division	521.40	0.00	7.10	528.50	98.66
Wanee Division	88.50	0.00	1.80	90.30	98.01
Lamthap Estate	86.30	0.00	48.98	135.28	63.79
Klongtom Division	143.50	0.00	6.77	150.27	95.49
Cha-uat Estate	236.50	0.00	48.85	285.35	82.88
Total	4,593.85	0.00	255.40	4,849.25	94.73

Note:

The total area of Chean Vanich Estate was different from previous audit report due to re-delineation of the concession amount 56.65 ha (354.06 rai). This is the same reason for Klongtom division for the different figure of the total area about 6.77 ha

6. Plantings & Cycle

Estate / Smallholders	Age (Years) - ha				Mature	Immature
	0 - 3	4 - 14	15 - 25	>25		
Chean Vanich Estate	25.00	186.25	0.00	372.00	558.25	25.00
TOPI Estate	80.70	370.00	617.60	1110.80	2,098.40	80.70
Siam Palm Estate	64.90	64.70	625.70	0.00	690.40	64.90
Nanua Division	0.00	437.60	83.80	0.00	521.40	0.00
Wanee Division	0.00	8.50	80.00	0.00	88.50	0.00
Lamthap Estate	16.60	0.00	69.70	0.00	69.70	16.60
Klongtom Division	0.00	143.50	0.00	0.00	143.50	0.00

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Cha-uat Estate	0.00	201.20	35.30	0.00	236.50	0.00
Total (ha)	187.20	1,411.75	1,512.10	1,482.80	4,406.65	187.20

Note: Only Mature area is considered as production area

7. Summary of Certified Tonnage of FFB (Own Certified Scope)

Estate / Smallholders	Tonnage (MT) / year			
	Estimated last year (May-2022 to April 2023)	Actual (May 2022 to Apr 2023)		Forecast (May 2023 to April 2024)
		Previous license period (N/A)	Current license period (May 2022 to Apr 2023)	
Chean Vanich Estate	11,770.17	NA	5,795.70	4,133.33
TOPI Estate	36,887.11	NA	29,372.45	18,149.33
Siam Palm Estate	13,455.44	NA	15,530.12	12,418.67
Nanua Division	9,288.58	NA	14,416.60	11,013.33
Wanee Division	1,576.60	NA	2,108.54	1,462.67
Lamthap Estate	1,241.51	NA	1,460.12	1,504.00
Klongtom Division	2,556.41	NA	2,737.29	3,772.00
Cha-uat Estate	4,213.18	NA	2,128.43	4,472.00
Total	80,989.00	NA	73,549.26	56,925.33

Note:

- The current certificate given by out-going CB was commenced on 22 May 2022 till 21 May 2023, whilst the previous license was end on 21 December 2021. It was meant that in period 22 Dec 2021 till 21 May 2022 was the certification lapse. (Non-certify products)
- Forecast FFB production for Chean Vanich and Topi estate are quite low comparing to the average yield of FFB production from the grower because majority of planting year in both estates are above 25 years old. While the forecast FFB production in Klongtom division is quite high because it is in prime production period

8. Summary of Certified Tonnage of FFB (from other certified unit(s))

Estate / Smallholders	Tonnage (MT) / year			
	Estimated last year (May-2022 to April 2023)	Actual (May 2022 to Apr 2023)		Forecast (May 2023 to April 2024)
		Previous license period (N/A)	Current license period (May 2022 to Apr 2023)	
N/A		N/A	N/A	
Total		N/A		

Note:

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9. Summary of Non-Certified Tonnage of FFB (outside supplier – excluded from certificate)				
Out growers / smallholders	Tonnage (MT) / year			
	Estimated last year (May 2022 to April 2023)	Actual (May 2022 to Apr 2023)		Forecast (May 2023 to April 2024)
		Previous license period	Current license period (May 2022 to Apr 2023)	
Independent smallholder and collection center who supplies FFB to (TOPI Mill)	329,632.05	NA	389,649.07	425,071.64
Independent smallholder and collection center who supplies FFB to (Siam Mill)	283,357.87	NA	332,269.01	362,475.27
Independent smallholder and collection center who supplies FFB to (Lamthap Mill)	241,141.32	NA	295,850.01	322,745.45
Total	854,131.24	1,017,768.09		1,110,292.36

Note: The source of certified FFB supplied to TOPI mill, Siam mill and Lamthap mill are from independent smallholder and collection centres

9A. Monthly Records of Certified and Uncertified FFB Received since the last audit				
TOPI MILL				
No.	Month - Year	Volume of FFB from certified supply base (mt)	Volume of FFB from uncertified supply base (mt)	Total FFB/Month (mt)
1	22-31 May 2022	754.54	24,902.13	25,656.67
2	Jun-22	2,303.68	22,552.89	24,856.57
3	Jul-22	1,978.78	21,174.47	23,153.25
4	Aug-22	2,522.25	34,310.21	36,832.46
5	Sep-22	3,098.75	34,830.54	37,929.29
6	Oct-22	3,790.73	31,411.47	35,202.20
7	Nov-22	4,329.26	38,985.18	43,314.44
8	Dec-22	4,913.82	38,335.55	43,249.37
9	Jan-23	8,420.86	34,409.76	42,830.62
10	Feb-23	8,925.98	35,635.44	44,561.42
11	Mar-23	7,404.61	38,275.46	45,680.07

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12	Apr-23	5,011.54	34,825.97	39,837.51
SUB-TOTAL		53,454.80	389,649.07	443,103.87
SIAM MILL				
No.	Month - Year	Volume of FFB from certified supply base (mt)	Volume of FFB from uncertified supply base (mt)	Total FFB/Month (mt)
1	22-31 May 2022	598.69	23,426.43	24,025.12
2	Jun-22	1,261.50	23,379.36	24,640.86
3	Jul-22	1,009.75	23,398.71	24,408.46
4	Aug-22	925.5	21,653.99	22,579.49
5	Sep-22	1,854.53	32,566.97	34,421.50
6	Oct-22	1,881.87	32,710.43	34,592.30
7	Nov-22	2,001.26	29,052.08	31,053.34
8	Dec-22	1,853.27	29,061.39	30,914.66
9	Jan-23	498.27	31,122.62	31,620.89
10	Feb-23	62.21	29,013.84	29,076.05
11	Mar-23	625.33	31,427.46	32,052.79
12	Apr-23	1,196.44	25,455.73	26,652.17
SUB-TOTAL		13,768.62	332,269.01	346,037.63
LAMTHAP MILL				
No.	Month - Year	Volume of FFB from certified supply base (mt)	Volume of FFB from uncertified supply base (mt)	Total FFB/Month (mt)
1	22-31 May 2022	114.67	19,771.22	19,885.89
2	Jun-22	516.52	23,931.92	24,448.44
3	Jul-22	532.92	26,787.89	27,320.81
4	Aug-22	730.27	31,202.61	31,932.88
5	Sep-22	795.59	26,642.71	27,438.30
6	Oct-22	745.57	25,044.14	25,789.71
7	Nov-22	658.35	11,349.89	12,008.24
8	Dec-22	516.02	16,810.58	17,326.60
9	Jan-23	592.67	31,314.84	31,907.51
10	Feb-23	444.19	27,464.13	27,908.32
11	Mar-23	338.17	29,814.88	30,153.05
12	Apr-23	340.9	25,715.20	26,056.10
SUB-TOTAL		6,325.84	295,850.01	302,175.85
GRAND TOTAL		73,549.26	1,017,768.09	1,091,317.35

10. Summary of Certified Tonnage (MT)			
TOPI Mill			
Estimated last year (May 2022 to April 2023)	Actual (May 2022 till Apr 2023)		Forecast (May 2023 to April 2024)
	Previous license period	Current license period (May 2022 till Apr 2023)	
FFB	FFB		FFB
44,457 mt	NA	53,454.80* mt	22,282.66 mt
	TOTAL	53,454.80* mt	
CPO (OER: 20.50%)	CPO (OER: NA)	CPO (OER: 17.34%)	CPO (OER: 20.50%)
9,114 mt	NA	9,269.06 mt	4,567.95 mt
	TOTAL	9,269.06 mt	
PK (KER: 5.25%)	PK (KER: NA)	PK (KER: 5.24%)	PK (KER: 5.25%)
2,334 mt	NA	2,801.03 mt	1,169.84 mt
	TOTAL	2,801.03 mt	
Notes: *FFB of 11,286.64 MT has been supplied from Siam mill. Therefore, total FFB is combination of 42,168.16 + 11,286.64 or equal to 53,454.8 mt. Based on this, the total CPO and PK from the combination of FFB transferred from Siam mill is 9,296.06 and 2,801.03 tons, respectively.			
SIAM MILL			
Estimated last year (May 2022 to April 2023)	Actual (May 2022 till Apr 2023)		Forecast (May 2023 to April 2024)
	Previous license period	Current license period (May 2022 till Apr 2023)	
FFB	FFB		FFB
28,886 mt	NA	13,768.62* mt	24,894.33 mt
	TOTAL	13,768.62 mt	
CPO (OER: 20.50%)	CPO (OER: NA)	CPO (OER: 17.27%)	CPO (OER: 20.50%)
5,922 mt	NA	2,377.84 mt	5,103.33 mt
	TOTAL	2,377.84 mt	
PK (KER: 5.25%)	PK (KER: NA)	PK (KER: 5.51%)	PK (KER: 5.25%)
1,517 mt	NA	758.65 mt	1,306.95 mt
	TOTAL	758.65 mt	
Notes: *Total FFB supplied by the supply bases to the Siam mill is 25,055.26 tons. However, FFB of 11,286.64 MT has been transferred to Topi mill. Hence, total FFB remain for the production of CPO and PK is 13,768.62 tons. Meanwhile, total CPO and PK produced by the Siam mill after transferring the FFB to TOPI mill are 2,377.84 and 758.65 tons, respectively.			
LAMTHAP MILL			
Estimated last year (May 2022 to April 2023)	Actual (May 2022 till Apr 2023)		Forecast (May 2023 to April 2024)

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	Previous license period	Current license period (May 2022 till Apr 2023)	
FFB	FFB		FFB
7,646 mt	NA	6,325.84 mt	9,748 mt
	TOTAL	6,325.84 mt	
CPO (OER: 20.50%)	CPO (OER: NA)	CPO (OER: 17.43%)	CPO (OER: 20.50%)
1,567 mt	NA	1,102.59 mt	1,998.34 mt
	TOTAL	1,102.59 mt	
PK (KER: 5.25%)	PK (KER: NA)	PK (KER: 5.07%)	PK (KER: 5.25%)
401.415 mt	NA	320.72 mt	511.77 mt
	TOTAL	320.72 mt	
GRAND TOTAL			
Estimated last year (May 2022 to April 2023)	Actual (May 2022 till Apr 2023)		Forecast (May 2023 to April 2024)
	Previous license period	Current license period (May 2022 till Apr 2023)	
FFB	FFB		FFB
80,989.00 mt	NA	73,549.26 mt	56,925.33 mt
	TOTAL	73,549.26	
CPO (OER: 20.50%)	CPO (OER: NA)	CPO (OER: 17.43%)	CPO (OER: 20.50%)
16,603.00 mt	NA	12,749.49 mt	11,669.62 mt
	TOTAL	12,749.49 mt	
PK (KER: 5.25%)	PK (KER: NA)	PK (KER: 5.07%)	PK (KER: 5.25%)
4,252.42 mt	NA	3,880.40 mt	2,988.56 mt
	TOTAL	3,880.40 mt	
<p>Note: The current certificate given by out-going CB was commenced on 22 May 2022 till 21 May 2023, whilst the previous license was end on 21 December 2021. It was meant that in period 22 Dec 2021 till 21 May 2022 was the certification lapse. (Non-certify products).</p>			

10A. Monthly Records of Certified CPO & PK since the last audit			
No.	Month - Year	Certified CPO (MT)	Certified PK (MT)
TOPI MILL			
1	22-May-22	130.84	39.54
2	Jun-22	399.46	120.71
3	Jul-22	343.12	103.69
4	Aug-22	437.36	132.17
5	Sep-22	537.32	162.37

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6	Oct-22	657.31	198.63
7	Nov-22	750.69	226.85
8	Dec-22	852.06	257.48
9	Jan-23	1,460.18	441.25
10	Feb-23	1,547.76	467.72
11	Mar-23	1,283.96	388.00
12	Apr-23	869.00	262.60
SUB-TOTAL		9,269.06	2,801.03
SIAM MILL			
1	22-May-22	103.39	32.99
2	Jun-22	217.86	69.51
3	Jul-22	174.38	55.64
4	Aug-22	159.83	51.00
5	Sep-22	320.28	102.18
6	Oct-22	325.00	103.69
7	Nov-22	345.62	110.27
8	Dec-22	320.06	102.12
9	Jan-23	86.05	27.45
10	Feb-23	10.75	3.44
11	Mar-23	107.99	34.46
12	Apr-23	206.63	65.92
SUB-TOTAL		2,377.84	758.65
LAMTHAP MILL			
1	22-May-22	19.99	5.81
2	Jun-22	90.03	26.19
3	Jul-22	92.89	27.02
4	Aug-22	127.29	37.02
5	Sep-22	138.67	40.34
6	Oct-22	129.95	37.80
7	Nov-22	114.75	33.38
8	Dec-22	89.94	26.16
9	Jan-23	103.30	30.05
10	Feb-23	77.42	22.52
11	Mar-23	58.94	17.15
12	Apr-23	59.42	17.28
SUB-TOTAL		1,102.59	320.72

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GRAND TOTAL	12,749.49	3,880.39
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11. Summary of Actual Volume sold					
Current License period (May 2022 till Apr 2023)					
	RSPO Certified	Other Schemes Certified		Conventional	Total
		ISCC	Others		
TOPI MILL					
CPO (MT)	6,896.47	0	0	0	6,896.47
PK (MT)	0	0	0	0	0
Credits	0	0	0	0	0
SIAM MILL					
CPO (MT)	4,269.32	0	0	0	4,269.32
PK (MT)	500	0	0	0	500
Credits	0	0	0	0	0
LAMTHAP MILL					
CPO (MT)	192.91	0	0	0	192.91
PK (MT)	0	0	0	0	0
Credits	0	0	0	0	0
Previous License period (NA)					
TOPI MILL					
CPO (MT)	NA	NA	NA	NA	NA
PK (MT)	NA	NA	NA	NA	NA
Credits	NA	NA	NA	NA	NA
SIAM MILL					
CPO (MT)	NA	NA	NA	NA	NA
PK (MT)	NA	NA	NA	NA	NA
Credits	NA	NA	NA	NA	NA
LAMTHAP MILL					
CPO (MT)	NA	NA	NA	NA	NA
PK (MT)	NA	NA	NA	NA	NA
Credits	NA	NA	NA	NA	NA
Note:					
1. Conventional is RSPO certified material but sold as non-RSPO.					

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2. The current certificate given by out-going CB was commenced on 22 May 2022 till 21 May 2023, whilst the previous license was end on 21 December 2021. It was meant that in period 22 Dec 2021 till 21 May 2022 was the certification lapse. (Non-certify products).

11A. Records of Certified CPO & PK Sold under PalmTrace since the last audit (if any)

No.	Buyers Name	PalmTrace Trading License Number	Certified CPO Sold (MT)	Certified PK Sold (MT)
TOPI MILL				
1	Suksomboon Vegetable Oil Co., Ltd	RSPO_PO1000000694	227.45	
2	Patum Vegetable Oil Company Limited	RSPO_PO1000000694	127.40	
3	Patum Vegetable Oil Company Limited	RSPO_PO1000000694	32.17	
4	Suksomboon Vegetable Oil Co., Ltd	RSPO_PO1000000694	96.92	
5	Suksomboon Vegetable Oil Co., Ltd	RSPO_PO1000000694	187.80	
6	Suksomboon Vegetable Oil Co., Ltd	RSPO_PO1000000694	285.42	
7	Suksomboon Vegetable Oil Co., Ltd	RSPO_PO1000000694	320.57	
8	Suksomboon Vegetable Oil Co., Ltd	RSPO_PO1000000694	31.37	
9	Patum Vegetable Oil Company Limited	RSPO_PO1000000694	234.29	
10	Patum Vegetable Oil Company Limited	RSPO_PO1000000694	89.54	
11	Patum Vegetable Oil Company Limited	RSPO_PO1000000694	161.35	
12	Suksomboon Vegetable Oil Co., Ltd	RSPO_PO1000000694	97.74	
13	Suksomboon Vegetable Oil Co., Ltd	RSPO_PO1000000694	160.15	
14	Suksomboon Vegetable Oil Co., Ltd	RSPO_PO1000000694	81.12	
15	Suksomboon Vegetable Oil Co., Ltd	RSPO_PO1000000694	29.67	
16	Suksomboon Vegetable Oil Co., Ltd	RSPO_PO1000000694	159.11	
17	Suksomboon Vegetable Oil Co., Ltd	RSPO_PO1000000694	31.48	
18	Suksomboon Vegetable Oil Co., Ltd	RSPO_PO1000000694	159.13	
19	Patum Vegetable Oil Company Limited	RSPO_PO1000000694	322.85	
20	Patum Vegetable Oil Company Limited	RSPO_PO1000000694	89.55	
21	Patum Vegetable Oil Company Limited	RSPO_PO1000000694	233.76	
22	Patum Vegetable Oil Company Limited	RSPO_PO1000000694	283.72	
23	Patum Vegetable Oil Company Limited	RSPO_PO1000000694	32.30	
24	Patum Vegetable Oil Company Limited	RSPO_PO1000000694	158.43	
25	Patum Vegetable Oil Company Limited	RSPO_PO1000000694	84.43	
26	Patum Vegetable Oil Company Limited	RSPO_PO1000000694	43.72	
27	Patum Vegetable Oil Company Limited	RSPO_PO1000000694	353.98	
28	Patum Vegetable Oil Company Limited	RSPO_PO1000000694	319.14	

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29	Patum Vegetable Oil Company Limited	RSPO_PO1000000694	317.98	
30	Patum Vegetable Oil Company Limited	RSPO_PO1000000694	190.40	
31	Patum Vegetable Oil Company Limited	RSPO_PO1000000694	275.44	
32	Patum Vegetable Oil Company Limited	RSPO_PO1000000694	46.35	
33	Patum Vegetable Oil Company Limited	RSPO_PO1000000694	274.47	
34	Patum Vegetable Oil Company Limited	RSPO_PO1000000694	44.56	
35	Patum Vegetable Oil Company Limited	RSPO_PO1000000694	31.48	
36	Patum Vegetable Oil Company Limited	RSPO_PO1000000694	3.18	
37	Patum Vegetable Oil Company Limited	RSPO_PO1000000694	320.00	
38	Patum Vegetable Oil Company Limited	RSPO_PO1000000694	316.82	
39	Suksomboon Vegetable Oil Co., Ltd	RSPO_PO1000000694	289.41	
40	Suksomboon Vegetable Oil Co., Ltd	RSPO_PO1000000694	31.82	
41	Suksomboon Vegetable Oil Co., Ltd	RSPO_PO1000000694	320.00	
SUB-TOTAL			6,896.47	0
SIAM MILL				
1	suksomboon palm Oil Co.,Ltd	RSPO_PO1000000695	64.37	
2	Suksomboon Vegetable Oil Co., Ltd	RSPO_PO1000000695	97.46	
3	Patum Vegetable Oil Company Limited	RSPO_PO1000000695	226.48	
4	Suksomboon Vegetable Oil Co., Ltd	RSPO_PO1000000695	162.36	
5	Patum Vegetable Oil Company Limited	RSPO_PO1000000695	130.25	
6	Patum Vegetable Oil Company Limited	RSPO_PO1000000695	32.1	
7	Suksomboon Vegetable Oil Co., Ltd	RSPO_PO1000000695	162.02	
8	Patum Vegetable Oil Company Limited	RSPO_PO1000000695	162.5	
9	Patum Vegetable Oil Company Limited	RSPO_PO1000000695	96.96	
10	Sime Darby Oils Morakot Public Company Limited	RSPO_PO1000000695	195.04	
11	Patum Vegetable Oil Company Limited	RSPO_PO1000000695	162.61	
12	Sime Darby Oils Morakot Public Company Limited	RSPO_PO1000000695	192.15	
13	Patum Vegetable Oil Company Limited	RSPO_PO1000000695	162.06	
14	Sime Darby Oils Morakot Public Company Limited	RSPO_PO1000000695	95.2	
15	Patum Vegetable Oil Company Limited	RSPO_PO1000000695	220.25	
16	Sime Darby Oils Morakot Public Company Limited	RSPO_PO1000000695	129.59	
17	Sime Darby Oils Morakot Public Company Limited	RSPO_PO1000000695	3.66	
18	Sime Darby Oils Morakot Public Company Limited	RSPO_PO1000000695	28.02	
19	Patum Vegetable Oil Company Limited	RSPO_PO1000000695	80.16	
20	Patum Vegetable Oil Company Limited	RSPO_PO1000000695	244.36	
21	Suksomboon Vegetable Oil Co., Ltd	RSPO_PO1000000695	162.12	

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22	Sime Darby Oils Morakot Public Company Limited	RSPO_PO1000000695	162.95	
23	Patum Vegetable Oil Company Limited	RSPO_PO1000000695	129.68	
24	Patum Vegetable Oil Company Limited	RSPO_PO1000000695	83.39	
25	Patum Vegetable Oil Company Limited	RSPO_PO1000000695	110.16	
26	Suksomboon Vegetable Oil Co., Ltd	RSPO_PO1000000695	162.35	
27	Suksomboon Vegetable Oil Co., Ltd	RSPO_PO1000000695	323.63	
28	Sime Darby Oils Morakot Public Company Limited	RSPO_PO1000000695	165.68	
29	Sime Darby Oils Morakot Public Company Limited	RSPO_PO1000000695	153.39	
30	Sime Darby Oils Morakot Public Company Limited	RSPO_PO1000000695	127.1	
31	Patum Vegetable Oil Company Limited	RSPO_PO1000000695	14.05	
32	Sime Darby Oils Morakot Public Company Limited	RSPO_PO1000000695	27.22	
33	Univanich Palm Oil Public Company Limited (Topi Crushing Mill)	RSPO_PO1000000695		500
SUB-TOTAL			4,269.32	500
LAMTHAP MILL				
1	Suksomboon Vegetable Oil Co., Ltd	RSPO_PO1000000614	18.21	
2	Suksomboon Vegetable Oil Co., Ltd	RSPO_PO1000000614	13.72	
3	Suksomboon Vegetable Oil Co., Ltd	RSPO_PO1000000614	160.98	
SUB-TOTAL			192.91	0
GRAND TOTAL			11,358.70	500

11B. Records of certified CPO & PK Sold under other schemes since the last audit (if any)				
No.	Buyers Name	Scheme Name	Certified CPO Sold (MT)	Certified PK Sold (MT)
N/A	N/A	N/A	N/A	N/A
TOTAL			N/A	N/A

11C. Records of CPO & PK Sold as conventional since the last audit (if any)			
No.	Buyers Name	CPO Sold (MT)	PK Sold (MT)
N/A	N/A	N/A	N/A
TOTAL		N/A	N/A

11D. Records of Certified CPO Sold under RSPO Credits since the last audit (if any)			
No.	Buyers Name	PalmTrace Trading License Number	RSPO Credits of Certified CPO Sold
N/A	N/A	N/A	N/A

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TOTAL	N/A
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12. Independent Smallholders Certified Tonnage (MT) / Volume									
	Estimated last year (Not applicable)			Actual (Not applicable)			Forecast (Not applicable)		
Phase	Eligibility	MS A	MS B	Eligibility	MS A	MS B	Eligibility	MS A	MS B
	40%	70%	100%	40%	70%	100%	40%	70%	100%
FFB			N/A			N/A			N/A
IS-CSPO	N/A	N/A		N/A	N/A		N/A	N/A	
IS-CSPKO	N/A	N/A		N/A	N/A		N/A	N/A	
IS-CSPKE	N/A	N/A		N/A	N/A		N/A	N/A	
CSPK	N/A	N/A		N/A	N/A		N/A	N/A	

12A. Monthly Records of Certified CPO, PK & PKE (equivalent) produced since the last audit						
No.	Month - Year	FFB (MT)	Certified CPO (MT)	Certified PK (MT)	Certified PKO (MT)	Certified PKE (MT)
N/A	N/A	N/A	N/A	N/A	N/A	N/A
TOTAL		N/A	N/A	N/A	N/A	N/A

13. Independent Smallholders Actual Sold Tonnage / Volume							
	FFB	FFB Conventional	FFB Other schemes	IS-CSPO	CSPK	IS-CSPKO	IS-CSPKE
Current License period (NA)							
Credits				N/A	N/A	N/A	N/A
Physical	N/A	N/A	N/A				
Previous License period (NA)							
Credits				N/A	N/A	N/A	N/A
Physical	N/A	N/A	N/A				

13A. Records of Certified FFB, CPO, PK & PKE (including credits) sold since the last audit							
No.	Buyers Name	PalmTrace Trading License Number	FFB Sold (MT)	Certified CPO Sold (MT/credit)	Certified PK Sold (MT/credit)	Certified PKO Sold (MT/credit)	Certified PKE Sold (MT/credit)
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
TOTAL			N/A	N/A	N/A	N/A	N/A

Section 2: Assessment Process

Certification Body:

BSI Services Malaysia Sdn. Bhd. (ASI Accreditation Number: ASI-ACC-067)
Suite 29.01 Level 29, The Gardens North Tower,
Mid Valley City, Lingkaran Syed Putra,
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Representative: Dr. Chaiyaporn Seekao (Chaiyaporn.Seekao@bsigroup.com)
Website: www.bsigroup.com

BSI is a leading global provider of management systems assessment and certification, with more than 92,000 certified locations and clients in over 193 countries. BSI is the UK's National Standards Body. BSI provides independent, third-party certification of management systems. BSI is ASI Accredited (ASI-ACC-067) to conduct RSPO assessment since 31/10/2014 with accredited office located at Kuala Lumpur, Malaysia.

2.1 Assessment Methodology, Programme, Site Visits

The on-site assessment was conducted on **18 April 2023 to 04 May 2023**. The audit programme is included as Section 2.3.

The approach to the audit was to treat the mill and supply base as an RSPO Certification Unit. A range of occupational health and safety, environmental, best practice management, and social factors were covered. This includes consideration of topography, palm age, proximity to areas with HCVs, declared conservation areas, local communities engagement and workers welfare and safety.

The Critical NC close out on-site assessment was conducted on **24 July 2023**. The audit programs are included in Section 2.3.

The methodology for collection of objective evidence included physical site inspections, observation of tasks and processes, interviews of staff, workers and their families and external stakeholders was used as the normative reference to assess compliance. The comments made by external stakeholders were also taken into account in the assessment.

The minimum sample size is four estates. Sample size for certification unit with more than four (4) estates were determined based on formula $N = (\sqrt{y}) \times (z)$ where y is the number of estates and where z is the multiplier defined by risk assessment. While, the sampling of smallholders were based on the formula $(\sqrt{y}) \times (z)$; where y is total number of group member and where z is the multiplier defined by the risk assessment. The sampled smallholder listed in Appendix E.

Meetings were held with stakeholders to seek their views on the performance of the company with respect to the RSPO requirements and aspects where they considered that improvements could be made. At the start of each meeting, the interviewer explained the purpose of the audit followed by an evaluation of the relationship between the stakeholder and the company before discussions proceeded. The interviewer recorded comments made by stakeholders and these have been incorporated into the assessment findings.

Structured worker interviews with male and female workers and staff were held in private at the workplace in the mill and the estates. Fieldworkers were interviewed informally in small groups in the field. In addition, the wives of workers and staff were interviewed in informal group meetings at their housing. Separate visits were made to each of the local communities to meet with the village head and residents. Company officials were not present at any of the internal or external stakeholder interviews. A list of Stakeholders contacted is included as Section 3.4.

The non-conformities for this audit are detailed in Section 3.3 and unless it is stated in this section, all previous nonconformities including minor nonconformities are remains closed.

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This report is structured to provide a summary of assessment finding as provided in Appendix A. The assessment was conducted based on risk based approach sampling and therefore nonconformities may exist.

For Initial, Re-certification and Extension of Scope assessment, the report was externally reviewed by approved external peer reviewer prior to certification decision by BSI.

For Annual surveillance assessment, the report was internally reviewed and approved by BSI qualified certification reviewer.

The following table would be used to identify the locations to be audited each year in the 5 year cycle

Assessment Program					
Name (Mill / Supply Base)	Year 1 (Recertification)	Year 2 (ASA 1_1)	Year 3 (ASA 1_2)	Year 4 (ASA 1_3)	Year 5 (ASA 1_4)
TOPI MILL	x	x	x	x	x
SIAM MILL	x	x	x	x	x
LAMTHAP MILL	x	x	x	x	x
TOPI Estate	x	x	x	x	x
Chean Vanich Estate	x		x		x
Siam Palm Estate	x	x		x	
Nanua Division	x	x		x	
Wannee Division	x	x			
Lamthap Estate	x	x	x		x
Klongtom Division	x		x		x
Cha-uat Estate	x	x		x	

Tentative Date of Next Visit: February 1, 2024 - January 23, 2024

Total Number of Mandays: 23

2.2 BSI Assessment Team

Name	Role	Competency
Chongrak Takard (CT)	Team Leader	<p>Education: Bachelor of Science: Plant Protection (Plant Pathology) from Maejo University, Thailand in 1998, and a Master of Arts: Human Resource Development (HRD) from Ramkhamhaeng University, Thailand in 2016.</p> <p>Work experience: more than 10 years of working experience where makes her familiar with local/regional knowledge of local laws, also undergone ISO9001, SA8000, TLS, SMETA, Social Second party audit (social audit), has been working in the agriculture sector for more than 10 years prior to joining BSI group. 5 years working experience as Sustainability Auditor for RSPO P & C, RISH and SCC Scheme.</p> <p>Training attended: successfully completed the Quality Management System Auditor/Lead Auditor Course ISO9001:2015, ISO14001:2015 Lead auditor IRCA 14154 EMS, BS OHSAS 18001:2007 standard, CQI-IRCA Certified : PR356: OHSMS ISO 45001:2018 Lead Auditor Conversion Training</p>

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		<p>Course, Thai Labour Standard TLS Lead auditor TLS 8001, SA8000 Basic auditor Course and SA8000 Advances Auditor Course, ESEP Lead auditor course, RSPO P&C-endorsed P&C Lead Auditor course, refresher course for RSPO endorsed P&C lead auditor, RSPO ISH Training (RISS 2019) as part of the qualification for RSPO Auditors in fulfilling the requirements of 4.8.6(f) of the RSPO Certification System 2020 and RSPO endorsed RSPO SCCS Lead Auditor</p> <p>Language proficiency: fluent in Thai language and moderate in English.</p> <p>Aspect covered in this audit: Auditing Health and safety, Organization commitment, Legal Compliance, Long-term economic planning, social aspect, Organization policies and procedures, Organization commitment, Worker welfare, Worker consultation and SCC requirement.</p>
<p>Supiwat Nentakong (SN)</p>	<p>Team Member</p>	<p>Education: He has graduated with Bachelor of Science (Fisheries) KASETSART University, Thailand in 1998.</p> <p>Work Experience: He is oil palm plantation owner. Hence, he has more than 10 years' experience in working in the palm oil sector and more then 5 years working experience as RSPO Auditor and 1 years working experience as RSPO Lead Auditor.</p> <p>His knowledge in agriculture sector (oil palm) has been evaluated through interview and demonstrated by directly involved in managing oil palm belong to his family.</p> <p>Training attended: Completed ISO 9001 Lead Auditor course, Endorsed RSPO P&C Lead Auditor course, refresher course for RSPO endorsed P&C lead auditor on 15 -18 Feb 2021 and RSPO ISH Training (RISS 2019) as part of the qualification for RSPO Auditors in fulfilling the requirements of 4.8.6(f) of the RSPO Certification System 2020 on 14 -16 Mar 2022 by RSPO, Endorsed RSPO SCCS Lead Auditor course, ISO 14001, ISO 45001 Lead Auditor course, RSPO ISH Training (RISS 2019) as part of the qualification for RSPO Auditors in fulfilling the requirements of 4.8.6(f) of the RSPO Certification System 2020 on 14 -16 Mar 2022 by RSPO</p> <p>Language proficiency: fluent in Thai language and moderate in English.</p> <p>Aspect covered in this audit: Auditing Plantation best management practices, Worker welfare, Worker consultation, stakeholder consultation Environmental impact, Continuous Improvement, Greenhouse Gas mitigation, HCV and SCC requirement.</p>
<p>Dr. Chaiyaporn Seekao (CS)</p>	<p>Qualifying Reviewer</p>	<p>Education: He earned Ph.D degree in the field of environmental management from Chulalongkorn University and Tokyo University of Japan. He also hold Master Degree in the field of Sustainable Land Use and Natural Resource Management and Bachelor Degree related to the Fisheries Management from Kasetsart University.</p> <p>Work experience: He has more than 15 years auditing experience involving RSPO scheme as an auditor from different 3 CBs. He used to work in consultancy company related to the Environmental and Social Impact Assessment for mega projects in Thailand. Beside working with private sector, he used to work with Food and Agriculture Organization of United Nation and also Fisheries Department of Thailand about 7 years.</p> <p>Training attended: He used to attend the training with many scheme owners e.g. ISCC, RSPO, ASC, MSC, GLOBALG.A.P, MarinTrust, FAMI-QS and other management system standards e.g. ISO9001, ISO14001, ISO45001,</p>

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		GMP and HACCP. For the training related to RSPO scheme, he used to train HCV Assessor. Recently, he has been trained refresher training course related to both RPSO P&C and SCC in 2022.
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Accompanying Persons:

Name	Role
NA	NA

2.3 Assessment Plan

The Assessment plan was sent to the client prior to the assessment.

Date	Time	Subjects	CT	SN	CS
Tuesday 18/04/2023 (2MD)	09:00-10:00	Opening meeting <ul style="list-style-type: none"> Opening presentation by audit team leader Description of activity for each day, including travelling/flight detail, documentation review, site visit, closing meeting, etc Confirmation of assessment scope and finalize audit plan 	√	√	√
	10:00-12:00	Plant Visit (SIAM mill) : <ul style="list-style-type: none"> FFB receiving and Supply chain process Mill Operations (processing unit, workshop, storage, dispatch, Laboratory (if any) Health & Safety Emergency response Waste Management Plan & Landfill and etc. Storage Facilities Staff, workers and contractor interview, POME application Etc. 	√	√	√
	12:00-13:00	Lunch break / Auditors' time for discussion	√	√	√
	13:00-17:30	Document Review P1 – P7 (SIAM MILL): General Documentation e.g. Legal, Manual and Procedure, production & monitoring records, IPM, HCV records, SEIA documents & records, OSH records, review pay documents, records of communication with stakeholder/workers representatives, new planting, CIP and implementation & etc.)	√	√	√
	17:30-18:00	<ul style="list-style-type: none"> Auditors discussion Day 1 Interim Closing Briefing 	√	√	√

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Date	Time	Subjects	CT	SN	CS
Wednesday 19/04/2023 (2 MD)	09:00-12:00	Field Inspection (SIAM Estate) <ul style="list-style-type: none"> Field Operations (Spraying, Harvesting, Manuring, etc.) Boundary Stone High Conservation Value Area Riparian/Watercourses Protection Waste Management Plan Storage Facilities Workers Housing Worker interview Etc. 	√	√	√
	12:00-13:00	Lunch break / Auditors' time for discussion	√	√	√
	13:00-17:30	Document Review P1 – P7 (SIAM PALM Estate): General Documentation e.g. Legal, Manual and Procedure, production & monitoring records, IPM, HCV records, SEIA documents & records, OSH records, review pay documents, records of communication with stakeholder/workers representatives, new planting, CIP and implementation & etc.)	√	√	√
	17:30-18:00	<ul style="list-style-type: none"> Auditors discussion Day 2 Interim Closing Briefing 	√	√	√
Thursday 20/04/2023 (2 MD)	09:00-10:30	Field Visit (NANUA DIVISION/Estate) <ul style="list-style-type: none"> Field Operations (Spraying, Harvesting, Manuring, etc.) Boundary Stone High Conservation Value Area Riparian/Watercourses Protection Waste Management Plan Storage Facilities Workers Housing Worker interview Etc. 	√	√	√
	12:00-13:00	Lunch break / Auditors' time for discussion	√	√	√
	13:00-17:30 (CT & CS) 15:30-17:30 (SN)	Document Review P1 – P7 (NANUA DIVISION/ Estate): General Documentation e.g. Legal, Manual and Procedure, production & monitoring records, IPM, HCV records, SEIA documents & records, OSH records, review pay documents, records of communication with stakeholder/workers representatives, new planting, CIP and implementation & etc.)	√	√	√

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Date	Time	Subjects	CT	SN	CS
	13:00-15:00 (SN)	Meeting with stakeholders (Government, village representatives, smallholders, employee union representatives, vendor etc.)		√	
	17:30-18:00	<ul style="list-style-type: none"> • Auditors discussion • Day 3 Interim Closing Briefing 	√	√	√
Friday 21/04/2023 (2 MD)	09:00-12:00	Plant Visit (TOPI mill): <ul style="list-style-type: none"> • FFB receiving and Supply chain process • Mill Operations (processing unit, workshop, storage, dispatch, Laboratory (if any)) • Health & Safety • Emergency response • Waste Management Plan & Landfill and etc. • Storage Facilities • Staff, workers and contractor interview, • POME application • Etc. 	√	√	√
	12:00-13:00	Lunch break / Auditors' time for discussion	√	√	√
	13:00-15:30	Document Review P1 – P7 (TOPI MILL): General Documentation e.g. Legal, Manual and Procedure, production & monitoring records, IPM, HCV records, SEIA documents & records, OSH records, review pay documents, records of communication with stakeholder/workers representatives, new planting, CIP and implementation & etc.) and Supply chain for mill	√	√	√
	15:30-16:00	<ul style="list-style-type: none"> • Auditors discussion • Day 4 Interim Closing Briefing 	√	√	√
	16:00	Traveling to KRABI AIRPORT	√	√	√
Monday, 24/04/2023 (2 MD)	09:00-12:00	Field Visit (TOPI/Estate) <ul style="list-style-type: none"> • Field Operations (Spraying, Harvesting, Manuring, etc.) • Boundary Stone • High Conservation Value Area • Riparian/Watercourses Protection • Waste Management Plan • Storage Facilities • Workers Housing • Worker interview • Etc. 	√	√	√
	12:00-13:00	Lunch break / Auditors' time for discussion	√	√	√

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Date	Time	Subjects	CT	SN	CS
	13:00-17:30 (CT & CS)	Document Review P1 – P7 (TOPI Estate): General Documentation e.g. Legal, Manual and Procedure, production & monitoring records, IPM, HCV records, SEIA documents & records, OSH records, review pay documents, records of communication with stakeholder/workers representatives, new planting, CIP and implementation & etc.)	√	√	√
	15:30-17:30 (SN)	Meeting with stakeholders of TOPI MILL and TOPI ESTATE (Government, village representatives, smallholders, employee union representatives, vendor etc.)		√	
	17:30-18:00	<ul style="list-style-type: none"> • Auditors discussion • Day 5 Interim Closing Briefing 	√	√	√
Tuesday, 25/04/2023 (2 MD)	09:00-12:00	Plant Visit (LAMTHAB MILL): <ul style="list-style-type: none"> • FFB receiving and Supply chain process • Mill Operations (processing unit, workshop, storage, dispatch, Laboratory (if any)) • Health & Safety • Emergency response • Waste Management Plan & Landfill and etc. • Storage Facilities • Staff, workers and contractor interview, • POME application • Etc. 	√	√	√
	12:00-13:00	Lunch break / Auditors' time for discussion	√	√	√
	13:00-17:30	Document Review P1 – P7 (LAMTHAP MILL): General Documentation e.g. Legal, Manual and Procedure, production & monitoring records, IPM, HCV records, SEIA documents & records, OSH records, review pay documents, records of communication with stakeholder/workers representatives, new planting, CIP and implementation & etc.)	√	√	√
	17:30-18:00	<ul style="list-style-type: none"> • Auditors discussion • Day 6 Interim Closing Briefing 	√	√	√

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Date	Time	Subjects	CT	SN	CS
Wednesday, 26/04/2023 (2 MD)	09:00-12:00	Field Visit (LAMTHAP Estate) <ul style="list-style-type: none"> Field Operations (Spraying, Harvesting, Manuring, etc.) Boundary Stone High Conservation Value Area Riparian/Watercourses Protection Waste Management Plan Storage Facilities Workers Housing Worker interview Etc. 	√	√	√
	12:00-13:00	Lunch break / Auditors' time for discussion	√	√	√
	13:00-17:30 (CT & CS) 15:30-17:30 (SN)	Document Review P1 – P7 (LAMTHAP Estate): General Documentation e.g. Legal, Manual and Procedure, production & monitoring records, IPM, HCV records, SEIA documents & records, OSH records, review pay documents, records of communication with stakeholder/workers representatives, new planting, CIP and implementation & etc.)	√	√	√
	13:00-15:00 (SN)	Meeting with stakeholders of LAMTHAP MILL and LAMTHAP ESTATE (Government, village representatives, smallholders, employee union representatives, vendor etc.)		√	
	17:30-18:00	<ul style="list-style-type: none"> Auditors discussion Day 7 Interim Closing Briefing 	√	√	√
Thursday 27/04/2023 (2 MD)	09:00-12:00	Document Review (TOPI MILL, SIAM MILL and LAMTHAB MILL) <ul style="list-style-type: none"> Supply Chain Assessment Market Communication and Claims Etc. 	√	√	√
	12:00-13:00	Lunch break / Auditors' time for discussion	√	√	√
	13:00-17:30	Cont. form morning session	√	√	√
	17:30-18:00	<ul style="list-style-type: none"> Auditors discussion Day 8 Interim Closing Briefing 	√	√	√
Friday 28/04/2023 (2 MD)	09:00-12:00	Verification of all remaining relevant documents of SIAM ESTATE (Wanee and Nanua), accordance with principles in principle 1, 4, 5, and 6	√		√
		Verification of all remaining relevant documents of SIAM ESTATE (Wanee and Nanua), accordance with principles in principle 2, 3 and 7.		√	

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Date	Time	Subjects	CT	SN	CS
	12:00-13:00	Lunch break / Auditors' time for discussion	√	√	√
	13:00-17:30	Verification of all remaining relevant documents of TOPI ESTATE, accordance with principles in principle 1, 4, 5, and 6	√		√
	13:00-17:30	Verification of all remaining relevant documents of TOPI ESTATE accordance with principles 2, 3 and 7.		√	
	17:30-18:00	<ul style="list-style-type: none"> • Auditors discussion • Day 9 Interim Closing Briefing 	√	√	√
Tuesday, 02/05/2023 (2 MD)	09:00-12:00	Verification of all remaining relevant documents of LAMTHAP ESTATE and LAMTHAB MILL, accordance with principles in principle 1, 4, 5, and 6	√		√
		Verification of all remaining relevant documents of TO LAMTHAP ESTATE accordance with principles 2, 3 and 7.		√	
	12:00-13:00	Lunch break/Auditors' time for discussion	√	√	√
	13:00-17:30	Verification of all remaining relevant documents of and CHA-UAT DIVISION, accordance with principles in principle 1, 4, 5, and 6	√		√
		Verification of all remaining relevant documents of CHA-UAT DIVISION accordance with principles 2, 3 and 7.		√	
	17:30-18:00	<ul style="list-style-type: none"> • Auditors discussion • Day 10 Interim Closing Briefing 	√	√	√
Wednesday 03/05/2023 (2 MD)	09:00-12:00	Verification of all remaining relevant documents of SIAM MILL, accordance with principles in principle 1, 4, 5, and 6	√		√
		Verification of all remaining relevant documents of SIAM MILL accordance with principles 2, 3 and 7.		√	
	12:00-13:00	Lunch break / Auditors' time for discussion	√	√	√
	13:00-17:00	Verification of all remaining relevant documents of TOPI MILL, accordance with principles in principle 1, 4, 5, and 6	√		√
		Verification of all remaining relevant documents of TOPI MILL accordance with principles 2, 3 and 7.		√	
	17:00-18:00	<ul style="list-style-type: none"> • Auditors discussion • Day 11 Interim Closing Briefing 	√	√	√
Thursday 04/05/2023 (2 MD)	09:00-12:00	Verification of all remaining relevant documents of LAMTHAP MILL, accordance with principles in principle 1, 4, 5, and 6	√		√
		Verification of all remaining relevant documents of LAMTHAP MILL accordance with principles 2, 3 and 7.		√	
	12:00-13:00	Lunch break / Auditors' time for discussion	√	√	√

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Date	Time	Subjects	CT	SN	CS
	13:00-17:00	Review document of METRIC, GHG, Time Bound Plan and etc.	√	√	√
	17:00-18:00	<ul style="list-style-type: none"> • Auditors discussion • Day 12 Interim Closing Briefing 	√	√	√
Friday 05/05/2023 (1 MD)	09:00-10:00	Verification of findings Audit team preparation for Closing Meeting	√	√	√
	10:00-12:00	Closing meeting & End of Annual Surveillance audit 1	√	√	√
	12:00-13:00	Lunch break / Auditors' time for discussion	√	√	√
	13:00	Travelling to KRABI AIRPORT	√	√	√

NCR close-out on-site visit plan

Date	Time	Subjects	Chongrak (TL)
Monday 24 Jul 2023	09.00-09.15	Opening briefing by the audit team leader	√
	09.15 -12.00	Verification of effective implementation of corrective and corrective action for NCR #2328567-202304-M1; Major Multiple management Units and Time Bound Plan NCR #2328567-202304-M2; Major 3.6.1 (C) NCR #2328567-202304-M3; Major 7.1.1 (C) NCR #2328567-202304-M4; Major 6.7.1 (C) NCR # 2328567-202304-N1; Minor 2.2.3	√
	12.00-13.00	Lunch break	√
	13.00-15.00	Cont. Verification of effective implementation of corrective and corrective action from morning	√
	15.00-16.00	Closing meeting	√

Section 3: Assessment Findings

3.1 Multiple Management Units and Time Bound Plan

Requirement	Assessment	Compliance
Does the time bound plan include all current subsidiaries, estates and mills that is under the control and/or minor shareholding of the holding company?	<p>The time-bound plan has included all the subsidiaries of UNIVANICH. The information related to the company profiles and its time bound plan published in RSPO website was verified during the audit.</p> <p>To date, the certification has been ongoing according to the current time-bound plan. The UNIVANICH has informed RSPO by using ACOP report to keep RSPO updated on the Time-Bound Plan for all estates and mills that will be 100% RSPO certified in 2023. However, two mills (CVP and Pabon) will be certified in 2023 if RSPO adopts let both of them get certified under the independent mill certification because the source of FFB is from independent smallholders 100%.</p>	Complied
<p>Have all the estates and mills certified within five (5) years after obtaining RSPO membership?</p> <p>If no, has RSPO Secretariat approval obtained? Was the submission according to the template as announced by the RSPO Secretariat on 21/12/2021</p>	<p>To date two mills (CVP and Pabon) are still not certified. At the time of the audit, however the UNIVANICH submitted the deviation request to RSPO Secretariat for the approval to be audited by the end of year 2026.</p>	Complied
<p>Have there been any new acquisitions? If yes, the new acquisitions shall be certified within three (3) years from the date of acquisition. Certification plan for the new acquisition shall be available.</p> <p>If no, has RSPO Secretariat approval obtained? Was the submission according to the template as announced by the RSPO Secretariat on 21/12/2021.</p> <p><i>Note:</i></p>	<p>UNIVANICH has 2 mills where are located in Pabon District, Patthalung Province, where it was established on 16 Oct 2015, and Choke Wallapa mill located in Kuraburi District Phang-Nga Province, where it was established on 4 May 2017. The management of the company presented the time-bound plan showing that they are going to apply and certify RSPO P&C certification with multiple mills within the next surveillance assessment in 2023. However, to date, UNIVANICH was in the midst of progress with RSPO secretariat to update time-bound plan to include these mills.</p> <p>It is important to note that Univanich is in the midst of the acquisition process of the new estate, but it is not finalized yet at the time of the audit</p>	Complied
Any deviations from the maximum periods requires approval by the RSPO Secretariat.	<p>Although at the time of the audit, deviation request from Univanich was in the review by RSPO secretariat. However, the deviation of Pabon and CVP mills has now been approved</p>	Complied

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	by the RSPO Secretariat. New proposed year for the certification has been extended from 2023 to December 2026.	
<p>Have there been any changes to the time-bound plan since the last audit (both new acquisition and existing)? If yes, justification is required.</p> <p>Is this consistent with the ACOP reporting?</p>	<p>1. From verification on the document and management interview found that the evidence of UNIVANICH (Time Bound Plan) is inconsistency with the ACOP reporting of Y2021 as The ACOP reporting the year of company achieve (or plans to achieve) 100% RSPO certification for all mills and FFB, regardless of source in Y2023. (Ongoing to determine sensible approach to the certification but not update time bound plan)</p> <p>Note: the time bound plan includes all current subsidiaries, estates and mills that is under the control and/or minor shareholding of the holding company as well as yes, the new acquisitions (PHELA) shall be certified within three (3) years from the date of acquisition (April 2023)</p> <p>2. The company was not conducted internal audit for those uncertified mills against the uncertified management units requirement and covering the relevant standard requirements</p>	Major Non-compliance
<p>Have there been any isolated lapses in implementation of the plan? If yes a Minor non-compliance shall be raised</p>	<p>At the time of the audit, two mills (CVP and Pabon) are still not certified. However, UNIVANICH submitted the deviation for certification request to RSPO Secretariat for an approval although it was not received at the time of the audit. According to this, it is not considered as the isolated lapses in implementation of the plan</p>	Complied
<p>Have there been any fundamental failure (e.g. unable to justify delay in planning the assessments) to proceed with implementation of the plan? If yes a Major non-compliance shall be raised</p>	<p>Univanich has evaluated themselves that the pending 2 mills can't be certified within the original timeframe. Therefore, they have submitted the deviation request to RSPO secretariate for pursuing the certification in the next 3 years</p>	Complied
Un-Certified Units or Holdings		
<p>No replacement of primary forest or any area required to maintain or enhance HCVs and HCS in accordance with RSPO P&C criterion 7.12.</p>	<p>All planted area has been developed before 2000 and/or majority of planted area are more than 1 planting cycle</p>	Complied
<p>Any new plantings since January 1st 2010 shall comply with the RSPO New Plantings Procedure.</p>	<p>To date no new planting since January 1st 2010</p>	Complied
<p>Any Land conflicts are being resolved through a mutually agreed process, such as RSPO Complaints</p>	<p>No evidence of land conflict was detected during the assessment.</p>	Complied

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System or Dispute Settlement Facility, in accordance with RSPO P&C criteria 4.4, 4.5, 4.6, 4.7 and 4.8.		
Any Labor disputes are being resolved through a mutually agreed process, in accordance with RSPO P&C criterion 4.2	No evidence of Labor disputes was detected during the assessment since lasted year.	Complied
Any Legal non- compliance is being addressed through measures consistent with the requirements of RSPO P&C criteria 2.1	No evidence of Legal non- compliance is being addressed through measures consistent with the requirements of RSPO P&C criteria 2.1 was detected during the assessment since lasted year.	Complied
Did the company conduct internal audit for those uncertified estates against the uncertified management units requirement and covering the RSPO P&C criterion 2.1 4.2, 4.4, 4.5, 4.6, 4.7, 4.8 and 7.12? If yes, a positive assurance statement shall be available and justified.	The internal audits have been conducted for uncertified mills, Pabon and CVP mills on 25 and 26 July 2023, respectively. The internal audits conducted have been covered the RSPO P&C required criterion.	Complied
Are there any Critical (Major) non-compliance raised against any of the RSPO P&C criterion 2.1 4.2, 4.4, 4.5, 4.6, 4.7, 4.8 and 7.12 during the last internal audit of the uncertified estates? If yes is the NC(s) actively addressed with RSPO?	No critical non-compliance against those RSPO P&C criterion during the internal audit of the uncertified units.	Complied
Have there been any stakeholder (including NGO) consultation conducted?	Yes, see the details as 3.4; Stakeholders and previous landowner / user consultation	Complied

3.2 Progress of scheme smallholders and/or outgrowers

Progress of scheme smallholders or outgrowers towards compliance with relevant standards		
Requirement	Remarks	Compliance
Has 100% of scheme smallholders and/or scheme outgrowers comply with the standard within three years of the mill’s initial certification? OFI shall be raised if after one year where 100% of the scheme smallholders and scheme outgrowers are not in compliance, a minor NC after two years, and a major NC if this requirement is not met after three years.	UNIVANICH was not implementing the scheme smallholders and scheme outgrowers.	Not Applicable

Approved Time Bound Plan

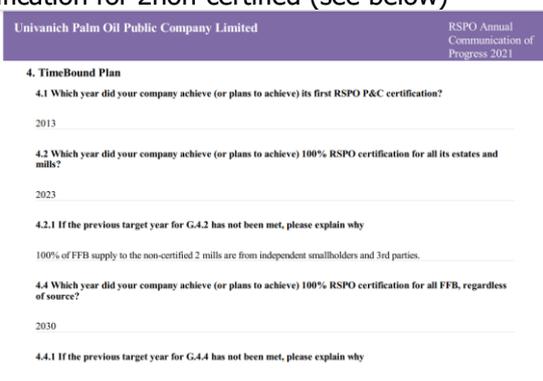
Name of company	Name of Mill	Location	Supply Base	TBP	Target Year for RSPO
Univanich Palm Oil Public Company Limited	Pabonn Oil Palm Mill	8, Pa Bon Sub-district, Pa Bon District, Phatthalung Province	In order to produce certified sustainable palm oil, they must establish and build a relationship with local smallholder farmers who are willing to engage with RSPO and supply Univanich with certified fresh fruit bunches. The building up of the required network and the development of a long-term relationship has not been possible due to the long lockdown covid period.	December 2026.	The deviation of Pabon and CVP mills has been approved by the RSPO Secretariat. The TBP has been extended from 2023 to December 2026.
	Chokwallapa Oil Palm Co., Ltd.	3, Kura Buri Sub-district, Kura Buri District, Phangnga Province			

3.3 Details of Nonconformities

The nonconformities are listed below. The findings summary of the assessment by criteria are listed in Appendix A.

During this Assessment there were Four (4) Critical; one (1) Minor nonconformities and 0 Opportunity for Improvement raised. The Univanich Palm Oil Public Company Limited Certification unit submitted Corrective Action Plans for the nonconformity. Corrective action plans with respect to the nonconformity was reviewed by the BSI audit team and accepted.

The implementation of the corrective action plans to address the minor nonconformity will be followed up during the next surveillance assessment. The implementation of the Corrective Actions for the Critical Nonconformity(ies) has been verified for its effectiveness and closed accordingly. The below is the summary of the non-conformity raised during this assessment.

Non-conformity			
NCR Ref #	2328567-202304-M1	Issued Date	4 May 2023
Due Date	3 Aug 2023	Closure Date	24 Jul 2023
Indicator & Category (Critical / Minor)	Multiple Management Units and Time Bound Plan / Critical		
Statement of Nonconformity:	Time bound plan inconsistency with ACOP reporting of Y2021 and actual data.		
Requirement Reference:	Multiple Management Units and Time Bound Plan		
Objective Evidence:	<p>1. From verified the document and minutes of management interview found the inconsistency of these document and minutes with the ACOP reporting of Y2021. In ACOP mentioned that Univanich will strive to achieve 100% RSPO certification for 2non-certified (see below)</p>  <p>Note: the time bound plan includes all current subsidiaries, estates and mills that is under the control and/or minor shareholding of the holding company as well as new non-certified mills that shall be certified within three (3) years from the date of acquisition</p>		
Corrections:	<p>1. For CVP and PB cases; The TBP deviation has been approved by the RSPO Secretariat as attached TBP deviation form and the reply email from RSPO. See attached email and approved TBP.</p>		

	2. Internal audit of uncertified units; the additional internal audits have been conducted for, CVP and PB mills on 19 July 2023 to comply with P&C criteria.
Root Cause Analysis:	<ol style="list-style-type: none"> 1. The uncertified units, CVP and Pabon mills is 100% FFB supplied from smallholders which required the network working with them. During past 3 years of the pandemic, people gathering could not be done, so the smallholder grouping for certification did not progress. 2. There was a misunderstanding of the internal audit for uncertified unit that did not comply the requirements.
Corrective Actions:	CVP and Pabon mills will try to certify within the approved TBP.
Assessment Conclusion:	<p>Verified the objective evidence by onsite follow up on 24 Jul 2023, found the evidence as follows:</p> <ol style="list-style-type: none"> 1. The UNIVANICH has approved the deviation of Pabon and CVP mills by the RSPO Secretariat on 10 Jul 2023 for extending the TBP from 2023 to December 2026. 2. Found he TBP deviation is consistent with the ACOP2022. 3. The internal audit plan for uncertified mills had been implement and conducted at Pabon Mill and CVP mills on 25 and 26 July 2023, respectively. The internal audits have covered the RSPO P&C required criterion, result was no critical non-compliance against those RSPO P&C criterion during the internal audit of the uncertified units. <p>Based on verification of correction, root cause analysis, and corrective actions, this non-conformity has been complied and closed.</p>

Non-conformity			
NCR Ref #	2328567-202304-M2	Issued Date	4 May 2023
Due Date	3 Aug 2023	Closure Date	24 Jul 2023
Indicator & Category (Critical / Minor)	3.6.1/ Critical		
Statement of Nonconformity:	Risk assessed to identify H&S issues and mitigation plans was not cover all operation activities		
Requirement Reference:	3.6.1 (C) All operations are risk assessed to identify H&S issues. Mitigation plans and procedures are documented and implemented.		
Objective Evidence:	Health and safety risk assessment (Revision 2020) established by the company was not cover all operation activities where requires having specific measures to minimize the risks such as the land preparation and replanting on steep terrain (SP estate) and transport FFB when planted area was flooded (Chauat estate).		
Corrections:	<ol style="list-style-type: none"> 1. Cha-uat Estate: The risk assessment of plantation operations has been revised and implemented in May 2023 by Cha-uat Estate Manager to cover the new operations, especially the harvesting in flooding area. Protection plans and monitoring system have been set up for implementation. Estate headman has been assigned to check. 2. Siam Palm Estate: The addition risk assessment for replanting activities has been revised in May 2023. The monitoring of protection plan implement has been set up and implemented. 		

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Root Cause Analysis:	There are the specific operations that the risk assessments were not carried out such as replanting on steep area and harvesting in flood area during rainy season.
Corrective Actions:	Headman will monitor the implementation of all measures and report to Estate Manager to ensure that all risks will not happen again. Update the SOP risk assessment by appointment the type of perform and the frequency for review.
Assessment Conclusion:	<p>Verified the objective evidence by having onsite follow up on 24 Jul 2023, found the evidence as follows:</p> <ol style="list-style-type: none"> SOP of risk assessment (Rev. 24 Jul 2023) has been revised and updated by adding the frequency of reviewed and type for reviewed from once per 5 years to at least once a year, when they had a new operation, new method, new situation or accident case and concern situation. Appointment the responsible person was done by head of estate/supervisor and approved by estate manager. <u>Cha-uat Estate:</u> <ul style="list-style-type: none"> - Risk assessment Rev. 02, dated 2 May 2023 was revised and updated by adding the new operations (Harvesting by transportation by boat), especially the harvesting in flooding area although result of risk is determined as medium risk. However, the mitigation is required. - In case of the flooding, the height of flooded water higher than 50 CM in the plantation, harvesting shall not be allowed. - Provided the Boat and PPE for workers whenever harvesting is required at the height of flooded water less than 30 CM e.g. PPE Boat, Life Jacket. - Training plan and monitor for all workers will be perform in August 2023. - Protection plans and monitoring system have been set up for implementation and Estate headman has been assigned to check the implement, lasted on 2 May 2023. <u>Siam Palm Estate:</u> reviewed and update the risk assessment for replanting activities lasted on 2 May 2023, such as the worked. Although the result from the risk assessment was determined as medium risk, the mitigation plan had to set up and implement by Estate manager. <p>The monitoring of protection plan implement has been set up and implemented with provided concerned workers on 24 Jun 2023 and will be refresh training for all worker on August 2023 (Follow training plan of Y2023).</p> <p>Based on verification of correction, root cause analysis, and corrective actions, this non-conformity has been addressed and now it is closed.</p>

Non-conformity			
NCR Ref #	2328567-202304-M4	Issued Date	4 May 2023
Due Date	3 Aug 2023	Closure Date	24 Jul 2023
Indicator & Category (Critical / Minor)	6.7.1/ Critical		
Statement of Nonconformity:	Inadequate health and safety training provided to workers in relation to processes which may cause serious injury or death		

Requirement Reference:	6.7.1 (C) The responsible person(s) for H&S is identified. There are records of regular meetings between the responsible person(s) and workers. Concerns of all parties about health, safety and welfare are discussed at these meetings, and any issues raised are recorded
Objective Evidence:	From verification onsite at Lamthap mill, it was found confine space task was conducted on 02 Nov 2022 (permit record no. 03/149 on 2 Nov 2022) by 5 workers who have been assigned to working confine space task. However, from verification on the training record and certificate, only one worker was trained in relation to processes which may cause serious injury or death in which the training was in compliance with law.
Corrections:	As the non-conformity case found at Lamthap Mill, the training course of 'Safety Working in the Confined Space Area' has been conducted at Lamthap Mill, 20-23 June 2023 for 4 levels of operators.
Root Cause Analysis:	<ol style="list-style-type: none"> 1. During 2 years of Covid pandemic, it was difficult to organize the on-site training, especially the confined space working training. Therefore, most of workers of Lamthap Factory haven't been trained and certified for operations in confined spaces. 2. Safety officer had not been checking confined space certificate of all workers before assigning to work in the confined space area
Corrective Actions:	<ol style="list-style-type: none"> 1. The confined space training plan has been set for every 5 years to review the necessary measures for operators. 2. Safety officer has been assigned for checking confined space certificate of all workers before assigning to work in the confined space area.
Assessment Conclusion:	<p>Verified the objective evidence by having onsite follow-up on 24 Jul 2023, found the evidence as follows:</p> <ol style="list-style-type: none"> 1. The POM provided a confine space training program for 20 concerned employees on 20-23 Jun 2023. The training was given by certifying trainer (Mr. Jamras Muanchaiyoom (Trained on 17 Mar 2549 by the government) 2. Verified the certificate of confined space job task of trained employees such as Mr. Pinchoke T., Mr. Ampol S., and Mr. Surasak M. 3. Verified the announcement dated 24 Jun 2023 for those concerned persons and their job duties about confined space found that 1 approver (Safety Officer/ Ms. Suda L.), 8 supervisor/controllers (such as Mr. Saroj P., Mr. Prateep K., Mr. Pinchok T.), 23 helpers, and operator (such as Mr. Wicahi T., Mr. Jaroon C., Mr. Ratchapol S.), have been trained and already certified. 4. Summited the announcement letter to the government on 19 Jul 2023 5. SOP of confine space has been updated as rev. 24 Jun 2023 to ensure practice complies with the law as well as an appointment a Safety officer to monitor and review every tasks. 6. From 04 May 2023 to 24 Jul 2023, there is no work at confine space. <p>Based on verification of correction, root cause analysis, and corrective actions, this non-conformity has been addressed with and closed.</p>

Non-conformity			
NCR Ref #	2328567-202304-M3	Issued Date	4 May 2023

Due Date	3 Aug 2023	Closure Date	24 Jul 2023
Indicator & Category (Critical / Minor)	7.1.1 / Critical		
Statement of Nonconformity:	The IPM technic does not cover all method and no monitoring and mitigation program to replant dead trees (Phuangchompoo and BannChao)		
Requirement Reference:	7.1.1 (C) IPM plans are implemented and monitored to ensure effective pest control.		
Objective Evidence:	UNIVANICH apply recognised IPM techniques, incorporating cultural, biological, mechanical and physical including methods to minimise the use of chemicals. Native species should be used in biological control where possible. From observation found all estate has applied the method by Planting Phuangchompoo (Mexican creeper) and Turneraulmifolia (BannChao) at the edge of estate boundary in order for these trees to act as a lure for insects. However, the IPM plan has no mechanism for monitoring in case of beneficial host plant is death. Therefore, there is no plan to replant dead trees to ensure that IPM management continues to operate.		
Corrections:	<ol style="list-style-type: none"> 1. Estate headman has assigned to survey the death plants and supply them in this rainy season. In addition, other methods such as pheromone traps will be used for insect control. 2. Headman of estates will implement the monitoring form to survey the growth of specialty plants every 3 months and re-supply if it died. 3. All monitoring results will be report to estate managers. 		
Root Cause Analysis:	IPM has been implemented all three methods. The long drought period this year has caused the death of the specialty plants that benefit for insects for pest control in oil palm.		
Corrective Actions:	The company nurseries will be assigned to propagate the specialty plants for supplying to all estates as well as those estate headmen will be assigned to take the responsibility to monitor and implement to ensure beneficial host plant is in effective		
Assessment Conclusion:	<p>Verified the objective evidence by having onsite follow-up on 24 Jul 2023, found the evidence as follows:</p> <ol style="list-style-type: none"> 1. The updated IPM procedure (Document no. 7.1.1, date 24 Jul 2023) has been reviewed together with updated the practice for applying recognized IPM techniques, incorporating cultural, biological, mechanical, and physical methods to minimize the use of chemicals. 2. All estates had to review and implement the monitoring plan for those beneficial host plant death and immediate to replant to ensure that IPM management continues to operate. 3. Implement the nursery for the growth of Phuangchompoo (Mexican creeper) and Turneraulmifolia (BannChao), the head of the estate had to monitor for replanting in case all plants died. 4. Sampling records such as Chauat estate has been reviewed and found that replanting of the beneficial host plant was conducted on 18 Jul 2023; 60 trees of Phuangchompoo (Mexican creeper) at F3 and 30 trees of Turneraulmifolia (BannChao) at F3 and Office 30 trees of both. 		

	<p>5. Nanua estate; establishment of the nursery on 17 Jun 2023 and replating Phuangchompoo (Mexican creeper) at the gate of estate, Office, dam, reservoir, which was monitored by Mr. Jareerat/Supervisor of estate.</p> <p>6. Training plan for all concerned workers will be conduct in September 2023 for all estates (Training plan of Y2023).</p> <p>Based on verification of correction, root cause analysis, and corrective actions, this non-conformity has been addressed and it is now closed.</p>
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Non-conformity			
NCR Ref #	2328567-202304-N1	Issued Date	4 May 2023
Due Date	ASA 1-2	Closure Date	TBC
Indicator & Category (Critical / Minor)	2.2.3/ Minor		
Statement of Nonconformity:	The contracts with those for FFB supply (collected FFB/ramp) not contain clauses disallowing child, forced and trafficked labour.		
Requirement Reference:	2.2.3 All contracts, including those for FFB supply, contain clauses disallowing child, forced and trafficked labour. Where young workers are employed, the contracts include a clause for their protection.		
Objective Evidence:	<p>The contracts between UNIVANICH (OFP) with all brokers who collected FFB and supplied to the mills as well as outsource (Transportation) were not covered clauses regarding to disallowing child, forced and trafficked labour. For example, the contract with below outsources:</p> <ul style="list-style-type: none"> • Transporter of CSPO Kesinee 17 May 2023 and Tospornrungrueang 18 May 2023, • Transporter of CSPK as Contract agreement no. COM 01/2023 and contract no. COM/2023 and • Broker code no. 006334 and 005780 		
Corrections:	<p>1. Reviewed found 7 contracts signed with FFB collecting ramps and 10 of CSPO/CSPK transport contractors will be revised to comply with the Company's Human Rights Policy concerning no child/forced/human trafficked labors for next contract agreement (Next Year).</p> <p>2. All contractors will be described the Company's policy and sign for acknowledgment.</p>		
Root Cause Analysis:	The contracts between the company and FFB collector /outsource transport normally are yearly revised. There was a misunderstanding of the Sale/FFB Purchasing Dept. that the company policies did not involve to contractors, especially for FFB/PO transportation which the driver age is limited by driving license.		
Corrective Actions:	<p>1. The contracts will be yearly revised. The contractor will be revised the company policies concerning no child/forced/human trafficked labors.</p> <p>2. The company policy compliance will be observed in the contractor visit by the Sale/FFB Purchasing Dept.</p>		
Assessment Conclusion:	Verified the objective evidence by onsite follow-up on 24 Jul 2023, found the evidence as follows:		

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	<ol style="list-style-type: none"> 1. OFP department reviewed and update the contract agreement with all 7 FFB collecting ramps and enclosed the code of conduct, Business Ethics Policy, and Commination policy. Human rights Policy and etc, for example, contract no. 01707543000066 on the date 3 May 2023 found that the supplier gave an acknowledgment. 2. 10 of the CSPO/CSPK transport contractors had been reviewed and sent the UNIVANICH Policy a Human Rights Policy concerning no child/forced/human trafficked labour, Business ethics and etc. All contractors gave their acknowledge to accept the additional terms such as Transporter of CSPO Kesinee gave an acknowledgment on 15 May 2023 and Tospornrungrueang’s acknowledgment on 18 May 2023. 3. Univanich developed the assessment form by adding the item of labor part, safety and environment part and etc. to monitor all contractors. <p>Based on verification of correction, root cause analysis, and corrective actions, this non-conformity has been satisfactory. However, the next surveillance will be verifying the Effectiveness of Closure.</p>
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Opportunity for Improvements	
OFI #	Description
OFI 1	None

Positive Findings	
PF #	Description
PF 1	None.

3.3.1 Status of Nonconformities Previously Identified and Observations

Non-conformity			
NCR Ref #	m11	Issued Date	24 Nov 2021
Due Date	23 Nov 2022	Closure Date	5 May 2023
Indicator & Category (Critical / Minor)	7.3.3/ Minor		
Statement of Nonconformity:	7.3.3 The unit of certification does not use open fire for waste disposal		
Requirement Reference:	Found some estate had to use open fire for waste disposal		
Objective Evidence:	From site assessment found some estate had to use open fire for waste disposal.		

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Corrections:	Estate Manager has informed the open fire measures to all workers. Open fire is prohibited in the estate area.
Root Cause Analysis:	In practical, in-field and boundaries fire prevention have been frequently conducted by patrolling of headman. However, there were not documented instruction and procedure for similarly references and practices.
Corrective Actions:	Estate Manager has issued the fire preventive plan of Siam Palm/Nanua/Wanee which accordance to the Plantations Standing Instruction No. PTD 010/20 'Fire Prevention in Oil Palm Plantation.
Assessment Conclusion:	Reviewed evidence of corrective action and preventive action which were implemented by the company as the rules of open fire and training to all workers at SIAM Estate about the open fire on 19-20 Nov 2021, meeting, it is adequate for closure this non-compliance.
Effectiveness Closure (for previous audit closed Critical NC):	In this ASA 1_1 audit, from onsite assessment audit team was verified by field assessment of Siam Palm/Nanua/Wanee and others estate was not found all estate and division use open fire for waste disposal. NC Minor remains closed.

NCR Ref #	m12	Issued Date	24 Nov 2021
Due Date	23 Nov 2022	Closure Date	5 May 2023
Indicator & Category (Critical / Minor)	7.8.1/ Minor		
Statement of Nonconformity:	7.8.1 A water management plan is in place and implemented to promote more efficient use and continued availability of water sources and to avoid negative impacts on other users in the catchment. The plan addresses the following: a) The unit of certification does not restrict access to clean water or contribute to pollution of water used by communities. c) Workers have adequate access to clean water		
Requirement Reference:	Found some estate had to use open fire for waste disposal		
Objective Evidence:	From observed, interviewed and Document review; found at TOPI estate had provided drinking water to all worker and community but the quality testing report of drinking water not up to date lasted conducted at the end of Y2018 (Y2019 to Y2021 no evidence) to ensure that cleaned and all parameter comply with legal require.		
Corrections:	TOPI Estate has issue the order form for testing the drinking water follow the quotation of ALS Laboratory Group (Thailand). See folder m12 Drinking water/ m12_1 PR water analysis and m12_2 water analysis quotation. The analysis is now in progress.		
Root Cause Analysis:	TOPI Estate has provided the drinking water filters for all staffs and workers staying in the Estate's housing compounds. However, there was not the water quality testing plan to ensure that the water quality comply with legal requirement.		
Corrective Actions:	Estate Manager has set up the maintenance plan of the drinking water production. Water quality analysis will be conducted annually. The responsible headman has been assigned to record and report to estate manager. See attachment, m12_3 drinking water record		

Assessment Conclusion:	Reviewed evidence such as testing result and the maintenance plan of drinking water, it is adequate for closure this non-compliance.
Effectiveness Closure (for previous audit closed Critical NC):	In this ASA 1_1 audit, TOPI Estate Manager has set up the maintenance plan of the drinking water system and water quality analysis was conducted an annually, lasted conducted on 02 Feb 2023, report as no. ALS 20322838-1. The results indicated that all parameters were within the permitted range for Drinking Water Quality. NC minor remains closed.

Opportunity for Improvement	
OFI#	Description
OFI 1	<p>OFI Statement: None.</p> <p>N/A</p> <p>Verification / Follow-up actions: None</p> <p>N/A</p>

3.3.2 Summary of the Nonconformities and Status

CAR Ref.	Category (Critical / Minor)	P&C Indicator	Issued Date	Status & Date (Closure)
m11 (BV)	Minor	7.3.3	24 Nov 2021	5 May 2023 (Closed)
m12 (BV)	Minor	7.8.1	24 Nov 2021	5 May 2023 (Closed)
2328567-202304-M1	Major	Multiple Management Units and Time Bound Plan	4 May 2023	24 Jul 2023 (Closed)
2328567-202304-M2	Major	3.6.1 (C)	4 May 2023	24 Jul 2023 (Closed)
2328567-202304-M3	Major	7.1.1 (C)	4 May 2023	24 Jul 2023 (Closed)
2328567-202304-M4	Major	6.7.1 (C)	4 May 2023	24 Jul 2023 (Closed)
2328567-202304-N1	Minor	2.2.3	4 May 2023	Open

3.4 Stakeholders and previous land owner / user consultation

Stakeholder consultation involved internal and external stakeholders. External stakeholders were contacted by telephone to arrange meetings at a location convenient to them to discuss Univanich Palm Oil Public Company Limited *Certification* Unit’s environmental and social performance, legal and any known dispute issues.

Meetings were conducted with stakeholders to seek their views on the performance of the company with respect to the RSPO requirements and aspects where they considered that improvements could be made. At the start of each meeting, the interviewer explained the purpose of the audit followed by an evaluation of the relationship between the stakeholder and the company before discussions proceeded. The interviewer recorded comments made by stakeholders and later was verified with the management team. Any comment which is not complying to the RSPO P&C requirements have been incorporated as an assessment finding.

Structured worker interviews with male and female workers and staff were held in private at the workplace in the mill and the estates. Fieldworkers were interviewed informally in small groups in the field. In addition, the wives of

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workers and staff were interviewed in informal group meetings at their housing. Separate visits were made to each of the local communities to meet with the village head and residents. Company officials were not present at any of the internal or external stakeholder interviews. A list of Stakeholders contacted is included as below.

Stakeholders contacted		
Type of Stakeholder (e.g. Internal, Union, Contractor, Governmental Department, NGO, External, Communities)	Stakeholder name / organization	Means of communication (e.g. face to face interview, email, phone interview, comment from public notice)
Chauat Division		
Communities	The village headman, Moo. 6, Thapraja Sub-district, Chaut District Nakhon Si Thammarat Province	face to face interview
Communities	FFB Transportation Contractor	face to face interview
Communities	People nearby estate of Village Moo.6, Tha Pracha Subdistrict	Phone interview
TOPI ESTATE		
Communities	People nearby estate of Village Moo.9, Praipraya subdistrict, Krabi province	Phone interview
Communities	Representative of Praipraya subdistrict, Krabi province	Phone interview
TOPI MILL		
Communities	People nearby estate address 14/1 Praipraya subdistrict, Praipraya district, Krabi province	Phone interview
Communities	Casual workers located at 450 Aolueak-Praseang Rd., Praipray Subdistrict, Praipraya District Krabi province	Phone interview
Siam Palm Estate and NANUA Estate		
Communities	Khao Yai sub-district chief, Moo 4, Khao Yai sub-district, Ao Luek district, Krabi province	Phone interview
Communities	People nearby estate address Moo.4 PKhaoyai subdistrict, Ao- Luek district, Krabi province	Phone interview
Communities	The village headman, Moo. 3, Ao-Luek Nua Sub-district, Ao Luek, District, Krabi Province	face to face interview
Communities	Civil Engineer, Ban Klang Subdistrict Administrative Organization, Moo 2, Ban Klang Subdistrict, Ao Luek District, Krabi Province	face to face interview
SIAM MILL		

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Communities	Mayor of Ao Luek Tai Subdistrict 220/1 Moo 7, Ao Luek Tai Subdistrict, Ao Luek District, Krabi Province	Phone interview
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Stakeholders comment

1	<p>Feedbacks: The village headman, Moo. 6, Thapraja Sub-district, Chaut District Nakhon Si Thammarat Province- Chauat Division</p> <ul style="list-style-type: none"> No conflict of land right. The boundaries of the plantation are clear. And also, no complaint raised by people who live surrounding the plantation. The company representative regularly participates the meeting with the community. That is a good chance to receive comments from the local people. Activities performed by the company is responsible for environment and social, there was no negative impact. <p>Audit Team verification and response: There is no issue to be verified by the audit team. Therefore, the comments are taken as positive input against the company’s approach.</p>
2	<p>Feedbacks: FFB Transportation Contractor - Chauat Division</p> <ul style="list-style-type: none"> The company conducts fair business. The company always paid in a timely manner according to the price posted on the mill gate. Training program always provided. No complaints. <p>Audit Team verification and response: There is no issue to be verified by the audit team. Therefore, the comments are taken as positive input against the company’s approach.</p>
3	<p>Feedbacks: People nearby estate of Village Moo.6, Tha Pracha Subdistrict- Chauat Division</p> <ul style="list-style-type: none"> No waste or wastewater caused by estate’s operation e.g. the use of the decanter cake and EFB to improve soil fertility Communication was good. No Land conflicts. Promote careers for local people <p>Audit Team verification and response: There is no issue to be verified by the audit team. Therefore, the comments are taken as positive input against the company’s approach.</p>
4	<p>Feedbacks: People nearby estate of Village Moo.9, Praipraya subdistrict, Krabi province - TOPI ESTATE</p> <ul style="list-style-type: none"> Promote careers for local people. No Land conflict, villagers are able to use the same road within the estate for traveling. although this road is considered as the private road for the company. Promote careers for local people. <p>Audit Team verification and response: There is no issue to be verified by the audit team. Therefore, the comments are taken as positive input against the company’s approach.</p>
5	<p>Feedbacks: Representative of Praipraya subdistrict, Krabi province- TOPI ESTATE</p> <ul style="list-style-type: none"> No conflict of land right. The boundaries of the plantation are clear. And also, no complaint raised by people who live surrounding the plantation.

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	<ul style="list-style-type: none"> The company representative regularly participates the meeting with the community. That is a good chance to receive comments from the local people. Activities performed by the company is responsible for environment and social, there was no negative impact
	<p>Audit Team verification and response: There is no issue to be verified by the audit team. Therefore, the comments are taken as positive input against the company’s approach.</p>
<p>5</p>	<p>Feedbacks: People nearby estate address 14/1 Praipraya subdistrict, Praipraya district, Krabi province- TOPI MILL</p> <ul style="list-style-type: none"> Promote careers for local people. No waste or emission caused by the mill’s operation that is affect with local community. No Land conflict, people can use the private road of the company for traveling in-out from their resident. CRS were good when community need to help. Promote careers for local people.
	<p>Audit Team verification and response: There is no issue to be verified by the audit team. Therefore, the comments are taken as positive input against the company’s approach.</p>
<p>6</p>	<p>Feedbacks: Casual workers located at 450 Aolueak-Praseang Rd., Praipray Subdistrict, Praipraya District Krabi province - TOPI MILL</p> <ul style="list-style-type: none"> Fair business, wage or income was fair. The working place is nearby their home. It is good opportunity for working within their community. Welfare that the company provides better than other companies in the same province. Housing and facilities that the company provides are adequate and appropriate.
	<p>Audit Team verification and response: There is no issue to be verified by the audit team. Therefore, the comments are taken as positive input against the company’s approach.</p>
<p>7</p>	<p>Feedbacks: Khao Yai sub-district chief, Moo 4, Khao Yai sub-district, Ao Luek district, Krabi province - NANUA Estate</p> <ul style="list-style-type: none"> No conflict of land right. The boundaries of the plantation are clear. And also, no complaint raised by people who live surrounding the plantation. The company representative regularly participates the meeting with the community. That is a good chance to receive comments from the local people. Activities performed by the company is responsible for environment and social, there was no negative impact.
	<p>Audit Team verification and response: There is no issue to be verified by the audit team. Therefore, the comments are taken as positive input against the company’s approach.</p>
<p>8</p>	<p>Feedbacks: People nearby estate address Moo.4 PKhaoyai subdistrict, Ao- Luek district, Krabi province- NANUA Estate</p> <ul style="list-style-type: none"> No conflict of land right. The boundaries of the plantation are clear. And also, no complaint raised by people who live surrounding the plantation. The company representative regularly participates the meeting with the community. That is a good chance to receive comments from the local people. Activities performed by the company is responsible for environment and social, there was no negative impact.
	<p>Audit Team verification and response: There is no issue to be verified by the audit team. Therefore, the comments are taken as positive input against the company’s approach.</p>

<p>9</p>	<p>Feedbacks: The village headman, Moo. 3, Ao- Luek Nua Sub-district, Ao Luek, District , Krabi Province- SIAM PALM Estate</p> <p>No conflict of land right. The boundaries of the plantation are clear. And also, no complaint raised by people who live surrounding the plantation. Activities performed by the company is responsible for environment and social, there was no negative impact</p> <p>Audit Team verification and response: There is no issue to be verified by the audit team. Therefore, the comments are taken as positive input against the company’s approach.</p>
<p>10</p>	<p>Feedbacks: Civil Engineer, Ban Klang Subdistrict Administrative Organization, Moo 2, Ban Klang Subdistrict, Ao Luek District, Krabi Province - SIAM PALM Estate</p> <ul style="list-style-type: none"> • Activities performed by the company is responsible for environment and social, there was no negative impact. • No conflict of land right. The boundaries of the plantation are clear. And also, no complaint raised by people who live surrounding the plantation. • The company representative regularly participates the meeting with the community. That is a good chance to receive comments from the local people. • Since lasted no complaints from community. <p>Audit Team verification and response: There is no issue to be verified by the audit team. Therefore, the comments are taken as positive input against the company’s approach.</p>
<p>11</p>	<p>Feedbacks: Mayor of Ao Luek Tai Subdistrict 220/1 Moo 7, Ao Luek Tai Subdistrict, Ao Luek District, Krabi Province- SIAM MILL</p> <ul style="list-style-type: none"> • No complaint raised by people who live surrounding the plantations. The company regularly assists social and local communities with CSR projects. The company increases the employment within the province. • The plantations of the company are not connected to the protective or conservation area, therefore, the operation is not impacted to the protective or conservation area. • No complaint raised by workers who are hired by the company. <p>Audit Team verification and response: There is no issue to be verified by the audit team. Therefore, the comments are taken as positive input against the company’s approach.</p>

List of landowner / user contacted					
Name	Years of ownership / used	Land area (ha)	Agreement (Yes / No)	Agreement base on FPIC (Yes/No)	Compliance on the agreement terms and conditions
Mr. Anan Klabsri (093-7934789)	5 Nov 2008	5	Yes	Yes	In compliance. See stakeholders’ comment and reported under indicator 4.4.1 and 4.4.2 No land conflict
Mr. Taweeporn Choorin (084-8515566)	3 Dec 2008	2.2	Yes	Yes	In compliance. See stakeholders’ comment and reported under indicator 4.4.1 and 4.4.2 No land conflict

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Mr. Perm Klabsri (082-4262500)	3 Dec 2008	2.7	Yes	Yes	In compliance. See stakeholders' comment and reported under indicator 4.4.1 and 4.4.2 No land conflict
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Previous land owner / user comment	
1	<p>Feedbacks: Mr. Anan Klabsri (Cha-uat Estate), He was confirmed that the previous landowner has satisfy with Univanich on the compliance of terms and agreement during the transfer process.</p> <p>Audit Team verification and response: Audit team checked the legal ownership of the land and found in compliance. Reported under indicator 4.4.1 and 4.4.2</p>
2	<p>Feedbacks: Mr. Taweeporn Choorin; He was confirmed that the previous landowner has satisfy with Univanich on the compliance of terms and agreement during the transfer process.</p> <p>Audit Team verification and response: Audit team checked the legal ownership of the land and found in compliance. Reported under indicator 4.4.1 and 4.4.2</p>
3	<p>Feedbacks: Mr. Perm Klabsri; He was confirmed that the previous land owner has satisfy with Univanich on the compliance of terms and agreement during the transfer process.</p> <p>Audit Team verification and response: Audit team checked the legal ownership of the land and found in compliance. Reported under indicator 4.4.1 and 4.4.2</p>

3.5 Impartiality and conflict of interest

During this assessment there was no circumstances or pressure that had influenced the independence or confidentiality of the assessment team.

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Formal Signing-off of Assessment Conclusion and Recommendation

The audit objectives have been achieved and the certificate scope is appropriate. Based on the results of this audit, it is concluded that Univanich Palm Oil Public Company Limited has complied with Thailand National Interpretation 2020 of the RSPO P&C 2018 and audit criteria identified within the audit report. It is deemed that the management system has achieved its intended outcomes. Therefore, it is recommended that Univanich Palm Oil Public Company Limited is remain certified.

Report prepared by	Acceptance of Assessment Conclusion
Name: Chongrak Takard	Name: Mr. Harry Brock
Company Name: On behalf of BSI Services Malaysia Sdn Bhd	Company Name: Univanich Palm Oil Public Company Limited
Title: Lead Auditor	Title: CEO
Signature: 	Signature: 
Date: 28 Jul 2023	Date: 28 Jul 2023

Appendix A: Summary of Findings

Criterion / Indicator	Assessment Findings	Compliance	
<p>Principle 1: Behave ethically and transparently Drive ethical business behaviour, build trust and transparency with stakeholders to ensure strong and healthy relationships</p>			
<p>Criteria 1.1: The unit of certification provides adequate information to relevant stakeholders on environmental, social and legal issues relevant to RSPO Criteria, in appropriate languages and forms to allow for effective participation in decision making.</p>			
<p>1.1.1</p>	<p>(C) Management documents are publicly available, except where this is prevented by commercial confidentiality or where disclosure of information would result in negative environmental or social outcomes. - Critical (Major) compliance -</p>	<p>The Univanich Palm Oil Public Company Limited provide adequate information on environmental, social and legal issues and make it available for relevant stakeholders to allow for effective participation in decision making (if any). Anyone who wishes to access these documents would need to follow the procedure Communication and Community Relation update effective date on 10 Nov 2021 and the content of procedure stated on the Topic of 6.2 of the external communication.</p> <p>This procedure lists down the publicly available documents in which the public can access to. The publicly available documents are available at the office notice board at Mill and every estate, and inside the office which can be assessed by stakeholders. These documents include the following:</p> <ul style="list-style-type: none"> - Company policies, including Sustainable Policy which contains policy on protection of human rights defenders. - Land titles - High Conservation Value and High Biodiversity Value document summaries - Details of complaints and grievances - Negotiation procedures - Business unit organizational structure 	<p>Complied</p>

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		<ul style="list-style-type: none"> - Public summary of certification assessment report - Meeting of minutes with stakeholders, safety and welfare committee meetings - Continuous improvement plans <p>The information and documents listed above mentioned are made available. During the stakeholder meeting held at TOPI and SIAM POM that carried out on 17 Sep 2022, 19 Sep 2022 as well as the stakeholder meeting at Lamthap Mill including Cha-aut Division on 16 Dec 2022, the result were not found negative feedback flagged by the stakeholder.</p> <p>Besides, all the company's policies and grievance procedure are available in the company's website, https://univanich.com/whistleblowing/. No evidence of complaints raised through this channel.</p>	
1.1.2	<p>Information is provided in appropriate languages and accessible to relevant stakeholders.</p> <p>- Minor compliance -</p>	<p>All the company policies are made available in bi-language, Thai and English. Information requested by stakeholders are prepared in Thai.</p> <p>RSPO Policy on the Protection of Human Rights Defenders, Whistle-blowers, Complainants and Community Spokespersons 2022 has been provided in 2 languages Thai and English.</p> <p>The audit team sighted records of information dissemination in UNIVANICH carried out in SIAM POM, TOPI POM, and Lamthap POM, and including all estates, management provides communication of grievance procedure to all stakeholders via information board posted at POM and estate Office.</p>	Complied
1.1.3	<p>(C) Records of requests for information and responses are maintained.</p> <p>- Critical (Major) compliance -</p>	<p>The records of requests for information and responses were maintained, mill documentation was retained at the mill and all of estate's records was retain at each estate. There was a clear time frame for response to the request for information and verified during the surveillance audit.</p> <p>The UNIVANICH assigned management representative of each mill for update and maintain regulations according to notification no.</p>	Complied

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		017543000066, 25 Sep 20220. The name of the responsible person from the management representative are such as; Mr. Chakkaphan C. (Siam Mill), Mr. Adithep H. (TOPI mill), Mr. Phipit K. (Lamthap mill), Mr. Ekkachai S. (plantations)	
1.1.4	<p>(C) Consultation and communication procedures are documented, disclosed, implemented, made available, and explained to all relevant stakeholders by a nominated management official</p> <p>- Critical (Major) compliance -</p>	<p>The company have a procedure to ensure the constructive feedback and response to all relevant stakeholders as per negotiation procedures P-AM-01 Rev. 0 (1 Oct 2021) reviewed on 20 April 2021 to ensure all report was kept as confidential information.</p> <p>The company communicated information available to stakeholders regularly. Procedure for Communication and Consultation Mechanism has been communicated. The certified company has notification for responsible for communication and contact person according to notice no. 017543000066, 25 Sep 2021 such as; Mr. Anirut C. (Siam Mill), Mr. Adithep H. (TOPI mill), Mr. Somkiat Khaimoosik (Lamthap mill), Mr. Ekkachai S. (Estate).</p> <p>Interviews with stakeholder during the audit found that they are aware of the SOP established by the company for the consultations and request for information.</p>	Complied
1.1.5	<p>There is a current list of contacts and details of stakeholders and their nominated representatives</p> <p>- Minor compliance -</p>	<p>The Univanich Palm Oil Public Company Limited has a list of contact and details of the stakeholder lists. The detail of the stakeholder list composes of the name of stakeholder, position, address and telephone number. It was updated in January 2023.</p> <p>The list of stakeholder was established and maintained by each mill and estate separately as details below:</p> <ul style="list-style-type: none"> - 49 stakeholders under AK and CV estate, - 50 stakeholders under Cha-uat estate - 41 stakeholders under TOPI estate, that including the government - 24 stakeholders under Lamthab and Klongtom estate 	Complied

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		<ul style="list-style-type: none"> - 20 stakeholders under Siam Plam , Nanua and Wannee estate. - 29 stakeholders under SIAM POM. - 25 stakeholders under TOPI POM. - 9 stakeholders under LAMTHAP POM. All of stakeholders list including the Government organization, non-government organization, Representative of workers, Supplier, Services supplier and etc. <p>Based on the document, audit team contacted several stakeholders for consultation process: The representative of local communities (head of village, Head of Municipal, Casual workers and workers representative, Workers Committee). All of the contacted stakeholders are available for communication which demonstrate that the list of stakeholder are made up-to-date.</p>	
Criteria 1.2: The unit of certification commits to ethical conduct in all business operations and transactions.			
1.2.1	<p>A policy for ethical conduct is in place and implemented in all business operations and transactions, including recruitment and contracts.</p> <p>- Minor compliance -</p>	<p>The Univanich Palm Oil Public Company Limited had the public announcement of the Code of Conduct and Human Rights policies and worker relationship including prohibiting retaliation against Human Rights Defenders (HRD) on 16 Jan 2020 by Management (Mr. Harry Brook) and announced representative as the RSPO Team update on the date 25-Sep-2020 (0107543000066) as follows;</p> <ul style="list-style-type: none"> • Mr. Adithep H. and Mr. Pipith K. of TOPI MILL • Mr. Anirut J. and Mr. Pipit K. of SIAM MILL • Mr. Somkait K. and Mr. Pipit K. of LAMTHAP MILL • Mr. Piyaphong A. and Mr. Santi S. of TOPI Estate • Mr. Kerdboon K. and Mr. Santi S. of SIAM Estate • Mr. Kunakorn M. and Mr. Santi S of LAMTHAP Estate 	Complied

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		<ul style="list-style-type: none"> • Mr. Patchara P. Mr. Santi S. of Chaiburi estate Mr. Amorn C. and Mr. Santi S. of Cha-out estate • Mr. Pattanaphong J. and Mr. Jakkaphant C. of Kuraburi Mill Pang Nga province • Mr. Wachiraphant and Mr. Jakkaphant C. of Pabon MILL Pattalung province <p>This policy has been communicated through the UNIVANICH websites and information boards within their operating sites (All mill and all estate). The policy emphasized on no illegal gratification and corrupt practices (solicitation and/or acceptance of corrupt payments, making corrupt payments, commission, using company resources), and receiving/giving gifts as well as recruitment and contract arrangement.</p>	
1.2.2	<p>A system is in place to monitor compliance and the implementation of the policy and overall ethical business practice.</p> <p>- Minor compliance -</p>	<p>The mechanism to monitor the compliance and implementation of the policy and overall ethical business practice by complaint and grievance process and feedback from stakeholders during the annual meetings and secret complaints directly to each Mill manager, Estate manager, or managing director about the corrupt and bribe that are not conformed to the ethical business policy.</p> <p>From last year to date, there is no evidence of complaints from stakeholders given to Univanich. It is consistency with the result from interview with some stakeholders (village headmen, sub-contractor, old landowner, and government officer) onsite which was no found complaint or any negative feedback from them.</p>	Complied
<p>Principle 2: Operate legally and respect rights</p> <p>Implement legal requirements as the basic principles of operation in any jurisdiction.</p>			
<p>Criteria 2.1: There is compliance with all applicable local, national, and ratified international laws and regulations.</p>			
2.1.1	<p>(C) The unit of certification complies with applicable legal requirements</p> <p>- Critical (Major) compliance -</p>	<p>The completed list of legal requirements is available in place PV2 (Rev.01, 1/11/21). They have monthly updates and new updates</p>	Complied

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		<p>available. Their evidence of compliance to the applicable legal requirements is the regal compliance assessment report as of; 01/Jun/2022. Tracking system to identify changes in the relevant regulations were available through the head office, website information, and communicated from the Group Head Office. Sighted the latest review to include new updates for Minimum Wages Order 2022.</p>	
<p>2.1.2</p>	<p>A documented system for ensuring legal compliance is in place. This system has a means to track changes to the law and also includes listing and evidence of legal due diligence of all contracted third parties, recruitment agencies, service providers and labour contractors</p> <p>- Minor compliance -</p>	<p>The completed list of legal requirements is available in place PV2 (Rev.01, 1/11/21). They have monthly updates and new updates available. Their evidence of compliance to the applicable legal requirements is the regal compliance assessment report (PM7) as of; Rev.01, 1/11/21. Tracking system to identify changes in the relevant regulations were available through the head office, website information, and communicated from the Group Head Office.</p> <p>Sighted the latest review to include new updates for Minimum Wages Order 2022. The certified unit has assigned the Mill manager and estate manager to respond and monitoring of legal compliance according to notification no. 017543000066, 25/9/20 such as; Mr. Anirut C. (Siam Mill), Mr. Adithep H. (TOPI mill), Mr. Somkiat Khaimoosik (Lamthap mill), Mr. Ekkachai S. (plantations/Cha-uat estate).</p> <p>The comprehensive list of international, national, sub-national, and provincial laws details the law’s requirements that made specific for the mill and estate operations was made updated on October 2022 as the latest.</p> <p>The certified unit has assigned the lawyer to monitor any changes of the regal and update in the list when it has been changed and impact to the mill and estats including communication to all interested parties or relevant sections. Therefore, they have a legal compliance assessment of all sectors annually by the lawyer. All relevant sections within the law are identified and linked to activities performing by mill and estate. The certified unit has an annual legal compliance</p>	<p>Complied</p>

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		<p>assessment by the legal assessor and the last assessment was done on 19/2/22 (HR legal), 15/10/22 (QHSE legal) and 15/10/21(plantation legal).</p> <p>Methodology (e.g.: personnel in charge (PIC), source of info, frequency of update) for tracking changes are verified and communication was made when changes to relevant sections of the legislation may impact to mill and estate’s operation. And also monitoring changed the legal in Siam safety website.</p> <p>All the above processes have been indicated in the documented procedure no PV2 (Rev.01, 1/11/21).</p>	
2.1.3	<p>Legal or authorised boundaries are clearly demarcated and visibly maintained, and there is no planting beyond these legal or authorised boundaries.</p> <p>- Minor compliance -</p>	<p>All the mill and estates have their own map and could show the legal boundary I during on-site audit through boundary markers that are made visibly maintained.</p> <p>Physical boundary markers are clearly presence at mills and estates. (Stone mark with an official number).</p> <p>The designated staff will conduct an audit every year or when the opportunity arises. If the mark is not found or market was damaged, it will be notified to the government agency responsible for the repair.</p> <p>From the on-site audit of the planting area of the certified unit, no palm oil trees were planted to exceed the permitted area. The auditor has double checked from the document of the land deed with the actual area as well as comparing with the quantity of oil palm yields obtained from that planting area. Besides, they have a policy for no planting at any unauthorized land although they are adjacent to the estate.</p>	Complied
Criteria 2.2: All contractors providing operational services and supplying labour, and Fresh Fruit Bunch (FFB) suppliers, comply with legal requirements.			
2.2.1	<p>A list of contracted parties is maintained.</p> <p>- Minor compliance -</p>	<p>Approved supplier for consumption material and other excluded FFB is conducted by general purchase. In comparison, the FFB sourcing from external suppliers are conducted by FFB purchase. The approved supplier list, including FFB supplier (QS-PUR-100-01), updated on</p>	Complied

		<p>January 2021, was available and was in the place. The detail included Information on geo-location of FFB origins, proof of the ownership status or the right/claim to the land by the grower and valid planting/operating.</p> <p>Details of contracted parties are maintained in the computerized system, and the summary of all vendors among contractors and suppliers is on the stakeholder list. The sample of the stakeholder list that was tested during the audit found that it was last updated on 18/May/2021 in all Estate, including all the contractors in the list.</p>	
2.2.2	<p>All contracts, including those for FFB supply, contain specific clauses on meeting applicable legal requirements, and this can be demonstrated by the third party.</p> <p>- Minor compliance -</p>	<p>They signed contracts or agreements with external service providers such as; machine maintenance suppliers and security guards (Theppitak Guards Co., Ltd. for Siam and Lamthap mill, and C & CKB Guard force Corporation Co., Ltd.), Service supplier. However, the FFB supplier has signed the supplier application to comply with applicable legal requirements and provide evidence of its compliance.</p> <p>The certified unit has verified legal documents such as company registered document of security grad, driver license of transportation and vehicle tax and the worker has verified ID card or passport.</p>	Complied
2.2.3	<p>All contracts, including those for FFB supply, contain clauses disallowing child, forced and trafficked labour. Where young workers are employed, the contracts include a clause for their protection.</p> <p>- Minor compliance -</p>	<p>According to the human rights policy including child labor and forced labor, Univanich is not accepting of all suppliers and sub-contractor having child labor in their work. In addition, certified units check the age from the ID card of their workers before allows to work. During the onsite audit haven't found any child and young workers working in all mills and plantations.</p> <p>However, From verified the contract between UNIVANICH (OFP) with all brokers who collected FFB supplied to the mill as well as outsource (Transportation) didn't find the evidence and content stipulated in contract to respect on the clauses regarding to disallowing child, forced and trafficked labour. For example, contract with those outsource below;</p>	Non-compliance

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		<ul style="list-style-type: none"> • Transporter of CSPO Kesinee 17 May 2023 and Tospornrungrueang 18 May 2023, • Transporter of CSPK as Contract agreement no. COM 01/2023 and contract no. COM/2023 and • Broker code no. 006334 and 005780 (See the Minor No. 2328567-202304-N1) 	
Criteria 2.3: All FFB supplies from outside the unit of certification are from legal sources.			
2.3.1	<p>(C) For all directly sourced FFB, the mill requires:</p> <ul style="list-style-type: none"> • Information on geo-location of FFB origins; • Proof of the ownership status or the right/claim to the land by the grower/smallholder; <p>- Critical (Major) compliance -</p>	<p>The mill maintained a list of directly and indirectly sourced FFB suppliers. All direct source of FFB from independent smallholders was registered in the mill supplier system program with supplier address according to the ID card of all suppliers including the geological FFB origin of all. Therefore mill can be proof of the ownership status and right of FFB sold.</p> <p>UNIVANICH has a totally three (3) Palm Oil Mill which supplied by 6 Own Estates (CheanVanich & AK Estate, TOPI Estate, Siam Palm Estate, Nanua Division, Wannee Division, Lamthap Division, Klongtom Division And Cha-uat Division) under the sharing supply bases each other. The land deeds issued by the government for all management unites are available and kept onsite – see 4.4.1. There are no changes of directly source FFB from previous certificate under UNIVANICH scope.</p>	Complied
2.3.2	<p>For all indirectly sourced FFB, the unit of certification obtains from the collection centres, agents or other intermediaries, the evidence as listed in Indicator 2.3.1.</p> <p>- Minor compliance -</p>	<p>For all indirectly sourced FFB, UNIVANICH obtained from the collection centers, agents, or other intermediaries, the evidence is listed in Indicator 2.3.1. such as the information on geo-location of FFB origins and Proof of the ownership status or the right/claim to the land by the grower/smallholder, Where applicable, valid planting/operating/trading license, or is part of a cooperative which allows the buying and selling of FFB lasted updated on April 2023.</p>	Complied
Principle 3: Optimise productivity, efficiency, positive impact and resilience			

Implement plans, procedures and systems for continuous improvement.			
Criteria 3.1: There is an implemented management plan for the unit of certification that aims to achieve long-term economic and financial viability.			
3.1.1	<p>(C) A business or management plan (minimum three years) is documented.</p> <p>- Critical (Major) compliance -</p>	<p>The mill has available a business management plan in the form of an Annual Budget 2022 to estimate the budget and expenditure for the year. A 10 years business management plan (2020 – 2029) is available to include Operational Parameters (FFB), FFB Processed, OER, CPO, KER, and PK, among others.</p> <p>A business management plan is inclusive of the budget for 2020 till 2029 Which is lasted reviewed and approved. This business plan also includes Detailed Summary of Organization Revenue and Expenditure of The certified unit the budget details the Operational expenses, Labour Expenses, Management Expenses and depreciation costs.</p>	Complied
3.1.2	<p>An annual replanting programme projected for a minimum of five years with yearly review, is available.</p> <p>- Minor compliance -</p>	<p>A projected annual transplant program of at least five years established. Consequently, most of this land provides a planned base for 10 years (Form 2020 – 2029). Progress of the action has been tracked and recorded, for example, TOPI land has been replanted and Lam Thap land is 16.6 hectares (re-planting completed on August 21). Siam Estate re-planting was recently completed on Oct 22). While Cha-uat have no replanting program for this year. The certified units will review replanting plans every year during the annual executive review meeting.</p>	Complied
3.1.3	<p>The unit of certification holds management reviews at planned intervals appropriate to the scale and nature of the activities undertaken.</p> <p>- Minor compliance -</p>	<p>The certified unit conducted the management reviews once a year as planned. Lastest of the meeting was done on April 2023. The minute of the meeting are included of the follow-up issue from the previous meeting, the internal audit result, stakeholder feedback (complaint and grievances), as well as corrective and preventive actions of those issues. Therefore, the effectiveness of operating performance as well as improvement as planned (GHG value) including top management has</p>	Complied

		<p>recommended for improvement of the FFB production and OER&KER of each.</p> <p>A minute meeting has a summary for continual improvement of the fertilizer reduced in the plantation from the previous year while using EFB has been applied instead. Action plan to improve the FFB production at Siam estate was mentioned through making replanting program was also mentioned in the minutes of the management review meeting.</p>	
<p>Criteria 3.2: The unit of certification regularly monitors and reviews their economic, social and environmental performance and develops and implements action plans that allow demonstrable continuous improvement in key operations.</p>			
3.2.1	<p>(C) The action plan for continuous improvement is implemented, based on consideration of the main social and environmental impacts and opportunities of the unit of certification.</p> <p>- Critical (Major) compliance -</p>	<p>The certified unit established the action plan for continual improvements for the year 2023. Their plan included reducing pesticides, chemical fertilizers (use the EFB instead). Therefore they established a monitoring plan of GHG, IPM, water source, and HCV in their mill and plantation such as pollution assessment, SIA, HCV monitoring for TOPI mill and estate of the year 2023. Record of pesticide use, fertilizer volume, and water use are also available in each estate. From the result of verification all records done by the auditor, it was found that amount of fertilizer and pesticide use has reduced in each estate.</p>	Complied
3.2.2	<p>As part of the monitoring and continuous improvement process, annual reports are submitted to the RSPO Secretariat using the RSPO metrics template.</p> <p>- Minor compliance -</p>	<p>The RSPO metrics template was filled and completed accordingly before commencing the surveillance audit by Univanich. However, it was verified at the time of the audit and found it is consistency with the result seen during the audit. The certified unit has monitoring and report the information of some improvement such as GHG and annual communication progress (ACOP) information. All of the information can be traceable to the source data. Mr. Paiwan Tohdam (Univanich Research Officer) is responsible to gather information and report by using this RSPO metric template.</p>	Complied
<p>Criteria 3.3: Operating procedures are appropriately documented, consistently implemented and monitored.</p>			

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<p>3.3.1</p>	<p>(C) Standard Operating Procedures (SOPs) for the unit of certification are in place. - Critical (Major) compliance -</p>	<p>Standard Operating Procedures (SOPs) for the unit of certification are in place and there are adequately cover all estate and mill processes and activities of Siam mill for example; FFB streaming (SM-WI-271-001Rev.01, 1/06/2012), CPO filtration (SM-WI-274-001 Rev.01, 1/12/2013), Wastewater management (SM-WI-237-001 Rev.00, 1/12/2013). TOPI mill for example; purchase fruit manual (OFP-001-Rev1 Rev.01, 02/01/2015), Fruit bunch sterilization (WI-FD-01-TOPI Rev.00, 10/11/2015), fruit digestion and pressing (WI-FD-03-TOPI Rev.00, 10/11/2015). Lamthap mill for example; FFB sterilization (LTM-WI-3-002 Rev.00, 17/08/2012), PK drying and milling (LTM-WI-3-019, Rev.00; 17/08/2012) and LTM-WI-3-028 Rev.00, 17/08/2012). Most of the document has been approved by top management. For the procedures related to plantation and estate management, SOPs have been established and maintained as well. Therefore a copy of the latest version of the SOP is available on site and is documented in an appropriate language such as:</p> <ul style="list-style-type: none"> - Harvest and transportation oil palm to mill - Oil palm nursery management - Fertilization - Oil palm leave pruning - Weeding - IPM - Equipment and machine maintenance - Road maintenance - Training - Water and irrigation - Replanting - Chemical empty container management 	<p>Complied</p>
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		<p>- Sampling and testing</p> <p>Auditor has verified a copy of the latest version of the SOP available on site and found that it is documented in an appropriate language. Therefore, workers can access SOP documented when deem necessary to recall their operation and to ensure that it is align with the documented procedures. Interviews with some workers found that they understood on the relevant procedure and SOPs as well. For example, Mr. Somchai Chanasatya (stream operator of Siam mill), Mr. Sawang B. (FFB receiving Supervisor of TOPI mill), Mr. Wichai T. (engineering of Lamthap mill) have been interviewed as well as oil palm harvester (Mr. Subun P. and Mrs.Saraphi C.) in TOPI estate.</p>	
3.3.2	<p>A mechanism to check consistent implementation of procedures is in place.</p> <p>- Minor compliance –</p>	<p>Mechanisms to check consistent implementation of procedures are in place from the minimum level of supervision to the highest level, such as by the General Manager and external parties. On the Head Office level, the following are made, among others:</p> <p>The RSPO representative will conduct an annual evaluation of all procedures.</p> <ul style="list-style-type: none"> • Mill Manager visits the mills. • Head of the Plantation field inspection. • General Manager or Consultant Inspection <p>Palm Oil Mill</p> <p>The Mill Manager inspects the mill on a daily basis. The Engineers, Supervisors monitor the entire production performance and product quality. This is made through the mill rounds and supervision. The checklist forms sighted during the audit are the safety operation checklist, and production/operation checklist. The product/lab data sheet is checked hourly by the shift superintendent to ensure conformity</p>	Complied

		<p>to the quality standard of all process parameters. Monthly figures are analyzed/recommended for improved performance.</p> <p>Plantation</p> <p>The Head of the Plantation visited estates for the field inspection. A standard report is made to the management of the operating units. The report was checked and discussed. Estates provide remedial plans/action taken. The report contains :</p> <ul style="list-style-type: none"> • Sections inspected immature, mature, and new development. • Action points to be taken before the next visit and completion date. • Harvesters' productivity / standards • Upkeep standard / Pest & Diseases • RSPO-related requirement • Documentation compliance. 	
<p>3.3.3</p>	<p>Records of monitoring and any actions taken are maintained and available.</p> <p>- Minor compliance -</p>	<p>The monitoring of the SOP implementation was carried out by all levels of the supervisory personnel. Records of operation that was maintained have been verified by the top management and Quality, Environment, Safety & Health (QSHE) Team. Here below are the example of the records verified to check the implementation:</p> <ul style="list-style-type: none"> - The Univanich Palm Oil Public Company Limited all POM and all estate - Water Treatment plant Monitoring Sheet - Daily Power Distribution Record - Boiler Operation Monitoring Record - Daily cages Performance Monitoring Sheet - Shovel Inspection Checklist - Maintenance Report - Daily Oil Losses Analysis 	<p>Complied</p>

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		<ul style="list-style-type: none"> - Scheduled Waste Store Maintenance Records - Environment Monitoring Record - Return of Empty Chemical Container Record - PPE Monitoring Record <p>The following records are maintained to comply with the result from measurements or results of internal control and monitoring activities such as legal compliance and records of corrective actions and improvement are undertaken. CAR. These are following as the record control procedure and corrective action procedure.</p>	
Criteria 3.4: A comprehensive Social and Environmental Impact Assessment (SEIA) is undertaken prior to new plantings or operations, and a social and environmental management and monitoring plan is implemented and regularly updated in ongoing operations.			
3.4.1	<p>(C) In new plantings or operations including mills, an independent SEIA, undertaken through a participatory methodology involving the affected stakeholders and including the impacts of any smallholder/outgrower, is documented.</p> <p>- Critical (Major) compliance -</p>	The Univanich Palm Oil Public Company Limited don't have any new planting area where is required special attention on the SEIA. So SEIA assessment by accredited independent experts is not required.	Complied
3.4.2	<p>For the unit of certification, a SEIA is available and social and environmental management and monitoring plans have been developed with participation of affected stakeholders.</p> <p>- Minor compliance -</p>	The Univanich Palm Oil Public Company Limited established social and environmental management and monitoring plans and have been developed with participation of affected stakeholders with annually. In 2022, Siam mill has invited totally 20 stakeholders to develop any management plan with participatory approach on September 22 although there is no feedback form stakeholder during the meeting. While TOPI mill made the participatory meeting with 25 interested parties on Sep 20 (Topi mill have plan for SIA every two year) and Lamthap mill made on September 22 with totally 9 stakeholders. Moreover, each estate has survey and assessment the environmental and social impact from stakeholder around the estate in 2022 such as Topi estate (from total 40 stakeholders), Siam estate (from total 48	Complied

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		stakeholders), and Cha-Uat division (lasted was done in October 2020 from 50 stakeholders, planned for survey as two years one time). Result form the latest survey showed that there is no negative feedback from all most stakeholders.	
3.4.3	(C) The social and environmental management and monitoring plan is implemented, reviewed and updated regularly in a participatory way. - Critical (Major) compliance -	The certified unit has set a interval period for the reviews of social and environmental management plan resulting from survey annually. The reviews on the effectiveness of the social and environmental management plan was done through the management review meeting which was latest done on 02 May 2023.The list of participants showing many people from various positions who joined the meeting was maintained.	Complied
Criteria 3.5: A system for managing human resources is in place.			
3.5.1	Employment procedures for recruitment, selection, hiring, promotion, retirement and termination are documented and made available to the workers and their representatives. - Minor compliance -	The SOPs for recruitment, selection, hiring, promotion, retirement and termination of workers was established (PM-PD-02-UVAN Rev. 01; 21/07/2020). Univanich has communicated their SOPs where is written in appropriate languages and made available to the workers and their representatives. This procedure is also stated the indiscriminately policy during the recruitment selection, hiring and promotion process. At this moment or at the time of the audit, there are totally three types of employment such as monthly, daily and piece worker. All type employment has been bound with an agreement that is made in compliance to labour regulation of Thailand.	Complied
3.5.2	Employment procedures are implemented and records are maintained. - Minor compliance -	Univanich has plan and training for all employees regrading to documented procedure no PM-PD-02-UVAN Rev. 01; 21/07/2020 and yearly training program (FM-PD-12-UVAN Rev.00) The employment contracts signed between the management of Estates and/or the Mill and their employees contain relevant information which is align with the legal requirement e.g. employment and payment terms and provisions covering working hours, deductions, overtime, sick	Complied

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		<p>leaves, holiday entitlement, maternity leave, reasons for dismissal, period of notice, etc.</p> <p>All contracts and/or agreement are made in Thai, which is a language the workers understand. The provision of the contract especially providing the contents is in comply with the Thai labour laws. The pay slip is the document that gives accurate information on compensation for all work performed. It contains the following information: employee name, income, overtime pay, deductions (social security), worker no., payroll date, earning (regular work, overtime pay, public holiday pay), Department, total pay, total OT. The sampling on pay slips for October 2022 were sighted.</p> <p>Based on the samples above, workers received wages in compliance with the Minimum Wages according to the minimum wage of Krabi Province. The payslips provide accurate information on compensation for all work performed, including allowances, overtime, deductions, and consistent with the terms indicated in the contract.</p>	
Criteria 3.6: An occupational health and safety (H&S) plan is documented, effectively communicated and implemented.			
3.6.1	<p>(C) All operations are risk assessed to identify H&S issues. Mitigation plans and procedures are documented and implemented.</p> <p>- Critical (Major) compliance -</p>	<p>The SOP on health & safety including risk assessment were performed to identify any possible injuries and accident that will cause the issue related to H&S. The mitigation plans and procedures regrading to health and safety policy were written in WI-SHE-01 Rev.00, 02 Nov 2019. Each site has performed risk assessments and activities where health and safety is an issue including their documented mitigation plan for the identified issues resulting from the risk assessments It was conducted and updated in 2023 for all activity in Mills, Estates/Plantations as the latest. Risk assessment for planting has included activities for casual workers, such as harvesting, transportation, and spraying. Based on field observation of casual workers in farms, it can be seen that they have built pesticide shelters to keep pesticides and PPEs (aprons, masks, rubber gloves) and landfill for chemical waste.</p>	Non-compliance

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		<p>During the audit, it was found that the health and safety risk assessment (Revision 2020) was not coverage all operations activities such as the replanting process on steep terrain (SP estate) and transport FFB while the planation was inundated by flood (Chauat estate). See the details as MAJOR NC no. 2328567-202304-M2.</p>	
<p>3.6.2</p>	<p>(C) The effectiveness of the H&S plan to address health and safety risks to people is monitored. - Critical (Major) compliance -</p>	<p>Monthly monitoring and meeting of Health & Safety and Environmental risks has been conducted for once a month as per the local law requirement. Every unit of certification was dedicated Safety officer and safety committee to join in the meeting. Sample of monitoring and minutes of the meeting among representative as as follows:</p> <ul style="list-style-type: none"> - SIAM Palm Oil Mill, recently has been conducted on 31 Mar 2023 - TOPI Palm Oil Mill, recently has been conducted on 20 Mar 2023 - LAMTHAP Palm Oil Mill, recently has been conducted on 30 Mar 2023. 	<p>Complied</p>
<p>Criteria 3.7: All staff, workers, Scheme Smallholders, outgrowers, and contract workers are appropriately trained.</p>			
<p>3.7.1</p>	<p>(C) A documented programme that provides training is in place, which is accessible to all staff, workers, Scheme Smallholders and outgrowers, taking into account gender-specific needs, and which covers applicable aspects of the RSPO P&C, in a form they understand, and which includes assessments of training. - Critical (Major) compliance -</p>	<p>All workers involved in the operation are required to get trained according to the training program established for year 2022 and 2023. This training programme comprises of the training subject, monthly calendar (date), items of training, targeted audiences, facilitator, duration, and venue.</p> <p>This training program purposed for all workers who are employed employees as well as the subcontractor who are required to work at Mill and estate. Each site has their own list of the required attendants.</p> <p>The training subjects comprises of best practices, safety, environmental, , company’s policies, etc. Based on interview with sampling workers, they said that UNIVANICH Staffs (Safety officer at Mill, Supervisor of each estate) have regularly visited them to monitor whether their current operation is aligned with the documented procedures, no harm from the operation, the best practices as well as health and safety issue.</p>	<p>Complied</p>

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3.7.2	<p>Records of training are maintained, where appropriate on an individual basis.</p> <p>- Minor compliance -</p>	<p>The company has demonstrated that training records are maintained. The documentation comprise of minutes per training and training record for individual trainee.</p> <p>Some training records that have been seen as below:</p> <p>SIAM POM</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 70%;">Training</th> <th style="width: 30%;">Date</th> </tr> </thead> <tbody> <tr> <td>RSPO P&C and RSPO SCC</td> <td>3 Nov 2022 and 14-15 Dec 2022</td> </tr> <tr> <td>Basic fire fighting and fire Drill</td> <td>22 Dec 2022</td> </tr> <tr> <td>Chemical, work safety management</td> <td>28 May 2022</td> </tr> </tbody> </table> <p>TOPI MILL</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 70%;">Training</th> <th style="width: 30%;">Date</th> </tr> </thead> <tbody> <tr> <td>RSPO P&C and SCC training</td> <td>3 Nov 2022 and 14-15 Dec 2022</td> </tr> <tr> <td>Chemical, work safety management</td> <td>28 May 2022</td> </tr> <tr> <td>Fire drill and first aid</td> <td>20 Nov 2022</td> </tr> </tbody> </table> <p>LAMTHAP MILL</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 70%;">Training</th> <th style="width: 30%;">Date</th> </tr> </thead> <tbody> <tr> <td>RSPO P&C and OHAS 45001 training</td> <td>3 Nov 2022 and 14-15 Dec 2022</td> </tr> </tbody> </table>	Training	Date	RSPO P&C and RSPO SCC	3 Nov 2022 and 14-15 Dec 2022	Basic fire fighting and fire Drill	22 Dec 2022	Chemical, work safety management	28 May 2022	Training	Date	RSPO P&C and SCC training	3 Nov 2022 and 14-15 Dec 2022	Chemical, work safety management	28 May 2022	Fire drill and first aid	20 Nov 2022	Training	Date	RSPO P&C and OHAS 45001 training	3 Nov 2022 and 14-15 Dec 2022	Complied
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3.7.3	Appropriate training is provided for personnel carrying out the tasks critical to the effective implementation of the Supply Chain Certification Standard (SCCS). Training is specific and relevant to the task(s) performed.	UNIVANICH has prepared training plan for RSPO SCCS Training program on 2022 (September – October 2022) and August to October 2023 (Training plan 2023)	Complied								

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	<p>- Minor compliance -</p>	<p>SIAM MILL, TOPI MILL, LAMTHAP Mill, ; RSPO Supply Chain Standards training provided for Ms. Pritsana K/Sales, Mr. Adonka K/ Commercial manager, Mr. Weerayut N/Commercial Officer, Mr. Utahi W/FA, Mr. Narongsak P/Dispatch Officer, Ms. Rattikan R/QC Supervisor, Ms. Jutarat S./W/B Operator was done on 11 Oct 2023 and 3 Nov 2022. Trainer of that training were Mr. Praiwan T. and Ms. Pritsana.</p> <p>Audit team interviewed wMs. Jutarat, Mr. Uthai (weighing weighbridge operator and supervisor to check their understanding on tasks related to SCC. The weighbridge operator who responsible for check, record and control incoming material and palm product dispatch could demonstrate her understanding on the jobs related to the distinguish which one is FFB certified and non-certified, for instance. The weighbridge operators have been trained; they understood their task, role and critical area related to receiving and transporting of palm product (record keeping, accuracy). The weighbridge operator understood how to check of RSPO certificate number, supply chain module in the transport ticket.</p>	
<p>Criteria 3.8: Supply chain requirements for mills. Procedure note: all requirements are classified as Critical Indicators. However it will not contribute to suspension if there is more than 5 non-compliance within a principle)</p>			
<p>3.8.1</p>	<p>Identity Preserved Module</p> <p>A mill is deemed to be Identity Preserved (IP) if the FFB processed by the mill is sourced from plantations/estates that are certified against the RSPO Principles and Criteria (RSPO P&C), or against the Group Certification scheme.</p> <p>Certification for CPO mills is necessary to verify the volumes and sources of certified FFB entering the mill, the implementation of any processing controls (for example, if physical separation is used), and volume sales of RSPO certified products. If a mill processes certified and uncertified FFB</p>	<p>UNIVANICH opted and implemented Mass Balance Module.</p> <p>This indicator is not applicable.</p>	<p>Not Applicable</p>

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	without physically separating them, then only Mass Balance Module is applicable.		
3.8.2	<p>Mass Balance Module</p> <p>A mill is deemed to be Mass Balance (MB) if the mill process FFB from both RSPO certified and uncertified plantations/estates. A mill may be taking delivery of FFB from uncertified growers, in addition to those from its own and third party certified supply base. In that scenario, the mill can claim only the volume of oil palm products produced from processing of the certified FFB as MB.</p>	The mass balance between the quantity of RSPO material (FFB, CSPO, CSPK) and certified products (FFB, CSPO and CSPK) sold are recorded and monitored by using separated monitoring system in excel format. Verification of the mass balance data showed that all purchases and sells of certified products are done in accordance with the requirement as physical segregation is not required under the MB requirement, lasted update on Mach 2023	Complied
3.8.3	The estimated tonnage of CPO and PK products that could potentially be produced by the certified mill shall be recorded by the certification body (CB) in the public summary of the P&C certification report. This figure represents the total volume of certified oil palm product (CPO and PK) that the certified mill is allowed to deliver in a year. The actual tonnage produced shall then be recorded in each subsequent annual surveillance report.	<p>The estimated annual tonnage for certified FFB processed, CPO and PK production are available in the Estate and Mill annual production budget for 12 months since the previous assessment.</p> <p>The FFB tonnage received from all certified supply bases (company-owned plantation).</p> <p>The estimated for CPO and PK production from SIAM MILL. TOPI MILL and LAMTHAP MILL is recorded under the public summary report and the RSPO certificate for UNIVANICH PCL.</p> <p>The actual CPO and PK production were verified during each subsequent annual surveillance assessment visit to ensure that certified mill only deliver the allowed tonnage for the year as per the details of section 1.</p>	Complied
3.8.4	The mill shall also meet all registration and reporting requirements for the appropriate supply chain through the RSPO IT platform.	<p>The name is Univanich Palm Oil Public Company Limited ;</p> <p>RSPO Membership Number : 1-0074-09-000-00</p> <p>Palm Trace Member ID number follows ;</p> <ul style="list-style-type: none"> • Univanich Palm Oil PCL. (TOPI Mill) : RSPO_PO1000000694 • Univanich Palm Oil PCL. (SIAM Mill): RSPO_PO1000000695 	Complied

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		<ul style="list-style-type: none"> Univanich Palm Oil PCL. (LAMTHAP Mill) : RSPO_PO1000000614 	
3.8.5	<p>Documented procedures</p> <ul style="list-style-type: none"> a) The mill shall have written procedures and/or work instructions or equivalent to ensure the implementation of all elements of the applicable supply chain model specified. This shall include at minimum the following: a) b) Complete and up to date procedures covering the implementation of all elements of the supply chain model c) Identification of the role of the person having overall responsibility for and authority over the implementation of these requirements and compliance with all applicable requirements. This person shall be able to demonstrate awareness of the mill’s procedures for the implementation of this standard. d) The mill shall have documented procedures for receiving and processing certified and non-certified FFBs including ensuring no contamination in the IP mill. 	<p>The site has procedures that include specific clauses for the implementation of RSPO requirements. The following procedures are available and checked during the audit:</p> <ul style="list-style-type: none"> - Management review procedure (PM-MR-03-UVAN). That main procedure specified all processes to handle certified input and outputs. - RSPO SCC implementation procedure QMR-P-001 Rev.01 dated 17/01/2021 - Document and record control procedure QMR-P-001 Rev.01 dated 1/03/2021 - Internal audit procedure (PM-MR-04-UVAN) - Palm oil calculate MKR-P-002 Rev.02 dated 1/03/2021 - CPO and PKO production PDR-P-001 Rev.03 dated 10/11/2017 - Sale and delivered of palm RSPO MKR-P-001 Rev.01 Dated 1/03/2021 - Purchasing RSPO FFB Procedure ;PCR-P-001 Rev3 date 20/4/2021 	Complied
3.8.6	<p>Internal Audit</p> <ul style="list-style-type: none"> i) The mill shall have a written procedure to conduct annual internal audit to determine whether the mill; <ul style="list-style-type: none"> a) Conforms to the requirements in the RSPO Supply Chain Certification Standard and the RSPO Market Communications and Claims Documents. b) Effectively implements and maintains the standard requirements within its organisation. ii) Any non-conformities found as part of the internal audit shall be issued corrective action. The outcomes of the internal audits and all actions taken to correct non-conformities shall be subject to management 	<p>The internal audit procedure is written in (PM-MR-04-UVAN) Rev.01 dated 1 Mar 2021. The internal audit is planned to be conducted once a year. According to the audit plan indicated in QMR-F-001, the internal audit for the year 2023 was planned to be conducted in April 2023.</p> <p>An internal audit was conducted on 30 Mar 2023 to 17 Apr 2023. As a result of the internal audit, there were no non-conformities raised. There are 2 appointed internal auditors from other site to carry out the internal audit, however, non of them did audit their own work. Those internal auditor has been trained , for example, Mr. Praiwan, Mr.Nuttapong has been trained on RSPO SCC 2020 requirements public training on 14-15/12/2022 by Dr. Chaiyaporn S.</p>	Complied

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	review at least annually. The mill shall maintain the internal audit records and reports.		
3.8.7	<p>Purchasing and Goods In</p> <p>i) The mill shall verify and document the tonnage and sources of certified and the tonnage of non-certified FFBs received.</p> <p>ii) The mill shall inform the CB immediately if there is a projected overproduction of certified tonnage.</p> <p>iii) The mill shall have a mechanism in place for handling non-conforming FFB and/or documents.</p>	<p>The relevant procedure which are recently established</p> <ul style="list-style-type: none"> • Procedure PM-SCC-01-UVAN revision 01 dated 21/09/2018 has covered all elements required by RSPO SCC including the mechanism of handling of non-conforming FFB and documents • Procedure for receiving the FFB (PM-OD-01-Uvan revision 01 dated 21/09/2018) has been established. • Procedure PM-DD-01 revision 01 dated 21/09/2018 (product delivery and transport) is used as guideline to indicate the name and model (MB) • Procedure for informing CB on the projected overproduction of certified FFB is established. GM will be final responsible person to inform CB with the estimated FFB overproduction • PM-SCC-01-UVAN Rev.02 Eff.01/09/2019 indicated that in case of an incorrectly calculated amount of PK by the conversion rate, those incorrect amount is deducted as non-certified PK. • Total projected FFB production and sold from all supply bases is following 	Complied
3.8.8	<p>Sales and Goods Out</p> <p>The supplying mill shall ensure that the following minimum information for RSPO certified products is made available in document form. The information shall be complete and can be presented either on a single document or across a range of documents issued for RSPO certified oil palm products (for example, delivery notes, shipping documents and specification documentation):</p> <p>a) The name and address of the buyer;</p> <p>b) The name and address of the seller;</p>	<p>There are three types of the sale documents: Delivery Note, weighing bill and invoice and/or commercial invoice. Delivery Note is now used to indicate all information required by the standard.</p> <p>The description indicate at information for client as follows</p> <ul style="list-style-type: none"> • Name and address of buyer: full name and full address of the buyer always indicated in the Delivery note. • Name and address of seller: Univanich Palm Oil Public Company Limited • Loading and delivery date: 	Complied

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<p>c) The loading or shipment / delivery date; d) The date on which the documents were issued; e) RSPO certificate number; f) A description of the product, including the applicable supply chain model (Identity Preserved or Mass Balance or the approved abbreviations); g) The quantity of the products delivered; h) Any related transport documentation; i) A unique identification number.</p>	<ul style="list-style-type: none"> • Model: MB is indicated after the product name (crude palm kernel oil /MB) • Quantity: xxxx Kgs • Any related transport documentation: Truck umber • Unique identification number: PO • Supply chain certificate number indicated on those Delivery notes is BVC-20161222-3; for example record <p>Sale Contract: Contract no. UV/CPO/2207151 (MB) date, 8 Jul 2022 Seller UNIVANICH Palm Oil Public Co., Ltd. Buyer: Sime Darby Oils Morakot Public Co., Ltd. PO no. 4600124469, date 08 Jul 2022 CPO/MB, volume 320 MT, Month Delivery July 2022 Destination; Delivery to buyer’s mill in Samutprakarn</p> <ul style="list-style-type: none"> • Delivery Note no. 16934 , date 03 Aug 2022 CPO/MB volume 31,600 Kgs, identify the RSPO; BVC-RSPO-20161222-3 valid till 21/05/2027,Vehicle no. 70-2603-3287, transporter Kesinee • Delivery Note no. 16933 , date 03 Aug 2022 CPO/MB , volume = 31,620 Kgs, identify the RSPO; BVC-RSPO-20161222-3 valid till 21/05/2027,Vehicle no. 70-2556-3724, transporter Kesinee • Delivery Note no. 16931 , date 03 Aug 2022 CPO/MB volume 31,030 Kgs, identify the RSPO; BVC-RSPO-20161222-3 valid till 21/05/2027,Vehicle no. 70-2551-3726, transporter Kesinee • Delivery Note no. 16930 , date 03 Aug 2022 CPO/MB , volume = 31,810 Kgs, identify the RSPO; BVC-RSPO-20161222-3 valid till 21/05/2027,Vehicle no. 70-2552-2427, transporter Kesinee
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		<ul style="list-style-type: none"> • Delivery Note no. 16925 , date 03 Aug 2022 CPO/MB , volume = 31,900 Kgs, identify the RSPO; BVC-RSPO-20161222-3 valid till 21/05/2027,Vehicle no. 70-2605-3728, transporter Kesinee 	
3.8.9	<p>Outsourcing Activities</p> <p>i) The mill shall not outsource its milling activities. In cases where he mill outsources activities to independent third parties (e.g. subcontractors for storage, transport or other outsourced activities), the mill holding the certificate shall ensure that the independent third party complies with relevant requirements of this RSPO Supply Chain Certification.</p> <p>ii) The mill shall ensure the following:</p> <p>a) The mill has legal ownership of all input material to be included in outsourced processes</p> <p>b) The mill has an agreement or contract covering the outsourced process with each contractor through a signed and enforceable agreement with the contractor. The onus is on the mill to ensure that certification body (CB) has access to the outsourcing contractor or operation if an audit is deemed necessary.</p> <p>c) The mill has a documented control system with explicit procedures for the outsourced process which is communicated to the relevant contractor.</p> <p>d) The mill shall furthermore ensure (e.g. through contractual arrangements) that independent third parties engaged provide relevant access for duly accredited CBs to their respective operations, systems, and all information, when this is announced in advance.</p>	<p>The company does not engage any independent third parties subcontractors for either warehouse or production activities.</p> <p>UNIVANICH engages with subcontractors for the transportation CPO and PK products to their customers. These subcontractors were listed and controlled according to ISO9001 requirements. The company applies the supply chain model "Mass Balance", therefore, those subcontractors are not deemed need to be audited as their operation is unlikely to crease the risk and breach the integrity of the RSPO certification. Outsourcing is only applicable for CPO despatch based on the delivered contract with buyers.</p> <p>The contract agreement indicated that the mill has not discard their legal ownership of all input material (CPO and PK) and the product belong to the buyer. The outsourced process is only for transportation those products to the buyers. The agreement also stated that the certification body (CB) has access to the transporters if an audit is deemed necessary, and to provide relevant access for duly accredited CBs to their respective operations, systems, and all information, when this is announced in advance.</p>	Complied
3.8.10	The mill shall record the names and contact details of all contractors used for the physical handling of RSPO certified oil palm products.	The Univanich Palm Oil Public Company Limited established a record of all contact detail for transporter and updated in the stakeholder list. Last update on the list was done on 01 Jan 2023.	Complied

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3.8.11	The mill shall inform its CB in advance prior to conduct of its next audit of the names and contact details of any new contractor used for the physical handling of RSPO certified oil palm products.	The Univanich Palm Oil Public Company Limited are aware that they are required to inform he CB in advance if there are any new contractor used for the physical handling of RSPO certified palm products. Verified during the assessment confirmed that there was no new contractor used for physical handling of RSPO products.	Complied
3.8.12	<p>Record keeping</p> <ul style="list-style-type: none"> i) The mill shall maintain accurate, complete, up-to-date and accessible records and reports covering all aspects of this RSPO Supply Chain Certification Standard requirements. ii) Retention times for all records and reports shall be a minimum of two (2) years and shall comply with relevant legal and regulatory requirements and be able to confirm the certified status of raw materials or products held in stock. iii) For Identity Preserved Module, the mill shall record and balance all receipts of RSPO certified FFB and deliveries of RSPO certified CPO and PK on a real-time basis. iv) For Mass Balance Module, the mill: <ul style="list-style-type: none"> a) Shall record and balance all receipts of RSPO certified FFB and deliveries of RSPO certified CPO and PK on a real-time basis and / or three-monthly basis. b) All volumes of certified CPO and PK that are delivered are deducted from the material accounting system according to conversion ratios stated by RSPO. c) The mill can only deliver Mass Balance sales from a positive stock. Positive stock can include product ordered for delivery within three (3) months. However, a mill is allowed to sell short (i.e. product can be sold before it is in stock). 	<p>Records for transferring intermediate material (FFB, CSPO and CSPK) and selling certified CSPO and CSPK back to the previous date of the audit are available and up-to-date.</p> <p>The company used both QG Program and Excel to maintained records of transferred and selling responsibility by Commercial section.</p>	Complied

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<p>3.8.13</p>	<p>Extraction Rate The oil extraction rate (OER) and the kernel extraction rate(KER) shall be applied to provide a reliable estimate of the amount of certified CPO and PK from the associated inputs. Mill shall determine and set their own extraction rates based upon past experience, documented and applied it consistently.</p>	<p>The company determined to use the conversion rates as following. This determination has been verified by the production record of the CPO and PK produced by using their own FFB in order to investigate the percentage of the OER and KER with FFB supplied by other non-certified suppliers especially independent smallholders and brokers</p> <table border="1" data-bbox="1133 576 1749 855"> <thead> <tr> <th rowspan="3">MILL</th> <th colspan="2">Y2022 to 2023 and Next</th> </tr> <tr> <th colspan="2">OIL PALM MILL</th> </tr> <tr> <th>CPO (MT)</th> <th>CSPK (MT)</th> </tr> </thead> <tbody> <tr> <td>TOPI MILL</td> <td>20.50%</td> <td>5.25%</td> </tr> <tr> <td>SIAM MILL</td> <td>20.50%</td> <td>5.25%</td> </tr> <tr> <td>LAMTHAP MILL</td> <td>20.50%</td> <td>5.25%</td> </tr> </tbody> </table> <p>Note: From section 1 Table 7, 9A, 10, 10A, 11, and 11A. The actual data on the date 30 Apr 2023, the remaining of all actual products available from 1 May 2023 to 21 Aug 2023 are as follows;</p> <ul style="list-style-type: none"> • TOPI Mill: CPO =2,372.59 MT, CPK = 2,801.03 MT • SIAM MILL: CPO=0 MT, CPK = 258.65 MT • LAMTHAP MILL: CPO = 909.68 MT, CPK = 320.72 MT • Totally: CPO = 1,390.80 MT , CPK= 3,380.40 MT 	MILL	Y2022 to 2023 and Next		OIL PALM MILL		CPO (MT)	CSPK (MT)	TOPI MILL	20.50%	5.25%	SIAM MILL	20.50%	5.25%	LAMTHAP MILL	20.50%	5.25%	<p>Complied</p>
MILL	Y2022 to 2023 and Next																		
	OIL PALM MILL																		
	CPO (MT)	CSPK (MT)																	
TOPI MILL	20.50%	5.25%																	
SIAM MILL	20.50%	5.25%																	
LAMTHAP MILL	20.50%	5.25%																	
<p>3.8.14</p>	<p>Extraction rates shall be updated periodically to ensure accuracy against actual performance or industry average if appropriate.</p>	<p>TOIP Mill, SIAM Mill and LAMTHAP Mill have updated CPO and PK extraction in daily basis to ensure accuracy against actual performance.</p>	<p>Complied</p>																
<p>3.8.15</p>	<p>Processing For Identity Preserved Module, the mill shall assure and verify through documented procedures and record keeping that the RSPO certified oil</p>	<p>This is not applicable because Univanich opted to certify only MB</p>	<p>Not Applicable</p>																

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	palm product is kept separated from non-certified oil palm products, including during transport and storage to strive for 100% separation.		
3.8.16	<p>Registration of Transactions</p> <p>i) Shipping Announcement in the RSPO IT platform shall be carried out by the mills when RSPO certified products are sold as certified to refineries, crushers, and traders not more than three months after dispatch with the dispatch date being the Bill of Lading or the dispatch documentation date.</p> <p>ii) Remove: RSPO certified volumes sold under different scheme or as conventional, or in case of underproduction, loss or damage shall be removed in the RSPO IT platform.</p>	<p>UNIVANICH have registered all of their POMs into RSPO PalmTrace. while RSPO Palmtrace no. for each POM are as follows ;</p> <ul style="list-style-type: none"> • Univanich Palm Oil Pcl. (TOPI Mill) : RSPO_PO1000000694 • Univanich Palm OIl Pcl. (Siam Mill): RSPO_PO1000000695 • Univanich Palm OIl Pcl. (Lamthap Mill) : RSPO_PO1000000614 <p>Ms. Pritsana K., Sales manager, is responsible to use Palm Trace to register transaction of CSPO, and CSPK. Since she also involved for selling certified products through PalmTrace for years, she could demonstrate her understanding how to use Palm Trace to trade certified products either CSPO and CSPK by using PalmTrace especially function shipping announcement.</p> <p>All sampling transactions of certified products were registered in Palm Trace, for example,</p> <ul style="list-style-type: none"> • TOPI Mill ; transactions no. TR-cb7193b8-6e92; CSPO/MB= 320 MT, CSPO Mass Balance; Buyer Suksomboon Vegetable Oil Co., Ltd, PO no. 66040002 Confirmed on 20-04-2023 • SIAM Mill ; transactions no. TR-ecdb93eb-b1ef, buyer ; Suksomboon Vegetable Oil Co., Ltd/ PO no. 65060355; CSPO/Mass Balance Total 162.35 MT; Confirmed 26-07-2022 • LAMTHAP MILL : transactions no. TTR-57adc101-48f0 , buyer; Suksomboon Vegetable Oil Co., Ltd. PO no. 65070386, CSPO (Mass Balance) totally ; 18.21 MT Confirmed 03-08-2022. 	Complied
3.8.17	<p>Claims</p> <p>The mill shall only make claims regarding the production of RSPO certified oil that are in compliance with the RSPO Rules on Market Communications and Claims.</p>	<p>RSPO trademark was not used. Nonetheless, the facility is aware of the requirements of the RSPO Rules on Market Communications and Claims.</p>	Complied

General corporate communications			
4.1	A corporate communication is one made by any RSPO member that highlights its membership of the RSPO and/or its commitment to the principles of the RSPO. Corporate communication is an 'off-product' claim.	The following was communicated in the website: https://univanich.com/ Univanich Palm Oil Public Company Limited (UNIVANICH) owns large oil palm plantations in Krabi and Surathani provinces with a combined planted area 4,593.85ha and extracts crude palm oil (CPO) and CPK from fresh fruit bunch (FFB) of oil palm fruits, UNIVANICH is producing and selling palm products, such as crude palm oil, palm kernels and crude palm kernel oil. All our products are 100% traceable to the source. 100% RSPO compliant with all criteria for processing RSPO certified oil palm products in the Group.	Complied
4.2	In corporate communications a member is allowed to: <ul style="list-style-type: none"> • Display its RSPO membership status • Display the RSPO web address (www.rspo.org) • State that the member supports the work of the RSPO • State the member's history with regard to the RSPO. • Use the RSPO trademark to promote its membership of the RSPO. Additionally, where an RSPO member displays the RSPO trademark in digital format this must be accompanied by the text 'Check our progress at www.rspo.org ' where the link must lead to the member's profile page.	The communication in https://univanich.com/ states that all certified products are 100% traceable to the source and 100% compliant with all criteria for processing RSPO certified oil palm products in the Group.	Complied
4.3	In corporate communications RSPO members must not make any statement that may lead consumers to believe that RSPO membership by itself implies the selling of RSPO-certified oil palm products.	The communication in https://univanich.com/ states that all certified products are 100% traceable to the source and 100% compliant with all criteria for processing RSPO certified oil palm products in the Group.	Complied
4.4	Members must ensure that all communication is consistent, clear and cannot mislead consumers or other stakeholders as to the certified content of oil palm products in the member's own products.	The communication in https://univanich.com/ states that all certified products are 100% traceable to the source and 100% compliant with all criteria for processing RSPO certified oil palm products in the Group.	Complied

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4.5	Members are not allowed to use the RSPO corporate logo as shown in the RSPO Rules on Market Communications & Claims document. This is for the sole use of the RSPO secretariat.	There is no RSPO corporate logo has been used in the website, official documents, or official letterhead template.	Complied
Business to business communications			
5.1	Business to Business communication relates to RSPO members in the supply chain selling to and/or communicating with other organizations in the supply chain about the use of certified sustainable oil palm products.	The business to business communication is through the delivery documents. However the communication is mainly of the RSPO certificate number and product description. There is no trademark used.	Complied
5.2	When confirming the sale of certified oil palm products, members must adhere to the requirements of the RSPO SCCS. This includes stating the supply chain model and certificate number under which the claim is being made.	The business to business communication is through the delivery documents. However the communication is mainly of the RSPO certificate number and product description. There is no trademark used.	Complied
5.3	<p>Where a distributor or wholesaler takes title to products containing certified sustainable oil palm products, the requirements of the RSPO SCCS can follow either of two options:</p> <p>a) If the distributor or wholesaler holds only a Distributor license, it may only communicate RSPO-certified oil palm products by linking the product to the manufacturer using the manufacturer’s SCCS certificate number. This covers both brand and own brand products. However, in the case of own brand products it is essential that customers are aware that the product has been made on behalf of the distributor or wholesaler, with specific evidence either through on-pack claims or documentation.</p> <p>b) If the distributor or wholesaler is supply chain-certified they should follow the requirements outlined in section 5.2.</p>	UNIVANICH is not a distributor or wholesaler. Hence, this indicator not applicable.	Complied
5.4	A certified member can provide information to its customers detailing the presence of certified palm oil contained within a product even if it is not eligible for a product-specific under RSPO rules. The end product must not	UNIVANICH MILL (TOPI MILL, SIAM MILL and LAMTHAP MILL) were producing CPO and PK. The communication provided in the delivery documents has provided clear description of the products. There is no product labelling.	Complied

	<p>be labelled as certified or sold in such a way that implies RSPO certification.</p> <p>For example, a retailer or food service company may require a breakdown of all palm based ingredients within an end product and the certified status of each. This information may be provided by a certified RSPO member without constituting a product-specific claim.</p>		
MODULE A – IDENTITY PRESERVED & SEGREGATED SPECIFIC RULES (delete if not applicable)			
Certified oil palm content (IP)			
	<p>For IP, 95% or above of the oil palm content must be RSPO IP-certified.</p>	<p>UNIVANICH implement Mass Balance Module. Hence, this indicator is not applicable.</p>	<p>Not Applicable</p>
	<p>For SG, 95% or above of the oil palm content must be SG, or a combination of SG and IP.</p>	<p>UNIVANICH implement Mass Balance Module. Hence, this indicator is not applicable.</p>	<p>Not Applicable</p>
	<p>Where there is any percentage of non-certified oil palm within the product, the reason for this must be fully justified and an action plan for moving to fully certified oil palm must be in place, in accordance with the requirements of the RSPO SCCS. In addition, the volume of non-certified oil palm products must be covered by the purchase of RSPO Credits of equivalent volume.</p>	<p>UNIVANICH implement Mass Balance Module. Hence, this indicator is not applicable.</p>	<p>Not Applicable</p>
Labelling and trademark (IP)			
	<p>Members are allowed to use the RSPO label in one of the following ways:</p> <ul style="list-style-type: none"> • RSPO trademark which includes the tag 'CERTIFIED' or • RSPO trademark which includes the tag 'This product contains certified sustainable palm oil'. Wherever a RSPO trademark is displayed, the applicable trademark license number must be shown immediately under or next to the trademark or the 'statement'. Font must be Calibri, font size must be at least 4pt (1.4 mm or 0.06 inch). In on- 	<p>UNIVANICH implement Mass Balance Module. Hence, this indicator is not applicable.</p>	<p>Not Applicable</p>

	pack communications, the RSPO trademark can be printed anywhere on the pack.		
Messaging (IP)			
	<p>Messaging ALLOWED in storytelling in product-related communications may include some or all of the following elements:</p> <ul style="list-style-type: none"> • The oil palm products contained in this product have been certified to come from RSPO sources. www.rspo.org • By choosing this product, you are sure it contains RSPO-certified palm oil. For more information: www.rspo.org • RSPO-certified sustainable oil palm products were kept apart from other oil palm products throughout the supply chain. www.rspo.org • Certified sustainable oil palm products can be traced back to RSPO-certified mills and plantations. www.rspo.org • The entire supply chain is monitored by independent, RSPO-accredited auditors. www.rspo.org • RSPO-certified sustainable palm oil has been produced to stringent environmental and social criteria. www.rspo.org • References to (or images of) particular RSPO-certified production units, if the relationship to those units can be shown by company records. 	UNIVANICH implement Mass Balance Module. Hence, this indicator is not applicable.	Not Applicable
MODULE B – MASS BALANCE SPECIFIC RULES			
Minimum Mass Balance content			
	95% or above of the oil palm content must be RSPO MB-certified.	The MB volume that can be sold is only the volume requested in the PalmTrace. Hence the CPO or PK volume sold under MB model is considered 100% content.	Complied
	Where there is any percentage of non-certified oil palm within the product, the reason for this must be fully justified and an action plan for moving to	The mass balance between the quantity of RSPO material (FFB, CSPO, CSPK) and certified products (FFB, CSPO and CSPK) sold are recorded	Complied

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	<p>fully certified oil palm must be in place, in accordance with the requirements of the RSPO SCCS. In addition, the non-certified volume must be covered by equivalent volume of RSPO Credits.</p>	<p>and monitored by using separated monitoring system in excel format. Verification of the mass balance data showed that all purchases and sells of certified products are done in accordance with the requirement as physical segregation is not required under the MB requirement, lasted update on 30 Apr 2023</p>	
<p>Labelling and trademark (MB)</p>			
	<p>Members are allowed to use the RSPO label in one of the following ways:</p> <ul style="list-style-type: none"> • Surrounded by the text: 'Certified sustainable palm oil'. • The RSPO label MUST contain the tag 'MIXED'. The tag MIXED designates oil palm products sourced under the Mass Balance (MB) supply chain system, which administratively balances inputs and outputs of certain palm oil volumes. The tag 'MIXED' on a product does not guarantee that the product itself contains the certified material; some or all of it may reside in a product that does not carry a claim. • The RSPO label can also include the statement: '[The palm oil contained in this product] contributes to the production of certified sustainable palm oil'. • Wherever the RSPO trademark is displayed, the applicable trademark license number must be shown immediately under or next to the trademark or the 'statement'. Font must be Calibri, font size must be at least 4 pt (1.4 mm or 0.06 inch). • In on-pack communications, the RSPO trademark can be printed anywhere on the pack. Further guidance on use of the trademark is provided in the RSPO Trademark License Terms and Conditions and in Annex 1 of the Rules on Market Communications & Claims document. 	<p>There was no on product label claims for the RSPO Supply Chain implementation in UNIVANICH PALM OIL PUBLIC COMPANY LIMITED (UNIVANICH)</p>	<p>Complied</p>
<p>Messaging (MB)</p>			
	<p>Messaging ALLOWED in storytelling in product-related communications includes:</p>	<p>There was no on product label claims for the RSPO Supply Chain</p>	<p>Complied</p>

	<ul style="list-style-type: none"> • [Oil palm products]/[palm oil]/[palm kernel oil] from RSPO-certified mills and plantations were mixed with non-certified oil palm products in the supply chain. • The volume of [oil palm products][palm oil]/[palm kernel oil] in this product reflects an equivalent volume of palm oil or palm kernel oil produced by RSPO certified mills and plantations. <p>In off-product communications, reference to (or images of) particular RSPO-certified production units, if the relationship to those units can be shown in company records is allowed.</p> <p>Messaging NOT ALLOWED in storytelling in product-related communications:</p> <ul style="list-style-type: none"> • Anything that can lead consumers to believe that RSPO-certified palm products are (certified to be) part of the product. 	<p>implementation in UNIVANICH PALM OIL PUBLIC COMPANY LIMITED. (UNIVANICH)</p>	
<p>Principle 4: Respect community and human rights and deliver benefit Respect community rights, provide equal opportunities, maximise benefits from engagement and ensure remediation where needed.</p>			
<p>Criteria 4.1: The unit of certification respects human rights, which includes respecting the rights of Human Rights Defenders.</p>			
<p>4.1.1</p>	<p>(C) A policy to respect human rights, including prohibiting retaliation against Human Rights Defenders (HRD), is documented and communicated to all levels of the workforce, operations, supply chain and local communities and prohibits intimidation and harassment by the unit of certification and contracted services, including contracted security forces.</p> <p>- Critical (Major) compliance -</p>	<p>UNIVANICH has developed Policy to respect Human Rights and worker relationship including prohibiting retaliation against Human Rights Defenders (HRD) since on 16 Jan 2020. Moreover, the management representatives who are required to monitor the implementation of this policy was made in the letter no. 0107543000066 as named following</p> <ol style="list-style-type: none"> 1. Mr. Patchara P. Mr. Santi S. of Chaiburi estate 2. Mr. Piyaphong A. and Mr. Santi S. of TOPI Estate 3. Mr. Kerdboon K. and Mr. Santi S. of SIAM Estate 4. Mr. Kunakorn M. and Mr. Santi S of LAMTHAP Estate 5. Mr. Amorn C. and Mr. Santi S. of Cha-out estate 6. Mr. Adithep H. and Mr. Pipith K. of TOPI MILL 	<p>Complied</p>

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		<p>7. Mr. Anirut J. and Mr. Pipit K. of SIAM MILL</p> <p>8. Mr. Somkait K. and Mr. Pipit K. of LAMTHAP MILL</p> <p>9. Mr. Pattanaphong J. and Mr. Jakkaphant C. of Kuraburi Mill Pang Nga province</p> <p>10. Mr. Wachiraphant and Mr. Jakkaphant C. of Pabon MILL Pattalung province</p> <p>The company is recognizing that Human Rights are universal and apply to all without any form of distinction. They support the implementation of the International Bill of Human Rights, the UN guiding principles on business and human rights, and of the ILO Declaration on Fundamental Principles and Rights at Work. UNIVANICH prohibits retaliation against Human Rights Defenders (HRD) and complainants including intimidation and harassment by UNIVANICH employees and contracted services. The contractors and their employees that provided services to the company have signed the Contractor Induction.</p> <p>During the interview with sampled workers from the mill and estate and sampling stakeholder, the result from the interview confirmed that there is no discrimination, or any violence and harassment e happened in this company.</p>	
4.1.2	<p>The unit of certification does not instigate violence or use any form of harassment, including the use of mercenaries and paramilitaries in their operations.</p> <p>- Minor compliance -</p>	<p>Based on an interview with stakeholders, employees, casual workers, Siam Palm estate, Wannee division, Nanua division, Topi estate, Lamthap estate, and Chauat estate community, it was known that UNIVANICH never instigate violence or use any form of harassment, including use of mercenaries and paramilitaries in the operation.</p>	Complied
Criteria 4.2: There is a mutually agreed and documented system for dealing with complaints and grievances, which is implemented and accepted by all affected parties.			
4.2.1	<p>(C) The mutually agreed upon system, open to all affected parties, resolves dispute in an effective, timely and appropriate manner, ensuring anonymity of complainants, HRD, community spokespersons and</p>	<p>UNIVANICH has the following systems in place to deal with complaints, grievance and dispute procedures.</p> <p>The procedure to receive the complaints from external (stakeholders) and internal PM-FD-05 UVAN Revision 0 Effective on 10 Nov 2020.</p>	Complied

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	<p>whistleblowers, where requested, without risk of reprisal or intimidation and follows the RSPO policy on respect for HRD.</p> <p>- Critical (Major) compliance -</p>	<p>Beside policy prohibiting retaliation against Human Rights Defenders (HRD) and Whistle blower policy was established on 7 Nov 2020. The grievance and complaints mechanism communicate and allow for the complainant to agree on the process of the grievance mechanism. The system allows complaints to be made anonymously by using the mail, telephone, verbal and suggestion box.</p> <p>The complainants can also call the Estate and Mill manager who named as follows</p> <ol style="list-style-type: none"> 1. Mr. Patchara P. Mr. Santi S. of Chaiburi estate 2. Mr. Piyaphong A. and Mr. Santi S. of TOPI Estate 3. Mr. Kerdboon K. and Mr. Santi S. of SIAM Estate 4. Mr. Kunakorn M. and Mr. Santi S of LAMTHAP Estate 5. Mr. Amorn C. and Mr. Santi S. of Cha-out estate 6. Mr. Adithep H. and Mr. Pipith K. of TOPI MILL 7. Mr. Anirut J. and Mr. Pipit K. of SIAM MILL 8. Mr. Somkait K. and Mr. Pipit K. of LAMTHAP MILL 9. Mr. Pattanaphong J. and Mr. Jakkaphant C. of Kuraburi Mill Pang Nga province 10. Mr. Wachiraphant and Mr. Jakkaphant C. of Pabon MILL Pattalung province 	
<p>4.2.2</p>	<p>Procedures are in place to ensure that the system is understood by the affected parties, including by illiterate parties.</p> <p>- Minor compliance -</p>	<p>The grievance mechanism (PM-FD-05 UVAN Revision 0 Effective on 10 Nov 2020) was developed to provide a way to reduce risks from company employees, offer external stakeholders an effective avenue for expressing concerns achieving remedies, and promote a mutually constructive relationship with all relevant stakeholders and the company.</p> <p>Estate and POM manager representatives will be the primary interface between UNIVANICH and any external stakeholders intending to raise</p>	<p>Complied</p>

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		<p>their grievances whereas Management and Human Resource Departments will be the primary interface between the company and employees on grievances related to the labour part and social safety of employees.</p> <p>Representatives of each Mill and estate were reporting, Grievance Tracking Database and will ensure all grievances are channelled to relevant departments. The progress of the resolution process was informed to the relevant parties within 14 days and also can be appeal within 30 days. Results or decisions are communicated to the parties already by the committee meeting.</p>	
4.2.3	<p>The unit of certification keeps parties to a grievance informed of its progress, including against agreed timeframe and the outcome is available and communicated to relevant stakeholders.</p> <p>- Minor compliance -</p>	<p>The progress of the resolution process was informed to the relevant parties within 14 days and also can be appeal within 30 days. The results or decisions are communicated to the parties already by the committee meeting. If complaints and grievance arise, it is recorded into the logbook, including the date of complaint received, the name of the complainant (if the complainant has no objections to giving the name), information of outcomes or decision of the grievances, and the date of the outcomes or decision. All matter will be communicated to the complainant.</p> <p>Based on interviewing from stakeholder consultation, they understood that the company let the relevant stakeholders know the progress for all grievances and confirmed whether is there any no complaints and grievances submitted by those complainants within the past 12 months.</p>	Complied
4.2.4	<p>The conflict resolution mechanism includes the option of access to independent legal and technical advice, the ability for complainants to choose individuals or groups to support them and/or act as observers, as well as the option of a third-party mediator.</p> <p>- Minor compliance -</p>	<p>As per the Grievance Mechanism mentioned in indicator 4.2.2, the complainant has the option of accessing independent legal and technical advice of their choice and the ability to choose individuals or groups to support them as well as option of a third party mediator.</p>	Complied

Criteria 4.3: The unit of certification contributes to local sustainable development as agreed by local communities.

<p>4.3.1</p>	<p>Contributions to community development that are based on the results of consultation with local communities are demonstrated</p> <ul style="list-style-type: none"> - Minor compliance - 	<p>From interviewing during the stakeholder consultation and with the local communities. The UNIVANICH demonstrated contributions to local development. Based on stakeholder consultation, it showed that local communities are always prioritized within mill and estate.</p> <p>UNIVANICH made community development projects/planning of Y2022 and 2023 for example</p> <ul style="list-style-type: none"> - Supportive extension service for knowledge sharing and technical advice on growing oil palm. Through coordination with the District Agriculture to educate farmers on the following: <ol style="list-style-type: none"> 1. General knowledge of oil palm farming 2. Planting techniques and the importance of selecting the correct planting material. 3. Oil Palm Seedling handling. 4. Upkeep practices. 5. Pest and Disease Control. 6. Fertiliser Application. 7. Replanting methods. 8. Basic Budgeting. 9. Sustainable production of oil palm and benefits of becoming RSPO certified - Chauat estate, road maintenance was conducted in entrance area of estate on 3 Feb 2023 and 20 Apr 2023 - Supported community projects with donations , highlights the areas of focus, predominantly in Healthcare support and Covid relief support of Y2021 and 2022 	<p>Complied</p>
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Criteria 4.4: The unit of certification contributes to local sustainable development as agreed by local communities.

<p>4.4.1</p>	<p>(C) Documents showing legal ownership or lease, or authorised use of customary land authorised by customary landowners through a Free, Prior and Informed Consent (FPIC) process. Documents related to the history of land tenure and the actual legal or customary use of the land are available.</p> <p>- Critical (Major) compliance -</p>	<p>Univanich Palm Oil Public Company Limited has the legal ownership of lands. The government approved documented detail of the land areas and the landowner's name was clearly indicated the name of Univanich. Meaning that the legal land deeds and licenses could demonstrate the history of land tenure and the land's actual legal or customary use.</p> <p>For example, the land title are available at Chauat estate , lasted update 2022, are composed of following.</p> <ol style="list-style-type: none"> 1. Nor Sor 4. Jor. Total area 1134-195-6516 Rai; 183 HA 2. Nor Sor 3. Total area 250-30-875 Rai; 42 HA 3. Nor Sor 3. Kor. Total area 518-87-2259 Rai; 83 HA 4. Nor Sor 3. Kor. Total area 7-3-20 Rai; 1.25 HA 5. No title Total area 2-3-91 Rai; 2 HA 6 Nor Sor 4. Jor. Total area 1-2-63; 0.16 HA. <p>Maps are delineated in the land deeds and licenses. While the estate map showing legend, scaled and geo-referenced coordinates sampled can be seen the detail in Appendix 4. Since the land owned by Univanich for many decades under the legal document prove, there is no evidence of dispute on those lands during the assessment.</p>	<p>Complied</p>
<p>4.4.2</p>	<p>Copies of documents evidencing agreement-making processes and negotiated agreements detailing the FPIC process are available and include:</p> <p>- Minor compliance -</p> <p>4.4.2a Evidence that a plan has been developed through consultation and discussion in good faith with all affected groups in the communities, with particular assurance that vulnerable, minorities and gender groups are consulted, and that information has been provided to all affected groups, including information on the steps that are taken to involve them in decision making;</p>	<p>There are no identified areas with recognized legal, customary or user rights of other external parties in all the estate where are required to have follow FPIC process. From verified, the documentation and stakeholder consultation showed no customary land among estates and mill owned by Univanich Palm Oil Public Company Limited. Meaning that Univanich is legal owner on the lands. There is no evidence of land encroachment by Univanich Palm Oil Public Company Limited.</p> <p>According to an interview with a stakeholders (Mill and estate) who were chosen randomly, it was explained that no unresolved issues/grievances arise related to use of the land for oil palm crops within the past 12 months. The company carried out field visit regularly to gather</p>	<p>Complied</p>

		<p>information from smallholders and villagers although there is no issues related to land dispute before. This is part of the FPIC process that is implemented by the company. UNIVANICH demonstrated stakeholder communication by communicating to the landlord by arranging the meeting. For instance, the latest meeting was held under the collaboration between TOPI and SIAM POM for those stakeholder on 17 Sep 2022 and 19 Sep 2022, respectively. While Lamthap Mill Including Cha aut Division arranged the meeting on 16 Dec 2022.</p>	
	<p>4.4.2b Evidence that the unit of certification has respected communities' decisions to give or withhold their consent to the operation at the time that these decisions were taken;</p>	<p>UNIVANICH made consultations and meeting with the Incorporated Land Groups and its member to discuss the terms for land's sub-lease agreement. UNIVANICH demonstrated stakeholder communication by communicating to the landlord by meeting together for example held TOPI and SIAM POM carried out stakeholder meetings on 17 Sep 2022 and 19 Sep 2022 and Lamthap Mill Including Cha aut Division meeting on 16 Dec 2022.</p>	
	<p>4.4.2c Evidence that the legal, economic, environmental and social implications of permitting operations on their land have been understood and accepted by affected communities, including the implications for the legal status of their land at the expiry of the unit of certification's title, concession or lease on the land.</p>	<p>UNIVANICH has fully developed the leasehold land transferred from predecessors or through the purchase and transfer of title. There will be no further own-land expansion from the government. Therefore, in order to maintain the "FPIC" requirements, UNIVANICH has developed the Land Negotiation Procedure to manage and resolve when there is dispute over the land. The land deeds and land licenses showing Univanich as the land owner for each land piece are available and kept onsite. Therefore, there is no case with those affected villagers or other land owner on the dispute over the land for decades. However, UNIVANICH demonstrated stakeholder communication by communicating to the landlord through the meeting together e.g. TOPI and SIAM POM carried out stakeholder meetings on 17 Sep 2022 and 19 Sep 2022, respectively. While Lamthap Mill Including Cha aut Division was held the meeting on 16 Dec 2022.</p>	

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4.4.3	<p>(C) Maps of an appropriate scale showing the extent of recognised legal, customary or user rights are developed through participatory mapping involving affected parties (including neighbouring communities where applicable, and relevant authorities).</p> <p>- Critical (Major) compliance -</p>	<p>The mapping of the customary and user rights is developed in participatory. This has been established in the SEIA and HCV assessment report carried out by Forest Industry Organization. Confirmed with the documentation and stakeholder consultation show no customary land within Univanich Palm Oil Public Company Limited. The lands have ownership by law. There is no evidence of land encroachment by Univanich Palm Oil Public Company Limited. The overall map covering the entire Lands that are leased by UNIVANICH is available and UNIVANICH maintained its' own GIS team to manage the mapping..</p>	Complied
4.4.4	<p>All relevant information is available in appropriate forms and languages, including assessments of impacts, proposed benefit sharing, and legal arrangements.</p> <p>- Minor compliance -</p>	<p>The SEIA, HCV report, meeting minutes, procedures, maps are all provided in Thai which is the main language in Thailand</p>	Complied
4.4.5	<p>(C) Evidence is available to show that communities are represented through institutions or representatives of their own choosing, including by legal counsel if they so choose.</p> <p>- Critical (Major) compliance -</p>	<p>Discussion with the Head of the village (Stakeholders) that they have selected their own representative to negotiate with UNIVANICH. There was no legal counsel representing the village. The village chief confirmed that there is no required legal counsel.</p>	Complied
4.4.6	<p>There is evidence that implementation of agreements negotiated through FPIC is annually reviewed in consultation with affected parties.</p> <p>- Minor compliance -</p>	<p>Communicating with the landlord by meeting together for example held TOPI and SIAM POM carried out stakeholder meetings on 17 Sep 2022 and 19 Sep 2022 and Lamthap Mill Including Cha aut Division meeting on 16 Dec 2022, a part of the discussion was the fulfilment of the Social Contract which was part of the agreement that the villagers consent for UNIVANICH for this development and implementation of agreements negotiated through FPIC is annually reviewed in consultation with affected parties. Although there is no dispute over the land for decades.</p>	Complied

Criteria 4.5: No new plantings are established on local peoples' land where it can be demonstrated that there are legal, customary or user rights, without their FPIC. This is dealt with through a documented system that enables these and other stakeholders to express their views through their own representative institutions.

4.5.1	<p>(C) Documents showing identification and assessment of demonstrable legal, customary and user rights are available.</p> <p>- Critical (Major) compliance -</p>	<p>There is no new planting after November 2005. confirmation with stakeholder consultation and site verification, it was confirmed that no new planting within the certification unit has not replaced or established on local peoples' land, customary or user rights, without their FPIC. Thus this criterion is not applicable.</p>	Not Applicable
4.5.2	<p>(C) FPIC is obtained for all oil palm development through a comprehensive process, including, in particular, full respect for their legal and customary rights to the territories, lands and resources via local communities' own representative institutions, with all the relevant information and documents made available, with the option of resourced access to independent advice through a documented, long-term and two-way process of consultation and negotiation.</p> <p>- Critical (Major) compliance -</p>	<p>There is no new planting after November 2005. confirmation with stakeholder consultation and site verification, it was confirmed that no new planting within the certification unit has not replaced or established on local peoples' land, customary or user rights, without their FPIC. Thus this criterion is not applicable.</p>	Not Applicable
4.5.3	<p>Evidence is available that affected local peoples understand they have the right to say 'no' to operations planned on their lands before and during initial discussions, during the stage of information gathering and associated consultations, during negotiations, and up until an agreement with the unit of certification is signed and ratified by these local peoples. Negotiated agreements are non-coercive and entered into voluntarily and carried out prior to new operations.</p> <p>- Minor compliance -</p>	<p>There is no new planting after November 2005. confirmation with stakeholder consultation and site verification, it was confirmed that no new planting within the certification unit has not replaced or established on local peoples' land, customary or user rights, without their FPIC. Thus this criterion is not applicable.</p>	Not Applicable
4.5.4	<p>To ensure local food and water security, as part of the FPIC process, participatory SEIA and participatory land-use planning with local peoples, the full range of food and water provisioning options are considered. There is transparency of the land allocation process</p> <p>- Minor compliance -</p>	<p>There is no new planting after November 2005. confirmation with stakeholder consultation and site verification, it was confirmed that no new planting within the certification unit has not replaced or established on local peoples' land, customary or user rights, without their FPIC. Thus this criterion is not applicable.</p>	Not Applicable
4.5.5	<p>Evidence is available that the affected communities and rights holders have had the option to access information and advice that is independent</p>	<p>There is no new planting after November 2005. confirmation with stakeholder consultation and site verification, it was confirmed that no new planting within the certification unit has not replaced or established</p>	Not Applicable

	of the project proponent, concerning the legal, economic, environmental and social implications of the proposed operations on their lands. - Minor compliance -	on local peoples' land, customary or user rights, without their FPIC. Thus this criterion is not applicable.	
4.5.6	Evidence is available that the communities (or their representatives) gave consent to the initial planning phases of the operations prior to the issuance of a new concession or land title to the operator. - Minor compliance -	There is no new planting after November 2005. confirmation with stakeholder consultation and site verification, it was confirmed that no new planting within the certification unit has not replaced or established on local peoples' land, customary or user rights, without their FPIC. Thus this criterion is not applicable.	Not Applicable
4.5.7	New lands will not be acquired for plantations and mills after 15 November 2018 as a result of recent (2005 or later) expropriations in the national interest without consent (eminent domain), except in cases of smallholders benefitting from agrarian reform or anti-drug programmes. - Minor compliance -	At the time, no new development after 15 November 2018 in scope of certificate holder. Thus this criterion is not applicable.	Not Applicable
4.5.8	(C) New lands are not acquired in areas inhabited by communities in voluntary isolation. - Critical (Major) compliance -	At the time, no new development after 15 November 2018 in scope of certificate holder. Thus this criterion is not applicable.	Not Applicable
Criteria 4.6: Any negotiations concerning compensation for loss of legal, customary or user rights are dealt with through a documented system that enables Indigenous Peoples, local communities and other stakeholders to express their views through their own representative institutions.			
4.6.1	(C) A mutually agreed upon procedure for identifying legal, customary or user rights, and a procedure for identifying people entitled to compensation, is in place. - Critical (Major) compliance -	UNIVANICH demonstrates Land Negotiation Procedure, A Guide to Acquiring Land for Oil Palm Development was established with flow charts to boost awareness sessions among stakeholders/land owners concerning the process for establishing new development respectively in accordance with the lease-lease back and alienated land approaches. From the verification on the land deeds and land license, it was found that UNIVANICH has the legal ownership of lands. It was also confirmed during the audit (through stakeholder consultation and site verification) that no new planting within the certification unit has not replaced on	Complied

		local peoples' land where it can be demonstrated that there are legal, customary, or user rights, without their FPIC case.	
4.6.2	<p>(C) A mutually agreed upon procedure for calculating and distributing fair and gender-equal compensation (monetary or otherwise) is established and implemented, monitored and evaluated in a participatory way, and corrective actions taken as a result of this evaluation.</p> <p>- Critical (Major) compliance -</p>	<p>UNIVANICH demonstrates Land Negotiation Procedure; Procedure for identifying legal, customary or user rights (Procedure no.6.9) was established. Procedure for remediation and compensation (Procedure no.6.10). Those procedures will be applied to all certification units owned by UNIVANICH in case there is the case of land dispute with other stakeholder. A guide to acquiring Land for Oil Palm Development was established with flow charts to boost awareness sessions among stakeholders/land owners concerned.</p>	Complied
4.6.3	<p>Evidence is available that equal opportunities are provided to both men and women to hold land titles for small holdings.</p> <p>- Minor compliance -</p>	<p>Based on a list of employees at both of Mill and all estates as well as interviewing results with employees and management revealed that the company is currently implementing equal opportunities for workers through the following:</p> <ul style="list-style-type: none"> • Most workers from local communities are not being discriminated e.g. they have been provided housing free of charge although sometime they are preferred to stay at their own home. • The workers are women and men (office and plantation) • Employment opportunities are provided fairly based on qualification and advertised in local mass media or local government office. • Training is given to all workers every year covering training relating to their working station, personnel training such as communication skills and safety and health training. • All employees are covered with working insurance, and Termination is conducted based on local laws and stated in the worker's employment agreement. • No complaints regarding discrimination were raised. 	Complied

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4.6.4	<p>The process and outcomes of any negotiated agreements, compensation and payments are documented, with evidence of the participation of affected parties, and made publicly available to them.</p> <p>- Minor compliance -</p>	<p>Procedure for identifying legal, customary or user rights (Procedure no.6.9) was established. Procedure for remediation and compensation (Procedure no.6.10) was also established. Those procedures will be applied to all certification units owned by UNIVANICH. Result from verification found that UNIVANICH have the legal ownership over the land. It was confirmed during the audit (through stakeholder’s consultation and site verification) that no new planting within the certification unit replaced on local peoples’ land where it can be demonstrated that there are legal, customary or user rights, without their FPIC.</p>	Complied
<p>Criteria 4.7: Where it can be demonstrated that local peoples have legal, customary or user rights, they are compensated for any agreed land acquisitions and relinquishment of rights, subject to their FPIC and negotiated agreements.</p>			
4.7.1	<p>(C) A mutually agreed upon procedure for identifying people entitled to compensation is in place.</p> <p>- Critical (Major) compliance -</p>	<p>There is a process for identifying those people entitled to compensation when customary land is involved, in line with SEIA. Procedure for identifying legal, customary or user rights (Procedure no.6.9) was established. While the procedure for remediation and compensation (Procedure no.6.10) was also established. Those procedure will be applied to all certification units owned by UNIVANICH in case new development impact to the people who is entitled to the compensation. However, there is no new land development only the replanting has been found during the audit especially at Siam estate</p>	Complied
4.7.2	<p>(C) A mutually agreed upon procedure for calculating and distributing fair compensation (monetary or otherwise) is in place and documented and made available to affected parties.</p> <p>- Critical (Major) compliance -</p>	<p>Procedure for identifying legal, customary or user rights (Procedure no.6.9) was established. Procedure for remediation and compensation (Procedure no.6.10) will be applied if necessary under this requirement</p> <p>The procedure explaining type of land acquisition as Customary land and Incorporated land groups. A mutually agreed procedure for calculating and distributing fair compensation are describes within the procedure.</p>	Complied

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4.7.3	Communities that have lost access and rights to land for plantation expansion are given opportunities to benefit from plantation development. - Minor compliance -	Procedure for identifying legal, customary or user rights (Procedure no.6.9) was established. Procedure for remediation and compensation (Procedure no.6.10) will be applied if necessary under this requirement	Complied
Criteria 4.8: The right to use the land is demonstrated and is not legitimately contested by local people who can demonstrate that they have legal customary, or user rights.			
4.8.1	Where there are or have been disputes, proof of legal acquisition of title and evidence that mutually agreed compensation has been made to all people who held legal, customary, or user rights at the time of acquisition is available and provided to parties to a dispute, and that any compensation was accepted following a documented process of FPIC. - Minor compliance -	Based on interviews with stakeholders and document review, there was no land acquisition by UNIVANICH since the last assessment. Therefore, neither any customary land nor issue of disputes occurred within Univanich certification units where requires to have compensation or FPIC process	Complied
4.8.2	(C) Land conflict is not present in the area of the unit of certification. Where land conflict exists, acceptable conflict resolution processes (see Criteria 4.2 and 4.6) are implemented and accepted by the parties involved. In the case of newly acquired plantations, the unit of certification addresses any unresolved conflict through appropriate conflict resolution mechanisms. - Critical (Major) compliance -	Based on interviews with stakeholders and document review, there was no land acquisition by UNIVANICH since the last assessment. Therefore, neither any customary land nor issue of disputes occurred within Univanich certification units where requires to have compensation or FPIC process	Complied
4.8.3	Where there is evidence of acquisition through dispossession or forced abandonment of customary and user rights prior to the current operations and there remain parties with demonstrable customary and land use rights, these claims will be settled using the relevant requirements (Indicators 4.4.2, 4.4.3 and 4.4.4). - Minor compliance -	Based on interviews with stakeholders and document review, there was no land acquisition by UNIVANICH since the last assessment. Therefore, neither any customary land nor issue of disputes occurred within Univanich certification units where requires to have compensation or FPIC process	Complied
4.8.4	For any conflict or dispute over the land, the extent of the disputed area is mapped out in a participatory way with involvement of affected parties (including neighbouring communities where applicable). - Minor compliance -	Based on interviews with stakeholders and document review, there was no land acquisition by UNIVANICH since the last assessment. Therefore, neither any customary land nor issue of disputes occurred within Univanich certification units where requires to have compensation or FPIC process	Complied

Principle 5: Support smallholder inclusion			
Include smallholders in RSPO supply chains and improve their livelihoods through fair and transparent partnerships.			
Criteria 5.1: The unit of certification deals fairly and transparently with all smallholders (Independent and Scheme) and other local businesses.			
5.1.1	<p>Current and previous period prices paid for FFB are publicly available and accessible by smallholders.</p> <p>- Minor compliance -</p>	<p>FFB price was set to align with dit.go.th. (Department of Internal Trade of Thailand) where is control the selling prices for those consumer products including palm oil. The price calculation carried out with consideration of the international palm product value price of CPO price. The FFB price are made publicly available and accessible by smallholders in notice boards of each POM.</p> <p>- Sighted FFB price for TOPI Estate for period 1-5 May 2023 Mill gate price at 5.50/kg.</p>	Complied
5.1.2	<p>(C) Evidence is available that the unit of certification regularly explains the FFB pricing to smallholders.</p> <p>- Critical (Major) compliance -</p>	<p>Communication on the FFB pricing was done on 01-04 May 2023 as the latest. The FFB price was made to align with the FFB price factors comprise of world price of oil, FOB factor, extraction ratio, (determined by national government through Palm Oil Board), levies, transport cost and sample of FFB price calculation. However, it is under the control from Department of Internal Trade of Thailand.</p> <p>The company has made trainings/dissemination to smallholders with topic FFB price calculation.</p> <p>Based on interview with smallholders, they have understood the pricing mechanism and keep the records of FFB weighing and fortnightly payslips. For instance, based on the smallholder payslips (Mrs. Daowilai S. No. TM 12310515) on 01 May 2023, Mrs. Sorpawan S. No. TM 120799 on 01 May 2023 shown that the FFB were bought with price rate THB 5.80/kg of FFB. Smallholder confirmed during the interview that there is no payment issues related to the payment documentation.</p>	Complied
5.1.3	<p>(C) Fair pricing, including premium pricing, when applicable, is agreed upon with smallholders in the supply base and documented.</p>	<p>The Univanich Palm Oil Public Company Limited established document informed to group member during the meeting that all members of the</p>	Complied

	<p>- Critical (Major) compliance -</p>	<p>certified product sales as premium price were received. The group made the latest document announcement on 2nd March 2023, as an agreement with the factory to purchase produce from members of the group, signed by Mr.Kuekun ., who is authorized to sign under the Laws of The Univanich Palm Oil Public Company Limited. This premium price payment is made by the factory, which is paid directly to the member who send the FFB to the factory. Example verified document :Members ID. 12-06 (Mr. Sombat R.) sold FFB on date 2 May 2023 2,980 MT price = 7.20 baht/kgs, totally = 21,456 baht with Weighting/payment no. 65051273 (R325), date 2 May 2022.</p>	
<p>5.1.4</p>	<p>(C) Evidence is available that all parties, including women and independent representative organisations assisting smallholders where requested, are involved in decision-making processes and understand the contracts. These include those involving finance, loans/credits, and repayments through FFB price reductions for replanting and or other support mechanisms where applicable.</p> <p>- Critical (Major) compliance -</p>	<p>The Univanich Palm Oil Public Company Limited has involved all parties including women in decision-making process. For instance, based on field observation and interview with Mrs.Wanida Promsuwan Representative and female smallholders it is known that she has been involved in decision making. The dissemination has been provided comprising FFB pricing and the calculation as well as payment terms..Other than that, the company’s policy to strengthen the women.In addition, The Univanich Palm Oil Public Company Limited support women in the community by providing financial literacy training, etc.</p>	<p>Complied</p>
<p>5.1.5</p>	<p>Contracts are fair, legal and transparent and have an agreed timeframe.</p> <p>- Minor compliance -</p>	<p>There is no specific contract between smallholder and the company. Based on interview with sample of smallholders, as well as document review of weighing slips and payments slips, it can be concluded that The Univanich Palm Oil Public Company Limited and OSP extension officers has provided explanation on the FFB quality, FFB price and the calculation, payment terms. For instance, the smallholders can explain the items in payment slips, such as tonnage, levies, farmgate price, mill gate prices. They also have understood that the FFB shall be sold to TOPI Mill and to other Mills or FFB transporter. The smallholder said</p>	<p>Complied</p>

		that the documentation system is good in terms of transparency and details.	
5.1.6	<p>(C) Agreed payments are made in a timely manner and receipts specifying price, weight, deductions and amount paid are given.</p> <p>- Critical (Major) compliance -</p>	<p>The agreed payment time is fortnightly, and the receipts have specified variables of tonnage, mill gate prices (if the smallholder brings the FFB to the Mill), loans, and net pay. They also have understood that the FFB shall be sold only to TOPI Mills. The smallholder said that the documentation system is good in terms of transparency and details, the payments are also on time fortnightly. For instance, based on the smallholder payslips Example verified document :Members ID. 12-06 (Mr. Sombat R.) sold FFB on date 2 May 2023, 2,980 MT price = 7.20 baht/kgs, totally = 21,456 baht with Weighting/payment no. 65051273 (R325), date 2 May 2023.</p>	Complied
5.1.7	<p>Weighing equipment for trading is verified annually in compliance with national law and regulation. [(Weights and Measures Act, B.E.2542 (1999)].</p> <p>- Minor compliance -</p>	<p>Weighbridges used for weighing of FFB from their own estates and also smallholders are verified by Central Bureau of Weights and Measures. The calibration was done c once a year in order to comply with the law and regulation related to the measuring device under the control from Department of Internal Trade. The last calibration was done on date no. 19/Jan/2023. Calibration certification no.Chor 019/66 has been reviewed during the audit</p>	Complied
5.1.8	<p>The unit of certification supports Independent Smallholders with certification, where applicable, ensuring mutual agreements between the unit of certification and the smallholders on who run the internal control system (ICS), who hold the certificates, and who holds and sell the certified material.</p> <p>- Minor compliance -</p>	<p>The Univanich Palm Oil Public Company Limited has a support the certified ISH group namely Univanich Plaipraya Sustainable Oil Palm Community Enterprise Group for the years 2023 – 2024. The UNIVANICH had to support the Office, Staff, Trainer, Fertilizer premium price, and Oil Palm seedling at a premium price, The UC had not imposed the Univanich-Plaipraya Smallholder Group supply Certified FFB to the POM, the group can manage to select the buyers by themselves, run by the member's representative committee. The ISH holds the certificates, and sells the certified using credit especially CSPO and CSPKOVia the Palm Trace. The smallholder supporting guideline will be</p>	Complied

		included and reviewed by the company management in the annual management review meeting, represented by Purchasing manager.	
5.1.9	<p>(C) The unit of certification has a grievance mechanism for smallholders and all grievances raised are dealt with in a timely manner</p> <p>- Critical (Major) compliance -</p>	<p>The company has implemented Procedure Grievance Mechanism No.PM7.Rev 01dated 25 March 2021. Section 5 Performance Indicator stipulate that Response: the target is to provide a feedback to 100% of grievances within 7 days, dealing directly with the person raising the grievance. The company has maintained Grievances Logbook.</p> <p>There was a grievance from smallholder in 2022 regarding the FFB was not collected and purchased by the company. All smallholder who were interviewed understood how to make a complaint/grievance. Interviews with group members and workers knew that they understood the mechanism to submit a complaint and grievances. Based on document verification, however, there is no complaint from group member and worker from 2022 to 2023.</p>	Complied
<p>Criteria 5.2: The unit of certification supports improved livelihoods of smallholders and their inclusion in sustainable palm oil value chains.</p>			
5.2.1	<p>The unit of certification consults with interested smallholders (irrespective of type) including women or other partners in their supply base to assess their needs for support to improve their livelihoods and their interest in RSPO certification.</p> <p>- Minor compliance -</p>	<p>The UNIVANICH supported the Office, Staff, Trainer, Fertilizer premium price, and Oil Palm seedling at a premium price for Univanich-Plaipraya Smallholder Group, without any obligation to the Univanich-Plaipraya Smallholder Group to supply Certified FFB to the POM, the group can manage by themselves, run by the member's representative committee.</p> <p>Consultation and communication has been made by Univanich Palm Oil Public Company Limited representative who visit the village or smallholder area to assess their needs for support to improve their livelihoods and their interest in RSPO certification. Available records verified during the audit such as attendance record and meeting agenda, the last meeting on 21-28 March 2023 (Mr. Kawinwat T. and Mr.Jakkrapun Wongsin were UNIVANICH Representative)</p>	Complied
5.2.2	<p>The unit of certification develops and implements livelihood improvement programmes, including at least capacity building to enhance productivity,</p>	<p>The UNIVANICH supported only the Office, Staff, Trainer, Fertilizer premium price, and Oil Palm seedling at a premium price for Univanich-</p>	Complied

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	<p>quality, organisational and managerial competencies, and specific elements of RSPO certification (including the RSPO Independent Smallholder Standard).</p> <p>- Minor compliance –</p>	<p>Plaipraya Smallholder Group, as well as helping to develop and implement livelihood improvement programs, including at least capacity building to enhance productivity, quality, organizational and managerial competencies, and specific elements of RSPO certification (including the RSPO Independent Smallholder Standard) by public training to all smallholders via CSR program or special training in case ISH request.</p>	
5.2.3	<p>Where applicable, the unit of certification provides support to smallholders to promote the legality of FFB production.</p> <p>- Minor compliance -</p>	<p>The Univanich Palm Oil Public Company Limited maintains a list of the member, including the coordinates, as those smallholder are considered as their FFB venders. From the list of the vender, all FFB production is produced from the legal land owned by the smallholder. Comparing the information between the shapefile and the ownership to use the land document. Verified the accuracy between onsite assessment and found land right document from the 17 sample members for example; member ID no.94; title land deed no. 12491, and signed agreement on 23/3/20, ID no. 08-06; title landed no. 3108, 3107, 3106, and signed agreement on 11/02/20, ID no. 194; recommendation letter date 30/04/2017, and signed agreement on 23/03/20 and ID no. 203; land license Sor Por Kor 4-01 no. 4137, and signed agreement on 23/03/20. They can demonstrate the document to prove their land and all document issue by Department of land Thailand and the government approved (permit).</p>	Complied
5.2.4	<p>(C) Evidence exists that the unit of certification trains Scheme Smallholders on pesticide handling.</p> <p>- Critical (Major) compliance -</p>	<p>The Univanich Palm Oil Public Company Limited provides pesticide handling training for smallholders. Sampled smallholders has Certificate of Herbicide Training, e.g.:</p> <p>Training with completion of each train for BMPs, including, but not limited to safe chemical use, IPM, weed, and invasive species management, has been conducted on 21-28 March 2023. Evidence of training can be demonstrated during the audit. There is evidence that the organization has a formal training program that covers all aspects of the RSPO Principles and Criteria and includes regular assessment of training needs and program documentation. During the interview with</p>	Complied

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		<p>smallholders, members confirmed that they were aware of BMPs and well implemented in the field.</p> <p>Result from the audit on the content of the training material found that it was covered all of the topics mentioned above by (Mr. Jakrapun Wongsin the Univanich agricultural expert and team). Auditor has verified training record objectives from the certificate of an individual member for each course, such as Member ID26-06 (Mrs. Jinda Sitthiphong), member ID185 (Mrs.Suwannee Thongsri), member ID16 Mr.Preedaa Chumnum and member ID 75 (Mr.Suksawat Sara)</p>	
5.2.5	<p>The unit of certification regularly reviews and publicly reports on the progress of the smallholder support programme.</p> <p>- Minor compliance -</p>	<p>The progress of smallholder support reviewed annually although they have the right to sell FFB to any mills or brokers. The last meeting was held on 26 March 2023 in Plai Phraya Board Room. The meeting attended by General Manager, Sustainability Department, Plantation Manager (Mr. Jakapun Wongsin and Mr.Krawinwat T.representatives). The meeting agenda was covered topics e.g. minutes of previous meeting; Input and output correspondences; Project financial and physical report; Update and scale based on FFB price levels and Smallholder fertilizer supporting program and their application issue.</p>	Complied
<p>Principle 6: respect workers’ rights and conditions Protect workers’ rights and ensure safe and decent working conditions.</p>			
<p>Criteria 6.1: Any form of discrimination is prohibited.</p>			
6.1.1	<p>(C) A publicly available non-discrimination and equal opportunity policy is implemented in such a way to prevent discrimination based on ethnic origin, caste, national origin, religion, disability, gender, sexual orientation, gender identity, union membership, political affiliation or age.</p> <p>- Critical (Major) compliance -</p>	<p>Univanich Palm Oil Public Company Limited has established a policy on Human rights policy. These policies were widely available and displayed in Both English and local languages, “Thai language”, and established dated on 7 October 2020, signed by Mr Harry Brock/Chief Operation Officer. The policy Booklet is also updated on 9 October 2020 subsequently.</p> <p>The policy state that the company is committed to fairly, both in terms of reception, assessment, conditions and working environment, as well</p>	Complied

		as the representation, regardless of race, caste, national origin, religion/belief, disability, gender, sexual orientation, union membership workers, political affiliation or age” The policy has been socialized to every employee on announcement board at the mill and all estates.	
6.1.2	<p>(C) Evidence is provided that workers and groups including local communities, women, and migrant workers have not been discriminated against. Evidence includes migrant workers' non-payment of recruitment fees.</p> <p>- Critical (Major) compliance –</p>	<p>Based on a list of employees both of Mill and all estates., interviewing was done and it results of the interview with employees and management revealed that the company is currently implementing equal opportunities for workers through the following:</p> <ul style="list-style-type: none"> • .The workers are women and men (office and plantation) • Employment opportunities are provided fairly based on qualification and advertised in local mass media or local government office. • Training is given to the workers every year covering training relating to their working station, personnel training such as communication skills and safety and health training. • All employees are covered with working insurance, and termination is conducted based on local laws and stated in the worker's employment agreement. • No complaints regarding discrimination were raised. 	Complied
6.1.3	<p>The unit of certification demonstrates that recruitment selection, hiring, access to training and promotion are based on skills, capabilities, qualifications and medical fitness necessary for the jobs available.</p> <p>- Minor compliance -</p>	<p>The recruitment can be made directly via the Human Resource Department of each Mill and estate. From worker interview and document, The UNIVANICH’s employees promoted based on skills, capabilities, qualifications and suitability for the job and medical fitness where it is necessary. There is no evidence showing that the recruitment has been done against discrimination from reasons e.g. race, gender, age and etc.</p> <p>From the list of Management and Supervisors (Include as one combined group) staffs, women are named as the management and take up the role relevant to her capability e.g. QC and laboratory analyst as well as financial manager</p>	Complied

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<p>6.1.4</p>	<p>Pregnancy testing is not conducted as a discriminatory measure and is only permissible when it is legally mandated. Alternative equivalent employment is offered for pregnant women.</p> <p>- Minor compliance -</p>	<p>UNIVANICH established Human rights policy and Code of Conduct on date 7 October 2020, signed by Mr. Harry Brock/ CEO. The announcement of this updated policy was done on 9 October 2020 by posted on the company’s boards at workplaces.</p> <p>From document review and result from interviews with sampling women workers and employees, it was not found that medical examination record especially pregnancy test and/or question about pregnancy status was conducted for a female employee in the process of recruitment which could demonstrate that there was no discrimination in the hiring process. To protect the reproduction right of women workers, in case those women workers get pregnant, they will be offered light work and work that does not involve chemicals.</p>	<p>Complied</p>
<p>6.1.5</p>	<p>(C) A gender committee is in place specifically to raise awareness, identify and address issues of concern, as well as opportunities and improvements for women.</p> <p>- Critical (Major) compliance -</p>	<p>The company established a Gender Committee in each mill and estate. For example at SIAM Mill, gender committee was established among 5 members on 1 May 2021 to 31 Oct 2023. This committee conduct meeting at least once per three months, and the latest meeting was on 31 Mar 2023 together with welfare committee and Safety Committees meeting. The committee members specifically discussed any arising issued related to the women workers, awareness, identifying and addressing issues of concern, and opportunities and improvements for women.</p> <p>Examples of the topics discussed are related housing, safety activity (helmet), compensate to employees who are close to be retired according to the retirement ages and the Yearly health check-up program.</p> <p>The issues related to opportunities for improvement for women were also discussed by the committee during the latest meeting, such as increasing the channel to a complaint from women issue, providing new welfare for new mothers.</p>	<p>Complied</p>

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6.1.6	<p>There is evidence of equal pay for the same work scope.</p> <p>- Minor compliance -</p>	<p>Reviewed the payslips for both gender, male and female workers found that they were paid in accordance with the minimum wage announced by Krabi province since on 1 Oct 2022. The minimum wage for Krabi province is currently at 340 Baht/day, Nakhon Si Thammarat is 332 Baht/day.</p> <p>The salary, wage and conditions of work are made and conformed with the legislation of the Department of Labour as well as it was described in the Thai language in the job description and contracts signed between the company and all workers. The salary is fair (equal) between all workers performing the same work scope as sampled new employees in Y2022. The payment for those daily workers according to the terms in contractual arrangement was paid twice a month. While the total income per day during verification found that it was higher than 332 and 340 Baht/day or the minimum wage. There was not found the evidence of non-equal pay for the same work scope. The wage will be adjusted to higher depends on the job scope and the position. There is no discrimination of pay based on gender.</p>	Complied
<p>Criteria 6.2: Pay and conditions for staff and workers and for contract workers always meet at least legal or industry minimum standards and are sufficient to provide decent living wages (DLW).</p>			
6.2.1	<p>(C) Applicable labour laws, union and/or other collective agreements and documentation of pay and conditions are available to the workers in Thai languages (Thai) and explained to them in language they understand.</p> <p>- Critical (Major) compliance -</p>	<p>The UNIVANICH company work rule was remade as the Employee Handbook revised 2021 where summary of employment conditions was clearly stated in the handbook such as applicable labour laws such as rate of pay, hours of work per day, weekly rest day, overtime pay, the rate for working on rest day/public holiday, maternity leave, medical leave, mutual termination notice, duration of notice, etc. Employment contracts with those workers are made in Thai language where is the national language for Thai. Conditions of payment, i.e., the agreed working hours, overtime pay, work on rest day pay, are also contained in the employment contracts. Based on the result from interview with sampling workers and welfare committee representative that made</p>	Complied

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		randomly, it is known that Employee Handbook has been disseminated to workers and from direct observation found that the regulations were posted at company's board at all workplaces of all mills and estates.	
6.2.2	<p>(C) Employment contracts and related documents detailing payments and conditions of employment (e.g. regular working hours, deductions, overtime, sick leave, holiday entitlement, maternity leave, reasons for dismissal, period of notice, etc. in compliance with national legal requirements) and payroll documents give accurate information on compensation for all work performed, including work done by family members.</p> <p>- Critical (Major) compliance -</p>	<p>The Company Regulation was updated on 2021 and disseminated to the management units under Univanich. From verification on the employment contracts signed between the management of Estates and/or the Mill and their employees, it was covered the payment terms and provisions covering working hours, deductions, overtime, sick leaves, holiday entitlement, maternity leave, reasons for dismissal, period of notice, etc.</p> <p>All contract agreement were prepared in Thai language, which is a predominant and national language in the workplaces. The contract arrangement with the workers are fully in comply with the Thai labour laws. Employment contract contains the following information: employee name, income, overtime pay, deductions (social security), worker no., payroll date, earning (regular work, overtime pay, public holiday pay), Department, total pay, total OT. From the payment record checked randomly, it was found that pay slips for October 2022 and March 2023 sighted for workers who were chosen for the audit showed that the salary and benefit payment was done in compliance with the contract/agreement and also in compliance with the Thai regulation. The payslip is the document that gives accurate information on compensation for all work performed.</p>	Complied
6.2.3	<p>(C) There is evidence of legal compliance for regular working hours, deductions, overtime, sickness, holiday entitlement, maternity leave, reasons for dismissal, period of notice and other legal labour requirements.</p> <p>- Critical (Major) compliance -</p>	<p>The Company Regulation or company work rules was updated on 2021. All employees of UNIVANICH (Including casual workers) are provided with written and understandable information about their employment conditions in respect to wages before entering the employment process, in particular information related to their wages and also payment period as well as the working hours and benefit from overtime working. The</p>	Complied

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		<p>working hour indicated in the company work rules are as following detail;</p> <ul style="list-style-type: none"> • Office of all mill and all estate : Working day: Monday to Saturday and off on Sunday. 6 Working day in 7 days • Working Time: (normal work week is limited as within 48 hours maximum) • Office and production and estate : 08:00 to 17:00 • Shift operation (POM only): 2 shifts 08:00 to 17:00 pm and 20:00 to 05:00 am, • Break hour: total 1 hour on lunch break (12:00-13:00) • Manual record and Fingers scanning was using to record working hours. • Public holiday in the year 2023 was announced 13 days per year including Labour Day as required by local law. • Sick leave: follow the local laws required 30 days per year. All sick lave are payable. • Annual leave: 6 days per year. Annual leave shall be requested by worker. • During the assessment, there is no pregnant worker working on site. This has been confirmed through records. Based on the records, there is no pregnant worker found working overtime. It was found that some pregnant workers are assigned to work in store with the light work. • Overtime work is voluntary (agreed by both parties when asked to work overtime). 	
6.2.4	<p>(C) Where no such public facilities are available or accessible, the unit of certification provides adequate housing, sanitation facilities, water supplies, medical, educational and welfare amenities to national standards or above, including the ILO Guidance on Workers’ Housing</p>	<p>Workers are also accorded with water supplies, electricity, medical, and welfare as required under the Labour Protection Act BE 2541 (1998, with updates as of 2017).</p>	Complied

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	<p>Recommendation No. 115, is used. In the case of acquisitions of non-certified units, a plan is developed detailing the upgrade of infrastructure. A reasonable time (5 years) is allowed to upgrade the infrastructure.</p> <p>- Critical (Major) compliance -</p>	<p>UNIVANICH provides an adequate dormitory for all their Mill and Estate workers. Observed during visits to the Mill and Estate housing at the Siam estate, Topi estate and Topi Mill as well as estates where have been chosen for this surveillance audit.</p> <p>Water and electricity provided to each worker’s room in quota. In case the use of electricity usage exceed than the quota, it shall be pay by themselves at actual cost. At the Mill and estate, the facility provides one car service for all employees in transportation to the market or emergency case as well as a rest area and separate male and female wash rooms or toilets.</p> <p>From interviewing result, there is no complaint from workers on housing. Housing sanitation facilities are well maintained and in use.</p>	
6.2.5	<p>The unit of certification makes efforts to improve workers' access to adequate, sufficient and affordable food.</p> <p>- Minor compliance -</p>	<p>The location of all mills and all estates are closed to the community and market However, employees can access adequate, sufficient, and affordable food in the canteen provided by the company, and the company contact the seller for affordable food with lower or similar prices than market prices.</p> <p>Result from interviews with the workers revealed that the prices at the groceries are reasonable, taking into account the distance between the shops and the nearest town in case that the workers are preference to go outside for reaching the markets and groceries</p>	Complied
6.2.6	<p>A DLW is paid to all workers, including those on piece rate/quotas, for whom the calculation is based on achievable quotas during regular work hours.</p> <p>- Minor compliance -</p>	<p>Univanich Palm Oil Public Company Limited have a wage structure for each level of work such as daily worker (separated into skilled workers and non-skilled workers), that was made in compliance with minimum wage as per local regulation on 1 Oct 2022; Currently, the minimum wage for Krabi province is 340 Baht/day and Nakhon Si Thammarat is 332 Baht/day.</p> <ul style="list-style-type: none"> • The salary, wage and conditions of work are made and conformed to the legislation of the Department of Labor (Labour Protection Act BE 2541 (1998, with updates as of 2017) Section 5). This is described in 	Complied

		<p>the Thai language in the job description and contracts signed between the company and all workers.</p> <ul style="list-style-type: none"> • The payment term for those monthly workers are as once a month, or at every the end of the month (in period 26 previous to 25 of this month) • The payment term for those contractual works are twice a month, with the rate that always v higher than 332 and 340 baht/day. From sampling on the pay slips checked during the audit, those selected contractual workers are normally received around 450 baht/day. 	
<p>PROCEDURAL NOTE: Refer to the RSPO Guidance for Implementing a Decent Living Wage, June 2019</p>			
<p>6.2.7</p>	<p>Permanent, full-time employment is used for all core work performed by the unit of certification. Casual, temporary and day labour is limited to jobs that are temporary or seasonal.</p> <p>- Minor compliance -</p>	<p>Univanich Palm Oil Public Company Limited identified that estate and milling activities are considered core work. Although the payment is split between the monthly workers and daily workers, all of them are considered full-time or permanent employees of the company. The wage for those employed workers (permanent; daily wage and monthly wage) follows the minimum wage as defined by law.</p> <p>Based on document review, field observation, and interviews with workers who work at the Mills and Estates/Plantations, it is known that all core work such as Operator at the mill, maintenance field plantation, weeding, and fertilizing was employed by full-time (Minimum wage/ daily wage)</p> <p>Plantation; the limited jobs such as harvesting, and pruning were employed by casual workers (pieces rate) and guaranteed as minimum wage for each province. The minimum wage lasted updated on 1 Oct 2022 such The minimum wage for Krabi province is 340 Baht/day and Nakhon Si Thammarat is 332 Baht/day).</p>	<p>Complied</p>

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<p>Criteria 6.3: The unit of certification respects the rights of all personnel to form and join trade unions of their choice and to bargain collectively. Where the right to freedom of association and collective bargaining are restricted under law, the employer facilitates parallel means of independent and free association and bargaining for all such personnel.</p>		
<p>6.3.1</p>	<p>(C) A published statement recognising freedom of association and right to collective bargaining in Thai languages is available and is explained to all workers in languages that they understand, and is demonstrably implemented.</p> <p>- Critical (Major) compliance -</p>	<p>Univanich Palm Oil Public Company Limited has developed a Freedom of Association Policy on dated 7 Oct 2020, signed by Mr. Harry Brock /CEO. The policy update on 9 Oct 2020. The policy was publicly available on the notice board at all mills and estates.</p> <p>This document is prepared in both Thai and English. The company has established a welfare community of each mill and estate not only in comply with the Thai regulation but also it would be the chance for the employees to speak freely. The workers elected their own representative for any issues that would be arising by their co-workers. The meeting will be conducted once every three months. The latest was done on 11 April 2023.</p>
<p>6.3.2</p>	<p>Minutes of meetings between the unit of certification with trade unions or workers’ representatives, who are freely elected, are documented in Thai languages and made available upon request.</p> <p>- Minor compliance -</p>	<p>There are no trade unions established by the worker at any mills and estates under Univanich Palm Oil Public Company Limited.</p> <p>The Welfare Committee of each Estate/Mill is used instead to comply with the local regulation. The welfare committee will be the representative of workers to speak directly with the management team. To get the worker representatives, they have been independently elected by the workers. Records of the election were as follow:</p> <p>Siam Mill: 5 welfare committee was latest elected on date 13 May 2022 and its result announcement was done on date 20 May 2022. The validity of this welfare committee after the election is for 3 years or starting from 20 May 2022 to 19 May 2024. The meeting evidence, at least once time per 3 months. Lasted meeting was conducted on 11 Apr 2023.</p> <p>Siam Estate: 7 welfare committee was elected from the election on date 19 Dec 2022 and its result was announced on 19 Dec 2022. The validity</p>

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		<p>period of this welfare committee is during 19 Dec 2022 to 18 Dec 2024. The latest meeting among committees was conducted on 11 Apr 2023.</p> <p>Topi Estate: 7 welfare committee resulting from the election was announced on 1 Nov 2021. The welfare committee is valid starting from 4 Nov 2021 till 14 Nov 2023. The meeting evidence, at least once time per 3 months. Lasted meeting was done on 31 Mar 2023 (1/2023) together with safety committee and gentle committee topic is Road safety (Helmet).</p> <p>LAMTHAP Mill : 5 Welfare committee election was done on 15 Aug 2021. The validity of the welfare committee is starting from 16 Aug 2021 till 15 Aug 2023. All of committee was registered to the government on 1 Nov 2021. The latest meeting was conducted on 31 Mar 2023 among the welfare committee. The minutes of the meeting was verified and found that workers requested something such as new locker, car parking. The process for response the request is ongoing to be approved</p> <p>Chaud Estate: Total of 5 welfare committee was announced on dated 13 Jan 2023 resulting from the election done on 12 Jan 2023. The validity of this committee is valid from 13 Jan 2023 to 12 Jan 2025. The latest meeting was done on date 29 Mar 2023.</p> <p>Among the issues discussed during the welfare committees included social security rights related to the dentistry, the safe use of vehicles, repairing dormitory and the Covid-19 Vaccination program. Minutes of the meetings were recorded in the Thai language.</p>	
6.3.3	Management does not interfere with the formation or operation of registered unions/ labour organisations or associations, or other freely	From the result of interviewing with the workers, they informed that there is no labour union establishment although they aware the right to	Complied

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	<p>elected representatives for all workers including migrant and contract workers.</p> <p>- Minor compliance -</p>	<p>form the union, but they elected their own worker representatives through a nomination and voting process which is done during the election.</p> <p>Worker representatives attend welfare committee meetings quarterly at each site. Workers interviewed are satisfied with their elected representatives and confirmed that management does not interfere with the formation and operations of the committee.</p>	
<p>Criteria 6.4: Children are not employed or exploited.</p>			
<p>6.4.1</p>	<p>A formal policy for the protection of children, including prohibition of child labour and remediation is in place, and included into service contracts and supplier agreements.</p> <p>- Minor compliance -</p>	<p>Univanich Palm Oil Public Company Limited established a policy indicated that will never employ and use any child labour under the age of 18 years old. (Gender rights policy and Human rights policy on 7 October 2020). The company adopted the Labour Protection Act BE 2541 (1998, with updates as of 2017) Section 4 and ILO Convention 138 (1973) Article 1-3. The Policy states that the company shall not employ underaged workers. The minimum working age is specifically defined in the company's Child Labour policy, and there is a statement that only those who are 18 years and above can be employed.</p> <p>The Policy also states that it shall not employ or promote the use of child labour in connection with its activities. This policy had been communicated to the interested party. The written procedure was clear inadequate financial and other support to enable such children to attend and remain in school until no longer a child. The units will verify all workers' original ID cards at the time of recruitment and keep the photocopies of workers' ID cards in the personnel files. Sampled a contract for harvested contractors include a term on no engagement of children under 18 years old.</p>	<p>Complied</p>
<p>6.4.2</p>	<p>(C) There is evidence that minimum age requirements are met. Personnel files show that all workers are above the national minimum age or above</p>	<p>Based on a review of master list of the workers and sampled employment records, it showed that minimum age requirements are met or no workers with age below 18 years old.</p>	<p>Complied</p>

	<p>company policy minimum age, whichever is higher. There is a documented age screening verification procedure.</p> <p>- Critical (Major) compliance -</p>	<p>All the sampled workers joined the Univanich Palm Oil Public Company Limited upon reaching 18 years old of age. The UNIVANICH was verified all workers' original ID cards at the time of recruitment and keep the photo copies of workers' ID cards in the personnel files.</p> <p>Verified the age of randomly selected workers was through a copy of the ID card kept in each worker's file. The On-site visit also confirmed that no child labour work in any workplaces of Univanich Palm Oil Public Company Limited. For example, the youngest worker was born on . 27 Aug 2004, but joining date as an employee was on 1 Sep 2022 (completely 18 years old of age before joining date at TOPI estate.</p>	
6.4.3	<p>(C) Young workers may be employed only for non-hazardous work, with protective restrictions in place for that work.</p> <p>- Critical (Major) compliance -</p>	<p>According to interview with sample of workers at Mill and Estates, only those workers with age higher than 18 years old were employed. So there is no young workers.</p> <p>Dissemination information of this policy and employment requirement are posted through notification board and morning talk to boost awareness at the site by each supervisor. Based on field observation, it was not found a child labor in the field.</p>	Complied
6.4.4	<p>The unit of certification demonstrates communication about its 'no child labour' policy and the negative effects of child labour, and promotes child protection to supervisors and other key staff, smallholders, FFB suppliers and communities where workers live.</p> <p>- Minor compliance -</p>	<p>Univanich Palm Oil Public Company Limited has communicated a no child labour policy through training and the contract agreement (Univanich No. PTD 006/20) with those stakeholder e.g. contractor workers and FFB suppliers.</p> <p>For example, Siam Estate has conducted the training on 10 Mar 2023 with the Involvement of relevant stakeholders such as casual workers and FFB supplier and their contractors to convince them in including a term on no engagement of children under 18 years old.</p>	Complied
<p>Criteria 6.5: There is no harassment or abuse in the workplace, and reproductive rights are protected.</p>			
6.5.1	<p>(C) A policy to prevent sexual and all other forms of harassment and violence is implemented and communicated to all levels of the workforce.</p>	<p>The prohibition of sexual harassment and violence are described in the Gender rights policy established on 7 Oct 2020, signed by Mr. Harry</p>	Complied

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	<p>- Critical (Major) compliance -</p>	<p>Brock/CEO. The policy has been documented, implemented, and communicated to all workforces.</p> <p>The gender committee members were appointed by top management in each Mill and Estate. The meeting was conducted every three months. For example;</p> <ul style="list-style-type: none"> - Siam estate was appointed gender committee on 30 Apr 2021. The latest meeting for the gender committee was conducted on 11 Apr 2023. - LAMTHAP mill and LAMTHAB Estate was appointed 11 gentle committee on 30 Apr 2021. The latest meeting for the gender committee was conducted on 19 Dec 2022. - TOPI Estate; 8 gender committee announcement was made on 15 Jul 2022, 4 female representative from election that was carried out on 14 Jul 2022. The latest meeting was done on 31 Mar 2023. Resulting from the meeting showed that no pregnancy worker but has 1 new mother <p>The policy includes that physical abuse or discipline, the threat of physical abuse, sexual or other harassment, verbal abuse, or other forms of intimidation is prohibited.</p> <p>Communications of the policy was given all estate. Additionally, the Women Representative Committees also brief their members during their regular meetings.</p>	
6.5.2	<p>(C) A policy to protect the reproductive rights of all, especially of women, is implemented and communicated to all levels of the workforce.</p> <p>- Critical (Major) compliance -</p>	<p>Policy on the Company's Reproductive Rights was signed and released on 7 October 2020. This policy specifies that the aim of this policy is to improve the health and well-being of nursing mothers and new born babies, reduce sexually transmitted diseases, including HIV, and other reproductive related diseases, and that the company respects women's reproductive rights following national legislation. Policy documented, implemented and communicated to all workforces by verbal orientation</p>	Complied

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		and post this information at the company's board at the mill and all estates.	
6.5.3	<p>Management has assessed the needs of new mothers, in consultation with the new mothers, and actions are taken to address the needs that have been identified.</p> <p>- Minor compliance -</p>	<p>UNIVANICH has demonstrated assessment and consultation of new mother. From onsite inspection and documented review the needs of new mothers done during Gender Committee meetings for each mill and estates as details following:</p> <ul style="list-style-type: none"> - Siam estate was appointed gender committee on 30 Apr 2021. The latest meeting for the gender committee was conducted on 11 Apr 2023. - LAMTHAP mill and LAMTHAB Estate was appointed 11 gentle committee on 30 Apr 2021. The latest meeting for the gender committee was conducted on 19 Dec 2022. - TOPI Estate; 8 gender committee announcement was made on 15 Jul 2022, 4 female representative from election that was carried out on 14 Jul 2022. The latest meeting was done on 31 Mar 2023. Resulting from the meeting showed that no pregnancy worker but has 1 new mother <p>The gender committee for each site have discussed prevention of health issues related to new mothers and emphasized reproductive rights policy. Univanich Palm Oil Public Company Limited established procedure for Occupational Health & Safety, Risk Assessment and evaluate procedure Risk Assessment(P-PT-15 rev 0 eff 5/11/2554) and this procedure is required to be updated yearly. Documented procedure for hazardous identification and risk assessment was verified during an audit</p>	Complied
6.5.4	<p>A grievance mechanism, which respects anonymity and protects complainants where requested, is established, implemented and communicated to all levels of the workforce.</p> <p>- Minor compliance -</p>	<p>The procedure to receive the complaints from external (stakeholders) and internal PM-FD-05 UVAN Revision 0 Effective on 10 Nov 2020. The grievance and complaints mechanism communicated and allowed for the complainant to agree on the process of the compliant/grievance mechanism. The system allows complaints to be made anonymously by</p>	Complied

		<p>using the mail, telephone, verbal and suggestion box and the website of Univanich (https://univanich.com/whistleblowing/) for complaints</p> <p>The complainants can also call the Estate and Mill manager for each estate. For example, SIAM estate is responsible by Mr. Kerdboon, TOPI estate is responsible by Mr. Piyaphong whose contact number and email address are stated in the announcement board. Certain complaints may be escalated to top management depending on the severity of the issue. Once the issue is resolved, the complainant is updated by the manager or other representative assigned by the management.</p>	
Criteria 6.6: No forms of forced or trafficked labour are used.			
6.6.1	<p>(C) All work is voluntary and the following are prohibited:</p> <ul style="list-style-type: none"> • Retention of identity documents or passports; • Payment of recruitment fees; • Contract substitution; • Involuntary overtime; • Lack of freedom of workers to resign; • Penalty for termination of employment; • Debt bondage; • Withholding of wages. <p>- Critical (Major) compliance -</p>	<p>The results from the interview and document review confirmed that they made their own decision to work at Mill and Estates without being forced by the company to do so. Moreover, there is no forms of the forced and trafficked labour as follows:</p> <ul style="list-style-type: none"> • No Retention of identity documents or passports. • No Payment of recruitment fees. • No Contract substitution. • voluntary overtime; Based on interviews conducted, there is no evidence of involuntary overtime at either the Estates or Mill • Freedom of workers to resign. The Terms and Conditions of Employment Contracts signed by all workers contains a provision for early termination of the contract where workers can give 15-days termination notice. • No penalty for termination of employment; The Terms and Conditions of Employment Contracts signed by all workers state that no penalty will be imposed for premature termination of the employment contract. • No Debt bondage; Based on interviews with workers, there is no evidence of any form of debt bondage. 	Complied

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		<ul style="list-style-type: none"> No Withholding of wages; Based on a review of the employment contracts and workers pay-slips for October 2022 till March 2023 and interviews with the workers, there is no evidence of any wages being withheld from the workers. 	
6.6.2	<p>(C) Where temporary or migrant workers are employed, a specific labour policy and procedures are established and implemented.</p> <p>- Critical (Major) compliance -</p>	<p>Univanich Palm Oil Public Company Limited's policy and procedure for foreign workers were released on 7 October 2020. The policy states a commitment to non-discrimination, no contract substitution, a post-arrival orientation program (including a briefing on language, safety, labour laws, cultural practices, etc) and decent living conditions for foreign workers. All workers are provided with a house, water and other facilities. During the onsite audit, the migrant worker and temporary worker were not found on-site.</p>	Complied
<p>Criteria 6.7: The unit of certification ensures that the working environment under its control is safe and without undue risk to health.</p>			
6.7.1	<p>(C) The responsible person(s) for H&S is identified. There are records of regular meetings between the responsible person(s) and workers. Concerns of all parties about health, safety and welfare are discussed at these meetings, and any issues raised are recorded.</p> <p>- Critical (Major) compliance -</p>	<p>Available appointment letter of Occupational Safety and Health (OSH) Committee as an announcement in each Mill and Estate, for example,</p> <p>Lamthap Mill ; 1 safety officer at professional level registered no. KorSorRor JorBor Wor 281000036, 3 safety officer at Management level announcement on KorSorRor JorBor Bor 281000726 and 281-000727, 13 Safety officer at Supervisor level (8 Factory, Estate 4 and 1 OFP) and Safety officer report has made the latest submission on 23 Jan 2023 for the period of July 2022 to December 2022. However, From verified onsite found that confine space task was conducted on 02 Nov 2022 (permit record no. 03/149 on 2 Nov 2022) and 5 workers have been assigned to work in related to confine space task. However, resulting from the verification on the training record and certificate, it was found that there is only one worker trained in relation to processes which may cause serious injury or death whilst</p>	Non-compliance

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		<p>the rest couldn't demonstrate the evidence of the training (See the major NC No. 2328567-202304-M4)</p> <p>TOPI estate : 7 safety Committee announcement was made on 16 Jul 2022. They are applicable to work during the period 16 Jul 2022 to 17 Jul 2024, resulting from the election on 15 Jul 2022. Latest meeting among them was carried out on 31 Mar 2023 (1/2023) while the main topic of that meeting was related to road safety.</p> <p>Among agendas or items discussed in the OSH Committee meeting, it was included emergency plan practice, workplace inspection results, OSH Training Progress, ERP report, Accident investigation report, Chemicals accident report, First aider report, Fire Report, Security Issue and others. There were recommendations made and indicated in the minutes of the meeting. The committee has also highlighted issues from the previous meeting and recorded them in the meeting minutes. The status of each action highlighted has been followed up accordingly and updated in each meeting. Measure to reduce accidents such as monitoring the workers, especially at the field by their superior. In addition, training-related SOP has been provided to ensure workers to increase their awareness if they did not comply, accidents may happen with severe injuries.</p>	
6.7.2	<p>Accident and emergency procedures are in place and instructions are clearly understood by all workers. Accident procedures are available in the appropriate language of the workforce. Assigned operatives trained in first aid are present in both field and other operations, and first aid equipment is available at worksites. Records of all accidents are kept and periodically reviewed.</p> <p>- Minor compliance -</p>	<p>The accident and emergency procedure was established available in the appropriate language of the workforce. Company has demonstrated on fire preventing and firefighting. Each building installs emergency equipment such as fire extinguisher, fire alarm, emergency light, heat/smoke detector, fire alarm system, etc. The emergency equipment was monitored and checked as frequently as defined by local law and complied with local law</p>	Complied

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		<p>TOPI Mill : Fire Extinguisher testing and inspection was done every month. The latest one was conducted on date 20 Mar 2023 which is part of the accident record of Y2022 to Mar 2023.</p> <p>SIAM Mill : Safety yearly plan of Y2023 was established and was effective on 15-Jan-2023. Training was done for those emergency cases e.g. fire preparedness and evacuation., This action is part of the accident record of Y2021 to Mar 2023 if any,</p> <p>LAMTHAB Mill : Safety yearly plan of Y2023 was established and effective on 11-Jan 2023. Emergency case procedure rev. 31-01-2023 was established. Case of the emergency situation and their procedures composes of boiler, waste water leakage to canal, chemical leakage rev. 15/01/2018, boiler explosion, and fire Rev. Nov 2020. For the training, the latest training on Boiler explosion was done on 24 Oct 2022.</p>	
6.7.3	<p>(C) Workers use appropriate personal protective equipment (PPE), which is provided free of charge to all workers at the place of work to cover all potentially hazardous operations, such as pesticide application, machine operations, land preparation, and harvesting. Sanitation facilities for those applying pesticides are available, so that workers can change out of PPE, wash and put on their personal clothing.</p> <p>- Critical (Major) compliance -</p>	<p>From worker interview, it was found that all PPE are provided with free-of-charge. For example, those PPE are safety shoe, earplug, ear muff, rubber boot. The workers confirmed that they those PPE can be requested in case current one was damaged with free of charge also. Clean rest room and sanitation facilities at the POM and each estate are provided especially for those workers who applying pesticides/chemical and are required to wash their hand, PPE and cloths before re-entering to their home.</p> <p>From the inspection in the high risk workplaces at the Mill and Estate, the workers are provided the proper PPE in accordance with the SDS.</p> <p>For instance:</p> <ul style="list-style-type: none"> - Chemical storage operator: worker has been provided rubber gloves, mask, apron, boots. - Engine room and boiler operator: they has been provided mask and ear muff/ear plug. 	Complied

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		<p>Estate: worker has been provided boots, safety helmet, and sanitation facilities for washing after the spraying activities. Therefore, the PPE and working tools are washed and stored in the specific place and prohibited to be placed in worker’s houses.</p>	
<p>6.7.4</p>	<p>All workers are provided with medical care and covered by accident insurance. Costs incurred from work-related incidents leading to injury or sickness are covered in accordance with the Social Security Act B.E. 2533 (1990) and its amendments, and Workmen’s Compensation Act B.E. 2537 (1994) and its amendments, respectively, or by the unit of certification.</p> <p>- Minor compliance -</p>	<p>Medical or surveillance health check-up was latest provided by the company in 2022. All workers who are required to expose to hazardous chemicals in the estate is required to have specific health check-up on cholinesterase based on the Department of Labor Protection and Welfare recommendations. The initial and annual health surveillance program is also applied to the new and existing employees who perform tasks at the mill and estate. Check-up results for new employees (to indicate the baseline health status) and existing employees (to monitor the interval health status of workers who were exposed to the specified health risks) are performed consistently to the program. The results have been analysed to evaluate the status of the work environment and the healthy conditions of employees. Appropriate actions were taken if the result obtained the abnormal results in a timely manner. The individual 'health report book' is provided to each employee. The summary report was submitted to the 'Department of Labour Protection and Welfare as per local requirement.</p> <p>The following items of evidence were verified at this visit:</p> <p>Initial/annual health check-up program</p> <ul style="list-style-type: none"> • Initial health check-up record of the new employees • Annual health check-up report and medical or health check-up surveillance conducted once a year • Evidence of health surveillance data analysis • Submission evidence of the detected abnormality to the labor inspector • Submission evidence of the health check-up report to the authority • Individual health report book return to individual employees 	<p>Complied</p>

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		<p>Medical Surveillance or surveillance health check-up was conducted for 116 workers on 30/04/2022 as per OSHA requirements. The medical surveillance was conducted by Karbi Hospital (Occupational Health Doctor: HQ. The Medical Surveillance Report (Report Number: OHS16102022). According to the result provided by the hospital stated that all 116 workers passed the medical program and all of them were fit to work.</p>	
6.7.5	<p>Occupational injuries are recorded using Lost Time Accident (LTA) metrics. - Minor compliance -</p>	<p>Auditor verified accident recorded in 2022 and found that there was an accident incurred and the accident investigation was required. Here below are the details of verification on these records from sampling Mill and Sampling estate as follows ;</p> <ul style="list-style-type: none"> • Siam mil ; 0 case of seriously and 3 case of non-seriously accident • Topi Mill ; 0 case of seriously and 2 case of non-seriously accident • Lamthap Mill : Accident case was found on the latest on 14 Mar 2023 and file on the document 034/1686 Vehicle accident of OFP. This case was following investigation no Kor-tor 16 and Kor-tor 44. All documents were prepared and submitted to the government. The auditor verified the payment slip and working time record. All benefits that practice comply with local law defined. • Siam Palm estate ; 0 case of seriously and non-seriously • Topi estate ; 0 case of seriously and non-seriously • Lamthap division ; 0 case of seriously and non-seriously • Cha-uat estate ; 0 case of seriously and non-seriously 	Complied
<p>Principle 7: Protect the environment, conserve biodiversity and ensure sustainable management of natural resources.</p>			
<p>Criteria 7.1: Pests, diseases, weeds and invasive introduced species are effectively managed using appropriate Integrated Pest Management (IPM) techniques.</p>			

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<p>7.1.1</p>	<p>(C) IPM plans are implemented and monitored to ensure effective pest control. - Critical (Major) compliance -</p>	<p>The procedure has formulated an Integrated Pest Management (IPM) as addressed in the Agricultural best practice for the estate (SOP for IPM Document number No. 4.6 Rev 04 Eff.1/11/2020) which is also include action plans for IPM improvement. The IPM plan was established for all estates of The Univanich Palm Oil Public Company Limited.</p> <p>Record of IPM implementation was verified by the audit team during the on-site audit, such as the number and location of the planted beneficial host plants, the number of barn owl houses. The production unit has set up a target for barn owl 1 barn for every 10Ha (101 barns for NN Estate and 45 barns for Siam Estate 40 barns, TOPI Estate, Siam Estate, and Cha - Uat Division). There is no major outbreak reported since the last audit. The only major pest reported is damage caused by the rodent or rat. The production unit has conducted a census to monitor the rate of pests as evident in Rat Baiting Record. IPM training provided for the staffs at the plantation and representative during 14-18 March 2023. While the training was required to be conducted on IPM once a year. The latest training done on 14-18 March 2023 was given by Mr. Maythavee Makwaree and Mr. Suntron (Agricultural Extensionist from Department of Agriculture Extension). Previously, the training was done at sites as details below</p> <p>Siam Estate</p> <ul style="list-style-type: none"> • IPM SOP and plan no. 4.6 • Monitoring record (March 23). • Training IPM on 13/12/22. <p>TOPI Estate</p> <ul style="list-style-type: none"> • IPM SOP and plan no. 4.6 • Monitoring record (March 23). • Training IPM on 11/Jan/23. 	<p>Non-compliance</p>
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		<p>For Cha-Uat division</p> <ul style="list-style-type: none"> • SOP and plan for maintaining the natural enemy conservation, field monitoring, and IPM decision. • Field monitoring for IPM efficiency done on monthly basis. The latest monitoring was done on Jan- March 23. <p>The program includes a monitoring and management plan for bags caterpillars, fire caterpillars, rats, termites, <i>Ganoderma</i>, horn beetle, and wild boar. The IPM include census and monitoring pest and disease, conducting biological control by planting beneficial plant such as <i>Cassia cobanensis</i>, <i>Turnera surbulata</i>, and also with owl (<i>Tyto alba</i>). The company not use pesticide to control pests and diseases. However, from observation found all estate has applied the method by Planting Phuangchompoo (Mexican creeper) and Turneraulmifolia (BannChao) at the edge of estate boundary in order for these trees to act as a lure for insects, but the IPM plan has no mechanism for monitoring in case of beneficial host plant is death. there is no plan to replant dead trees to ensure that IPM management continues to operate . Thus, a major NC was issued. (Reference the details as Major NC no. 2328567-202304-M3)</p>	
7.1.2	<p>Species referenced in the Global Invasive Species Database and CABI.org are not to be used in managed areas, unless plans to prevent and monitor their spread are implemented.</p> <p>- Minor compliance -</p>	<p>The production unit has established a Justification on Agrochemicals Used (SOP: 4.6) for target species. Justification for the use of pesticides is taking into account the less harmful pesticide. The estates conducted an assessment on the list of species invasiveness used for biological control. No invasive species are listed in the CABI.org introduced in the estate. Flora species were used for IPM such as Tunera subulata, Cassia. The fauna species that were used for IPM were Tyto alba.</p>	Complied

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7.1.3	<p>There is no use of fire for pest control unless in exceptional circumstances, i.e. where no other effective methods exist, and with prior approval of government authorities.</p> <p>- Minor compliance -</p>	<p>The production unit has established a Justification on Pest control (SOP: 4.3.5). There is no evidence of fire used to control the pest and disease. No evidence and records of fire usage for pest control at all estates visited.</p>	Complied
Criteria 7.2: Pesticides are used in ways that do not endanger health of workers, families, communities or the environment.			
7.2.1	<p>(C) Justification of all pesticides used is demonstrated. Selective products and application methods that are specific to the target pest, weed or disease are prioritised.</p> <p>- Critical (Major) compliance -</p>	<p>The company has established and promoted safe working procedures where are inclusive below</p> <ul style="list-style-type: none"> • Protective Equipment (PPE) • Method of Applications • Chemical Handling <p>The production unit has the policy on the safe use of chemicals, Announcement by Mr. Harry Brock dated 26 October 2020 about Justification for all pesticides available under Manual and also under Document: SOP documented no. 4.5 dated March 2020. Justification for the use of all chemicals such as Pesticides, Herbicides, and Fungicides are available. Here are the information from each estates on the use of the chemical and pesticides</p> <p>Siam Estate</p> <ul style="list-style-type: none"> • SOP documented no. 4.5 • Chemical list • Chemical used record (Glyphosate 340 L, Gluphosinate 405 L, Briton 5 L, and Cypermethrin 1 L.) • LD 50 available in place according to document no. 8.1 • Sprayer: Mr. Arom and Mrs. Jiraphorn and Mrs. Ranu and Mrs. Kesorn • Cholinesterase check by Krabi hospital. <p>TOPI Estate</p>	Complied

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		<ul style="list-style-type: none"> • SOP documented no. 4.5 • Chemical list • Chemical used record (Glyphosate 440 L, Gluphosinate 305 L, Briton 8 L, and Cypermethrin 25 L.) • LD 50 available in place according to document no. 8.1 • Sprayer: Mr. Arom and Mrs. Jiraphorn and Mrs. Ranu and Mrs. Kesorn • Cholinesterase check by Krabi hospital. <p>Cha-Uat Division</p> <ul style="list-style-type: none"> • Chemical list (7.2.1) • Chemical used and record (Dinovan 3.62 liters, Cypermethrin 4.88 L, Bomadiolon 1.16 kg, and Glyphosate 13.13 L) 	
7.2.2	<p>(C) Records of pesticides use (including active ingredients used and their LD50, area treated, amount of active ingredients applied per ha and number of applications) are provided.</p> <p>- Critical (Major) compliance -</p>	<p>The certification unit has established a pesticides application program for every estate, according to the Univanich program. The program is tabulated accordingly to Field No.F17,14,08 Siam Estate, Chianvanich Estate, and TOPI Estate, chemical type, date and month of the application. Records of pesticides used and a.i. per Ha is monitored every month in the 5 Years Pesticide Records. The records for the year ending 2022 were available. (See7.2.1)</p>	Complied
7.2.3	<p>(C) Any use of pesticides is minimised as part of a plan, eliminated where possible, in accordance with IPM plans.</p> <p>- Critical (Major) compliance -</p>	<p>The estates have implemented an Integrated Pest Management. Plan and a Continuous Improvement Plan where they have stated the intention to reduce the usage of chemicals through implementing Integrated Pest Management Plan.</p> <ul style="list-style-type: none"> • Sighted during the site visit at the estate, the establishment of • beneficial plants along the estate roads and immature areas, and barn owl boxes placed at strategic locations. • Paraquat was eliminated. In its place, alternatives such as 	Complied

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		<ul style="list-style-type: none"> Glyphosate is used instead. 	
7.2.4	<p>There is no prophylactic use of pesticides, unless in exceptional circumstances, as identified in national best practice guidelines.</p> <p>- Minor compliance -</p>	<p>There is no prophylactic use of pesticides in all estates visited. The usage of banned paraquat in Thailand is under the guidance of the Department of Agriculture and allowed in Thailand. However, there is no use of paraquate by estates of Univanich</p>	Complied
7.2.5	<p>Use of pesticides registered under The Hazardous Substances Act B.E. 2535 and its amendments. In addition, pesticides that are categorised as World Health Organization Class 1A or 1B, or that are listed by the Stockholm or Rotterdam Conventions, and paraquat, are not used, unless in exceptional circumstances, as validated by a due diligence process, or when authorised by government authorities for pest outbreaks.</p> <p>- Minor compliance -</p>	<p>The Chemical Registers for the mill and sampled estates were available for verification and reviewed yearly as well as when new chemical are introduced for the application and operations in the future.</p> <p>The register showed that only class III & IV pesticides were used at the mill and estates. Paraquat and Monocrotophos was not used by the estate although the current situation of banned paraquat in Thailand is under the guidance of the Department of Agriculture meaning that it is allowable to be used in Thailand. All estates have now used alternatives pesticide with less hazardous level such as Glyphosate and Acephate.</p>	Complied
	<p>The due diligence refers to:</p>		
	<p>7.2.5a Judgment of the threat and verify why this is a major threat.</p>		
	<p>7.2.5b Why there is no other alternative which can be used.</p>		
	<p>7.2.5c Which process was applied to verify why there is no other less hazardous alternative</p>		
	<p>7.2.5d What is the process to limit the negative impacts of the application.</p>		
	<p>7.2.5e Estimation of the timescale of the ap</p>		
7.2.6	<p>(C) Pesticides are only handled, used or applied by persons who have completed the necessary training and are always applied in accordance with the product label. All precautions attached to the products are properly observed, applied, and understood by workers (see Criterion 3.6). Personnel applying pesticides must show evidence of regular updates on the knowledge about the activity they carry out.</p> <p>- Critical (Major) compliance -</p>	<p>Procedure has been established and implemented for chemical management SOP No.4.5.</p> <p>Records showed that pesticides were handled, used, and applied by trained persons and as per the SDS and MSDS of the product.</p>	Complied

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		<p>1) The staff and workers such as the storekeepers, sprayers, fertilizer and rat bait workers were trained and they had understood the hazards involved and how the chemicals should be handled in a safe method.</p> <p>2) The trade and generic names of the chemicals were made known to the workers through the SDS and MSDS training. SDS and MSDS were also displayed at all storage areas as observed during the audit.</p> <p>3) The training included the safety aspects and usage of PPE when handling pesticides. All workers involved in pesticide application were provided with appropriate PPE and replaced when worn out. PPE issuance & replacement records were verified by the auditors.</p> <p>4) Training in relation to pesticides & chemical handling among others as shown below.</p> <ul style="list-style-type: none"> • Spraying SOP / PPE • Fertilizer application • Chemical Handling • Safety SOP <p>Training was done on 18/Aug/22 and given by Mr. Maythavee Makwaree and Miss Jiranan Panyajareangnon(Agricultural Extensionist from Department of Agriculture Extension) and Miss Thidarat Sea Lim (Safety Officer)</p>	
7.2.7	<p>(C) Storage of all pesticides is in accordance with recognised best practices.</p> <p>- Critical (Major) compliance -</p>	<p>The chemical stores in all estates were found to be in compliance with the Occupational Safety and Health. Pesticide inspection at agrochemical storage room at all sampled estates showed that it met the requirement of security, spill containment, well ventilation, and labeling. Running water is provided to workers who have to handle chemical pesticides. The storage room is secured with a lock. Key to the store is only held by the designated person responsible for agrochemical inventory and storage. Easy to read and understand MSDS of each agrochemical is displayed in front of the shelf where those chemical are</p>	Complied

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		<p>placed. Furthermore, there are conditions are set and verified during the audit.</p> <ol style="list-style-type: none"> 1) Records of purchase, storage, and use were maintained. 2) All store buildings were equipped with exhaust fans with the door secured. 3) Only authorized personnel are assigned to handle the chemicals. 4) All the chemicals were segregated in storage accordingly. <p>Empty pesticides containers were triple rinsed, holes punched, and stored separately in the scheduled wastes store. There is no evidence of the leachate of the remain pesticide into the environment.</p> <p>At the entrance door, signage requiring donning of PPE were visibly posted. The Chemical Store signage with required Hazard Symbols were available at the entrance. The facility ventilation fan was found working with adequate ventilation available, up-to-date chemical register, trade and generic names, and their Safety data Sheet were available</p>	
7.2.8	<p>(C) No work with pesticides is undertaken by persons under the age of 18, pregnant or breastfeeding women or other people that have medical restrictions and they are offered alternative equivalent work.</p> <p>- Critical (Major) compliance -</p>	<p>Empty pesticides containers were triple rinsed, holes punched, and stored separately at the designated aera and store. Thereafter disposal arrangement will follow accordingly as per procedure in Sustainability Manual procedure ref DCC 3.3.1 Rev .01 Eff 21/Nov/20. Wastes are clearly segregated, identified, collected, and then stored in the waste warehouse. Housekeeping of the waste warehouse is kept well. It is inspected weekly. The waste log/balance is updated monthly. It covers all generated wastes and pesticide containers. All wastes are transported and then disposed of by authorized waste processors. The valid waste disposal permit covered the offsite disposal of all generated wastes and pesticide containers. Liability contracts between the organization and the waste processors have been made prior to the use of service</p>	Complied

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7.2.9	<p>(C) Aerial spraying of pesticides is prohibited, unless in exceptional circumstances where no other viable alternatives are available. This requires prior government authority approval. All relevant information is provided to affected local communities at least 48 hours prior to application of aerial spraying.</p> <p>- Critical (Major) compliance -</p>	<p>Aerial application of agrochemicals is not practiced in Univanich Industry Public Company estates. This is confirmed through observation during the site visit, estate complex, and interview with the employees. Such a method is no longer in existence in the estate's practices.</p>	Complied
7.2.10	<p>(C) Specific annual medical surveillance for pesticide operators, and documented action to treat related health conditions, is demonstrated.</p> <p>- Critical (Major) compliance -</p>	<p>Medical Surveillance or surveillance health check-up was provided in 2022 to all workers exposed to hazardous chemicals in the estate. They were examined on the item especially cholinesterase which is based on the Department of Labor Protection and Welfare recommendations. The initial and annual health surveillance program is also applied to the new and existing employees who perform risk-factor-related work. Check-up results of new employees (to indicate the baseline health status) and existing employees (to monitor the interval health status of workers who were exposed to the specified health risks) are performed consistently to the program. The results have been analyzed to evaluate the status of the work environment and the health condition of individual employees. Appropriate actions were taken immediately for those workers who got the result with abnormal in a timely manner. The individual 'health report book' is provided to each employee. The summary report was submitted to the 'Department of Labour Protection and Welfare.</p> <p>The following items of evidence were verified during the visit at sites:</p> <p>Initial/annual health check-up program</p> <ul style="list-style-type: none"> • Initial health check-up record of the new employees • Annual health check-up report Medical Surveillance conducted once a year • Evidence of health surveillance data analysis 	Complied

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		<ul style="list-style-type: none"> • Submission evidence for those workers who detected abnormality to the labor inspector • Submission evidence of the health check-up report to the authority • Individual health report book of the employees <p>Medical Surveillance was latest conducted for 116 workers on 30/04/2022 as per OSHA requirements. The medical surveillance was conducted by Karbi Hospital (Occupational Health Doctor: HQ. The Medical Surveillance Report (Report Number: OHS16102022) stated that all 116 workers passed the medical program and were fit to work.</p>	
7.2.11	<p>(C) No work with pesticides is undertaken by persons under the age of 18, pregnant or breastfeeding women or other people that have medical restrictions and they are offered alternative equivalent work.</p> <p>- Critical (Major) compliance -</p>	<p>Pesticide handlers and sprayers in the estates were noted that it was verified from records, field inspections, and interviews that no pregnant or breastfeeding woman had been offered work as a pesticide operator. There is a policy for pregnant and breastfeeding women that they must not involving with the chemical applications.</p> <p>Pregnant and breastfeeding women will be monitored and listed in the document for registration for pregnant and breastfeeding women to ensure no pregnant or breastfeeding undertake pesticide use.</p> <p>Verification on the list of the women workers and those workers who got pregnant, it was confirmed that no workers are on the pregnancy list at the time of audit. This is also confirmed that none of workers who age below 18 years of age. Sampling evidence is a copy of their ID cards. The result of the ground inspection confirmed that all workers hired by the company are now above 18 years.</p>	Complied
Criteria 7.3: Waste is reduced, recycled, reused and disposed of in an environmentally and socially responsible manner.			
7.3.1	A waste management plan which includes reduction, recycling, reusing, and disposal based on toxicity and hazardous characteristics, is documented and implemented.	A procedure, SOP 5.3 (effective 16/8/16), has been established, implemented, and maintained for waste management. Sufficient and suitable bins are provided at waste generation points. Estates of Univanich Industry Public Company had identified all wastes and sources	Complied

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	<p>- Minor compliance -</p>	<p>of pollution. The Waste Management Action Plan 2022 was established to mitigate and control the identified wastes and sources of breakdown. The typical significant environmental receptors for the estates and mill operations, among others, as summarized below :</p> <table border="1" data-bbox="1133 499 1921 767"> <thead> <tr> <th>Source</th> <th>Waste & Pollution</th> </tr> </thead> <tbody> <tr> <td>Chemical Store</td> <td>Chemical Spillage, Empty Chemical Containers & Used Rags</td> </tr> <tr> <td>Linesite</td> <td>Domestic Waste, Sewage, Garden Residue, Recycle Items, Motor Lube Containers, Motor Lube Spillage</td> </tr> </tbody> </table> <p>All wastes are transported and then disposed of by authorized waste processors. The valid waste disposal permit covered the offsite disposal of all generated wastes. Liability contracts between the organization and the waste processors have been made before using the service. They demonstrate the evaluation of the capability of the waste processors. The Municipality disposes of domestic waste. Waste manifests always are provided for each transported waste. They all are reported to the Department of Industrial Work (DIW) via an electronic medium (internet). During auditing, it was ensured that there is not any waste disposed of without legal permission</p>	Source	Waste & Pollution	Chemical Store	Chemical Spillage, Empty Chemical Containers & Used Rags	Linesite	Domestic Waste, Sewage, Garden Residue, Recycle Items, Motor Lube Containers, Motor Lube Spillage	
Source	Waste & Pollution								
Chemical Store	Chemical Spillage, Empty Chemical Containers & Used Rags								
Linesite	Domestic Waste, Sewage, Garden Residue, Recycle Items, Motor Lube Containers, Motor Lube Spillage								
7.3.2	<p>Proper disposal of waste material, according to procedures that are fully understood by workers and managers, is demonstrated.</p> <p>- Minor compliance -</p>	<p>Proper disposal of waste material is carried out as per the company procedures. The procedures are fully understood by workers and managers established, implemented, and maintained for resource management and training process by verifying the relevant record as mentioned above. The Univanich Industry Public Company and all the estates had established SOP for chemical handling. The SOP of handling of chemicals/waste is available and made in place. The Waste Management Plan 2022 has been established prepared by The</p>	Complied						

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		<p>Univanich Industry Public Company and verified by the Assistant Engineer/Assistants/Manager.</p> <p>Interview with staff and workers, i.e., storekeepers and chemical mixers was trained refresh training on 23/12/2022, and they had understood the hazards involved and how the chemicals should be used and disposed of in a safe manner. Among the identified wastes include empty chemical containers, including pesticides containers. Empty pesticides containers were washed at the washing station before disposal. Disposals were carried out in compliance with relevant regulations of scheduled waste. Inventory and consignment documents verified for confirmation of proper management and disposal. The area is sufficiently distant from habitation and water contamination. The site disposal area (landfill area) is shown and marked on the estate map.</p>	
7.3.3	<p>The unit of certification does not use open fire for waste disposal.</p> <p>- Minor compliance -</p>	<p>The Univanich Industry Public Company practices of "Zero open burnings "are enforced and elaborated in the Group Sustainability Policy by Mr. Henry Brook, Chief Executive Officer, on 5 Sep 2020. Compliance is also included in the following guidelines, the operating units adhered to the burning policy of "Zero open burnings." for any replanting. From field visits and interviews with the workers, no open burning is practiced in the estates. All the Estates had a replanting program spanned over the forthcoming years. There was no evidence that fire had been used to prepare land for replanting in the estates especially at the Siam estate.</p>	Complied
<p>Criteria 7.4: Practices maintain soil fertility at, or where possible improve soil fertility to, a level that ensures optimal and sustained yield.</p>			
7.4.1	<p>Good agricultural practices, as contained in SOPs, are followed to manage soil fertility to optimise yield and minimise environmental impacts.</p> <p>- Minor compliance -</p>	<p>The mill and estates have documented procedures for operating. These procedures cover vital processes such as GAP, planting, fertilizing, harvesting, pest control & IPM, machine and equipment maintenance, FFB transportation, FFB receiving, CPO and production, Environmental and Social impact assessment, risk assessment, corrective and preventive action. Fundamental processes of the plantation were</p>	Complied

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		established as SOP. A list of relevant SOP for plantations is shown in the indicator as mentioned above. The estates continued to use and implement SOP for each of the processes. The brief version of the SOP was displayed at the appropriate locations. Copies of the documented SOP were presented to the audit team. It was observed that implemented activities involving safety, health, environmental, quality, employees, etc., had followed the established SOP. Site inspection and interview with workers confirmed that the SOP had been implemented, and they understood the requirements of the SOP, the bottom-line of which is Good Agricultural Practices and the care for their safety and health and the environment.	
7.4.2	<p>Periodic frond and soil sampling is carried out to monitor and manage changes in soil fertility and plant health.</p> <p>- Minor compliance -</p>	<p>The Internal Oil Palm Research Center (PORC) of Univanich Industry Public Company visited the estates to perform foliar sampling before making the fertilizer recommendation for the forthcoming year.</p> <ul style="list-style-type: none"> • Leaf and soil nutrient analysis are a standard methodology used to diagnose fertilizer requirements in oil palms. • Foliar analysis reports were then issued to the estates for the program establishment and application. This includes the order of fertilizer and workforce/machine planning. <p>For the Estate, an Agronomic assessment and fertilizer recommendation was conducted to formulate the FY2022-2023 manuring program and to suggest relevant agronomic practices for oil palm yield and growth improvement. Annual foliar sampling for Ash, N, P, K, Mg, Ca & B had been carried out in all estates. The latest of this operation was done on 10 - 30/Feb/23 for all estate.</p>	Complied
7.4.3	<p>A nutrient recycling strategy is in place, which includes the recycling of Empty Fruit Bunches (EFB), Palm Oil Mill Effluent (POME), palm residues and optimal use of inorganic fertilisers.</p> <p>- Minor compliance -</p>	<p>The Univanich Industry Public Company has a nutrient recycling strategy in place; the following practices are applied in the estates concerning the nutrient recycling strategy;</p>	Complied

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		<ul style="list-style-type: none"> • EFB application is minimal as the mill has the facility of incinerator • Cut fronds are stacked in between the palms rows left to discompose. <p>EFB application records for all estates in the certification unit are available in EFB Application Monitoring. Last EFB 45480 MT was applied at Siam Estate and TOPI Estate Cha Uat Division. The audit team found that EFB mulching is used in fields to enrich the soil fertility and mineral soils.</p>	
7.4.4	<p>(C) Records of fertiliser inputs are maintained.</p> <p>- Critical (Major) compliance -</p>	<p>The fertilizer application program was monitored using records i.e. program sheets, bin cards, field cost book, fertilizer application monitoring forms, etc.</p> <ul style="list-style-type: none"> • Records of programs and applications of fertilizers were reviewed by the auditors • Review of the records revealed that the actual fertilizers applied in 2022/23 was in line with the program. • the following fertilizers were applied in the estates on recommendation by The Internal Oil Palm Research Center (PORC) of Univanich Industry Public Company, among others • Several fertilizer formulas were applied, such as 18-46-0, 21-0-0, and 0-0-60 at an average of 3.20 kilograms/palm oil tree. The period of the application is in March and October. The management of soil fertility is guided by The Internal Oil Palm Research Center (PORC) of Univanich Industry Public Company 	Complied
<p>Criteria 7.5: Practices minimise and control erosion and degradation of soils.</p>			
7.5.1	<p>(C) Maps identifying marginal and fragile soils, including steep terrain, are available.</p> <p>- Critical (Major) compliance -</p>	<p>All estates have committed to controlling and minimizing soil degradation by using the topography map (GPS/GIS) with contour lines from Royal Thai Survey Department to delineate sensitive erosion areas. Description of the soil characteristics such as texture, depth, drainage,</p>	Complied

		<p>parent material, and critical management aspects was available. Estate have established soil maps identifying the soil series available in the estate classified total area and percentage. The estate also has established maps identifying steep terrains in the respective estates available for verification. The estates had no problematic soils (e.g., peat soils and acid sulfate soils).</p>	
<p>7.5.2</p>	<p>There is no extensive replanting of oil palm on steep terrain. - Minor compliance -</p>	<p>According to the topography maps produced by the company, there is no hilly slope available in the certification unit. Visited continued to have a management strategy for planting on slopes to minimize and control erosion and degradation of soils. The plantings on slopes were guided in the Sustainability Policy under item. The content of the Policy, among others, includes the following: Compliance with all related guidelines and regulatory laws.</p> <ul style="list-style-type: none"> • Implementation of GAP • Implement suitable remedial to reduce impact to the environment. • To avoid pollution / To adopt a policy to others. • Other guidelines were also shown in the following documents among others; • Slope & River Protection Policy in Sustainability Manual page 30 • Buffer Zone & 25-degree slope in Sustainability Manual page 43 • Land Preparation for Terracing in Sustainability Manual page 53 • It was observed that practices to minimize and control erosion and degradation of soils was in place through proper stacking of fronds, EFB application, avoidance of blanket spraying, • construction terraces, road maintenance, and maintenance of soft vegetation in the interlines. Cover crops were planted in the replants and in certain mature areas. 	<p>Complied</p>

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		During the site visit it was verified that slope of more than 25 degrees are avoided in the planting areas due to the Policy of the Company forecasting several issues during the crop recovery on maturity. No replanting has taken place on steep slopes sighted.	
7.5.3	There is no new planting of oil palm on steep terrain. - Minor compliance -	Based on the observation during the field visit, no new planting of oil palm on steep terrain although the replanting was found at Siam estate	Complied
Criteria 7.6: Soil surveys and topographic information are used for site planning in the establishment of new plantings, and the results are incorporated into plans and operations.			
7.6.1	(C) To demonstrate the long-term suitability of land for palm oil cultivation, soil maps or soil surveys identifying marginal and fragile soils, including steep terrain, are taken into account in plans and operations. - Critical (Major) compliance -	Management strategy for fragile soil, inadequate soil fertility palm oil plantation was established. Soil surveys are made and available in a soil map for all the visited estates. Topographic contour maps are also available, which are used to manage the drainage and road works in the estate. The estate had no new planting for the current year and the forthcoming five years of operations. This manual included planting density, land clearing and preparation, Leguminous cover plant, Manuring, weeding, Pest and disease, and, no planting on steep terrain and others. Soil map was available for all estates as mentioned in indicator 7.5.1. Reference made to the map did not find any marginal or fragile soils within the estate area.	Complied
7.6.2	Extensive planting on marginal and fragile soils is avoided, or, if necessary, done in accordance with the soil management plan for best practices. - Minor compliance -	There was no fragile soil in estates audited.	Complied
7.6.3	Soil surveys and topographic information guide the planning of drainage and irrigation systems, roads and other infrastructure. - Minor compliance -	Soil surveys are made and available in a soil map at the estates. Topographic contour map is also available which are both used to manage the drainage and road works in the estates. Details as per 7.5.1 and 7.5.2.	Complied

Criteria 7.7: No new planting on peat, regardless of depth after 15 November 2018 and all peatlands are managed responsibly.			
7.7.1	(C) There is no new planting on peat regardless of depth after 15 November 2018 in existing and new development areas. - Critical (Major) compliance -	There is no peat soil or soil categorized as marginal or fragile soil in all estates visited. There was also no new planting in all the estates.	Complied
7.7.2	Areas of peat within the managed areas are inventoried, documented and reported (effective from 15 November 2018) to the RSPO Secretariat. - Minor compliance -	There is no peat soil or soil categorized as marginal or fragile soil in all estates visited. There was also no new planting in all the estates.	Complied
PROCEDURAL NOTE: Maps and other documentation of peat soils are provided, prepared and shared in line with RSPO Peatland Working Group (PLWG) audit guidance.			
7.7.3	(C) Subsidence of peat is monitored, documented and minimised. - Critical (Major) compliance -	There is no peat soil or soil categorized as marginal or fragile soil in all estates visited. There was also no new planting in all the estates.	Complied
7.7.4	(C) A documented water and ground cover management programme is in place. - Critical (Major) compliance -	There is no peat soil or soil categorized as marginal or fragile soil in all estates visited. There was also no new planting in all the estates.	Complied
7.7.5	(C) For plantations planted on peat, drainability assessments are conducted following the RSPO Drainability Assessment Procedure, or other RSPO recognised methods, at least five years prior to replanting. The assessment result is used to set the timeframe for future replanting, as well as for phasing out of oil palm cultivation at least 40 years, or two cycles, whichever is greater, before reaching the natural gravity drainability limit for peat. When oil palm is phased out, it is replaced with crops suitable for a higher water table (paludiculture) or rehabilitated with natural vegetation. - Critical (Major) compliance -	There is no peat soil or soil categorized as marginal or fragile soil in all estates visited. There was also no new planting in all the estates.	Complied
PROCEDURAL NOTE:			

This is subject to transitional (5 years: 2019 to 2024) arrangement stated in the Drainability Assessment Procedure. Within the initial 12 month implementation period, the company could submit other alternative methodologies to be considered by RSPO for recognition.			
7.7.6	<p>(C) All existing plantings on peat are managed according to the 'RSPO Manual on Best Management Practices (BMPs) for Existing Oil Palm Cultivation on Peat', Volume 1 (July 2019) and associated audit guidance.</p> <p>- Critical (Major) compliance -</p>	There is no peat soil or soil categorized as marginal or fragile soil in all the estates visited, nor is there no new planting within.	Complied
7.7.7	<p>(C) All areas of unplanted and set-aside peatlands in the managed area (regardless of depth) are protected as "peatland conservation areas"; new drainage, road building and power lines by the unit of certification on peat soils is prohibited; peatlands are managed in accordance with the 'RSPO Manual on Best Management Practices (BMPs) for Management and Rehabilitation of Peatlands', Volume 2 (June 2019) and associated audit guidance.</p> <p>- Critical (Major) compliance -</p>	There is no peat soil or soil categorized as marginal or fragile soil in all the estates visited, nor is there no new planting within.	Complied
Criteria 7.8: Practices maintain the quality and availability of surface and groundwater.			
7.8.1	<p>A water management plan is in place and implemented to promote more efficient use and continued availability of water sources and to avoid negative impacts on other users in the catchment. The plan addresses the following:</p> <p>- Minor compliance -</p> <p>7.8.1a The unit of certification does not restrict access to clean water or contribute to pollution of water used by communities.</p>	<p>The Univanich Palm Oil Public Company Limited have established a Water Management Plan for the year 2022 available for verification. The purpose of the plan is to maintain the quality and availability of the natural water resources. Verified the water management plan and its implementations as below:</p> <ul style="list-style-type: none"> a) rainwater harvesting for cleaning purposes, b) water from the reservoir/catchment for the mill operations c) continual training for workers on water efficiency consumption d) desilting of water reservoir to retain the reservoir optimal capacity. e) The action plan in the event of draught/water pollution and the estates 	Complied

		<p>Water usage is monitored on a monthly basis by the mill and the data has been provided under indicator 7.8.4.</p> <p>Water for consumption is treated by the mill at the Water treatment Plant. The mill monitors the water quality on a quarterly basis. Sighted the water sampling results as below:</p> <p><u>Certificate for Analysis</u> ;Water quality monitoring was conducted on 02 Feb 2023 and results (Report Number: ALS 20322838-1 Date Feb 02,2023). The results indicated that all parameters were within the permitted range for Drinking Water Quality.</p> <p>TOPI Estate and TOPI Mill</p> <ul style="list-style-type: none"> • Monitoring of incoming and outgoing water course at the 3 sampling points was done twice a year by the PCL Laboratory CO ,LTD. The recent water sampling was done on 05 Feb 2023. The analysis was done by PCL Laboratory CO,LTD. and the report results (Report Number: 05/02/2023) was available for verification. The results indicated that the incoming water had pH, BOD and COD which comply with the national Water Quality Standards law. • Riparian zones were maintained along the streams that run through the estate. The management have erected signages stating prohibition of chemical and fertiliser application along the riparian zone. Awareness trainings have been conducted for the staffs and workers to ensure the riparian zone is protected. <p>Siam estate and Siam Mill</p> <ul style="list-style-type: none"> • Not restrict access to clean water or contribute to pollution of water used by communities and workers have adequate access to clean water. • The company is contribute to local communities programmes to protect, maintain and improve water sources. 	
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		<ul style="list-style-type: none"> The estate was provided clean drinking water all year round for workers. The drinking water quality test as same the Topi mill. <p>Lamthap Division and Cha Uat Division</p> <p>Visit to the river and streams at the estates indicated that a clear buffer has been established. There were no signs of any manuring or spraying being done at the area. Signboards have been erected preventing any activities to be conducted at the area.</p>	
	7.8.1b Workers have adequate access to clean water.	The water management plan established also focuses on ensuring local communities, workers, and their families have access to adequate and clean water for drinking, cleaning, and other purposes. Monitoring of water quality especially was done monthly and its result is in according to national regulation. Refer Report no.6651800094 date 6/Jan/2023 By Department of Medical Science MOPH Ministry of Public Health No. 61 and 135.	
7.8.2	<p>(C) Water courses and wetlands are protected, including maintaining and restoring appropriate riparian and other buffer zones in line with the 'RSPO Manual on BMPs for the management and rehabilitation of riparian reserves' (April 2017).</p> <p>- Critical (Major) compliance -</p>	<p>UNIVANICH has protected water course and wetlands, including maintaining and restoring appropriate riparian and other buffer zones in line with 'RSPO Manual on BMPs for the management and rehabilitation of riparian reserves' (April 2017).</p> <p>Waste water from SIAM MILL, TOPI MILL and LAMTHAP MILL were not discharge into the environment and the stream/river. Based on field observation, the wastewater are treated at effluent treatment ponds before using as the material for the biogas production and supply as POME in the estates e.g. TOPI estate.</p> <p>The effluent treatment plant was made in accordance, and an interview with an operator in charge revealed that the operation was following standard operating procedures and legal requirements.</p> <ul style="list-style-type: none"> No overflow was observed, and flow meter reading was recorded daily. The mill monitors the affluent and submits it to Pollution 	Complied

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		<p>Control Department refer Enhancement and Conservation of Nation Environment Quality ACT, B.E. 1992</p> <ul style="list-style-type: none"> All Mills need to treat and analyse the effluent water quality of which is BOD less than 100mg/l in order to comply with Ministry of Natural Resources and Environment. The results from final discharge were compliance within the parameter limit BOD= 75 mg/l , COD = 358 mg/l, Oil & grease= 3, Ph= 8.42 	
7.8.3	<p>Mill effluent is treated to be in compliance with national regulations. Discharge quality of mill effluent, especially Biochemical Oxygen Demand (BOD), is regularly monitored.</p> <p>- Minor compliance -</p>	<p>The effluent treatment plant was made in accordance with standard operating procedures and legal requirements.</p> <ul style="list-style-type: none"> No overflow was observed, and flow meter reading was recorded daily. The mill monitors the affluent and submits it to Pollution Control Department refer Enhancement and Conservation of Nation Environment Quality ACT, B.E. 1992 All Mill of Univanich Industry Public Company license was for water discharge requirement of which is BOD less than 100mg/l. Refer to Ministry of Natural Resources and Environment. The results from final discharge were compliance within the parameter limit BOD= 75 mg/l , COD = 358 mg/l, Oil & grease= 3, Ph= 8.42 	Complied
7.8.4	<p>Mill water use per tonne of FFB is monitored and recorded.</p> <p>- Minor compliance -</p>	<p>The mill processing water is obtained from the water catchment adjacent to the mill complex. The water usage monitoring is made every month with the latest recording (water usage per mt in 2020 – present of fresh fruit bunches (FFB). There were variations of performance. Probable factors are linked to rainy days, significant boiler water rinsing/discharging for maintenance, etc. The baseline is 1.80 water(m³)/ton FFB.</p>	Complied

Criteria 7.9: Efficiency of fossil fuel use and the use of renewable energy is optimised.

<p>7.9.1</p>	<p>A plan for improving efficiency of the use of fuels and to optimise renewable energy is in place, monitored and reported. .- Minor compliance -</p>	<p>A plan for improving the efficiency of the use of fossil fuels is in place and has been incorporated into the Environmental Aspect and Impact activities report for 2021. The document was reviewed/updated in June 2021. The Environment Management Plan for efficiency of fossil fuel usage are detailed below:</p> <ul style="list-style-type: none"> • Backhoe Tractor/ Machines: Objective To reduce fossil fuel (diesel) consumption from company-owned vehicles and fuel using mobile equipment; Action plan: To ensure the vehicle engine is turned off during idle time to record vehicle activity that consumes energy. • Van Supervisory vehicle: Objective To reduce fossil fuel (diesel) consumption from company-owned vehicles and fuel using mobile equipment; Action plan: To record vehicle activity to eliminate waste activity that consumes energy. To turn off the vehicle engine during idle time. • Electrical supply Objective: To reduce reliance on gen-sets for power supply Action plan: Utilization of TNB sources. <p>The utilization of fossil fuel in 2022 and 2023 is being monitored with records shown below:</p> <ul style="list-style-type: none"> • Siam Mill = 1.29 Lite/MT FFB • TOPI Mill = 1.74 Lite/MT FFB • Lamthaps Mill = 1.31 Lite/MT FFB • Chianvanich estate = 1.02 Lite/Ton/FFB • Cha-Uat Division = 1.74/Ton/FFB • Siam estate = 2.25 Lite/Ton/FFB • TOPI estate =1.93 litred/Ton/FFB <p>The estates and mill record and monitor the diesel utilization over the running hours of gen-set and other vehicles running.</p>	<p>Complied</p>
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		<p>Performance variation in view of several factors i.e.</p> <ul style="list-style-type: none"> • Infrastructure of estates, • Community size / no of gen-sets, • No. of vehicles/age of the machine. • Weather interference/crop production volume <p>The estate has no opportunity to capitalize on the utilization of fiber/shell produced from the Mill as part of their energy production in replacing fossil fuel with the current technology limitation. The estates adopted the following practices in reducing diesel consumption in their daily operations.</p> <p>Management Plan :</p> <ul style="list-style-type: none"> • Monitoring of diesel usage in FFB transportation • Engine OFF when not in operations. <p>The Mill similarly had a reduction plan of fuel via the following initiative Management Plan ;</p> <ul style="list-style-type: none"> • Monitoring of diesel usage in internal transportation • Engine OFF when not in operations By maintenance of the boiler & machinery to ensure at optimum level to monitor diesel usage • provide training to workers regarding reducing fuel and diesel usage for the boiler. <p>A plan for improving the efficiency of the use of fossil fuels is in place incorporated into the Environmental Aspect and Impact activities report for 2021 identified in the following</p> <ul style="list-style-type: none"> • Environmental Aspect Identification Summary FY 2022 reviewed accordingly. 	
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		<ul style="list-style-type: none"> • Environmental Impact Evaluation Summary FY 2022 reviewed accordingly. • Renewable energy usage & diesel consumption 2021 was established and monitored on a monthly basis. 	
Criteria 7.10: Plans to reduce pollution and emissions, including greenhouse gases (GHG), are developed, implemented and monitored and new developments are designed to minimise GHG emissions.			
7.10.1	<p>© GHG emissions are identified and assessed for the unit of certification. Plans to reduce or minimise them are implemented, monitored through the Palm GHG calculator and publicly reported.</p> <p>- Critical (Major) compliance -</p>	<p>The Univanich Industry Public Company had identified emission of greenhouse gas (GHG) from their operations, such as their farm tractor and gen-set operation.</p> <p>a) The management from both estates and mill had planned to reduce emission by daily inspection and monitoring for their farm tractor and gen-set to prevent any leakage and problem that could adversely impact the environment.</p> <p>b) Fuel Consumption, Peat Oxidation, POME and reported in the Palm GHG Summary Report.</p> <p>The emission value is recorded and calculated through the utilisation of RSPO’s Palm GHG Calculator ver. 4.</p>	Complied
7.10.2	<p>(C) Starting 2014, the carbon stock of the proposed development area and major potential sources of emissions that may result directly from the development are estimated and a plan to minimise them prepared and implemented (following the RSPO GHG Assessment Procedure for New Development).</p> <p>- Critical (Major) compliance -</p>	<p>The Univanich Industry Public Company has calculated the GHG using the RSPO Palm GHG calculator, and the calculation option used is Option 1. The CU records ‘IL’s new development within the certified area. There is no new planting in all Estate. However, at the time of the audit, there is replanting activities incurred at Siam Estate.</p>	Complied
7.10.3	<p>(C) Other significant pollutants are identified and plans to reduce or minimise them are implemented and monitored.</p> <p>- Critical (Major) compliance -</p>	<p>All polluting activities were assessed through the aspect and impact method and were documented. The activities are inclusive of green gas emissions, chemicals, fertilizer, scheduled waste, solid waste, and household waste. Data relating to such activities were collected, analyzed and presented during the environmental meetings held by the</p>	Complied

		<p>mill. Univanich has continued to maintain its environmental aspects/impacts register associated with its activities. Environmental aspect and impact (EIA) assessment record, i.e., which covers the and mill activities/operation. "Pollution Identification Environmental Improvement Action Plan" is used to identify the waste products and sources of pollution, was in place and is reviewed accordingly. Among others, the significant environmental receptors for the estates and mill operations were:</p> <p>Environmental Receptors</p> <ol style="list-style-type: none"> 1) Air ; Source; Air emissions –from boiler stack (smoke & particulate), vehicle & generator (smoke and gases). GHG emission from anaerobic processes (ETP, EFB dumping). 2) Water ; Source; Water discharges–Cleaning water/runoff/ process station waters (hydro cyclone/sterilizer condensate/clarification waste) & boiler quenching water & blowdown 3) Land ; Source; Land – Scheduled waste, domestic waste and industrial / process waste. <p>An assessment; identified polluting activities are being conducted and monitored, including gaseous emissions particulate/soot emissions, and effluent. "Pollution Prevention Plan and Waste Management Action Plan 2023" – is used to identify the waste products and sources of pollution – is in place and is being reviewed and implemented accordingly. Among other actions taken by the Univanich were</p> <ul style="list-style-type: none"> • Scheduled wastes • Domestic wastes are disposed to local Municipal/landfill • Full compliance to zero burning practices. <p>The environmental issues are discussed together in the quarterly ESH meetings. The agenda discussed, among others, as follows;</p> <ul style="list-style-type: none"> • matters arising 	
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		<ul style="list-style-type: none"> • performance of environment compliance • report on environmental pollution • self-compliance checklist performance • effluent treatment /clean air / scheduled waste • audit report on RSPO • Domestic waste issues <p>In addition, environmental issues were also discussed direct or indirect during the management meeting the weekly muster.</p>	
Criteria 7.11: Fire is not used for preparing land and is prevented in the managed area.			
7.11.1	<p>(C) Land for new planting or replanting is not prepared by burning.</p> <p>- Critical (Major) compliance -</p>	<p>There was no land preparation or new planting in all estates by burning over decades for the first planting of the palm oil tree in estates. As advocated, the estates practiced zero burning. For the visit at the estate where is having replanting activities, it was found that all palms were felled, shredded, windrow-ed, and left to decompose. There was no evidence that fire had been used to prepare land for replanting in the estate. No fire was used for waste disposal as well.</p> <p>Siam estate replanting in this year. There is no use the fire for land preparation. Therefore the zero burning policy has been well adopted and implemented accordingly.</p>	Complied
7.11.2	<p>The unit of certification establishes fire prevention and control measures for the areas directly managed by the unit of certification.</p> <p>- Minor compliance -</p>	<p>The Group “Zero open burnings” is enforced as described in the Group Sustainability Policy. The policy was signed by Mr. Henry Brook, Chief Executive Officer, on 5 Sep 2020. The operating units adhered to a “Zero open burning” policy for any replanting.</p> <p>From field visits and interviews with the workers, no open burning is practiced in the estate. The estate recorded a replanting program for the forthcoming five years. Refer to details in 4.6.2.2. The estates and mill establish a fire QSE team. At the entrance to the certification unit, signage’s to prevent the use of fire is also evident. The signages are</p>	Complied

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		also being installed at high-risk areas (e.g., Housing and engaging with adjacent stakeholders). All contractors and workers are being trained by The Forest Protection and Forest Fire Control Office to implement Zero Burning and reminded during the morning briefing.	
7.11.3	The unit of certification engages with adjacent stakeholders on fire prevention and control measures. - Minor compliance -	The certification unit has implemented and maintained for communication, participation, and consultation. Internal and external communication among the various levels and functions of the organization and stakeholders on fire prevention and control measures. Information and methodologies of contact for each audience have been determined. For example, policy, significant environmental aspects, high risks, objectives & targets, compliance evaluation, measurement & monitoring results are communicated to its staffs via boards, e-mail, newsletters, reports, training, meetings, etc. engaged smallholders on the fire prevention and control measures. This was mentioned in the stakeholder meetings recorded on 20/Sep/2023.	Complied
Criteria 7.12: Land clearing does not cause deforestation or damage any area required to protect or enhance High Conservation Values (HCVs) or High Carbon Stock (HCS) forest. HCVs and HCS forests in the managed area are identified and protected or enhanced.			
7.12.1	(C) Land clearing since November 2005 has not damaged primary forest or any area required to protect or enhance HCVs. Land clearing since 15 November 2018 has not damaged HCVs or HCS forests. A historic Land Use Change Analysis (LUCA) is conducted prior to any new land clearing, in accordance with the RSPO LUCA guidance document. - Critical (Major) compliance -	Auditors have verified through checking through www.globalforestwatch.com, Google Maps, Estate Maps, and a site visit to all estates. Based on the audit findings, it was confirmed that no land clearing at CU since Nov 2005. The audit findings have confirmed no new planting. (refer 7.3.1 to 7.4.2) affecting present HCV and primary forest. No land clearing activities were made if they damaged any forest to protect or enhance the HCV.	Complied
7.12.2	(C) HCVs, HCS forests and other conservation areas are identified as follows: - Critical (Major) compliance -	There are no evidence land clearance and planting of oil palm conducted after 2019 to 2022 within the certification unit. The HCV assessment was conducted with details as follows;	Complied

	<p>7.12.2a) For existing plantations with an HCV assessment conducted by an RSPO-approved assessor and no new land clearing after 15 November 2018, the current HCV assessment of those plantations remains valid.</p>	<p>"The appointed consultant is Forest Industry Organization (FIO), Ministry of Natural Resource and Environment of Thailand. Respectively, in summary, there was no HCV present in the CU except for the buffer zone for TOPI Estate, Siam Estate and Cha Uat Division. The reports detailed the findings of a rapid appraisal of the biodiversity in the estates and addresses the RSPO P&C relevant to biodiversity conservation and HCV with some following information;</p> <ul style="list-style-type: none"> a) General biodiversity issues b) Watercourses and drainage c) Habitats natural and artificial d) Wildlife e) Ponds and reservoirs f) Wetlands /watercourses g) Legal aspects h) Immediate and long-term effect. 	
	<p>7.12.2 b) Any new land clearing (in existing plantations or new plantings) after 15 November 2018 is preceded by an HCV-HCS assessment, using the HCSA Toolkit and the HCV-HCSA Assessment Manual. This will include stakeholder consultation and take into account wider landscape-level considerations.</p> <p>PROCEDURAL NOTE for 7.12.2: For details of transitional measures, refer to Annex 5: RSPO transition from HCV assessments to HCV-HCSA assessments.</p>	<p>Regular monitoring of incoming and outgoing water quality was monitored at rivers that pass through the estates. Slopes declared as steep terrains (>25°) were left abandoned. Regular patrolling by the estates Ranger were done at HCV areas to monitor on trespassing and RTE species with the patrolling records available for verification will include stakeholder consultation date on 31 Dec 2022.</p>	
<p>7.12.3</p>	<p>(C) Indicator is not applicable in Thailand. - Critical (Major) compliance -</p>	<p>This indicator is not applicable in Thailand</p>	<p>Not Applicable</p>
<p>7.12.4</p>	<p>(C) Where HCVs, HCS forests after 15 November 2018, peatland and other conservation areas have been identified, they are protected and/or enhanced. An integrated management plan to protect and/or enhance</p>	<p>HCV and Biodiversity assessment have been conducted and the HCV Reports were available for verification. Based on the identified HCVs, the management have implemented the HCV Management Plan where</p>	<p>Complied</p>

	<p>HCVs, HCS forests, peatland and other conservation areas is developed, implemented and adapted where necessary, and contains monitoring requirements.</p> <p>The integrated management plan is developed in consultation with relevant stakeholders and includes the directly managed area and any relevant wider landscape level considerations (where these are identified). The integrated management plan is reviewed at least once every five years.</p> <p>- Critical (Major) compliance -</p>	<p>they have identified the possible threats that could arise at the HCVs and the Management and Monitoring of the areas. Among the observations recorded were possible encroachment or sign of trespassing, wildlife issues or sightings, pollution or erosion issues.</p> <p>Based on the verification of the provided photographs, it was sighted that the signages on prohibition of illegal hunting or fishing, no swimming and chemical application at buffer zone area were erected at the area. All the signages were noted to be well maintained. Buffer zones were demarcated along the rivers and catchment area banks and no indication of chemical or fertilizer application were sighted. Regular monitoring of incoming and outgoing water quality was monitored at rivers that pass through the estates. Slopes declared as steep terrains (>25°) were left abandoned. Regular patrolling by the estates Ranger were done at HCV areas to monitor on trespassing and RTE species with the patrolling records available for verification. The estates continue to train the workers and staffs on HCV and RTE Species. Verified the training on HCVs and biodiversity awareness records available for the estates.</p>	
7.12.5	<p>Where rights of local communities have been identified in HCV areas, HCS forest after 15 November 2018, peatland and other conservation areas, there is no reduction of these rights without evidence of a negotiated agreement, obtained through FPIC, encouraging their involvement in the maintenance and management of these conservation areas.</p> <p>- Minor compliance -</p>	<p>It was verified that there were no HCVs, HCS forests identified after 15 November 2018 where rights of local communities had been identified. The audit findings have confirmed that there is no new planting affecting present HCV and primary forest. There were no land clearing activities made nor had damaged any forest to protect or enhance the HCV.</p>	Complied
7.12.6	<p>All rare, threatened or endangered (RTE) species are protected, whether or not they are identified in an HCV assessment. A programme to regularly educate the workforce about the status of RTE species is in place. Appropriate disciplinary measures are taken and documented in accordance with company rules and national law [Wild Animal Reservation</p>	<p>The Certification Unit estates have conducted a Rare, Threatened and Endangered Species (RTE) assessment for their respective estates. The assessment states the mechanism for RTE monitoring and reporting, wildlife monitoring records and awareness on RTE wildlife species. The implementations of the RTE measures are as below:</p>	Complied

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	<p>and Protection Act, B.E. 2562 (2019)] if any individual working for the company is found to capture, harm, collect, trade, possess or kill these species.</p> <p>- Minor compliance -</p>	<p>1. RTE Species Training -Siam Estate – 10/01/2023 -TOPI Estate Wildlife Training - 16/01/2023 - Cha Uat Division Training - 26/01/2023</p> <p>2. Estate’s management have displayed posters on RTE species and briefed all workers on the importance to protect the wildlife. Estates have implemented a Wildlife Monitoring Record book for recording of any sightings of wildlife in the estates.</p>	
7.12.7	<p>The status of HCVs, HCS forests after 15 November 2018, other natural ecosystems, peatland conservation areas and RTE species is monitored. Outcomes of this monitoring are fed back into the management plan.</p> <p>- Minor compliance -</p>	<p>Monitoring of these areas are made through the daily field supervision by the field staff and executives. There were also visits by the Senior Managers and Plantation Controllers and personnel from the Sustainability unit. Sighting of RTE are made and recorded during the in the estates if any.</p>	Complied
7.12.8	<p>(C) Where there has been land clearing without prior HCV assessment since November 2005, or without prior HCV-HCSA assessment since 15 November 2018, the Remediation and Compensation Procedure (RaCP) applies.</p> <p>- Critical (Major) compliance -</p>	<p>The audit findings have confirmed that there are no new planting affecting present HCV and primary forest. There were no land clearing activities made nor were there damage to any forest to protect or enhance the HCV.</p>	Complied

Appendix B: GHG Reporting Executive Summary

The GHG emissions that were produced in **2022** for **UNIVANICH MILL** and supply base was calculated using the PalmGHG Calculator version 3.0.1. The assessment team had verified the data input in the PalmGHG Calculator against operations records. PalmGHG Calculation Options selected 'Full version' and 'Exclude LUC Emission' calculation option is not applied. The records verified includes:

- i. Estates area planted data
- ii. Fuel consumed
- iii. Mill datas include CPO produced, PKO Produced and FFB Processed
- iv. Fertilizer consumed data for both estates and smallholders.

The summary of the Net GHG emitted in **2022** for **TOPI MILL** and supply base (<https://ghg.rspo.org/dashboard/mills/648/assessments/2761/input/summary>) are as following:

Emission per product	tCO ₂ e/tProduct
CPO	0.26
PKO	0.57

Extraction	%
OER	17.05
KER	5.14

Production	t/yr
FFB Process	369,278.32
CPO Produced	62,945.52
PKO Produced	24,321.63

Land Use	Ha
OP Planted Area	4,131.93
OP Planted on peat	0
Conservation (forested)	0
Conservation (non-forested)	0
Total	4,131.93

Summary of Field Emission and Sink

	Own Crop*		Group		3 rd Party		Total	
	tCO ₂ e	tCO ₂ e / FFB	tCO ₂ e	tCO ₂ e / FFB	tCO ₂ e	tCO ₂ e / FFB	tCO ₂ e	tCO ₂ e / FFB
Emission								
Land Conversion	19,154.42	0.48	0.00	0.00	0.00	0.00	0.00	19,154.42
CO ₂ Emission from fertilizer	960.77	0.02	0.02	0.00	0.00	0.00	0.00	960.77
NO ₂ Emission	676.38	0.02	0.00	0.00	0.00	0.00	0.00	676.38
Fuel Consumption	608.14	0.02	0.00	0.00	0.00	0.00	0.00	608.14
Peat Oxidation	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Sink								
Crop Sequestration	-14,424.63	-0.36	0.00	0.00	0.00	0.00	0.00	-14,424.63
Conservation Sequestration	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Total	6,975.08	0.18	0.18	0.00	49,444.81	0.00	0.00	56,419.88

**Note: Includes both estates and smallholders*

Summary of Mill Emission and Credit

	tCO ₂ e	tCO ₂ e/tFFB
Emission		
POME	8,037.46	0.02
Fuel Consumption	220.58	0.00
Grid Electricity Utilization	5,114.43	0.01
Credit		
Export of Grid Electricity	-1,906.02	-0.01
Sales of PKS	-35,926.00	-0.10
Sales of EFB	-10,683.73	-0.03
Total	-35,143.27	-0.10

Summary of Kernel Crusher Emission and Credit (if applicable)

Emissions	tCO ₂ e
PK from own mill	14,303.24
PK from other source	15,883.44
Fuel Consumptions	94.54
Total Crusher emissions	30,281.22

*This mill has no kernel crusher operation.

Palm Oil Mill Effluent (POME) Treatment:	
Divert to Compost (%)	0
Divert to anaerobic diversion (%)	0

POME Diverted to Anaerobic Digestion:	
Divert to anaerobic pond (%)	0
Divert to methane captured (flaring) (%)	8.28
Divert to methane captured (energy generation) (%)	91.72

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The summary of the Net GHG emitted in **2022** for **SIAM MILL** and supply base (<https://ghg.rspo.org/dashboard/mills/649/assessments/2763/input/summary>) are as following:

Emission per product	tCO ₂ e/tProduct
CPO	0.21
PKO	0.00

Extraction	%
OER	17.35
KER	5.53

Production	t/yr
FFB Process	307,674.54
CPO Produced	53,378.03
PKO Produced	0

Land Use	Ha
OP Planted Area	1,365.20
OP Planted on peat	0.00
Conservation (forested)	0.00
Conservation (non-forested)	0.00
Total	1,365.20

Summary of Field Emission and Sink

	Own Crop*		Group		3 rd Party		Total	
	tCO ₂ e	tCO ₂ e / FFB	tCO ₂ e	tCO ₂ e / FFB	tCO ₂ e	tCO ₂ e / FFB	tCO ₂ e	tCO ₂ e / FFB
Emission								
Land Conversion	10,766.44	0.44	0.00	0.00	0.00	0.00	0.00	10,766.44
CO ₂ Emission from fertilizer	720.33	0.03	0.00	0.00	0.00	0.00	0.00	729.33
NO ₂ Emission	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Fuel Consumption	244.57	0.01	0.00	0.00	0.00	0.00	0.00	244.57
Peat Oxidation	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Sink								
Crop Sequestration	-10,205.14	-0.42	0.00	0.00	0.00	0.00	0.00	-10,205.14
Conservation Sequestration	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Total	2,159.03	0.09	0.00	0.00	42,503.68	0.00	0.00	44,662.71

*Note: Includes both estates and smallholders

Summary of Mill Emission and Credit

	tCO ₂ e	tCO ₂ e/tFFB
Emission		
POME	6,357.28	0.02
Fuel Consumption	301.47	0.00
Grid Electricity Utilization	6,545.14	0.00
Credit		
Export of Grid Electricity	-959.42	0.00
Sales of PKS	-28,552.44	-0.09
Sales of EFB	-13,648.88	-0.04
Total	-29,956.85	-0.11

Summary of Kernel Crusher Emission and Credit (if applicable)

Emissions	tCO ₂ e
PK from own mill	0.00
PK from other source	0.00
Fuel Consumptions	0.00
Total Crusher emissions	0.00

*This mill has no kernel crusher operation.

Palm Oil Mill Effluent (POME) Treatment:	
Divert to Compost (%)	0
Divert to anaerobic diversion (%)	100

POME Diverted to Anaerobic Digestion:	
Divert to anaerobic pond (%)	0
Divert to methane captured (flaring) (%)	1.66
Divert to methane captured (energy generation) (%)	98.34

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The summary of the Net GHG emitted in **2022** for **LAMTHAP MILL** and supply base (<https://ghg.rspo.org/dashboard/mills/647/assessments/2764/input/pkcrush>) are as following:

Emission per product	tCO ₂ e/tProduct
CPO	0.32
PKO	0.60

Extraction	%
OER	17.14
KER	5.03

Production	t/yr
FFB Process	307,674.54
CPO Produced	53,378.03
PKO Produced	13,514.91

Land Use	Ha
OP Planted Area	466.30
OP Planted on peat	0
Conservation (forested)	0
Conservation (non-forested)	0
Total	466.30

Summary of Field Emission and Sink

	Own Crop*		Group		3 rd Party		Total	
	tCO ₂ e	tCO ₂ e / FFB	tCO ₂ e	tCO ₂ e / FFB	tCO ₂ e	tCO ₂ e / FFB	tCO ₂ e	tCO ₂ e / FFB
Emission								
Land Conversion	4,605.47	0.69	0.00	0.00	0.00	0.00	0.00	4,605.47
CO ₂ Emission from fertilizer	666.50	0.10	0.00	0.00	0.00	0.00	0.00	666.50
NO ₂ Emission	459.81	0.07	0.00	0.00	0.00	0.00	0.00	459.81
Fuel Consumption	167.46	0.02	0.00	0.00	0.00	0.00	0.00	167.46
Peat Oxidation	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Sink								
Crop Sequestration	-4,365.38	-0.65	0.00	0.00	0.00	0.00	0.00	-4,365.38
Conservation Sequestration	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Total	1,533.86	0.23	0.00	0.00	36,171.20	0.00	0.00	37,705.06

*Note: Includes both estates and smallholders

Summary of Mill Emission and Credit

	tCO ₂ e	tCO ₂ e/tFFB
Emission		
POME	5,221.16	0.02
Fuel Consumption	356.82	0.00
Grid Electricity Utilization	2,908.67	0.01
Credit		
Export of Grid Electricity	-1,702.24	-0.01
Sales of PKS	-17,916.54	-0.07
Sales of EFB	-9,202.43	-0.04
Total	-20,334.56	-0.09

Summary of Kernel Crusher Emission and Credit (if applicable)

Emissions	tCO ₂ e
PK from own mill	9,787.17
PK from other source	8,132.59
Fuel Consumptions	152.92
Total Crusher emissions	18,072.68

*This mill has no kernel crusher operation.

Palm Oil Mill Effluent (POME) Treatment:	
Divert to Compost (%)	0
Divert to anaerobic diversion (%)	100

POME Diverted to Anaerobic Digestion:	
Divert to anaerobic pond (%)	0
Divert to methane captured (flaring) (%)	4.08
Divert to methane captured (energy generation) (%)	95.92

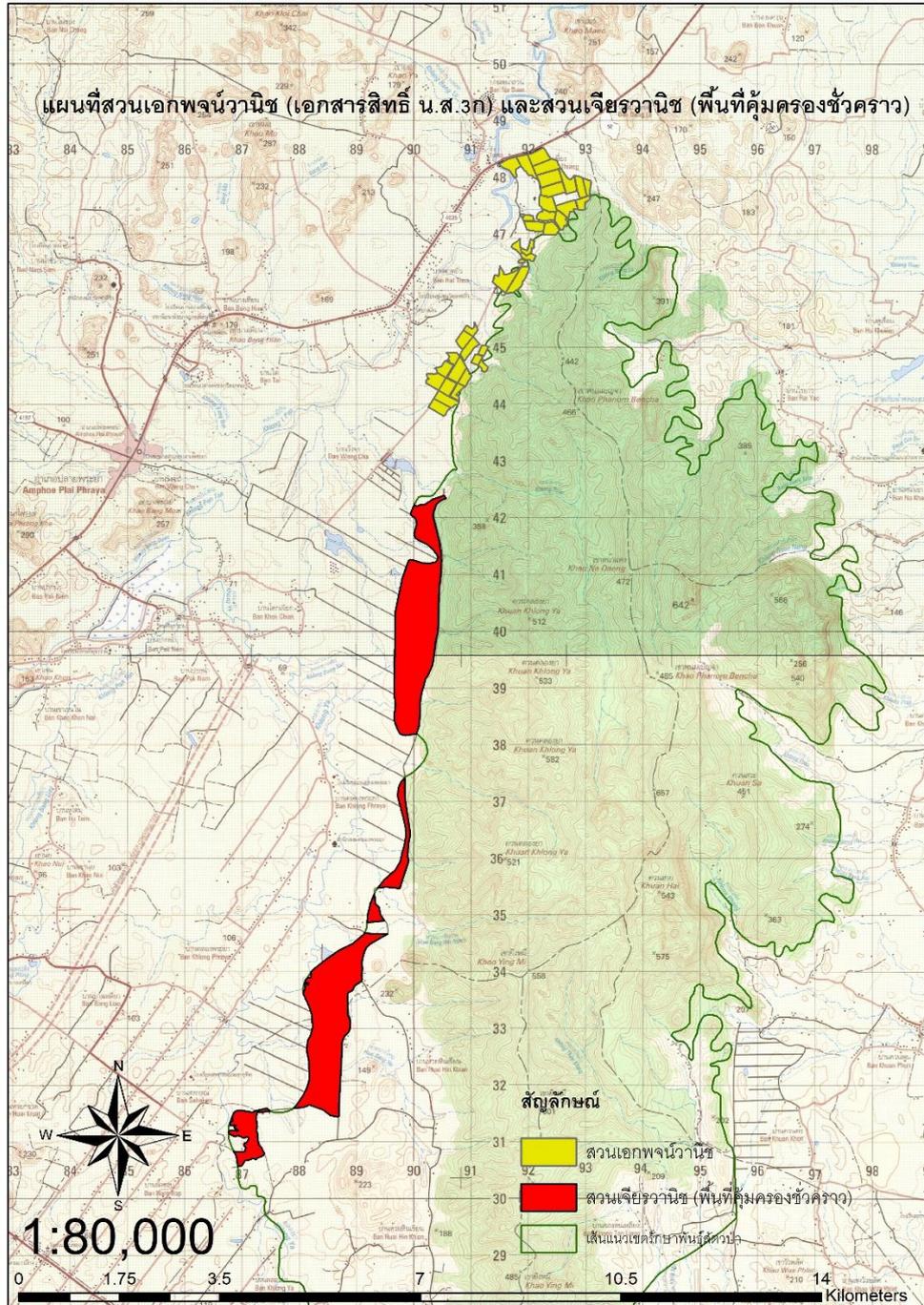
Appendix C: Location Map of Certification Unit and Supply bases



Figure 1 Overall location of mills and estates of Univanich including TOPI mill and its supply base

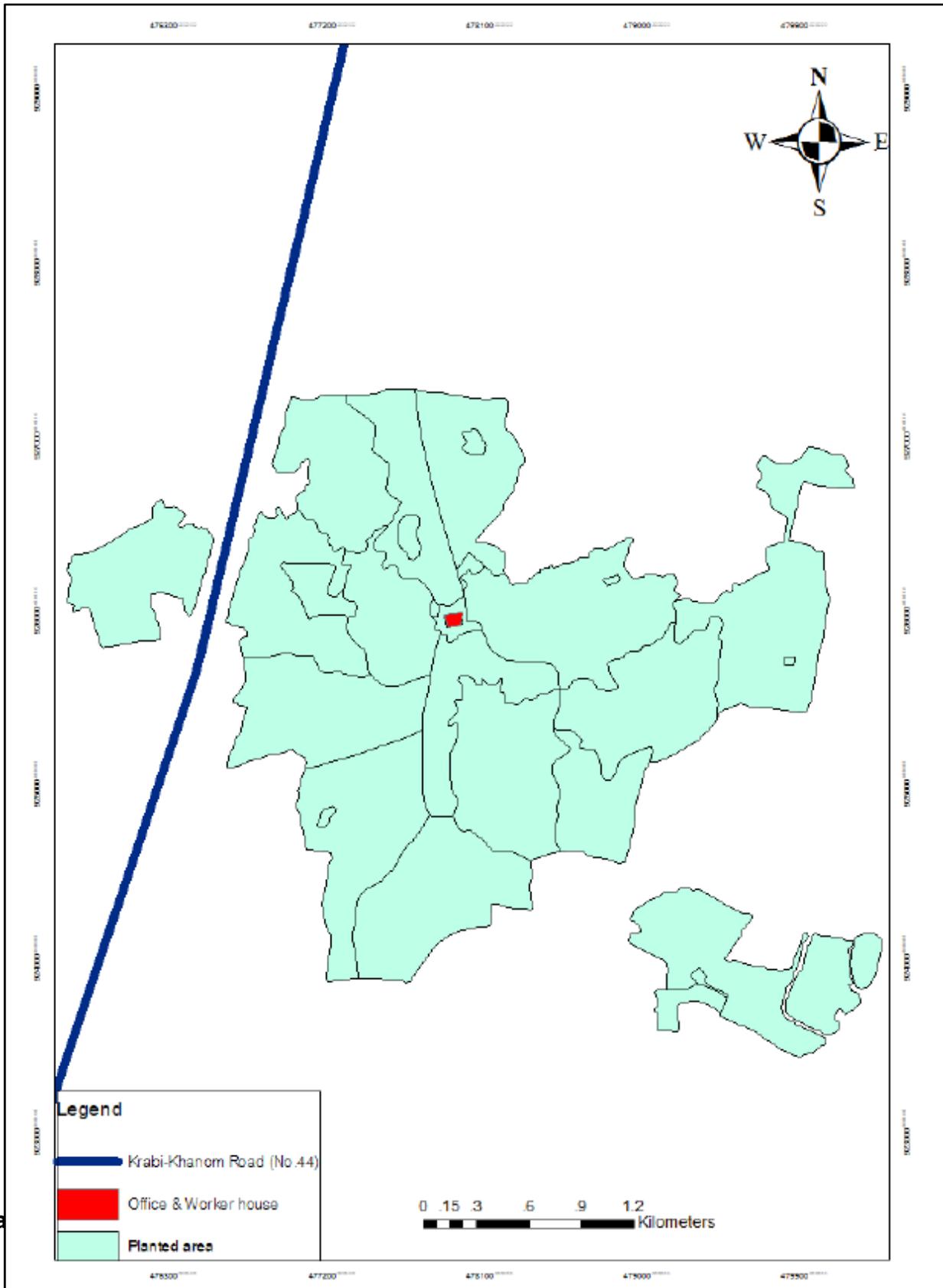
Appendix D: Estate Field Map

Map of Eakapot estate and Chean Vanich Estate map showing different land ownership type (plot with red shape is referred to as areas with land deed, while plot with white shape is referred to as areas where concession expired)

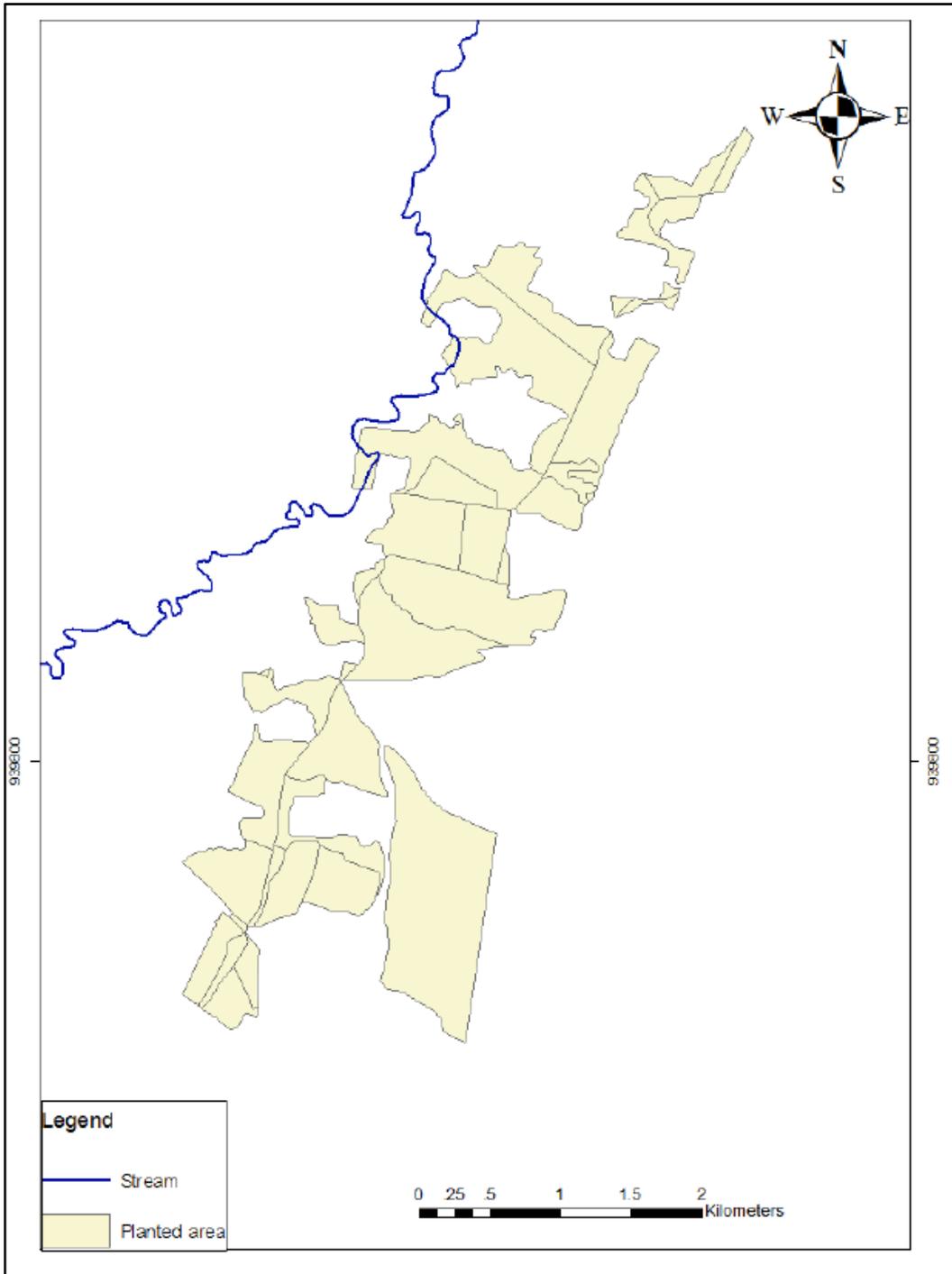


Map of Siam Palm Division

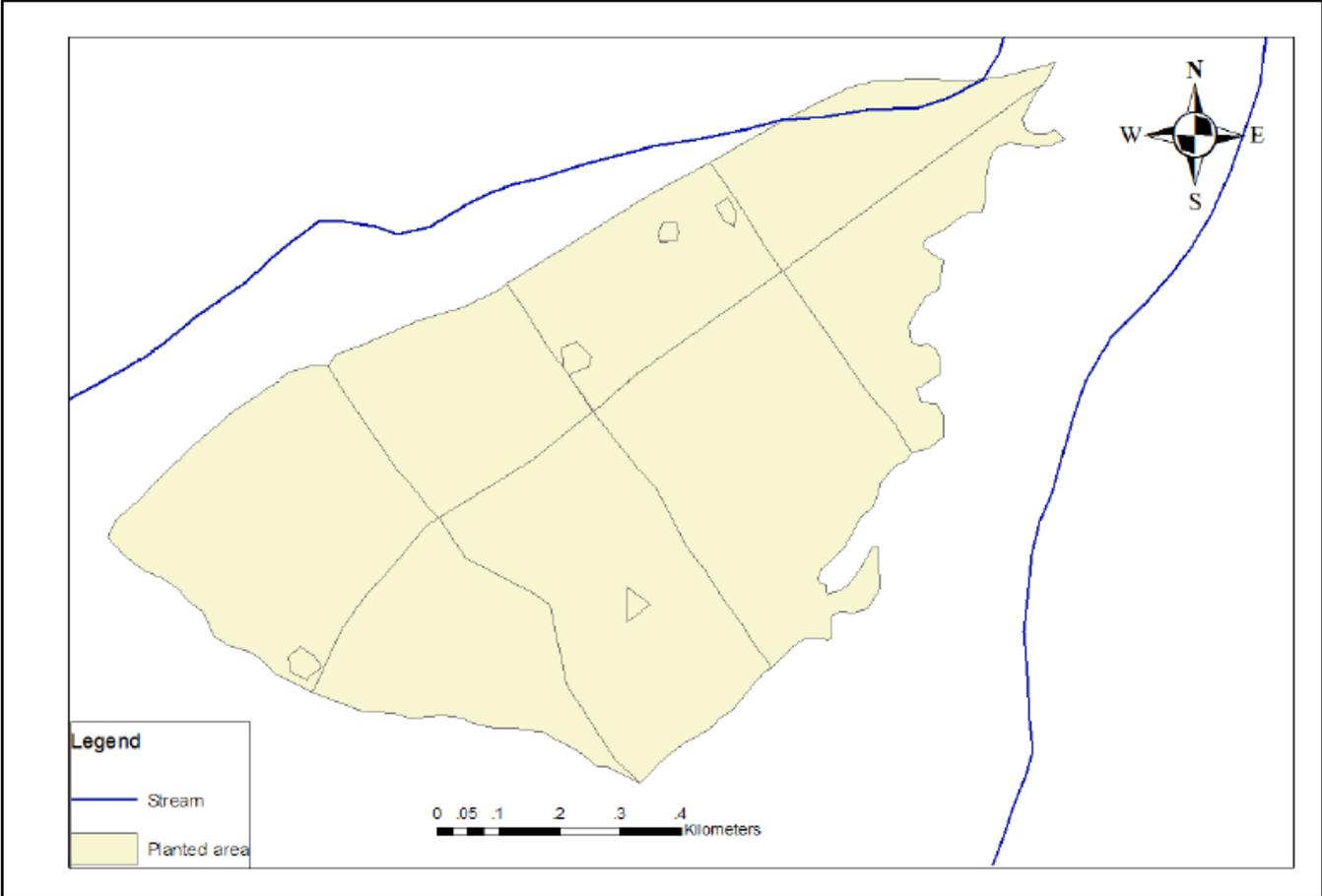
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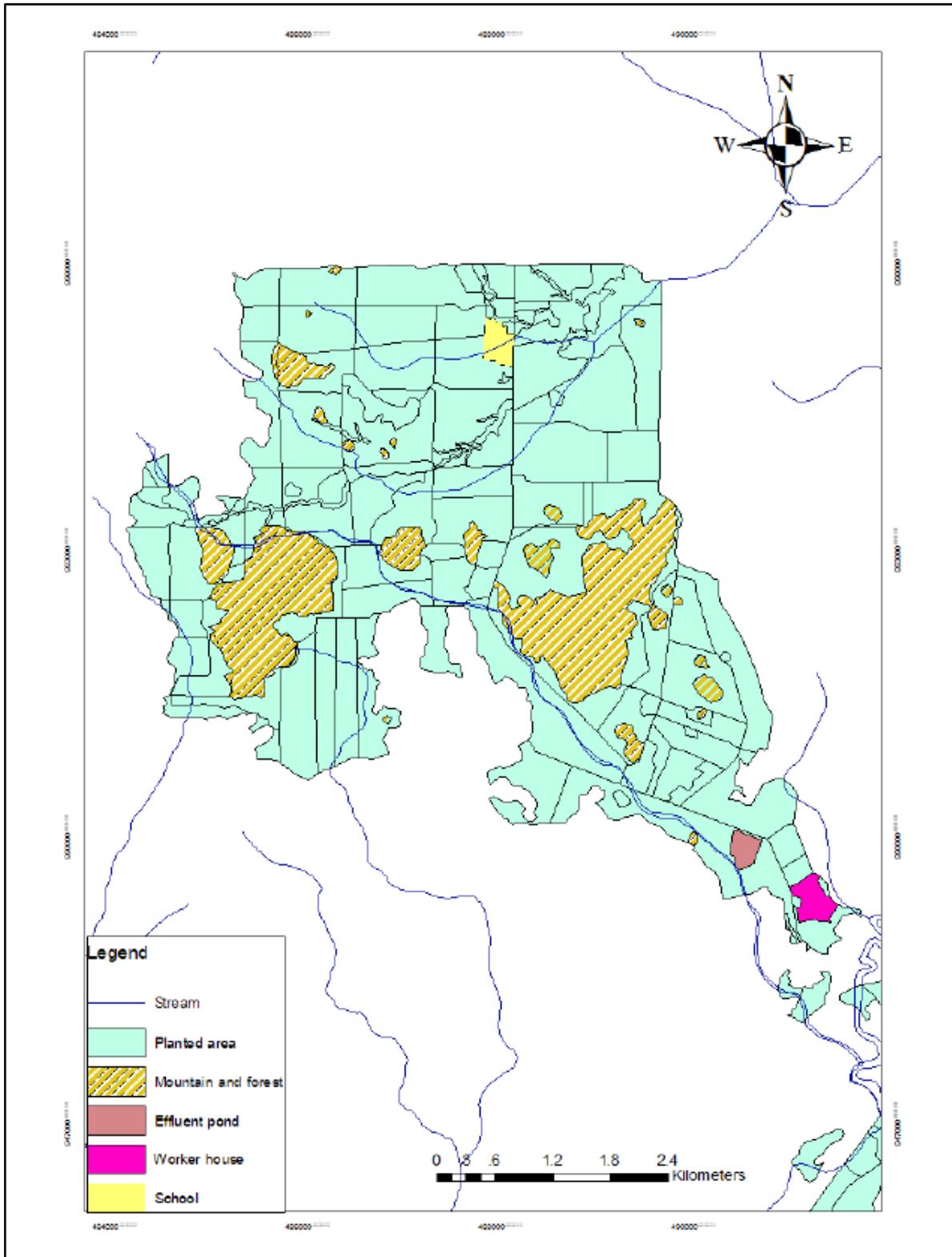
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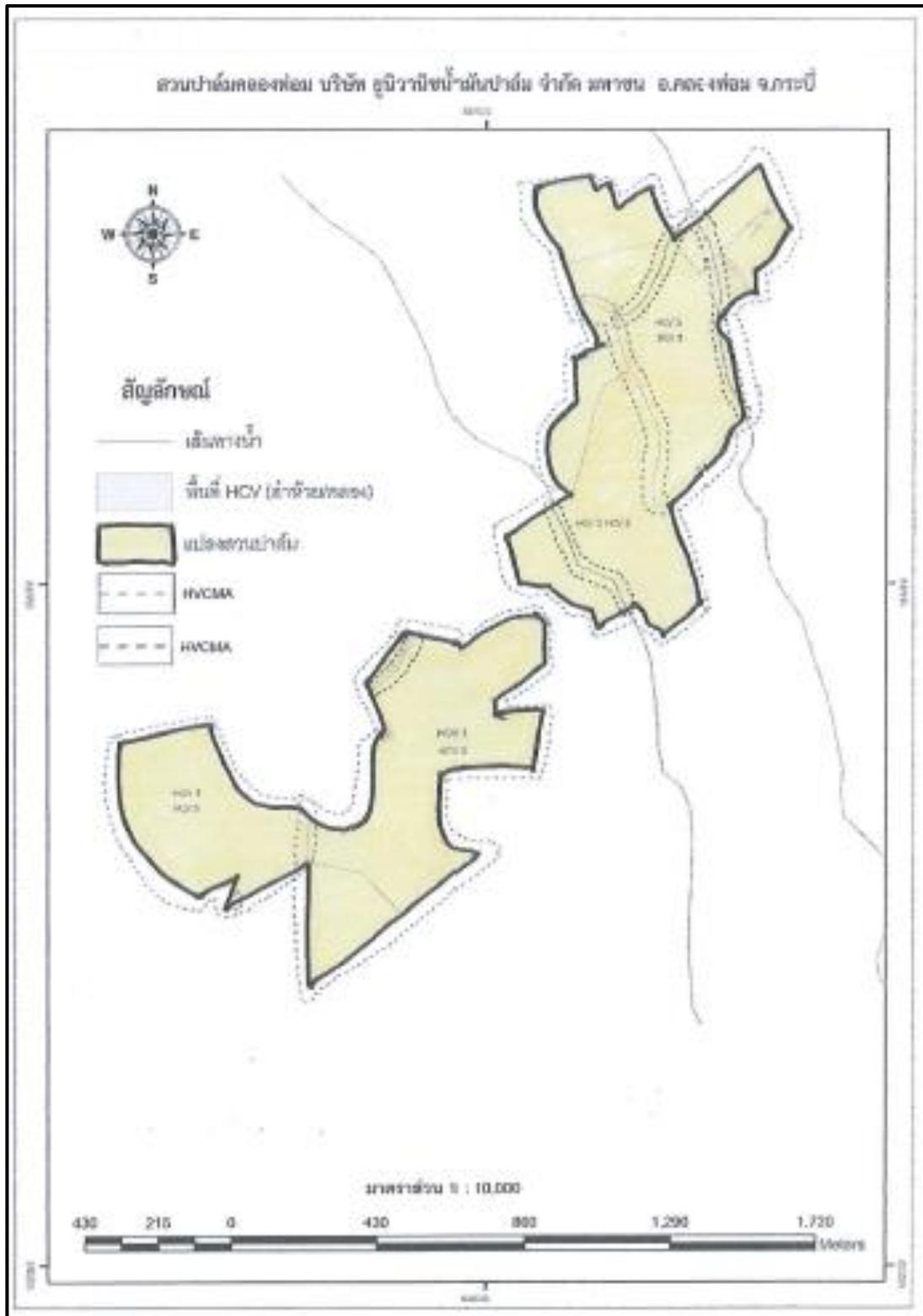
Map of Wanee Division



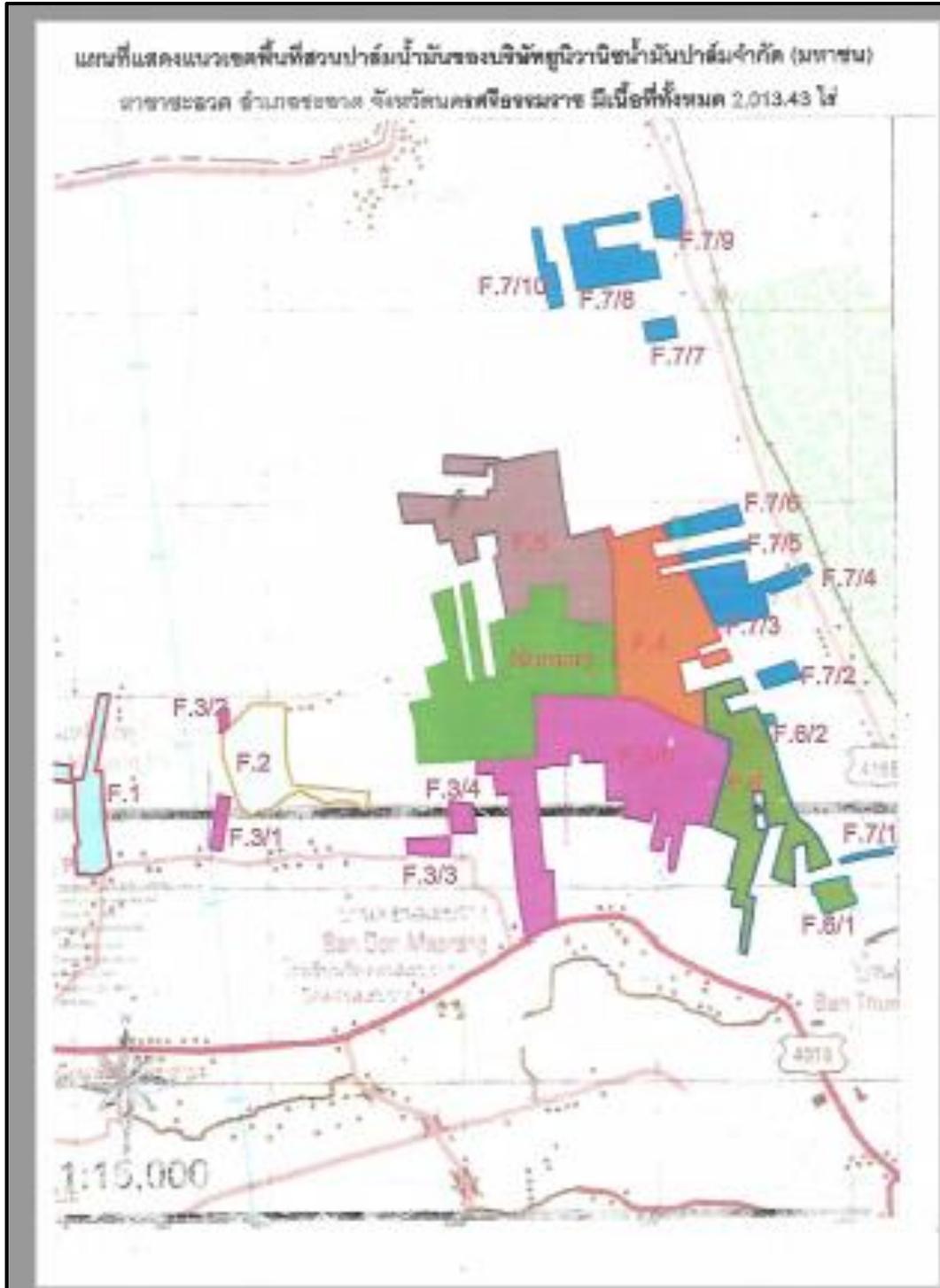
Map of TOPI Estate



Lamthap Estate



Cha-uat Esatate



Appendix E: List of Smallholder Registered and/or sampled

Not applicable

Appendix F: List of Abbreviations

a.i	Active Ingredient
BOD	Biochemical Oxygen Demand
CB	Certification Bodies
CHRA	Chemical Health Risk Assessment
COD	Chemical Oxygen Demand
CPO	Crude Palm Oil
CSPO	Certified Sustainable Palm Oil
CSPKO	Certified Sustainable Palm Kernel Oil
EFB	Empty Fruit Bunch
EHS	Environmental, Health and Safety
EIA	Environmental Impact Assessment
EMS	Environmental Management System
FFB	Fresh Fruit Bunch
FPIC	Free, Prior, Informed and Consent
GAP	Good Agricultural Practice
GHG	Greenhouse Gas
GMP	Good Manufacturing Practice
GPS	Global Positioning System
HCV	High Conservation Value
IPM	Integrated Pest Management
IP	Identity Preserved
IS - CSPO	Independent Smallholder Certified Sustainable Palm Oil
IS – CSPKO	Independent Smallholder Certified Sustainable Palm Kernel Oil
IS – CSPKE	Independent Smallholder Certified Sustainable Palm Kernel Expeller
ISCC	International Sustainable Carbon Certification
ISS	Independent Smallholder Standard
LD50	Lethal Dose for 50 sample
MB	Mass Balance
MSDS	Material Safety Data Sheet
MT	Metric Tonnes
OER	Oil Extraction Rate
OSH	Occupational Safety and Health
PK	Palm Kernel
PKO	Palm Kernel Oil
POM	Palm Oil Mill
POME	Palm Oil Mill Effluent
PPE	Personal Protective Equipment
RSPO	Roundtable on Sustainable Palm Oil
P&C	Principles & Criteria
RTE	Rare, Threatened or Endangered species
SCCS	Supply Chain Certification Standard
SEIA	Social & Environmental Impact Assessment
SIA	Social Impact Assessment
SOP	Standard Operating Procedure