

**RSPO PRINCIPLE AND CRITERIA
PUBLIC SUMMARY REPORT**

- Initial Assessment
- Annual Surveillance Assessment (1_3)
- Recertification Assessment (Choose an item.)
- Extension of Scope

| |
|--|
| Client Company name (Parent Company): SIPEF Group |
| Client company Address: Forum Nine Building, 10th Floor, Suite 1-11 Jl.Imam Bonjol No.9 Medan, 20112, Sumatera Utara Province, Indonesia |
| Certification Unit: SIPEF - PT Umbul Mas Wisesa |
| Location of Certification Unit: Tanjung Mulia Village, Kampung Rakyat District, South Labuhanbatu Regency, 20112, North Sumatra, Indonesia |
| Date of Final Report: 12/04/2023 |

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Section 1: Scope of the Assessment

| 1. Company Details | | | |
|--|---|---------------------------------|--|
| Parent Company | SIPEF Group | | |
| RSPO Membership Number | 1-0021-05-000-00 | Membership Approval Date | 7 th December 2005 |
| Address | Forum Nine Building, 10 th Floor, Suite 1-11, Jl. Imam Bonjol No.9, Medan, 20112 Sumatra Utara Province, Indonesia | | |
| Palm Oil Mill / Group Manager / Estate (Certification Unit) | SIPEF - PT Umbul Mas Wisesa Umbul Mas Wisesa Palm Oil Mill | | |
| Location / Address | Tanjung Mulia Village, Kampung Rakyat District, South Labuhanbatu Regency, 20112, North Sumatra, Indonesia | | |
| Website | www.tolantiga.co.id | | |
| Management Representative | Mr. Sander Van den Ende | E-mail | svdende@sipef.com |
| Telephone | +62 61 415 2043 | Facsimile | +62 61 452 0908 |

| 2. Certification Information | | | |
|---|--|--------------------------------|------------------|
| Certificate Number | RSPO 611834 | Certificate Start Date | 27/03/2020 |
| Date of First Certification | 27/03/2015 | Certificate Expiry Date | 26/03/2025 |
| Scope of Certification | Production of sustainable Crude Palm Oil (CPO) and Palm Kernel (PK) at Umbul Mas Wisesa Palm Oil Mill with marketing activities through SIPEF N.V. | | |
| Visit Objectives | <ul style="list-style-type: none"> • Determination of the conformity of the client's management system, or parts of it, with audit criteria. • Evaluation of the ability of the management system to ensure the client organization meets applicable statutory, regulatory and contractual requirements. | | |
| Assessment Cycle | <input type="checkbox"/> Pre Assessment (Choose an item.) <input type="checkbox"/> Initial Assessment <input checked="" type="checkbox"/> Annual Surveillance Assessment (ASA 1_3) <input type="checkbox"/> Recertification Assessment (Choose an item.) <input type="checkbox"/> Scope Extension | | |
| Applicable Standards / Normative Reference | RSPO Certification System for P&C and RSPO ISH 2020 <input type="checkbox"/> Choose an item. <input checked="" type="checkbox"/> Indonesia National Interpretation 2020 for RSPO P&C 2018 for the Production of Sustainable Palm Oil | | |
| Supply Chain Module | <input checked="" type="checkbox"/> Identity Preserved; <input type="checkbox"/> Mass Balance | Mill Capacity | 40 tons FFB/hour |
| ISH certification Phase | <input type="checkbox"/> Eligibility <input type="checkbox"/> Milestone A <input type="checkbox"/> Milestone B <input checked="" type="checkbox"/> Not Applicable | | |

| 3. Other Certifications | | | |
|-------------------------|--|------------------------|-------------|
| Certificate Number | Standard(s) | Certificate Issued by | Expiry Date |
| ISPO 611833 | Indonesia Sustainable Palm Oil (ISPO) PT Umbul Mas Wisesa | PT BSI Group Indonesia | 03/04/2027 |
| ISPO 648668 | Indonesia Sustainable Palm Oil (ISPO) PT Toton Usaha Mandiri | PT BSI Group Indonesia | 30/04/2026 |
| 10374416 | ISO 9001:2015 | Lloyd's Register | 02/07/2024 |

| 4. Location(s) of Mill & Supply Bases | | | |
|---|--|-----------------|------------------|
| Name (Mill / Supply Base / Group Manager / Smallholders) | Location | GPS Coordinates | |
| | | Latitude | Longitude |
| Umbul Mas Wisesa POM | Tanjung Mulia Village, Kampung Rakyat District, Labuhanbatu Selatan Regency, North Sumatra Province, Indonesia | 2°12' 41.00" N | 100°16' 20.00" E |
| Umbul Mas Wisesa South Estate | Tanjung Mulia Village, Kampung Rakyat District, Labuhanbatu Selatan Regency, North Sumatra Province, Indonesia | 2°13' 05.10" N | 100°17' 12.40" E |
| Umbul Mas Wisesa North Estate | Sei Siarti Village, Panai Tengah District, Labuhanbatu Regency, North Sumatra Province, Indonesia | 2°16' 41.80" N | 100°17' 36.76" E |
| Toton Usaha Mandiri Estate | Sei Siarti Village, Panai Tengah District, Labuhanbatu Regency, North Sumatra Province, Indonesia | 2°16' 41.80" N | 100°17' 36.76" E |

| 5. Description of Supply Base | | | | | |
|-------------------------------|--|---------------|--|-----------------|--------------|
| New Planting Development | <input checked="" type="checkbox"/> No (no change in total planted area) | | <input type="checkbox"/> Yes (please refer to Principle 7 for details) | | |
| Estate / Smallholders | Total Planted (Mature + Immature) (Ha) | HCV (Ha) | Infrastructure & Other (Ha) | Total Area (Ha) | % of Planted |
| Umbul Mas Wisesa South Estate | 4,444.26 | - | 339.35 | 4,783.61 | 92.91 |
| Umbul Mas Wisesa North Estate | 2,596.45 | 196.09 | 187.20 | 2,979.74 | 87.14 |
| Toton Usaha Mandiri Estate | 1,134.95 | 57.54 | 6.27 | 1,198.76 | 91.22 |
| Total | 8,175.66 | 253.63 | 532.82 | 8,962.11 | 91.22 |
| Notes: - | | | | | |

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| 6. Plantings & Cycle | | | | | | |
|---------------------------------|------------------|-----------------|-----------------|----------|-----------------|----------|
| Estate / Smallholders | Age (Years) - ha | | | | Mature | Immature |
| | 0 - 3 | 4 - 14 | 15 - 25 | >25 | | |
| Umbul Mas Wisesa South Estate | - | 815.69 | 3,628.57 | - | 4,444.26 | - |
| Umbul Mas Wisesa North Estate | - | 1,446.46 | 1,149.99 | - | 2,596.45 | - |
| Toton Usaha Mandiri Estate | - | 486.14 | 648.81 | - | 1,134.95 | - |
| Total (Ha) | - | 2,748.02 | 5,427.37 | - | 8,175.66 | - |

Note: Only Mature area is considered as production area

| 7. Summary of Certified Tonnage of FFB (Own Certified Scope) | | | | |
|---|--|--|---|-----------------------------------|
| Estate / Smallholders | Tonnage (MT) / year | | | |
| | Estimated last year (Mar 2022 – Feb 2023) | Actual (Jan 2022 – Dec 2022) | | Forecast (March 2023-Feb 2024) |
| | | Previous license period (Jan 2022 - Feb 2022) | Current license period (Mar 2022 – Dec 2022) | |
| Umbul Mas Wisesa South Estate | 101,976.25 | 10,749.13 | 82,310.13 | 97,712 |
| Umbul Mas Wisesa North Estate | 67,441.79 | 7,409.70 | 52,420.08 | 62,821 |
| Toton Usaha Mandiri Estate | 30,907.14 | 3,480.18 | 24,125.08 | 28,986 |
| Total | 200,325.18 | 21,639.01 | 158,855.29 | 189,519 |

Note: -

| 8. Summary of Certified Tonnage of FFB (from other certified unit(s)) | | | | |
|--|--|--|---|-----------------------------------|
| Estate / Smallholders | Tonnage (MT) / year | | | |
| | Estimated last year (Mar 2022 – Feb 2023) | Actual (Jan 2022 – Dec 2022) | | Forecast (March 2023-Feb 2024) |
| | | Previous license period (Jan 2022 - Feb 2022) | Current license period (Mar 2022 – Dec 2022) | |
| Koperasi Serba Usaha Suka Makmur | | 85.59 | 557.57 | |
| Total | | 643.16 | | |

Note: -

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| 9. Summary of Non-Certified Tonnage of FFB (outside supplier – excluded from certificate) | | | | |
|--|--|--|---|---------------------------------------|
| Out growers / smallholders | Tonnage (MT) / year | | | |
| | Estimated last year (Mar 2022 – Feb 2023) | Actual (Jan 2022 – Dec 2022) | | Forecast (March 2023-Feb 2024) |
| | | Previous license period (Jan 2022 - Feb 2022) | Current license period (Mar 2022 – Dec 2022) | |
| Nil | Nil | Nil | Nil | Nil |
| Total | Nil | Nil | | Nil |

Note: Umbul Mas Wisesa POM does not received FFB from uncertified unit.

| 9A. Monthly Records of Certified and Uncertified FFB Received since the last audit | | | | |
|---|---------------------|--|--|-----------------------------|
| No. | Month - Year | Volume of FFB from certified supply base (MT) | Volume of FFB from uncertified supply base (MT) | Total FFB/Month (MT) |
| 1 | January 2022 | 10,738.95 | - | 10,738.95 |
| 2 | February 2022 | 10,985.66 | - | 10,985.66 |
| 3 | March 2022 | 15,721.73 | - | 15,721.73 |
| 4 | April 2022 | 14,901.55 | - | 14,901.55 |
| 5 | May 2022 | 16,007.43 | - | 16,007.43 |
| 6 | June 2022 | 20,699.03 | - | 20,699.03 |
| 7 | July 2022 | 17,992.75 | - | 17,992.75 |
| 8 | August 2022 | 18,586.30 | - | 18,586.30 |
| 9 | September 2022 | 17,193.22 | - | 17,193.22 |
| 10 | October 2022 | 14,732.44 | - | 14,732.44 |
| 11 | November 2022 | 12,160.07 | - | 12,160.07 |
| 12 | December 2022 | 11,418.33 | - | 11,418.33 |
| | TOTAL | 181,137.46 | - | 181,137.46 |

Note: Total of FFB including from other certified source namely KSU Suka Makmur (Table 8)

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| 10. Summary of Certified Tonnage (MT) (not applicable for ISS) | | | |
|---|--|---|---|
| Estimated last year (Mar 2022 – Feb 2023) | Actual (Jan 2022 – Dec 2022) | | Forecast (March 2023-Feb 2024) |
| | Previous license period (Jan 2022 - Feb 2022) | Current license period (Mar 2022 – Dec 2022) | |
| FFB | FFB | | FFB |
| 201,279.58 mt | 21,724.60 mt | 159,412.86 mt | 189,519 mt |
| | TOTAL | 181,137.46 mt | |
| CPO (OER: 23.6 %) | CPO (OER: 23.42%) | | CPO (OER: 24%) |
| 47,501.96 mt | 5,055.61 mt | 37,364.21 mt | 45,485 mt |
| | TOTAL | 42,419.82 mt | |
| PK (KER: 4.0%) | PK (KER: 4.12%) | | PK (KER: 4%) |
| 8,051.18 mt | 860.99 mt | 6,595.84 mt | 7,581 mt |
| | TOTAL | 7,456.83 mt | |
| Note: | | | |

| 10A. Monthly Records of Certified CPO & PK since the last audit | | | |
|--|---------------------|---------------------------|--------------------------|
| No. | Month - Year | Certified CPO (MT) | Certified PK (MT) |
| 1 | January 2022 | 2,478.05 | 426.21 |
| 2 | February 2022 | 2,577.56 | 434.78 |
| 3 | March 2022 | 3,807.18 | 625.03 |
| 4 | April 2022 | 3,652.62 | 606.03 |
| 5 | May 2022 | 3,744.09 | 644.57 |
| 6 | June 2022 | 4,834.67 | 851.67 |
| 7 | July 2022 | 4,160.64 | 743.21 |
| 8 | August 2022 | 4,236.89 | 772.77 |
| 9 | September 2022 | 4,075.41 | 728.91 |
| 10 | October 2022 | 3,454.64 | 621.15 |
| 11 | November 2022 | 2,780.67 | 535.01 |
| 12 | December 2022 | 2,617.40 | 467.50 |
| | TOTAL | 42,419.82 | 7,456.83 |
| Note: | | | |

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| 11. Summary of Actual Volume sold | | | | | |
|--|----------------|-------------------------|--------|--------------|-----------|
| Current License period (Mar 2022 – Dec 2022) | | | | | |
| | RSPO Certified | Other Schemes Certified | | Conventional | Total |
| | | ISCC | Others | | |
| CPO (MT) | 31,183.10 | - | - | 13.67 | 31,196.77 |
| PK (MT) | 1,224.17 | - | - | - | 1,224.17 |
| Credits | - | - | - | - | - |
| Previous License period (Jan 2022 - Feb 2022) | | | | | |
| CPO (MT) | 4,650.85 | - | - | 0.13 | 4,650.98 |
| PK (MT) | 589.59 | - | - | - | 589.59 |
| Credits | - | - | - | - | - |

Note: Conventional is RSPO certified material but sold as non-RSPO.

| 11A. Records of Certified CPO & PK Sold under PalmTrace since the last audit (if any) | | | | |
|--|-------------|----------------------------------|-------------------------|------------------------|
| No. | Buyers Name | PalmTrace Trading License Number | Certified CPO Sold (MT) | Certified PK Sold (MT) |
| 1 | PT. MN | TR-5aa1f1b2-dc3c | 1843.77 | - |
| 2 | PT. MN | TR-2eb8a3cc-127b | 153.65 | - |
| 3 | PT. MN | TR-63554eed-9935 | 499.28 | - |
| 4 | PT. MN | TR-9d83917d-7783 | 499.62 | - |
| 5 | PT.IM | TR-073692ba-97f4 | 766.32 | - |
| 6 | PT.SM | TR-b3487439-cda1 | 888.21 | - |
| 7 | PT.IM | TR-59625d6a-de06 | 3006.34 | - |
| 8 | PT.IM | TR-4cd9aa36-f84d | 131.91 | - |
| 9 | PT.IM | TR-f3754061-75c1 | 757.73 | - |
| 10 | PT.IM | TR-667048a8-61c6 | 998.6 | - |
| 11 | PT.IM | TR-830c1086-7365 | 185.1 | - |
| 12 | PT.IM | TR-82b49f2f-eb59 | 813.98 | - |
| 13 | PT.IM | TR-a756f412-15ba | 686.84 | - |
| 14 | PT.IM | TR-a96c26fd-e366 | 311.95 | - |
| 15 | PT.IM | TR-5be2a4ae-9e5d | 499.26 | - |
| 16 | PT.IM | TR-10890fa9-6684 | 1343.66 | - |
| 17 | PT.IM | TR-6fd42744-d9ff | 7.99 | - |
| 18 | PT.IM | TR-3c62d82f-172f | 895.65 | - |
| 19 | PT.IM | TR-f553e74c-3a38 | 273,09 | - |

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| | | | | |
|----|--------|------------------|---------|--------|
| 29 | PT.IM | TR-c15ef796-805d | 2850.27 | - |
| 21 | PT.IM | TR-fc46850c-216e | 998.71 | - |
| 22 | PT.IM | TR-a14920a7-b657 | 1167.42 | - |
| 23 | PT.IM | TR-8b68739c-3376 | 829.3 | - |
| 24 | PT.IM | TR-790f2431-b529 | 499.27 | - |
| 25 | PT.IM | TR-4b2689e0-bc14 | 499.36 | - |
| 26 | PT.IM | TR-87e4077b-3bc6 | 499.28 | - |
| 27 | PT.IM | TR-2c25c853-13e9 | 998.72 | - |
| 28 | PT.IM | TR-2241cce0-3e98 | 374 | - |
| 29 | PT.IM | TR-1442e2a1-fc53 | 624.7 | - |
| 30 | PT.IM | TR-567e756e-db77 | 998.34 | - |
| 31 | PT.IM | TR-6267d319-45c3 | 998.8 | - |
| 32 | PT.IM | TR-707ee005-94f4 | 998.81 | - |
| 33 | PT.IM | TR-c1d92309-c300 | 48.98 | - |
| 34 | PT.IM | TR-afbd02a7-9cd7 | 949.84 | - |
| 35 | PT.IM | TR-81b8ced4-f188 | 949.84 | - |
| 36 | PT.IM | TR-b0c073a9-39f3 | 754.06 | - |
| 37 | PT.IM | TR-8275efe8-1b1d | 242.73 | - |
| 38 | PT.IM | TR-ceb528f3-4e26 | 48.26 | - |
| 39 | PT.IM | TR-abfbd246-03aa | 950.86 | - |
| 40 | PT.IM | TR-9720b4b4-9f98 | 940.57 | - |
| 41 | PT.IM | TR-286fc798-248c | 1008.88 | - |
| 42 | PT.IM | TR-fb499ac0-38ff | 77.49 | - |
| 43 | PT.IM | TR-842ea6d8-086c | 921.67 | - |
| 44 | PT.IM | TR-4a93da67-b182 | 462.38 | - |
| 45 | PT.IM | TR-17a021aa-454a | 1283.13 | - |
| 46 | PT.IM | TR-f60d01a2-6f70 | 185.19 | - |
| 47 | PT.IM | TR-d2dc5f79-377f | 28.24 | - |
| 48 | PT.IM | TR-c622ebe4-145b | 81.9 | - |
| 49 | PT. MN | TR-32e96230-3589 | - | 148.57 |
| 50 | PT. IM | TR-ce8fb3d8-47c1 | - | 70.51 |
| 51 | PT. IM | TR-7b896f91-5b32 | - | 70.51 |
| 52 | PT. IM | TR-90fc143f-c62d | - | 300 |
| 53 | PT. IM | TR-3b689141-bb19 | - | 300 |
| 54 | PT. IM | TR-24fda84c-1df7 | - | 278.5 |
| 55 | PT. IM | TR-2df1b1c6-3755 | - | 278.5 |

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| | | | | |
|--------------|--------|------------------|------------------|-----------------|
| 56 | PT. IM | TR-7125ade7-afb4 | - | 223.13 |
| 57 | PT. IM | TR-a3e22c50-0368 | - | 144.04 |
| TOTAL | | | 35,833.96 | 1,813.76 |
| Note: | | | | |

| 11B. Records of certified CPO & PK Sold under other schemes since the last audit (if any) | | | | |
|---|-------------|-------------|-------------------------|------------------------|
| No. | Buyers Name | Scheme Name | Certified CPO Sold (MT) | Certified PK Sold (MT) |
| | - | - | - | - |
| TOTAL | | | - | - |
| Note: | | | | |

| 11C. Records of CPO & PK Sold as conventional since the last audit (if any) | | | |
|---|-------------------|---------------|--------------|
| No. | Buyers Name | CPO Sold (MT) | PK Sold (MT) |
| 1 | Claim Transporter | 13.8 | - |
| TOTAL | | 13.8 | - |
| Note: | | | |

| 11D. Records of Certified CPO Sold under RSPO Credits since the last audit (if any) | | | |
|---|-------------|----------------------------------|------------------------------------|
| No. | Buyers Name | PalmTrace Trading License Number | RSPO Credits of Certified CPO Sold |
| | - | - | - |
| TOTAL | | | - |
| Note: | | | |

| 12. Independent Smallholders Certified Tonnage (MT) / Volume | | | | | | | | | |
|--|---|------|------|------------------------------|------|------|--------------------------------|------|------|
| Phase | Estimated last year (Mar 2022 – Feb 2023) | | | Actual (Jan 2022 – Dec 2022) | | | Forecast (March 2023-Feb 2024) | | |
| | Eligibility | MS A | MS B | Eligibility | MS A | MS B | Eligibility | MS A | MS B |
| | 40% | 70% | 100% | 40% | 70% | 100% | 40% | 70% | 100% |
| FFB | | | N/A | | | N/A | | | N/A |
| IS-CSPO | N/A | N/A | | N/A | N/A | | N/A | N/A | |
| IS-CSPKO | N/A | N/A | | N/A | N/A | | N/A | N/A | |
| IS-CSPKE | N/A | N/A | | N/A | N/A | | N/A | N/A | |
| CSPK | N/A | N/A | | N/A | N/A | | N/A | N/A | |

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| 12A. Monthly Records of Certified CPO, PK & PKE (equivalent) produced since the last audit | | | | | | |
|--|--------------|------------|--------------------|-------------------|--------------------|--------------------|
| No. | Month - Year | FFB (MT) | Certified CPO (MT) | Certified PK (MT) | Certified PKO (MT) | Certified PKE (MT) |
| | N/A | N/A | N/A | N/A | N/A | N/A |
| | N/A | N/A | N/A | N/A | N/A | N/A |
| TOTAL | | N/A | N/A | N/A | N/A | N/A |
| Note: | | | | | | |

| 13. Independent Smallholders Actual Sold Tonnage / Volume | | | | | | | |
|---|-----|------------------|-------------------|----------|------|----------|----------|
| | FFB | FFB Conventional | FFB Other schemes | IS-CSPPO | CSPK | IS-CSPKO | IS-CSPKE |
| Current License period (not applicable) | | | | | | | |
| Credits | | | | N/A | N/A | N/A | N/A |
| Physical | N/A | N/A | N/A | | | | |
| Previous License period (not applicable) | | | | | | | |
| Credits | | | | N/A | N/A | N/A | N/A |
| Physical | N/A | N/A | N/A | | | | |

| 13A. Records of Certified FFB, CPO, PK & PKE (including credits) sold since the last audit | | | | | | | |
|--|-------------|----------------------------------|---------------|--------------------------------|-------------------------------|--------------------------------|--------------------------------|
| No. | Buyers Name | PalmTrace Trading License Number | FFB Sold (MT) | Certified CPO Sold (MT/credit) | Certified PK Sold (MT/credit) | Certified PKO Sold (MT/credit) | Certified PKE Sold (MT/credit) |
| | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| TOTAL | | | N/A | N/A | N/A | N/A | N/A |
| Note: | | | | | | | |

Section 2: Assessment Process

Certification Body:

BSI Services Malaysia Sdn. Bhd. (ASI Accreditation Number: ASI-ACC-067)
Suite 29.01 Level 29, The Gardens North Tower,
Mid Valley City, Lingkaran Syed Putra,
59200 Kuala Lumpur, Malaysia.
Tel +60 (3) 9212 9638 Fax +60 (3) 9212 9639
Representative: Chaiyaporn Seekao (Chaiyaporn.Seekao@bsigroup.com)
Website: www.bsigroup.com

BSI is a leading global provider of management systems assessment and certification, with more than 92,000 certified locations and clients in over 193 countries. BSI is the UK's National Standards Body. BSI provides independent, third-party certification of management systems. BSI is ASI Accredited (ASI-ACC-067) to conduct RSPO assessment since 31/10/2014 with accredited office located at Kuala Lumpur, Malaysia.

2.1 Assessment Methodology, Programme, Site Visits

The on-site assessment was conducted on **16 – 19 January 2022**. The audit programme is included as Section 2.3.

The approach to the audit was to treat the mill and supply base as an RSPO Certification Unit. A range of occupational health and safety, environmental, best practice management, and social factors were covered. This includes consideration of topography, palm age, proximity to areas with HCVs, declared conservation areas, local communities engagement and workers welfare and safety.

The methodology for collection of objective evidence included physical site inspections, observation of tasks and processes, interviews of staff, workers and their families and external stakeholders, review of documentation and monitoring data. Indonesia National Interpretation 2020 of the RSPO P&C 2018 was used as the normative reference to assess compliance. The comments made by external stakeholders were also taken into account in the assessment.

The minimum sample size is four estates. Sample size for certification unit with more than four (4) estates were determined based on formula $N = (\sqrt{y}) \times (z)$ where y is the number of estates and where z is the multiplier defined by risk assessment. While, the sampling of smallholders were based on the formula $(\sqrt{y}) \times (z)$; where y is total number of group member and where z is the multiplier defined by the risk assessment. The sampled smallholder listed in Appendix E.

Meetings were held with stakeholders to seek their views on the performance of the company with respect to the RSPO requirements and aspects where they considered that improvements could be made. At the start of each meeting, the interviewer explained the purpose of the audit followed by an evaluation of the relationship between the stakeholder and the company before discussions proceeded. The interviewer recorded comments made by stakeholders and these have been incorporated into the assessment findings.

Structured worker interviews with male and female workers and staff were held in private at the workplace in the mill and the estates. Fieldworkers were interviewed informally in small groups in the field. In addition, the wives of workers and staff were interviewed in informal group meetings at their housing. Separate visits were made to each of the local communities to meet with the village head and residents. Company officials were not present at any of the internal or external stakeholder interviews. A list of Stakeholders contacted is included as Section 3.4.

The non-conformities for this audit are detailed in Section 3.3 and unless it is stated in this section, all previous nonconformities including minor nonconformities are remains closed.

This report is structured to provide a summary of assessment finding as provided in Appendix A. The assessment was conducted based on risk based approach sampling and therefore nonconformities may exist.

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For Initial, Re-certification and Extension of Scope assessment, the report was externally reviewed by approved external peer reviewer prior to certification decision by BSI.

For Annual surveillance assessment, the report was internally reviewed and approved by BSI qualified certification reviewer.

The following table would be used to identify the locations to be audited each year in the 5 year cycle

| Assessment Program | | | | | |
|--------------------------------------|-----------------------------------|---------------------------|---------------------------|---------------------------|---------------------------|
| Name (Mill / Supply Base) | Year 1 (Certification) | Year 2 (ASA 1) | Year 3 (ASA 2) | Year 4 (ASA 4) | Year 5 (ASA 5) |
| Umbul Mas Wisesa POM | √ | √ | √ | √ | √ |
| Umbul Mas Wisesa South Estate | √ | √ | √ | √ | √ |
| Umbul Mas Wisesa North Estate | √ | √ | √ | √ | √ |
| Toton Usaha Mandiri Estate | √ | √ | √ | √ | √ |

Tentative Date of Next Visit: January 15, 2024 - January 18, 2024

Total Number of Mandays: 16 mandays

2.2 BSI Assessment Team

| Name | Role | Competency |
|-----------------------|-------------|--|
| Andi Pratama Pasaribu | Team Leader | <p>Education: Holds a Bachelor Degree majoring Social Economy, Jember University</p> <p>Work Experience: 5 years working experience as Field Agronomy Assistant. 8 years working experience as RSPO Auditor / Lead Auditor.</p> <p>Training attended: Completed Indonesian Sustainable Palm Oil (ISPO) Lead Auditor training, Endorsed RSPO P&C Lead Auditor course, Introductory Course for High Conservation Value and High Carbon Stock (HCS) in Oil Palm Plantation, ISO 9001:2008 Lead Auditor course, ISO 14001:2005 Lead Auditor course, ISO45001:2018 Lead Auditor Course, RSPO endorsed RSPO SCCS Lead Auditor course, SMETA requirements training</p> <p>Language proficiency: Fluent in Bahasa Indonesia and English</p> <p>Aspect covered in this audit: During this audit, he verify legal, social aspect and timebound plan verification.</p> |
| Eko Purwanto | Team Member | <p>Education: Holds a Bachelor of Forestry from Forest Conservation Department, Faculty of Forestry, Bogor Institute of Agriculture (IPB).</p> <p>Work Experience: Over 9 year working expiring in oil palm plantation with last position as Estate Manager. He has experience in implementing good agricultural practice including integrated pest management and limited pesticides uses. 10 years working experience as auditor since 2012 covering ISO9001, RSPO and ISPO.</p> <p>Training attended: Completed SMETA Requirements Training, ISPO Permentan 38/2020, Introductory Course for High Conservation Value and</p> |

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|---------------------------------|--------------------|---|
| | | <p>High Carbon Stock (HCS) in Oil Palm Plantation, CQI and IRCA Certified ISO 45001:2018 Lead Auditor Training Course, ISO 37001:2016 Anti-bribery Management System Implementing Training Course, RSPO P&C 2018 Refresher Training, Sustainability Reporting Assurance Training, RSPO P&C Lead Auditor Refresher Course, RSPO Supply Chain Certification Refresher Course, RSPO NEXT Training Course For Lead Auditor by RSPO Secretariat, Elaborating on the RSPO P&C Social and Labour Standards and the Mechanics of Social Auditing, RABQSA accredited Lead Auditor Training of Environment Management System, Endorsed RSPO Green House Gas (GHG) Training for Trainer, Endorsed RSPO P&C Lead Auditor Training, Understanding Environmental Management System (EMS), Indonesia Sustainable Palm Oil (ISPO) Lead Auditor Training, Endorsed RSPO Supply Chain Certification (SCC) Lead Auditor Training, RABQSA accredited Lead Auditor Training of Quality Management System.</p> <p>Language proficiency: Fluent in Bahasa Indonesia and English</p> <p>Aspect covered in this audit: During this audit, he verify long-term business plan, mill best practices, estate best practices and RSPO supply chain requirements.</p> |
| <p>Yudwi Wisnu Rahmanto</p> | <p>Team Member</p> | <p>Education: Holds a Bachelor of Forestry with Silviculture, Faculty of Forestry, University of Gadjah Mada</p> <p>Work Experience: 7 months working experience in palm oil industry as Agronomy Field Assistant. 11 years working experience as sustainability auditor covering Sustainable Forest Management by FSC FM/COC Scheme, RSPO, ISPO, Coffee And Farmer Equity (C.A.F.E Practices) Starbucks, Organic Standard (EU, NOP, JAS) for Coffee Farmers and Organic Exchange for Textile</p> <p>Training attended: Completed Endorsed RSPO P&C Lead Auditor Training Course, RSPO NEXT, ISPO Auditor/Lead Auditor Course, RSPO P&C Social and Labour Standards and the Mechanics of Social Auditing Training, SMETA Requirements Training, ISO 9001:2008 Auditor/Lead Auditor Course, GIS-Basic Mapping and Spatial Analysis, Timber Legality Assurance System (SVLK), Verification Organization Training C.A.F.E Practices (Starbucks), UTZ Programme, High Conservation Value (HCV) Training, Sustainability Reporting Assurance Training, Introductory Course for High Conservation Value and High Carbon Stock (HCS) in Oil Palm Plantation, RSPO ISH Standard Training Course and Endorsed RSPO Supply Chain Lead Auditor Training Course.</p> <p>Language proficiency: Fluent in Bahasa Indonesia and English</p> <p>Aspect covered in this audit: During this audit, he verify company policy, HCV management and social aspect.</p> |
| <p>Imam Fakhrurozi</p> | <p>Team Member</p> | <p>Education: Holds a Bachelor Degree majoring in Agriculture Technology, Gadjah Mada University.</p> <p>Work Experience: 2 years working experience oil palm industry, as a sustainability and HSE officer. 7 years working experience as RSPO Auditor / Lead Auditor</p> <p>Training attended: Completed ISO 9001:2008 Lead Auditor Course, 14001:2004 Lead Auditor Course, SMK3 Lead Auditor Course, Endorsed RSPO P&C Lead auditor course, ISO 45001:2018 Lead Auditor Course, SMETAR</p> |

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| | | <p>requirements training, Introductory Course for High Conservation Value and High Carbon Stock (HCS) in Oil Palm Plantation</p> <p>Language proficiency: Fluent in Bahasa Indonesia and English</p> <p>Aspect covered in this audit: During this audit, he verify Occupation Health Safety requirement, HIRARC analysis, GHG verification, training and worker welfare.</p> |
| Arif Faisal Simatupang | Team Member | <p>Education: Holds a Bachelor Degree in Agriculture, Majoring Agronomy, Gadjah Mada University</p> <p>Work Experience: Over 3 years of working experience in palm oil estate as Land Acquisition Officer and later as Division Manager with PT PP London Sumatera Indonesia Tbk. 8 years working experience as auditor since 2014 covering RSPO P&C and ISPO.</p> <p>Training attended: Completed ISO9001 Lead Auditor Course, ISO 14001 Lead Auditor Course, Endorsed RSPO P&C Lead Auditor Course, Endorsed RSPO SCC Auditor Lead Auditor Course, ISPO Auditor Training, HCV and GIS training and SA8000 Auditor training.</p> <p>Language proficiency: Fluent in Bahasa Indonesia and English</p> <p>Aspect covered in this audit: During this audit, he verify Transparency, social aspect and stakeholder consultation.</p> |

Accompanying Persons: NIL

2.3 Assessment Plan

The Assessment plan was sent to the client prior to the assessment.

| Date | Time | Subjects | APP | IF | EP | YWR | EPR |
|---------------------|---------------|---|-----|----|----|-----|-----|
| Monday, 16/01/2023 | 07.05 – 09.30 | Flight Jakarta – Medan (GA-182) | √ | √ | √ | √ | √ |
| | 10.00 – 20.00 | Travel to Site - UMW | √ | √ | √ | √ | √ |
| Tuesday, 17/01/2023 | 08.00 – 08.45 | <p>Opening Meeting</p> <ul style="list-style-type: none"> • Presentation by client (Overview of PT Umbul Mas Wisesa - UMW Palm Oil Mill and its supplybase) • Presentation by BSI team (Objective, scope, audit plan, etc.) | √ | √ | √ | √ | √ |
| | 09.00 – 12.00 | <p>UMW POM - Field Visit</p> <p>Visit and observing Mill Best Management Practices and Environmental management implementation and interview with workers.</p> <p>Visit and observing Occupational Safety and Health management, (including but not limited to: use of PPE, safe working environment, walk ways, signs, palm oil mill effluent, diesel tanks, fire extinguishers, emission, first aiders and boxes, etc) and interview with workers.</p> <p>Field Visit</p> <p>RSPO SCCS Implementation</p> | √ | √ | √ | | √ |

| | | | | | | | |
|-------------------------|---------------|---|---|---|---|---|---|
| | | <p>Stakeholder Consultation: Visit and interview with government offices in Kabupaten Labuhanbatu Selatan and local NGO (if any).</p> <ul style="list-style-type: none"> • Labour Agency (Disnakertrans) of Labuhanbatu Selatan Regency. • National Land Agency (Kantor Pertanahan) of Labuhanbatu Selatan Regency. • Plantation Agency (Disbun) of Labuhanbatu Selatan Regency. • Head of village, cooperatives, community leader, contractors). | | | | √ | |
| | 12.00 – 14.00 | Break | √ | √ | √ | √ | √ |
| | 14.00 – 17.00 | <p>UMW POM – (continued) RSPO Document Review General information, Time bound plan, partial certification verification, RSPO P&C (for Principle 1-7) RSPO SCCS</p> | √ | √ | √ | √ | √ |
| Wednesday 18/01/2023 | 08.00 – 12.00 | <p>TUM Estate & North Estate - Field observation and Worker interview</p> <ul style="list-style-type: none"> • FFB harvesting, FFB loading, road maintenance, IPM • HCVs, riparian zones, boundaries inspection, landfill (waste management), • Workshop, water management, housing, social amenities, school, clinic • Herbicide application programs, fertilizer application, chemical and fertilizer warehouse, hazardous waste storage, etc. | √ | √ | √ | √ | √ |
| | 12.00 – 14.00 | Break | √ | √ | √ | √ | √ |
| | 14.00 – 17.00 | <p>TUM Estate & North Estate - RSPO Document Review: RSPO P&C (for Principles 1-7)</p> | √ | √ | √ | √ | √ |
| Thursday 19/01/2023 | 08.00 – 12.00 | <p>South Estate - Field observation and Worker interview</p> <ul style="list-style-type: none"> • FFB harvesting, FFB loading, road maintenance, IPM • HCVs, riparian zones, boundaries inspection, landfill (waste management), • Workshop, water management, housing, social amenities, school, clinic • Herbicide application programs, fertilizer application, chemical and fertilizer warehouse, hazardous waste storage, etc. | √ | √ | √ | √ | √ |

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|---------------|---|---|---|---|---|---|---|
| | | RSPO Document Review: RSPO P&C (for Principles 1-7) | | | | | |
| 12.00 – 14.00 | Break | | ✓ | ✓ | ✓ | ✓ | ✓ |
| 14.00 – 15.00 | Continue document review Discussion if there are any outstanding issues | | ✓ | ✓ | ✓ | ✓ | ✓ |
| 15.00 – 16.00 | Report Preparation – Auditor discussion | | ✓ | ✓ | ✓ | ✓ | ✓ |
| 16.00 – 17.00 | Closing Meeting | | | | | | |

Section 3: Assessment Findings

3.1 Multiple Management Units and Time Bound Plan

| Requirement | Assessment | Compliance |
|---|---|------------|
| Does the time bound plan include all current subsidiaries, estates and mills that is under the control and/or minor shareholding of the holding company? | The time bound plan has included all the subsidiary and group of companies of SIPEF Group. The TBP was verified against the Group Member information published in the RSPO website under the parent company SIPEF. | Complied |
| Have all the estates and mills certified within five (5) years after obtaining RSPO membership? | <p>There are estates and mills that are not certified within 5 years of the RSPO membership. But, since this requirement was published in July 2017, the 5 years starts from 2017 in which the SIPEF have up to 2022 to comply with this requirement.</p> <p>Despite the above, SIPEF had demonstrated that those units/estates that are not able to be certified are either due to awaiting for the HGU (Hak Guna Usaha <i>Right to Cultivate</i>) and/or awaiting for full estate development.</p> <p>The approval of TBP due to deviation is applicable 5 years since 1 July 2018 (that is 30 June 2023), one year after endorsement of RSPO 2017 certification system. As stated in RSPO secretariat to CB via email on 22 August 2019 regarding 5 years RSPO TBP, that is:</p> <p><i>"As a minimum, all estates and mills shall be certified within five years after obtaining RSPO membership. Any new acquisitions shall be certified within a three-year timeframe. Any deviations from these maximum periods requires approval by RSPO Secretariat".</i></p> <p><i>"Since the RSPO Certification System document was made effective from 1 July, 2018, existing RSPO members have 5 years from this date to comply with these requirements. This means any grower member with management unit(s) that have not yet been certified will need to ensure that the uncertified management unit(s) are certified by 30 June, 2023".</i></p> <p>The SIPEF Group has planned all units to be certified by 2026 (accordance with approval from RSPO Secretariat on 22 December 2022). Therefore, the deviation and/or RSPO approval will be verified/applicable on the next audit.</p> | Complied |
| Have there been any new acquisitions? If yes, the new acquisitions shall be certified within three (3) years from the date of acquisition. Certification plan for the new acquisition shall be available. | New acquisition, PT. Asri Rimba Wirabhakti (ARWB) in Bengkulu Province was acquired by SIPEF in end of 2018 and certified as of June 2022. | Complied |
| Any deviations from the maximum periods requires approval by the RSPO Secretariat. | So far, the deviations periods are acceptable and justifiable. There was no deliberate delay by the company. | Complied |

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| <p>Have there been any changes to the time-bound plan since the last audit (both new acquisition and existing)? If yes, justification is required. Is this consistent with the ACOP reporting?</p> | <p>The commitment timeline has shorten from 2024 to 2026. The changes are acceptable as the control of being certified is not on the hands of the Company due to awaiting for HGU which the approval is beyond the company choice.</p> | <p>Complied</p> |
| <p>Have there been any isolated lapses in implementation of the plan? If yes a Minor non-compliance shall be raised</p> | <p>All lapses of implanting the original plan are justified base on the awaiting for the HGU (Hak Guna Usaha <i>Right to Cultivate</i>) and/or awaiting for full estate development. While for the newly acquired estate, it is justified based on awaiting for RSPO Secretariat to confirm liabilities for Sei Temarang Estate.</p> | <p>Complied</p> |
| <p>Have there been any fundamental failure (e.g. unable to justify delay in planning the assessments) to proceed with implementation of the plan? If yes a Major non-compliance shall be raised</p> | <p>There is no fundamental failure as all delays are justified as stated above.</p> | <p>Complied</p> |
| Un-Certified Units or Holdings | | |
| <p>No replacement of primary forest or any area required to maintain or enhance HCVs and HCS in accordance with RSPO P&C criterion 7.12.</p> | <p>Previously prior to certification, PT. Umbul Mas Wisesa and PT. Tonton Usaha Mandiri had liabilities. The compensation plan have been approved by the Compensation Task Force during the staged implementation of the RaCP between May 2014 and November 2015.</p> | <p>Complied</p> |
| <p>Any new plantings since January 1st 2010 shall comply with the RSPO New Plantings Procedure.</p> | <p>All the new development that are not yet certified has undergone NPP and published in the RSPO website</p> <p>PT Agro Muara Rupit</p> <ul style="list-style-type: none"> • https://rspo.org/certification/new-planting-procedure/public-consultations/sipef-group-pt-agro-muara-rupit-iii-and-iv-pt-amr • https://rspo.org/certification/new-planting-procedure/public-consultations/sipef-pt-agro-muara-rupit <p>PT Agro Kati Lama</p> <ul style="list-style-type: none"> • https://rspo.org/certification/new-planting-procedure/public-consultations/sipef-pt-agro-kati-lama-phase-ii <p>PT Agro Rawas Ulu</p> <ul style="list-style-type: none"> • https://rspo.org/certification/new-planting-procedure/public-consultations/sipef-pt-agro-rawas-ulu-new-planting-assessment-call-for-comments • https://rspo.org/certification/new-planting-procedure/public-consultations/sipef-pt-agro-rawas-ulu-new-planting-assessment-call-for-comments-1 • https://rspo.org/certification/new-planting-procedure/public-consultations/sipef-pt-agro-rawas-ulu-new-planting-assessment-call-for-comments-1 <p>PT Bandar Sumatra Indonesia</p> | <p>Complied</p> |

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|---|---|-----------------|
| | <ul style="list-style-type: none"> • https://rspo.org/certification/new-planting-procedure/public-consultations/sipef-pt-bandar-sumatra-indonesia-bandar-pinang-estate | |
| <p>Any Land conflicts are being resolved through a mutually agreed process, such as RSPO Complaints System or Dispute Settlement Facility, in accordance with RSPO P&C criteria 4.4, 4.5, 4.6, 4.7 and 4.8.</p> | <p>The assessment team has conducted a search in internet to confirm that there is a lodged land conflict that was recorded as complaint under RSPO Case Tracker; raised by individual on those units that have not been certified.</p> <p>RSPO Case Tracker recorded complaint to PT. Agro Kati Lama, date complaint submitted 22 January 2021. The complainant brought forward the issue of fraudulent land title to RSPO. The complainant claimed that his land was fraudulently sold by his cousin to PT. Agro Muara Rupit, a subsidiary of SIPEF Group.</p> <p>On 20 December 2018, the complaint is officially closed. The case tracker available on link https://askrspo.force.com/Complaint/s/case/5090000028Es1JAAS/detail</p> <p>Based on RaCP tracker in RSPO website as follows:</p> <ul style="list-style-type: none"> • MU's with potential liability: 5 • LUCA submitted (MU's): 5 • LUCA's review completed (MU's): 5 • Concept Note required (MU's): 5 • Concept Note submitted (MU's): 4 • Concept Note approved (MU's): 3 • Compensation Plan submitted (MU's): 3 • Compensation Plan endorsed (MU's): 3 • Remediation Plan required (MU's): 1 • Remediation Plan submitted (MU's): 1 • Remediation Plan approved (MU's): 1 | <p>Complied</p> |
| <p>Any Labor disputes are being resolved through a mutually agreed process, in accordance with RSPO P&C criterion 4.2</p> | <p>The assessment team has conducted a search to RSPO Complaint Panel and RSPO Dispute Settlement Facility to confirm that there is a lodged complaint related to casual worker payment and welfare, that was recorded as complaint under RSPO Case Tracker; raised by complainant requesting confidentiality, on those SIPEF Management Unit that have not been certified.</p> <p>RSPO Case Tracker recorded complaint to PT. Agro Kati Lama. The case tracker available on link https://askrspo.force.com/Complaint/s/case/5000o000039fi8kAAA/detail</p> <p>Date complaints submitted 22 January 2021. Date complaints accepted 5 March 2021. The complainant brought forward the issue of:</p> <ul style="list-style-type: none"> -The daily casual workers are paid below the minimum wage set out by the Musi Rawas District Government; | <p>Complied</p> |

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|--|--|-----------------|
| | <ul style="list-style-type: none"> -The daily casual workers are not protected and no remedy available for work accidents; -The daily casual workers are not equipped with personal protection equipment [PPE] and are not equipped with working tools; -The daily casual workers did not receive festive allowance in accordance with the regulation; -The daily casual workers, who are hired through the third party, do not get a pay slip. The amount written in the payment receipt is without formal stamp and without the name of the party who rendered the payment. <p>SIPEF correspond with RSPO Grievance Manager – Indonesia to follow up the complaint on 16 March 2021, 19 March 2021 with highlight that the complainant has decided to resolve the complaint through mediation by RSPO Dispute Settlement Forum/DSF.</p> <p>On 1 April 2021, SIPEF sent formal response to the complaint, addressed to RSPO Grievance Manager. The latest update is on 25 August 2021 where the first pre-mediation was complete and pending finalization of the mediation process agreement.</p> <p>On 16 June 2022, Complaint Panel has reviewed and deliberated on the Mediation Settlement Agreement between parties and reach decision to close the Complaint.</p> | |
| <p>Any Legal non- compliance is being addressed through measures consistent with the requirements of RSPO P&C criteria 2.1</p> | <p>No legal non-compliance noted. The assessment team has conducted a search in internet to confirm that there is not new comments or dispute raised by the communities on those units that have not been certified.</p> | <p>Complied</p> |
| <p>Did the company conduct internal audit for those uncertified estates against the uncertified management units requirement and covering the RSPO P&C criterion 2.1 4.2, 4.4, 4.5, 4.6, 4.7, 4.8 and 7.12? If yes, a positive assurance statement shall be available and justified.</p> | <p>Internal audit for PT. Agro Muara Rupit has been conducted on 22-23 November 2021. Report of internal audit completed on 30 November 2021 with reference to RSPO Certification System. Reference criteria of internal audit covered Criteria 2.1, 4.2, 4.4, 4.5, 4.6, 4.7, 4.8 and 7.12. Findings issued on 2.1 related to license for first aider, followed up on December 2021.</p> <p>Internal audit for PT. Agro Rawas Ulu has been conducted on 16-17 November 2021. Report of internal audit completed on 20 November 2021 with reference to RSPO Certification System. Reference criteria of internal audit covered Criteria 2.1, 4.2, 4.4, 4.5, 4.6, 4.7, 4.8 and 7.12.</p> <p>Internal audit for PT. Agro Kati Lama has been conducted on 16-17 February 2022. Report of internal audit completed on 18 February 2022 with reference to RSPO Certification System. Reference criteria of internal audit covered Criteria 2.1, 4.2, 4.4, 4.5, 4.6, 4.7, 4.8 and 7.12.</p> <p>Positive assurance statement already justified within the Internal Audit Checklist.</p> | <p>Complied</p> |

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| <p>Are there any Critical (Major) non-compliance raised against any of the RSPO P&C criterion 2.1 4.2, 4.4, 4.5, 4.6, 4.7, 4.8 and 7.12 during the last internal audit of the uncertified estates? If yes is the NC(s) actively addressed with RSPO?</p> | <p>Previously prior to certification, PT. Umbul Mas Wisesa and PT. Toton Usaha Mandiri had liabilities. The compensation plan have been approved by the Compensation Task Force during the staged implementation of the RaCP between May 2014 and November 2015.</p> | <p>Complied</p> |
| <p>Have there been any stakeholder (including NGO) consultation conducted?</p> | <p>Stakeholders consultation for uncertified unit management conducted regularly in accordance with the SEIA requirement in each unit. These activities involve the workers, surrounding communities, national agency including NGO. Usually carried out at the end of the year (November - December) where the results are used as material for the annual SEIA review.</p> | <p>Complied</p> |

3.2 Progress of scheme smallholders and/or outgrowers

| <p>Progress of scheme smallholders or outgrowers towards compliance with relevant standards</p> | | |
|--|--|--------------------------|
| <p>Requirement</p> | <p>Remarks</p> | <p>Compliance</p> |
| <p>Has 100% of scheme smallholders and/or scheme outgrowers comply with the standard within three years of the mill's initial certification? OFI shall be raised if after one year where 100% of the scheme smallholders and scheme outgrowers are not in compliance, a minor NC after two years, and a major NC if this requirement is not met after three years.</p> | <p>PT Umbul Mas Wisesa has assisted the independent smallholder – KSU Suka Makmur, to get RSPO certification. Until now, KSU Suka Makmur has conducted the RSPO ISH ASA-4.</p> | <p>Complied</p> |

Approved Time Bound Plan

| Name of company | Name of Mill | Location | Supply Base | TBP | Target Year for RSPO |
|-----------------------------|----------------------------|---|--|------|--|
| PT. Agro Muko | Muko Muko POM | Mukomuko Regency, Bengkulu Province, Indonesia | Muko Muko Estate, Sei Betung Estate, Tanah Rekah Estate, Talang Petai Estate, Sei Kiang Estate and KMD | 2011 | Certified |
| | Bunga Tanjung POM | Mukomuko Regency, Bengkulu Province, Indonesia | Bunga Tanjung Estate, Air Bikuk Estate, Air Buluh Estate and KMD | 2011 | Certified |
| PT. Mukomuko Agro Sejahtera | | | Air Manjuntio Estate, Malin Deman Estate | 2014 | Certified |
| | | | PT Asri Rimba Wirabhakti (acquisition in 2018) – Sei Teramang Estate | 2022 | Certified June 2022 |
| | | | Batu Kuda Estate | 2025 | NPP On process |
| PT. Tolan Tiga | Bukit Maradja POM | Simalungun Regency, North Sumatera, Indonesia | PT Eastern Sumatra Indonesia – Bukit Maradja Estate PT Kerasaan Indonesia – Kerasaan Estate | 2010 | Certified May 2010 |
| | | | PT Timbang Deli Indonesia | 2018 | Certified in 2018 as supply base of Bukit Maradja POM |
| | Perlabian POM | Labuhan Batu Selatan Regency, North Sumatera, Indonesia | Perlabian Estate and Tolan Estate. | 2010 | Certified May 2010 |
| PT. Umbul Mas Wisesa | Umbul Mas Wisesa (UMW) POM | Labuhan Batu Selatan Regency, North Sumatera, Indonesia | UMW South Estate, UMW North Estate PT Toton Usaha Mandiri Estate | 2014 | Certified in March 2015 |
| PT. Agro Kati Lama | Kati Lama POM | Musirawas Regency, South Sumatera, Indonesia | Agro Kati Lama North Estate, Agro Kati Lama South Estate, Agro Kati Lama East Estate, Koperasi Beringin Jaya | 2026 | Certification to take place after obtaining HGU (HGU on process); An NPP submitted in 2019 to cover new areas licenced at the end of 2018. |
| PT. Agro Rawas Ulu | No mill | Musirawas Regency, South Sumatera, Indonesia | Agro Rawas Ulu East Estate, Agro Rawas Ulu West Estate, Koperasi Rawas Jaya. | 2026 | Part of Muara Rupit POM supply base; |

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| Name of company | Name of Mill | Location | Supply Base | TBP | Target Year for RSPO |
|-------------------------------|-----------------|--|--|------|---|
| | | | | | Certification to take place after obtaining HGU (HGU on process). |
| PT. Agro Muara Rupit | Muara Rupit POM | Musirawas Regency, South Sumatera, Indonesia | Agro Muara Rupit East Estate, Agro Muara Rupit West Estate, Agro Muara Rupit South Estate, Koperasi Rempan Jaya. | 2026 | Certification to take place after obtaining HGU (HGU on process); An NPP submitted in 2019 to cover new areas licenced at the end of 2018. |
| Hargy Oil Palm Limited (HOPL) | Hargy POM | East of Bialla, West New Britain Province, PNG | Hargy Estate | 2009 | Certified in April 2009 |
| | Navo POM | 50 Kms East of Bialla, West New Britain Province, PNG | Navo Estate, Bakada Estate and Mengan Estate | 2009 | Certified in April 2009 |
| | Barema POM | 30 km East of Bialla, West New Britain Province, PNG | Barema Estate | 2014 | Certified in April 2014 |
| PT. Dendymarker Indah Lestari | Dendymarker POM | Musirawas Utara Regency, South Sumatera Province, Indonesia | Sei Mandang Estate, Sei Liam Estate | 2015 | Acquired on 1 August 2017. Certified in 2015. |
| PT. Citra Sawit Mandiri | No mill | Labuhanbatu Regency, North Sumatera Province | Citra Sawit Mandiri Estate | 2024 | Certification to take place after HGU, following review by RSPO. |
| PT. Bandar Sumatra Indonesia | No mill | Kecamatan Bintang Bayu, Kabupaten Serdang Bedagai, North Sumatra Indonesia | Bandar Pinang Estate | 2025 | Rubber plantation conversion. NPP submitted in July 2021 |

Note: in accordance with approval from RSPO Secretariat on 22 December 2022.

3.3 Details of Nonconformities

The nonconformities are listed below. The findings summary of the assessment by criteria are listed in Appendix A. During this Assessment there were 0 Critical nonconformities; 0 Minor nonconformities and 0 OFI Opportunity For Improvement raised.

| Non-conformity | | | |
|--|-----|--------------------------------------|-----|
| NCR Ref # | Nil | Date Issued | Nil |
| Due Date | Nil | Date of nonconformity Closure | Nil |
| Indicator & Category (Critical / Minor) | Nil | | |
| Statement of Nonconformity: | Nil | | |
| Requirement Reference: | Nil | | |
| Objective Evidence: | Nil | | |
| Corrections: | Nil | | |
| Root Cause Analysis: | Nil | | |
| Corrective Actions: | Nil | | |
| Assessment Conclusion: | Nil | | |

| Opportunity for Improvements | |
|------------------------------|-------------|
| OFI # | Description |
| OFI 1 | Nil |

| Positive Findings | |
|-------------------|---|
| PF # | Description |
| PF 1 | Strong commitment from the management unit to implementing the sustainable palm oil policy. |
| PF 2 | Good support from the competent staff during the audit. |

3.3.1 Status of Nonconformities Previously Identified and Observations

| Non-conformity | | | |
|--|-----|---------------------|-----|
| NCR Ref # | Nil | Issued Date | Nil |
| Due Date | Nil | Closure Date | Nil |
| Indicator & Category (Critical / Minor) | Nil | | |

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|---|-----|
| Statement of Nonconformity: | Nil |
| Requirement Reference: | Nil |
| Objective Evidence: | Nil |
| Corrections: | Nil |
| Root Cause Analysis: | Nil |
| Corrective Actions: | Nil |
| Assessment Conclusion: | Nil |
| Effectiveness Closure (for previous audit closed Critical NC): | Nil |

| Opportunity for Improvement | |
|-----------------------------|-------------|
| OFI# | Description |
| OFI 1 | NIL |

3.3.2 Summary of the Nonconformities and Status

| CAR Ref. | Category (Critical / Minor) | P&C Indicator | Issued Date | Status & Date (Closure) |
|------------|-----------------------------|---------------|-------------|----------------------------|
| 1025752M0 | Major | 2.1.2 | 12 May 2014 | Closed on 4 September 2014 |
| 1025752M29 | Major | RSPO NPP | 12 May 2014 | Closed on 10 November 2014 |
| 1048188M10 | Major | 5.6.2 | 12 May 2014 | Closed on 4 September 2014 |
| 1048188M1 | Major | 4.7.1 | 12 May 2014 | Closed on 4 September 2014 |
| 1048188M2 | Major | 5.1.2 | 12 May 2014 | Closed on 4 September 2014 |
| 1048188M9 | Major | 5.3.2 | 12 May 2014 | Closed on 4 September 2014 |
| 1048188N12 | Minor | 4.4.2 | 12 May 2014 | Closed on 29 January 2016 |
| 1048188N13 | Minor | 4.4.3 | 12 May 2014 | Closed on 29 January 2016 |
| 1048188N14 | Minor | 4.4.4 | 12 May 2014 | Closed on 29 January 2016 |
| 1048188N4 | Minor | 4.7.4 | 12 May 2014 | Closed on 29 January 2016 |
| 1048188N15 | Minor | 4.7.6 | 12 May 2014 | Closed on 29 January 2016 |
| 1048188N16 | Minor | 5.4.1 | 12 May 2014 | Closed on 29 January 2016 |
| 1048188N18 | Minor | 5.4.2 | 12 May 2014 | Closed on 29 January 2016 |
| 1048188N1 | Minor | 6.3.2 | 12 May 2014 | Closed on 29 January 2016 |
| 1048188N2 | Minor | 7.4.2 | 12 May 2014 | Closed on 29 January 2016 |

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|-------------------|-------|-------------|-----------------|---------------------------|
| 1578496-201801-N1 | Minor | 5.3.3 | 16 January 2018 | Closed on 15 January 2019 |
| 1725398-201901-M1 | Major | 4.6.3 | 24 January 2019 | Closed on 5 March 2019 |
| 1725398-201901-M2 | Major | 6.5.2 | 24 January 2019 | Closed on 5 March 2019 |
| 1725398-201901-M3 | Major | SCCS 5.3.2 | 24 January 2019 | Closed on 5 March 2019 |
| 1725398-201901-M4 | Major | SCCS 5.13.2 | 24 January 2019 | Closed on 5 March 2019 |
| 1725398-201901-N1 | Minor | 6.8.3 | 24 January 2019 | Closed on 24 January 2020 |

3.4 Stakeholders and previous land owner / user consultation

Stakeholder consultation involved internal and external stakeholders. External stakeholders were contacted by telephone to arrange meetings at a location convenient to them to discuss PT Umbul Mas Wisesa Certification Unit’s environmental and social performance, legal and any known dispute issues.

Meetings were conducted with stakeholders to seek their views on the performance of the company with respect to the RSPO requirements and aspects where they considered that improvements could be made. At the start of each meeting, the interviewer explained the purpose of the audit followed by an evaluation of the relationship between the stakeholder and the company before discussions proceeded. The interviewer recorded comments made by stakeholders and later was verified with the management team. Any comment which is not complying to the RSPO P&C requirements have been incorporated as an assessment finding.

Structured worker interviews with male and female workers and staff were held in private at the workplace in the mill and the estates. Fieldworkers were interviewed informally in small groups in the field. In addition, the wives of workers and staff were interviewed in informal group meetings at their housing. Separate visits were made to each of the local communities to meet with the village head and residents. Company officials were not present at any of the internal or external stakeholder interviews. A list of Stakeholders contacted is included as below.

| Stakeholders contacted | | |
|--|---|---|
| Type of Stakeholder (e.g. Internal, Union, Contractor, Governmental Department, NGO, External, Communities) | Stakeholder name / organization | Means of communication (e.g. face to face interview, email, phone interview, comment from public notice) |
| Governmental Department | <ul style="list-style-type: none"> Environmental Agency of Labuhanbatu Selatan Regency Plantation Agency of Labuhanbatu Selatan Regency Manpower Agency of Labuhanbatu Selatan Regency | Phone Interview |
| Communities | Sei Siarti Village Official | Face to face interview |
| Contractor | <ul style="list-style-type: none"> CV Tua Gabe (Contractor of CPO and PK transporter) CV Pengangkutan Sahabat (Contractor of CPO transporter) | Face to face interview |
| Internal | <ul style="list-style-type: none"> Labor Union of Serikat Pekerja Mandiri Gender Committee | Face to face interview |

| Stakeholders comment | |
|----------------------|---|
| 1 | <p>Feedbacks: Environmental Agency of Labuhanbatu Selatan Regency</p> <ul style="list-style-type: none"> - Environmental reports, including reports on waste management that have been submitted in accordance with regulations. The report is submitted directly to the Environment Agency in hardcopy and sent to the Ministry of Environment and Forestry via the SIMPEL and SI RAJA WASTE applications. - For new regulations regarding environmental aspects in Indonesia's new law "Job Creation Law/UU Cipta Kerja", there is no need to change the environmental permit unless there is a change in the environmental impact of the new operation. - An Environmental Permit for Smallholder (SPPL) for KSU Suka Makmur independent smallholders does not need to submit a report because it is not required by regulations. - Ensuring that the hazardous waste generated by independent smallholders is properly controlled and managed. Has collaborated with PT Umbul Mas Wisesa as a company that has been permitted to manage waste in a responsible manner. - No environmental issues have arisen from companies and smallholders in the last two years. <p>Audit Team verification and response:</p> <ul style="list-style-type: none"> - Auditor verifies that environmental reports are up-to-date and can be demonstrated during on-site audits. - During field visits to factories and plantations, there were no new activities that created new environmental impacts. Thus, the existing environmental permit is still valid and does not need to be changed. - Hazardous waste from KSU Suka Makmur is sent and stored in the Umbul Mas warehouse. - Fire fighting facilities (fire fighting teams, water tanks, nozzles and hoses, fire monitoring towers, etc.) are available and well maintained by the company to prevent land fires. - There are no negative issues related to environmental management. |
| 2 | <p>Feedbacks: Plantation Agency of Labuhanbatu Selatan Regency</p> <ul style="list-style-type: none"> • Plantation Valuation or "Plantation Assessment / PUP" is the responsibility of the province. Therefore, the regency government does not have an update on the results of the assessment of new plantations. • The Smallholder Plantation Permit for Independent Smallholders (STD-B) at KSU Suka Makmur is the responsibility of the Integrated Licensing Agency (PTSP Office). This will be followed up by the plantation service to obtain the latest information regarding this matter. • Compulsory reports such as Plantation Progress Report (LPUP) have been submitted by the company to the agency on a regular basis. • There are no negative issues from the company and KSU Suka Makmur related to land, fire and social disputes. <p>Audit Team verification and response:</p> <p>On-site verification has been carried out to ensure stakeholder comments are properly executed. There are no negative issues related to land, fire, and social disputes.</p> |
| 3 | <p>Feedbacks: Manpower Agency of Labuhanbatu Selatan Regency</p> <ul style="list-style-type: none"> • No issue related to labor in the last 12 months, the company has implemented it well. All mandatory requirements have been met by the company. • All reports must be submitted on time and there is no issue with that. • Since the Job Creation Law (UU <i>Cipta Kerja</i>), there have been no more sectoral wages. However, for now, companies still set a minimum wage value according to the sectoral minimum wage that was in effect before the Job Creation Law (UU <i>Cipta Kerja</i>), where the value is still higher than the 2023 minimum wage. <p>Audit Team verification and response:</p> |

| | |
|---|---|
| | <p>Verification has been carried out and there are no negative issue related to industrial relations or minimum wages.</p> |
| 4 | <p>Feedbacks: Sei Siarti Village Official</p> <ul style="list-style-type: none"> - There is an aspiration from a group of oil palm planters (20 smallholders with an area of about 30 ha) in the area of Block H11 and G11 to be able to access the company's area/HGU during harvest. Currently, when harvesting, they have to detour quite far, about 5 km, with very high transportation costs. If it is allowed to evacuate the fruit through the HGU, the company will save only about 2 km for the transportation distance. - The village asked the company to work together and utilize village owned company (BUMDES) as a local contractor for FFB transport or construction project, as a form of effort to increase the village's income and the company's contribution to the village community. - Regarding CSR, the company did not develop participatory CSR programs, and programs that had been approved and implemented by the village were not communicated to village officials. Village officials want to know what CSR activities have been programmed for the village community. - The village administration asked the company to reduce the bureaucracy on community assistance proposals. For example, to borrow heavy equipment for road repairs, approval from the Regional Office to the Head Office is required. Thus, it is very rare for requests for heavy equipment to be approved or their realization is slow. - The village side requests that the company can further empower the skilled human resources in the village, such as agricultural graduates, midwives, etc. Most of the skilled workers in the company come from outside the area and information on skill job vacancies is not shared with the community/village officials. <p>Audit Team verification and response:</p> <ul style="list-style-type: none"> - The company has assisted in the construction of village roads in the form of backfilling and road paving so that the community can carry out their activities properly through the village roads. - Info on skill job vacancies is available on the Sipef Group website, and the recruitment process is the domain of the head office. - The preparation of the CSR program has been carried out in a participatory manner through the SIA evaluation. For now, the main priority is still on assistance in filling and repairing access roads that can be enjoyed by several villages, which are the main needs for peat areas, with a very large value. |
| 5 | <p>Feedbacks:</p> <p>CV Tua Gabe (Contractor of CPO and PK transporter)</p> <p>CV Pengangkutan Sahabat (Contractor of CPO transporter)</p> <ul style="list-style-type: none"> • Cooperation with the company has been going on for 10 years and there have never been any problems related to the agreement and payment of work. • Currently our activities with the company are for the transportation of CPO and PK to Perlavian Mills – PT Total Tiga Indonesia (Sipef). • The contractor always fulfills all the requirements required by the company, including compliance with laws and regulations regarding workers (no child labour), worker insurance coverage, worker payment, PPE requirements, etc. <p>Audit Team verification and response:</p> <p>Verification has been carried out that the contractor drivers have used the PPE required by the company, as well as timely payment of work results.</p> |
| 6 | <p>Feedbacks: Labor Union of Serikat Pekerja Mandiri</p> <ul style="list-style-type: none"> - Wages have been given in accordance with the provisions of the minimum wage. - There are no issues regarding employee status, currently there are no casual worker. For example, harvesters, when entering the status is a three month probationary period. |

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| | <ul style="list-style-type: none"> - There are no issue related to PPE, for example harvester boots are provided with a budget every 6 months. However, if it has been broken in less than 6 months, the company will replace it by showing evidence that it has been damaged due to work. - There is no issue of child labor or forced labor. - There was a harvester fatality event in Division 2 of the Umbul Mas Wasesa, South Estate. Accident investigations have been carried out, including the calculation of rights and their distribution to the heirs which have been carried out properly and correctly with the supervision of the workers' union. <p>Audit Team verification and response: The auditor has verified based on document reviews, field observations and interviews with direct employees, it is known that there are no negative issues related to employment and the provision of PPE. For fatality incidents, OHS investigations have been carried out, including the calculation of rights and their distribution to heirs has been carried out properly and correctly.</p> |
| 7 | <p>Feedbacks: Gender Committee</p> <ul style="list-style-type: none"> - The company has a policy to protect female workers from sexual harassment in the workplace and a complaint mechanism. - The company has implemented regulations related to reproductive rights such as menstruation and maternity leave. - The company has supported the needs of young mothers, such as posyandu activities, as well as time for breastfeeding during working hours. - There are no negative issues related to the granting of reproductive rights, as well as gender discrimination in hiring and promotions. <p>Audit Team verification and response: Based on the results of a review of employee recruitment and promotion documents, interviews with representatives of women workers, it is known that there have been no violations of reproductive rights and there have been no issues of gender discrimination.</p> |

| List of land owner / user contacted | | | | | |
|---|---------------------------|----------------|----------------------|---------------------------------|--|
| Name | Years of ownership / used | Land area (ha) | Agreement (Yes / No) | Agreement base on FPIC (Yes/No) | Compliance on the agreement terms and conditions |
| Samsul Bahri Nasution | 1997 | 4.00 | Yes | Yes | Yes |
| <p>Assessor Notes:</p> <ul style="list-style-type: none"> - Auditor has tried to contact more previous land owners. However, they have passed away, moved to other place, or cannot be contacted. Five previous land owners have been interviewed in the previous audit. | | | | | |

| Previous land owner / user comment | |
|---|--|
| | <p>Feedbacks: Samsul Bahri Nasution</p> <p>The land acquisition has been conducted in FPIC manner. Initial dissemination by the company, Survey/mapping (land measurement based on Land Ownership Statement Letter) and witnessed by borders land owner, officials of Sei Siarti village, representative of District, negotiation with land owner and the payment after successfully agreed by both parties. No forced or coercion to release the land from the company, the process is fairly and transparent.</p> |
| | <p>Audit Team verification and response:</p> <p>There has been no negative issue to be verified. The land acquisition has complied the terms and conditions in the agreement.</p> |

3.5 Impartiality and conflict of interest

During this assessment there was no circumstances or pressure that had influenced the independence or confidentiality of the assessment team.

Formal Signing-off of Assessment Conclusion and Recommendation

The audit objectives have been achieved and the certificate scope is appropriate. Based on the results of this audit, it is concluded that PT Umbul Mas Wisesa has complied with the Indonesia National Interpretation 2020 of the RSPO P&C 2018 and audit criteria identified within the audit report. It is deemed that the management system has achieved its intended outcomes. Therefore, it is recommended that PT Umbul Mas Wisesa is remain certified.

| Report prepared by | Acceptance of Assessment Conclusion |
|---|---|
| Name: Andi Pratama Pasaribu | Name: Sander Van den Ende |
| Company Name: On behalf of BSI Malaysia Sdn Bhd | Company Name: PT Umbul Mas Wisesa |
| Title: Lead Assessor | Title: Regional Director Sustainability SIPEF Group |
| Signature:  | Signature: <i>(I the undersigned, being the most senior relevant management representative of the operation seeking or holding certification, agree with the contents of this report and accept the liability in execution of the procedure in the report.)</i>  |
| Date: 1 February 2023 | Date: 1 February 2023 |

Appendix A: Summary of Findings

| Criterion / Indicator | Assessment Findings | Compliance | |
|---|---|---|-----------------|
| <p>Principle 1: Behave ethically and transparently Drive ethical business behaviour, build trust and transparency with stakeholders to ensure strong and healthy relationships.</p> | | | |
| <p>Criteria 1.1: The unit of certification provides adequate information to relevant stakeholders on environmental, social and legal issues relevant to RSPO Criteria, in appropriate languages and forms to allow for effective participation in decision making.</p> | | | |
| <p>1.1.1</p> | <p>(C) Management documents that are specified in the RSPO P&C are made publicly available. - Critical (Major) compliance -</p> | <p>Unit of Certification has established and maintained publicly available documents that contains of List of Documents, Number of Documents and Document Date. According to List of Publicly Available Documents PT. Umbul Mas Wisesa year 2023, there are twenty (20) documents are made publicly available, in example as follow:</p> <ul style="list-style-type: none"> • South Estate: Building permit No. 503/0025/DPMPTSP-LS/IMB/II/2021 from Investation and Permit Agency of Labuhanbatu Selatan Regency dated 22 February 2021 for 23 unit workers housing. • North Estate: Building permit No: 503.764/342/DPMPTSP-BP4/2021 from Investation and Permit Agency of Labuhanbatu Selatan Regency dated 10 May 2021 for 29 unit workers housing. <p>As per required in document, any Information Request related of these shall ask to Mill/Estate Manager and Mill/Estate Office Assistant.</p> | <p>Complied</p> |
| <p>1.1.2</p> | <p>Information is provided in appropriate languages and accessible to relevant stakeholders. - Minor compliance -</p> | <p>All the information mentioned in indicator 1.1.1 are provided in Bahasa Indonesia. The information provided available in Bahasa Indonesia and accessible to relevant stakeholders. According to list</p> | <p>Complied</p> |

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| | | <p>of publicly available document “<i>Dokumen - dokumen yang tersedia untuk umum year 2023</i>”, such as:</p> <ul style="list-style-type: none"> • South Estate: Building permit No. 503/0025/DPMPTSP-LS/IMB/II/2021 from Investation and Permit Agency of Labuhanbatu Selatan Regency dated 22 February 2021 for 23 unit workers housing. • North Estate: Building permit No: 503.764/342/DPMPTSP-BP4/2021 from Investation and Permit Agency of Labuhanbatu Selatan Regency dated 10 May 2021 for 29 unit workers housing. <p>As per required in document, any Information Request related of these shall ask to Mill/Estate Manager and Mill/Estate Office Assistant.</p> | |
| 1.1.3 | <p>(C) Records of requests for information and responses are maintained. - Critical (Major) compliance -</p> | <p>Logbook of Information Request and its Responses is the system that established by Unit of Certification to record all the information request, including its responses. Sample of information request and its response has been shown.</p> <p>For example, there was the record of information request from surrounding community on 20 August 2022, related whether any job vacancy. The company has responded the information request on 25 August 2022.</p> | Complied |
| 1.1.4 | <p>(C) Consultation and communication procedures are documented, disclosed, implemented, made available, and explained to all relevant stakeholders by a nominated management official. - Critical (Major) compliance -</p> | <p>Unit of Certification has established and maintained procedure of communication and consultation as per mention within Responding to Request for Information (Doc No. ENC-01-11/04-03-2019/Rev.1) dated 18 Mar 2019. This procedure applies to all specific information/data request that are not confidential to the Company requested by relevant stakeholders.</p> <p>The procedure described that:</p> <ul style="list-style-type: none"> • The company provide types of document that can be accessed | Complied |

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| | | <p>by public.</p> <ul style="list-style-type: none"> The company have a list of stakeholders directly impacted by estate or mill activity. PIC to give respond on information request are unit manager in coordination with Senior Manager Administration (SMA). Respond time for information request are 3 days for management unit to communicate to RMO (Regional Management Office), if RMO does not have authority to give respond, then RMO forwarded to Head Office maximum 7 days from receiving request from operation unit. Maximum respond time are 25 days. <p>Appointed official whom is responsible for communication and consultation is Office Assistant. This assignment is described within Job Description of Office Assistant as essential duties and responsibilities.</p> | |
| 1.1.5 | <p>An up-to-date list of contacts and detailed stakeholder information is available along with designated representatives.</p> <p>- Minor compliance -</p> | <p>Units of Certification has maintained current list of stakeholders updated January 2023, completed with details and nominated representatives of each affected stakeholders.</p> <ul style="list-style-type: none"> Umbul Mas Wisesa POM: Regency of Labuhanbatu Selatan Government Agencies/Department (8 stakeholders); MUSPIKA/District level (3 stakeholders); Local Communities (4 stakeholders); Labour/Workers Union (9 stakeholders); Contractors (11 stakeholders); Supplier (1 stakeholder). Umbul Mas Wisesa South Estate: Regency of Labuhanbatu Government Agencies/Department (8 stakeholders); MUSPIKA/District level (5 stakeholders); Local Communities (6 stakeholders); Labour/Workers Union (9 stakeholders); Contractor (3 stakeholder); Supplier (3 stakeholder). Umbul Mas Wisesa North Estate: Regency of Labuhanbatu Government Agencies/Department (8 stakeholders); | Complied |

| | | | |
|--|---|---|----------|
| | | <p>MUSPIKA/District level (5 stakeholders); Local Communities (1 stakeholders); Labour/Workers Union (9 stakeholders); Contractor (10 stakeholder); Supplier (1 stakeholder).</p> <ul style="list-style-type: none"> Toton Usaha Mandiri Estate: Regency of Labuhanbatu Government Agencies/Department (8 stakeholders); MUSPIKA/District level (5 stakeholders); Local Communities (1 stakeholders); Labour/Workers Union (9 stakeholders); Contractor (7 stakeholder); Supplier (1 stakeholder). | |
| Criteria 1.2: The unit of certification commits to ethical conduct in all business operations and transactions. | | | |
| 1.2.1 | <p>A policy for ethical conduct is in place and implemented in all business operations and transactions, including recruitment and contracts.</p> <p>- Minor compliance -</p> | <p>The company parent company (SIPEF Group) has general policy namely "Responsible Plantations Policy" last update by the Board of Directors on November 22, 2019. The policy covered issues such as:</p> <ul style="list-style-type: none"> Human rights Ethical Conduct Grievance Child Labour Environmental Equal Employment Opportunity Freedom of Organisation Occupational Health and Safety Sexual Harassment Smoke Free Trafficked Labour <p>All company under SIPEF Group – Indonesia also has Code of Conduct (including Ethical Conduct) which have been signed by President Director on 28 September 2018.</p> | Complied |

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| <p>1.2.2</p> | <p>A system is in place to monitor compliance and the implementation of the policy and overall ethical business practice.</p> <p>- Minor compliance -</p> | <p>Unit of Certification has established Mechanism of Ethical Business violation handling is using SOP Whistleblowing System (SOP No. IAD-01-07/29-03-2019/Rev. 0) dated 27 March 2019.</p> <p>According to document verification of complaints and management information, it stated that up until December 2022 there is no case of Ethical Business violation.</p> <p>Based on information collected during stakeholder consultation with stakeholders (Agencies of Labuhan Batu Selatan Regency, Sei Siarti Village Officials, Labor Union, Gender Committee, sampled workers), it is known that there has been no issue related ethical business practice.</p> <p>The company's business activities are carried out fairly in accordance with applicable laws and regulations and or based on the agreement stated in the contract. There are no extra activities or fees outside the rules/agreement.</p> <p>Deviations from SIPEF's code of business ethics can be reported through the whistleblowing system via:</p> <ol style="list-style-type: none"> 1. Report directly to the Head of Department. 2. Dedicated phone number (sms/Whatsapp). 3. Grievance page on the website https://www.sipef.com/sipef-indonesia/sustainability/report-a-grievance/ <p>As a result of the interviews and document review, the auditor team noted:</p> <ol style="list-style-type: none"> 1. Recruitment of employees is carried out transparently and without charge. This is in accordance with the results of interviews with labour unions. 2. All contractor payments are made via transfer and in accordance with the minute of request for payment issued by the unit head. | <p>Complied</p> |
|--------------|---|--|-----------------|

| | | | |
|--|---|---|----------|
| | | Sample seen, proof of contractor payment on behalf of CV Buah Gabe. | |
| Principle 2: Operate legally and respect rights Implement legal requirements as the basic principles of operation in any jurisdiction. | | | |
| Criteria 2.1: There is compliance with all applicable local, national and ratified international laws and regulations. | | | |
| 2.1.1 | (C) The unit of certification complies to relevant regulations. - Critical (Major) compliance - | <p>Unit of Certification prepared and maintained a list of legal requirements as per describe in "Daftar Peraturan Perundangan Indonesia yang Berkaitan dengan Sistem Sustainability", update December 2022.</p> <p>As per Indonesia laws and regulations, mandatory of applicable legal requirement for Plantation Company are Deed of Establishment, Registration on Ministry of Law and Human Rights, Location Permit, Plantation Permit, Land Title and Environmental Impact Assessment or Permit.</p> <p>Umbul Mas Wisesa Palm Oil Mill as certificate holder received FFB's certified from two legal entities. There are Umbul Mas Wisesa North Estate and Umbul Mas Wisesa South Estate (managed by PT. Umbul Mas Wisesa) and Toton Usaha Mandiri Estate (managed by PT. Toton Usaha Mandiri).</p> <p>All the FFB suppliers, including the Mill is complied with legal requirements. The compliances of legal requirement for certificate holder and its supply base as follow:</p> <p><u>PT. Umbul Mas Wisesa</u></p> <ul style="list-style-type: none"> Changes Deed of Establishment: No. 07 by Juli Indrayanti Siregar, SH, dated 16 December 2022. It is has been register to the Administration system of Ministry of Law and Human Rights: No. AHU-AH.01.09-0089386, dated 20 December 2022. | Complied |

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| | | <ul style="list-style-type: none"> • Location Permit: Decree of Labuhan Batu Regent "Surat Keputusan Bupati Labuhan Batu No. 08 tahun 2004", dated 26 May 2004, covering area 8,000 Ha. • Plantation Permit No. 503/11/HUKUM/2005, dated 5 August 2005, (±8,451 Ha). • Land title: HGU Certificate #51 dated 10 June 2013 (2,071.11 Ha); #52 dated 10 June 2013 (4,396.58 Ha); #59 dated 29 September 2014 (679.09 Ha); #60 dated 29 September 2014 (461.90 Ha); #71 dated 5 January 2015 (154.67 Ha). Total certified area based on land title of PT. Umbul Mas Wisesa is 7,763.35 Ha. • Mill Processing Operation Permit No. 522.2/104/BPPTSU/2/1.3/IX/2014, dated 29 September 2014. Area cover 5.50 Ha and Mill capacity 60 MT per hour. • Mill machinery permit: <ol style="list-style-type: none"> 1. Permit for Steam Boiler operation (Akte Izin untuk Ketel Uap) No. 09-7/DTK/KU/IX/2018, issued on 19 September 2018 Social and Transmigration Department, Kabupaten Labuhanbatu Selatan, Provinsi Sumatera Utara. Last monitoring on 20 May 2022, valid until 20 May 2024. 2. Permit for Steam Vessel (Akte Izin untuk Bejana Uap), Vertical No. 1, permit No.560/184/DSTKT/2014 issued on May 2014 by by Social and Transmigration Department, Kabupaten Labuhanbatu Selatan, Provinsi Sumatera Utara. Capacity of steam pressure is 3.5 kg/cm2. Valid until 20 May 2024. 3. Permit for Steam Vessel (Akte Izin untuk Bejana Uap), Vertical No. 2, permit No.560/183/DSTKT/2014 issued on May 2014 by by Social and Transmigration Department, Kabupaten Labuhanbatu Selatan, Provinsi Sumatera Utara. Capacity of steam pressure is 3.5 kg/cm2. Valid until 09 November 2024. | |
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| | | | |
|--|--|--|--|
| | | <ol style="list-style-type: none"> 4. Permit for Steam Vessel (Akte Izin untuk Bejana Uap), Vertical No. 3, permit No.560/181/DSTKT/2014 issued on May 2014 by by Social and Transmigration Department, Kabupaten Labuhanbatu Selatan, Provinsi Sumatera Utara. Capacity of steam pressure is 3.5 kg/cm2. Valid until 27 April 2024. 5. Permit for Steam Vessel (Akte Izin untuk Bejana Uap), Vertical No. 4, permit No.560/182/DSTKT/2014 issued on May 2014 by by Social and Transmigration Department, Kabupaten Labuhanbatu Selatan, Provinsi Sumatera Utara. Capacity of steam pressure is 3.5 kg/cm2. Valid until 20 May 2024. 6. Permit for Steam Turbine (Izin Pemakaian Turbin Uap) No.560/32/TK-TU/2014, capacity to generate electricity up to 2,000 KW; issued on 10 April 2014 by by Social and Transmigration Department, Kabupaten Labuhanbatu Selatan, Provinsi Sumatera Utara. 7. Permit for Steam Turbine (Izin Pemakaian Turbin Uap) No.560/33/TK-TU/2014, capacity to generate electricity up to 2,000 KW; issued on 10 April 2014 by by Social and Transmigration Department, Kabupaten Labuhanbatu Selatan, Provinsi Sumatera Utara. 8. Permit for Diesel Generator Unit-1 operation (Izin Pemasangan/Pemakaian Motor Diesel) No. 560/29/TK-MD/2014, capacity to generate electricity up to 1,000 KVA; issued on 10 April 2014 by Social and Transmigration Department, Kabupaten Labuhanbatu Selatan, Provinsi Sumatera Utara. Permit renewal based on Surat Keterangan Proses Sertifikasi Laik Operasi (SLO) by PT Sucofindo No. 62F.1.S.18.307.1222.22 dated 25 July 2022. Valid until 25 July 2027. | |
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| | | <p>9. Permit for Diesel Generator Unit-2 operation (Izin Pemasangan/Pemakaian Motor Diesel) No. 560/30/TK-MD/2014, capacity to generate electricity up to 1,000 KVA; issued on 10 April 2014 by Social and Transmigration Department, Kabupaten Labuhanbatu Selatan, Provinsi Sumatera Utara. Valid until 10 October 2021. Permit renewal based on Surat Keterangan Proses Sertifikasi Laik Operasi (SLO) by PT Sucofindo No. 62E.1.S.18.307.1222.22 dated 25 July 2022. Valid until 25 July 2027.</p> <p>10. Permit for Lift and Carry vehicle: Wheel Loader, permit No. 560/24/TK-WL/2014, issued on April 2014. Valid until 4 May 2023.</p> <p>11. Permit for Lightning retailer no. 560/151/DSTKT/2014 issued on April 2014 by Social and Transmigration Department, Kabupaten Labuhanbatu Selatan, Provinsi Sumatera Utara. Valid until 14 May 2023.</p> <p>12. Permit for Lightning retailer no. 560/152/DSTKT/2014 issued on April 2014 by Social and Transmigration Department, Kabupaten Labuhanbatu Selatan, Provinsi Sumatera Utara. Valid until 14 May 2023.</p> <p>13. Permit for Lightning retailer no. 560/153/DSTKT/2014 issued on April 2014 by Social and Transmigration Department, Kabupaten Labuhanbatu Selatan, Provinsi Sumatera Utara. Valid until 14 May 2023.</p> <ul style="list-style-type: none"> • <i>Undang -Undang Cipta Kerja</i> No. 11 year 2020 and its clauses. <p><u>PT. Toton Usaha Mandiri</u></p> <ul style="list-style-type: none"> • Changes Deed of Establishment: No. 09 by Juli Indrayanti Siregar, SH, dated 16 December 2022. It is has been register to | |
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| | | <p>the Administration system of Ministry of Law and Human Rights: No. AHU-AH.01.09-0089380, dated 20 December 2022.</p> <ul style="list-style-type: none"> • Location Permit: Decree of Labuhan Batu Regent "Surat Keputusan Bupati Labuhan Batu No.7 tahun 2005, dated 8 June 2005, covering area ±1,200 Ha. • Plantation Permit No.180/172/Huk/2010, dated 5 May 2010 (±1,200 Ha). • Land title: HGU Certificate #36 dated 12 May 2011 (1,198.76 Ha). • <i>Undang -Undang Cipta Kerja</i> No. 11 year 2020 and its clauses. | |
| 2.1.2 | <p>A documented system for ensuring legal compliance is in place. This system has means to track changes to the law and also includes listing and evidence on evaluation of legal compliance of all contracted third parties, such as: recruitment agencies, service provider and labour contractor.</p> <p>- Minor compliance -</p> | <p>Certificate holder through the legal department has scheduled annual legal compliance assessment. Based on the document verification, the legal register (updated per December 2022) are in place. For example:</p> <ul style="list-style-type: none"> • January 2022: Minimum wage in accordance with Governor of Sumatera Utara Decree No. 188.44/781/KPTS/2021 related to minimum wage 2021 • February 2022: Ministry of Labour Regulation No. 2 year 2022 related to guidance and requirement on pension payment. • April 2022: Ministry of Labour Regulation No. 4 year 2022 related to guidance and requirement on pension payment. • May 2022: Ministry of Health Regulation No. 11 year 2022 related to medical service on occupational illness. • December 2022: Minimum wage in accordance with Governor of Sumatera Utara Decree No. 188.44/1004/KPTS/2022 dated 7 December 2022 related to minimum wage of Labuhan Batu Regency 2023. | Complied |

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| | | The legal department also conducted the evaluation of legal compliance of third parties engaged. Especially the fulfilment of working agreement. | |
| 2.1.3 | Legal or authorized boundaries are clearly demarcated and visibly maintained and there is no planting beyond these legal or authorized boundaries. - Minor compliance - | <p>Refer to boundary pegs monitoring procedures, all boundary pegs shall be monitored regularly (every 2 month) by upkeep supervisor in each division. PT Umbul Mas Wisesa had 144 boundary pegs (South Estate), 95 (North Estate) and 33 boundary pegs (TUM Estate).</p> <p>Last record of monitoring can be seen in document "Checklist Patok HGU" (latest document in January 2023).</p> <p>During the field visit, auditor team has random-checked the boundary poles in each unit as follows:</p> <ul style="list-style-type: none"> • South Estate: Boundary poles BPN01 (Block B13 Division 1), BPN02, BPN03, BPN04, BPN05 and BPN06 (Block A14a Division 1). Border with community areas. All boundary poles visited clearly visited and well-maintained. • North Estate: Boundary poles BPN94, BPN95 and BPN96 (Block J11 Division 1). Border with community areas. All boundary poles visited clearly visited and well-maintained. • Toton Usaha Mandiri Estate: Boundary poles BPN02, BPN03 and BPN05 (Block L11a Division 2). Border with community areas. All boundary poles visited clearly visited and well-maintained. | Complied |
| Criteria 2.2: All contractors providing operational services and supplying labour, and Fresh Fruit Bunch (FFB) suppliers, comply with legal requirements. | | | |
| 2.2.1 | A list of contracted parties is available. - Minor compliance - | <p>Unit of certification have list of contracted parties, update on 30 December 2022. List of contracted parties covers UMW POM, UMWN, UMWS and TUME as below:</p> <p>UMWS</p> | Complied |

| | | | |
|--|--|---|--|
| | | <ol style="list-style-type: none"> 1. CV. Tua Gabe (upkeep road and drain, road hardening, FFB transporter) 2. CV Panca Margana (wastewater treatment contractor) <p>UMWN</p> <ol style="list-style-type: none"> 3. CV. Tua Gabe (upkeep drain, backhoe loader rental and road hardening) 4. Koperasi Sei Siarti Harapan Makmur (FFB transporter) 5. UD. Juir (FFB transporter) 6. CV Panca Margana (church contractor) 7. PT Adzry Arsy Bersaudara (FFB transporter) 8. CV Adi Makmur Jaya (ambulance car body) 9. CV General Auto Teknik (vehicles installation and repairing, building for education/recreation). 10. CV Ro Tri Anna (fuel purchasing, sand and rock for road hardening) 11. Gelora (employee uniform) <p>TUME</p> <ol style="list-style-type: none"> 1. CV. Tua Gabe (upkeep drain, backhoe loader rental and road hardening) 2. PT Titasta Abadi (fire monitoring tower) 3. UD. Juir (FFB transporter) 4. CV Sibirang 71 (tennis court contractor) 5. CV Bina Teknik (dump truck body work) 6. CV Adi Makmur Jaya (ambulance body work) | |
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| | | <p>7. PT Adzry Arsy Bersaudara (FFB transporter)</p> <p>8. CV General Auto Teknik (building for education/recreation)</p> <p>9. CV Ro Tri Anna (Fuel purchasing, sand and rock for road hardening)</p> <p>10. Gelora (employee uniform)</p> <p>All contractor's list is updated and available in place including name of contact person, address, phone number and type of job.</p> | |
| 2.2.2 | <p>All contract, including those for FFB supply, contain specific clause on meeting relevant legal requirements and this can be demonstrated by the third party.</p> <p>- Minor compliance -</p> | <p>Unit of certification only had one FFB supplier outside the SIPEF namely Koperasi Serba Usaha Suka Makmur. Sighted the contract with KSU Suka Makmur dated 1 November 2017. All clauses have met the regulation including the organizational requirements and FFB payments.</p> <p>Other than that, unit of certification has shown the sample of work agreement with contractor above as follows:</p> <ol style="list-style-type: none"> 1. "Surat Perjanjian Kerja No.02/RMO-TUME/TG/IV/2022 antara PT. Toton Usaha Mandiri dengan CV Tua Gabe tentang Upkeep Drain" dated 1 April 2022. Chapter 1 verse 13 stipulated: "Pihak Kedua wajib mematuhi PERATURAN HUKUM DAN PERUNDANG-UNDANGAN yang berlaku di Negara Republik Indonesia." – obliged to comply with the applicable LAW AND REGULATIONS in the Republic of Indonesia. 2. "Surat Perjanjian Kerja No.02/UMWN-HO/ENG/2022 antara PT. Umbul Mas Wisesa dengan CV Sibirurang 71 tentang Pembangunan Tennis Court lengkap dengan Bangunan Joglo di Umbul Mas Wisessa North Estate" dated 1 April 2022. Chapter 7 verse 1 - 4 stipulated that the contractor shall follow all national regulation related to the occupational health and safety. <p>Based on interview with contractor representative CV Tua Gabe and CV Sahabat, it is clearly informed that contractor can demonstrate</p> | Complied |

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| | | and confess to follow all applicable law and regulation requires by PT Umbul Mas Wisesa and government. For example related to the tax and registration their employee on workers' insurance program. | |
| 2.2.3 | All contracts, including those for FFB supply, contain clauses disallowing child, forced and trafficked labour. - Minor compliance - | Refer to sample of work agreement above (<i>Surat Perjanjian Kerja No.02/RMO-TUME/TG/IV/2022 antara PT. Toton Usaha Mandiri dengan CV Tua Gabe tentang Upkeep Drain</i> dated 1 April 2022) can be concluded that the contains clauses disallowing child, forced and trafficked labour (stated in chapter 1 verse 4). Based on phone interview with contractor CV Tua Gabe and CV Sahabat, it is clearly informed that contractor can demonstrate and confess to follow all applicable law and regulation requires by PT Umbul Mas Wisesa and government. | Complied |
| Criteria 2.3: All FFB supplies from outside the unit of certification are from legal sources. | | | |
| 2.3.1 | (C) For all directly sourced FFB, Palm Oil Mill (POM) requires: <ul style="list-style-type: none"> Information regarding the geolocation of FFB origins; Proof of ownership status, right/claim of the land by grower/smallholder; If relevant, valid planting/operational/trading license, or is part of a cooperative which allows the buying and selling of FFB. - Critical (Major) compliance - | <i>Until this audit, there is no change of FFB supply to Umbul Mas Wisesa POM.</i> FFB processes in UMW POM are sources from own Estates (UMW North and UMW South), affiliate Estate (TUM Estate) and Independent Smallholder (KSU Suka Makmur). <ul style="list-style-type: none"> Information regarding the geolocation of FFB origins: <ol style="list-style-type: none"> UMWS Estate 2° 13' 10.17" N; 100° 17' 10.00" E UMWN Estate 2° 16' 41.57" N; 100° 17' 36.83" E TUM Estate 2° 16' 41.57" N; 100° 17' 36.83" E KSU Suka Makmur 2° 11' 29.91" N; 100° 19' 59.26" E Ownership status <ol style="list-style-type: none"> UMWS Estate (Land title / HGU) UMWN Estate (Land title / HGU) TUM Estate (Land title / HGU) | Complied |

| | | | |
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| | | 4) KSU Suka Makmur (Land ownership SHM-SKT) | |
| 2.3.2 | For all indirectly sourced FFB, the unit of certification obtains from the collection centres, agents or other intermediaries, the evidence as listed in indicator 2.3.1. - Minor compliance - | Unit of certification did not receive FFB from indirectly sources. <i>Not applicable</i> | Not Applicable |
| Principle 3: Optimise productivity, efficiency, positive impact and resilience Implement plans, procedures and systems for continuous improvement. | | | |
| Criteria 3.1: There is an implemented management plan for the unit of certification that aims to achieve long-term economic and financial viability. | | | |

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| <p>3.1.1</p> | <p>(C) A business or management plan (minimum of three years) is documented and where applicable, includes a jointly developed business case for Scheme Smallholders. - Critical (Major) compliance -</p> | <p>The unit of certification has prepared a business plan for period 2022 – 2032. The business plan indicates the projected production of FFB, CPO and PK; cost of production, revenue and profit estimation. This projection include FFB receiving from the supply base of UMW South Estate, UMW North Estate, Toton Usaha Mandiri Estate and Out-grower (CSM Estate).</p> <p>PT Umbul Mas Wisesa demonstrated independent financial audit report for from Registered Public Accountants Imelda & Partner (License No.308/KM.1/2020) as evidence in Independent Auditor’s Report No.00453/2.1265/AU.1/01/1208-2/1/VII/2021 dated 9 July 2021 by Theodorus Bambang Dwi K. A. (Public Accountant License No. AP.1208). Based on the report, the accompanying financial statements presents fairly, in all material respects, the financial position of PT Umbul Mas Wisesa as of December 31st, 2020 and its financial performance and cash flows for the year then ended in accordance with Indonesian Financial Accounting Standards.</p> <p>Financial audit report for financial year 2021 has been done by the public consultant, however the report is still in progress based on email from Senior Manager Finance dated 16 January 2023.</p> <p>PT Toton Usaha Mandiri demonstrated independent financial audit report for from Registered Public Accountants Purwantono, Sungkoro & Surja (EY) as evidence in Independent Auditor’s Report No.02122/2.1032/AU.1/01/1609-1/1/IX/2022 dated 16 Sep 2022 by Damestar Hutagalung (Public Accountant License No. AP.1609). Based on the report, the accompanying financial statements presents fairly, in all material respects, the financial position of PT Toton Usaha Mandiri as of December 31st, 2021 and its financial performance and cash flows for the year then ended in accordance with Indonesian Financial Accounting Standards.</p> | <p>Complied</p> |
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| | | <p>Available evidence of tax receipt dated 11/10/2022; billing code 126928435385080; NPWP 017908153116001 of PT Umbul Mas Wisesa; Desa Sei Siarti, Kec. Panai Tengah, Kab. Labuhanbatu; tax object number 120511011611000261; PPB Perkebunan (411313 - land tax) period January – December 2022 for land 52,291,900 m² and building 15,626.50 m²; amount IDR *,**,429,413.</p> <p>Available evidence of tax receipt dated 11/10/2022; billing code 126928435884017; NPWP 017908153116001 of PT Umbul Mas Wisesa; Desa Tanjung Mulia, Kec. Kampung Rakyat, Kab. Labuhanbatu Selatan; tax object number 122503011611000521; PPB Perkebunan (411313 - land tax) period January – December 2022 for land 25,459,200 m² and building 55,844.41 m²; amount IDR *,**,246,104.</p> <p>Available evidence of tax receipt dated 11/10/2022; billing code 126928436895059; NPWP 024440760116001 of PT Toton Usaha Mandiri; Desa Sei Siarti, Kec. Panai Tengah, Kab. Labuhanbatu; tax object number 120511011611000271; PPB Perkebunan (411313 - land tax) period January – December 2022 for land 11,987,600 m² and building 6,602.50 m²; amount IDR **,092,190.</p> <p>UMW POM: company has business plan for period 2022-2032 including FFB receiving and processing.</p> <p>Sampled "Business Plan of FFB, CPO/PK, and Processing Cost 2022 – 2032":</p> <p>FFB:</p> <table border="1" data-bbox="1137 1187 1953 1399"> <thead> <tr> <th>Year</th> <th>UMW South (Ton)</th> <th>UMW North (Ton)</th> <th>TUM Estate (Ton)</th> <th>Out-grower CSM Estate (Ton)</th> </tr> </thead> <tbody> <tr> <td>2022</td> <td>99,791</td> <td>65,950</td> <td>30,243</td> <td>-</td> </tr> <tr> <td>2023</td> <td>103,098</td> <td>62,612</td> <td>27,076</td> <td>-</td> </tr> <tr> <td>2024</td> <td>86,811</td> <td>60,930</td> <td>26,014</td> <td>41,777</td> </tr> </tbody> </table> | Year | UMW South (Ton) | UMW North (Ton) | TUM Estate (Ton) | Out-grower CSM Estate (Ton) | 2022 | 99,791 | 65,950 | 30,243 | - | 2023 | 103,098 | 62,612 | 27,076 | - | 2024 | 86,811 | 60,930 | 26,014 | 41,777 | |
|------|-----------------|--|------------------|-----------------------------|-----------------|------------------|-----------------------------|------|--------|--------|--------|---|------|---------|--------|--------|---|------|--------|--------|--------|--------|--|
| Year | UMW South (Ton) | UMW North (Ton) | TUM Estate (Ton) | Out-grower CSM Estate (Ton) | | | | | | | | | | | | | | | | | | | |
| 2022 | 99,791 | 65,950 | 30,243 | - | | | | | | | | | | | | | | | | | | | |
| 2023 | 103,098 | 62,612 | 27,076 | - | | | | | | | | | | | | | | | | | | | |
| 2024 | 86,811 | 60,930 | 26,014 | 41,777 | | | | | | | | | | | | | | | | | | | |

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| | | 2025 | 69,327 | 59,690 | 25,950 | 40,996 | | |
| | | 2026 | 61,075 | 53,554 | 22,027 | 41,248 | | |
| | | 2027 | 58,129 | 47,113 | 19,209 | 39,593 | | |
| | | 2028 | 57,919 | 41,770 | 16,312 | 39,238 | | |
| | | 2029 | 56,770 | 38,654 | 15,098 | 39,119 | | |
| | | 2030 | 57,930 | 36,330 | 14,244 | 37,598 | | |
| | | 2031 | 60,420 | 34,418 | 15,952 | 36,986 | | |
| | | 2032 | 65,485 | 34,374 | 15,638 | 34,677 | | |
| | | CPO: | | | | | | |
| | | Year | UMW (Ton) | Out-grower (TUM and CSM) (Ton) | | | | |
| | | 2022 | 39,115 | 7,358 | | | | |
| | | 2023 | 39,770 | 6,723 | | | | |
| | | 2024 | 35,458 | 16,494 | | | | |
| | | 2025 | 30,964 | 16,291 | | | | |
| | | 2026 | 27,511 | 15,410 | | | | |
| | | 2027 | 25,258 | 14,337 | | | | |
| | | 2028 | 23,925 | 13,556 | | | | |
| | | 2029 | 22,902 | 13,236 | | | | |
| | | 2030 | 22,622 | 12,666 | | | | |
| | | 2031 | 22,761 | 12,929 | | | | |

| | | <table border="1"> <tr> <td>2032</td> <td>23,966</td> <td>12,300</td> </tr> </table> <p>PK:</p> <table border="1"> <thead> <tr> <th>Year</th> <th>UMW (Ton)</th> <th>Out-grower (TUM and CSM) (Ton)</th> </tr> </thead> <tbody> <tr><td>2022</td><td>6,630</td><td>1,247</td></tr> <tr><td>2023</td><td>7,457</td><td>1,260</td></tr> <tr><td>2024</td><td>6,648</td><td>3,093</td></tr> <tr><td>2025</td><td>5,806</td><td>3,055</td></tr> <tr><td>2026</td><td>5,158</td><td>2,889</td></tr> <tr><td>2027</td><td>4,736</td><td>2,688</td></tr> <tr><td>2028</td><td>4,486</td><td>2,542</td></tr> <tr><td>2029</td><td>4,294</td><td>2,482</td></tr> <tr><td>2030</td><td>4,242</td><td>2,375</td></tr> <tr><td>2031</td><td>4,268</td><td>2,424</td></tr> <tr><td>2032</td><td>4,494</td><td>2,306</td></tr> </tbody> </table> | 2032 | 23,966 | 12,300 | Year | UMW (Ton) | Out-grower (TUM and CSM) (Ton) | 2022 | 6,630 | 1,247 | 2023 | 7,457 | 1,260 | 2024 | 6,648 | 3,093 | 2025 | 5,806 | 3,055 | 2026 | 5,158 | 2,889 | 2027 | 4,736 | 2,688 | 2028 | 4,486 | 2,542 | 2029 | 4,294 | 2,482 | 2030 | 4,242 | 2,375 | 2031 | 4,268 | 2,424 | 2032 | 4,494 | 2,306 | |
|-------|---|--|----------|--------|--------|------|-----------|--------------------------------|------|-------|-------|------|-------|-------|------|-------|-------|------|-------|-------|------|-------|-------|------|-------|-------|------|-------|-------|------|-------|-------|------|-------|-------|------|-------|-------|------|-------|-------|--|
| 2032 | 23,966 | 12,300 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Year | UMW (Ton) | Out-grower (TUM and CSM) (Ton) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2022 | 6,630 | 1,247 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2023 | 7,457 | 1,260 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2024 | 6,648 | 3,093 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2025 | 5,806 | 3,055 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2026 | 5,158 | 2,889 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2027 | 4,736 | 2,688 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2028 | 4,486 | 2,542 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2029 | 4,294 | 2,482 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2030 | 4,242 | 2,375 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2031 | 4,268 | 2,424 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2032 | 4,494 | 2,306 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 3.1.2 | <p>An annual replanting programme projected for a minimum of five years with yearly review, is available.</p> <p>- Minor compliance -</p> | <p>The unit of certification has provided replanting program up to year 2030. There is no replanting program for next 5 years in PT Umbul Mas Wisesa and PT Toton Usaha Mandiri. The oldest plant in UMW South Estate was 2005 plantation, therefore replanting estimated will be start in 2027.</p> <p>Replanting Program of UMW South Estate:</p> | Complied | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

| Year | Replanting (Ha) |
|------|-----------------|
| 2027 | 419.15 |
| 2028 | 423.85 |
| 2029 | 395.03 |
| 2030 | 348.74 |
| 2031 | 441.64 |

Replanting Program of UMW North Estate will be starts in 2031:

| Year | Replanting (Ha) |
|------|-----------------|
| 2031 | 43.22 |
| 2032 | 223.04 |
| 2033 | 217.48 |
| 2034 | 191.36 |
| 2035 | 226.63 |
| 2036 | 222.37 |
| 2037 | 202.39 |
| 2038 | 237.80 |
| 2039 | 210.61 |
| 2040 | 200.21 |
| 2041 | 399.59 |
| 2042 | 227.32 |

| | | <p>Replanting Program of TUM Estate:</p> <table border="1" data-bbox="1137 451 1518 638"> <thead> <tr> <th>Year</th> <th>Replanting (Ha)</th> </tr> </thead> <tbody> <tr> <td>2028</td> <td>153.45</td> </tr> <tr> <td>2029</td> <td>152.87</td> </tr> <tr> <td>2030</td> <td>151.48</td> </tr> </tbody> </table> <p>Review of replanting progress will be conducted annually during management review.</p> | Year | Replanting (Ha) | 2028 | 153.45 | 2029 | 152.87 | 2030 | 151.48 | |
|-------|--|---|----------|-----------------|------|--------|------|--------|------|--------|--|
| Year | Replanting (Ha) | | | | | | | | | | |
| 2028 | 153.45 | | | | | | | | | | |
| 2029 | 152.87 | | | | | | | | | | |
| 2030 | 151.48 | | | | | | | | | | |
| 3.1.3 | <p>The unit of certification holds management review at planned term according to the scale and nature of the activities undertaken.</p> <p>- Minor compliance -</p> | <p>Each Operational Unit under the certificate holder conducted Management Review. Latest Management Review in UMW POM conducted on 5 January 2023. Management review has discussed:</p> <ul style="list-style-type: none"> • Results of internal audits; internal audit in UMW POM conducted on 7 – 9 Dec 2022, by Sustainability Department (SND) RMO NS Team, summary of finding and corrective action have been documented in Visit Report SND Team. • Customer feedback; Based on review of customer feedback conducted by Marketing Department, there is no complaint related CPO and PK quality sold by UMW POM. • Process performance and product conformity. FFB processed in UMW POM are coming from owned estates and neighbouring company under the same management (PT TUM), also from smallholder which is RSPO certified under certificate number RSPO 687965 (KSU Suka Makmur). • Status of preventive and corrective actions. All finding discussed in this Management Review have been closed and attached in the minutes of management review. | Complied | | | | | | | | |

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| | | <ul style="list-style-type: none"> • Follow-up actions from management reviews. Result of previous management review were always discussed, mostly regarding performance, target achievement and FFB quality. • Changes that could affect the management system. There is no change in company policy and SOP. • Recommendations for improvement. Carried out all recommendation from internal audit. <p>Latest Management Review in UMW South Estate conducted on 10 Jan 2023. Management review has discussed:</p> <ul style="list-style-type: none"> • Results of internal audits; internal audit in UMWS Estate conducted on 29 Aug 2022 – 1 Sep 2022, by Sustainability Department (SND) RMO NS Team, summary of finding and corrective action have been documented in Visit Report SND Team. • Customer feedback: there are customer feedback received from UMW POM related FFB quality. • Process performance and product conformity. Scope of UMWS Estate covers upkeep, harvesting and transport of FFB; Process of upkeep and harvesting following Oil Palm Agriculture; FFB quality following the SOP of Engineering Department, Manual Laboratory Independent, FFB grading procedure (Lab-01-10/11-08-2020/Rev.4). • Status of preventive and corrective actions have been discussed: Preventive and corrective action are against the internal audit findings. • Follow-up actions from management reviews: recommendation from previous management review have been implemented. | |
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| | | <ul style="list-style-type: none"> • Changes that could affect the management system: There is no change that could affect the management system; there is no change of SOP and WI that could affect operational system. • Recommendations for improvement: plan of corrective action shall follow up according to timeline; update document if there any change from Quality Department. <p>Latest Management Review in UMW North Estate and TUM Estate conducted on 10 January 2023. Management review has discussed:</p> <ul style="list-style-type: none"> • Results of internal audits; internal audit in UMWN Estate and TUM Estate conducted on 26 Sep 2022 - 1 Oct 2022, by Sustainability Department (SND) RMO NS Team. Summary of finding and corrective action have been documented in Visit Report SND RMO NS Team. • Customer feedback: there is no customer feedback received from UMW POM related FFB quality. • Process performance and product conformity: Scope of UMWN and TUME covers upkeep, harvesting and FFB transport to UMW POM; Process of upkeep and harvesting following Oil Palm Agriculture; FFB quality following the SOP of Engineering Department, Manual Laboratory Independent, FFB grading procedure (Lab-01-10/11-08-2020/Rev.4). Fulfilment of sustainability and supply chain requirements, according to RSPO and ISPO. • Status of preventive and corrective actions: Preventive and corrective action against the internal audit findings has been discussed during this management review meeting, status is all closed. | |
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| | | <ul style="list-style-type: none"> • Follow-up actions from management reviews: recommendation from previous management review have been implemented. • Changes that could affect the management system: There is no change that could affect the management system. There is no change of SOP and WI which affected the operational system. • Recommendations for improvement: immediately update document if there is information from Quality Department related to SOP and other document. | |
| <p>Criteria 3.2: The unit of certification regularly monitors and reviews their economic, social and environmental performance and develops and implements action plans that allow demonstrable continuous improvement in key operations.</p> | | | |
| 3.2.1 | <p>(C) The action plan for continuous improvement is implemented, based on consideration of the main social and environmental impact and opportunities of the unit of certification.</p> <p>- Critical (Major) compliance -</p> | <p>Available internal audit report UMW POM that conducted on 7 – 9 September 2022. There are 3 minor findings and 1 observation issued during internal audit. Findings have been recorded, and action plan have been determined.</p> <p>Continuous improvement by UMW POM based on Internal Audit established housekeeping program and implemented housekeeping. For example, utilization of the basement loading ramp as an alternative fertilizer storage warehouse where the area is safe for the environment and OHS. Apart from that, there is also an effort to repaint the floor (especially the visitor line) and important panels at the factory processing station. This aims to improve security and safety at work.</p> <p>Continuous improvement by UMW POM are available under documents Action Plan Umbul Mas Wisesa POM as result of Senior Technical Manager (STM) visit on 21 February 2022, such as cleaning gear box ash conveyor routinely; water intake operator shall wear life vest; EFB plant feeding division simulation.</p> <p>Internal audit in UMW South Estate has been conducted on 29 Aug 2022 – 1 Sep 2022. Findings have been recorded, action plan have</p> | Complied |

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| | | <p>been determined and implemented. Continuous improvement recently conducted by UMWS Estate are application of EFB and fibre, Installation of imago trap, recruit loose fruit picker to increase productivity, road maintenance program, established crop league program to motivate worker in achieving best management practices and productivity. Block rehabilitation programmed has been evident during this ASA 1_3, it was verified that all blocks are in good conditions; circle, harvesting path and centre path are clear, can be sight form end to end. Collection road and production road are in good condition as well, can be passed in all weather conditions.</p> <p>Internal audit as part of continuous improvement in UMW North Estate and TUM Estate has been conducted on 26 Sep 2022 – 1 Oct 2022. Findings have been recorded, action plan have been determined and implemented. Continual Improvement recorded by UMWN and TUM Estate are related to recruit Loose Fruit picker to increase productivity; Install ladder on mini tractor (emdek) for manuring; Reproduction of <i>Cordiceps</i> fungi.</p> | |
| 3.2.2 | <p>As part of the monitoring and continuous improvement process, annual reports are submitted to the RSPO Secretariat by Certification Body during Annual Surveillance Assessment by using the RSPO metrics template.</p> <p>- Minor compliance -</p> | <p>The company has reported Annual report using RSPO metric template and submitted to CB. Based on the report, Annual Production Palm Oil is 42,419.82 MT, Annual Production Palm Kernel is 7,456.83 MT.</p> | Complied |
| <p>Criteria 3.3: Operating procedures are appropriately documented, consistently implemented and monitored.</p> | | | |
| 3.3.1 | <p>(C) Standard Operating Procedures (SOPs) for the unit of certification are in place.</p> <p>- Critical (Major) compliance -</p> | <p>During this ASA1_3, there is no changes in procedures for Agronomy, Mill and Sustainability.</p> <p>Estate:</p> <p>The procedures documented in "Oil Palm Agricultural Manual" (revised April 2019), where it contains all sub section of the process, such as: Nursery, land clearing, planting in peat soil and mineral soil with zero burning, planting legume cover crops, terracing, upkeep</p> | Complied |

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| | | <p>(fertilizer and pesticides, included safe working practices), water level management, riparian and watercourse management, harvesting, replanting and FFB transport. Documented SOP are as follows:</p> <ol style="list-style-type: none"> 1. OPM-01-00; dated 02/10/2017; Biology of Oil Palm. 2. OPM-02-00; dated 02/10/2017; General Information: Oil Palm Nursery. 3. OPM-02-01; dated 02/10/2017; Land Preparation of Oil Palm Nursery – Persiapan Lahan Pembibitan Kelapa Sawit 4. OPM-02-02; dated 02/10/2017; Oil Palm Pre Nursery – Pre Nursery Kelapa Sawit. 5. OPM-02-03; dated 02/10/2017; Oil Palm Main Nursery – Main Nursery Kelapa Sawit. 6. OPM-03-01; dated 02/10/2017; Survey and Mapping – Survey dan Pemetaan. 7. OPM-03-02; dated 02/10/2017; Land Clearing – Pembersihan Lahan. 8. OPM-03-03; dated 06/02/2019; Land Preparation – Persiapan Lahan. 9. OPM-03-04; dated 02/10/2017; Legume Cover Crop <i>Mucuna bracheteata</i> – <i>Mucuna bracheteata</i> Kacangan Penutup Tanah. 10. OPM-03-05; dated 02/10/2017; Field Planting – Penanaman ke Lapangan. 11. OPM-04-01; dated 02/10/2017; Palm Supplying – Penyisipan Tanaman. 12. OPM-04-02; dated 02/10/2017; Thinning Out and Removing Plant – Penjarangan dan pembongkaran Tanaman. | |
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| | | <ol style="list-style-type: none"> 13. OPM-05-01; dated 06/02/2019; Ablation and Sanitation – Ablasi dan Sanitasi. 14. OPM-05-02; dated 06/02/2019; Harvesting – Panen. 15. OPM-05-03; dated 06/02/2019; FFB and LF Delivery – Pengiriman TBS dan Berondolan. 16. OPM-05-04; dated 02/10/2017; Frond Pruning – Pemangkasan Pelepah. 17. OPM-05-05; dated 16/02/2019; Black Bunch Count – Sensus Buah. 18. OPM-05-06; dated 02/10/2017; <i>Elaeobius camerunicus</i> Management – Pengelolaan <i>Elaeobius camerunicus</i>. 19. OPM-06-01; dated 02/10/2017; Inorganic Fertiliser – Pupuk Inorganik. 20. OPM-06-02; dated 02/10/2017; Organic Fertiliser – Pupuk Organik 21. OPM-06-03; dated 02/10/2017; LSU: Palm Marking – LSU: Penandaan Tanaman. 22. OPM-06-04; dated 02/10/2017; Leaf Sampling Unit (LSU) and Rachis Sampling Unit (RSU) – Pengambilan Sampel. 23. OPM-07-01; dated 06/02/2019; Detection and Census – Deteksi dan Sensus. 24. OPM-07-02; dated 02/10/2017; Pest Control – Pengendalian Hama. 25. OPM-07-03; dated 02/10/2017; Disease Control – Pengendalian Penyakit. 26. OPM-07-04; dated 02/10/2017; Integrated Pest Management – Pengendalian Hama Terpadu. | |
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| | | <p>27. OPM-08-01; dated 06/02/2019; Weed Control – Pengendalian Gulma.</p> <p>28. OPM-09-01; dated 19/03/2019; Water management in Coastal Soil – Pengelolaan Air di Tanah Pesisir.</p> <p>29. OPM-09-02; dated 02/10/2017; Management of Riparian Area – Pengelolaan Riparian Area.</p> <p>30. OPM-09-03; dated 01/04/2019; The Use, Store and Discrad Pesticide – Penggunaan, Penyimpanan dan pemusnahan pestisida.</p> <p>31. OPM-10-01; dated 06/02/2019; Field Quality Control.</p> <p>Interviews with the employees, e.g in UMW North Estate: Harvesting at Block I28, Termit census at Block G28, Water management at Block H17, Spraying Circle and Path at Block J24-25; in UMW South Estate: Spraying Circle and Path at Block D30, LSU at Block B12, Water Management at Block D16, EFB application at Block D12, indicates satisfactory level of understanding and implementation in relation to their respective job function.</p> <p>Palm Oil Mill: Umbul Mas Wisesa Palm Oil Mill has a set of procedure for processing of oil palm Fresh Frut Bunch into CPO and PK, under "Palm Oil Mill Manual" dated 25 March 2019. The procedures explains all activity from receiving FFB into dispatch of products; Such as:</p> <ol style="list-style-type: none"> 1. POM-01-04; Reception in Umbul Mas Wisesa Palm Oil Mill. 2. POM-02-04; Sterilizer in Umbul Mas Wisesa Palm Oil Mill. 3. POM-03-04; Threshing in Umbul Mas Wisesa Palm Oil Mill. 4. POM-04-04; Pressing in Umbul Mas Wisesa Palm Oil Mill. | |
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| | | <ol style="list-style-type: none"> 5. POM-05-04; Clarification in Umbul Mas Wisesa Palm Oil Mill. 6. POM-06-04; Kernel Plant in Umbul Mas Wisesa Palm Oil Mill. 7. POM-07-04; Steam Plant in Umbul Mas Wisesa Palm Oil Mill. 8. POM-08-04; Power Plant in Umbul Mas Wisesa Palm Oil Mill. 9. POM-09-04; Water Treatment in Umbul Mas Wisesa Palm Oil Mill. 10. POM-10-04; Effluent Plant in Umbul Mas Wisesa Palm Oil Mill. 11. POM-11-04; Storage and Dispatch in Umbul Mas Wisesa Palm Oil Mill. 12. POM-12-04; Workshop in Umbul Mas Wisesa Palm Oil Mill. 13. POM-13-04; EFB Plant in Umbul Mas Wisesa Palm Oil Mill. 14. POM-14-04; Biogas Plant in Umbul Mas Wisesa Palm Oil Mill. <p>The procedures are supported by specific Work Instructions, e.g. as follows:</p> <ol style="list-style-type: none"> 1. POM-01-04-W01; Penimbangan Truk FFB dan Berondolan – Weighing FFB truck and loose fruit. 2. POM-02-04-W01; Loading ramp, Pengisian FFB ke dalam Rebusan Vertical – Loading ramp, FFB loading into vertical sterilizer; 3. POM-02-04-W02; Pengoperasian Vertical Sterilizer – Operating Vertical Sterilizer; a number of key parameter: sterilizer operating for 100 minutes, ensure manometer showing “0” prior to opening the sterilizer. 4. POM-03-04-W01; Pengoperasian Thresing Machine – Operating Thresing Machine. 5. POM-04-04-W01; Pengoperasian Digester – Operating Digester. | |
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| | | <ol style="list-style-type: none"> 6. POM-04-04-W02; Pengoperasian Screw Press – Operating screw press. 7. POM-04-04-W03; Sand Trap and Vibrating Screen. 8. POM-04-04-W04; Pengoperasian Crude Oil Tank – Operating Crude Oil Tank. 9. POM-05-04-W01; Pengoperasian Continuous Settling Tank – Operating Continuous Settling Tank. 10. POM-05-04-W02; Pengoperasian Clean Oil Tank – Operating Clean Oil Tank. 11. POM-05-04-W03; Pengoperasian Sludge Tank – Operating Sludge Tank. 12. POM-05-04-W04; Pengoperasian Oil Purifier – Operating Oil Purifier. 13. POM-05-04-W05; Pengoperasian Vacuum Drier – Operating Vacuum Drier. 14. POM-05-04-W06; Pengoperasian Sludge Centrifuge – Operating Sludge Centrifuge. 15. POM-06-04-W01; Operating Depericarper. 16. POM-06-04-W02; Pengoperasian Ripple Mill – Operating Ripple Mill. 17. POM-06-04-W03; Operating Claybath Separator. 18. POM-06-04-W04; Pengoperasian Sawipack Stage 1 & Stage 2 – Operating Sawipack to separate kernel and shell from cracked mixture from ripple mill, through dry separation. 19. POM-06-04-W05; Pengoperasian Kernel Silo Drier – Operating Kernel Silo Drier to reduce moisture < 7%. | |
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| | | <ol style="list-style-type: none"> 20. POM-06-04-W06; Pengoperasian Hydrocyclone – Operating Hydrocyclone to separate kernel and shell from sawipack through wet method; 21. POM-07-04-W01; Operating Cation Exchanger. 22. POM-07-04-W02; Operating Degasifier. 23. POM-07-04-W03; Operating Anion Exchanger. 24. POM-07-04-W06; Operating Thermal Deaerator. 25. POM-07-04-W07; Boiler (Mech 35 MT/hr). 26. POM-07-04-W08; Penanganan Gangguan Sumber Tidak Bergerak Steam Boiler – Handling disturbance from static Steam Boiler. 27. POM-08-04-W01; Operating Genset. 28. POM-09-04-W01; Operating Water Intake. 29. POM-11-04-W01; Pengoperasian CPO Storage Tank – Operating CPO Storage Tank. 30. POM-11-04-W02; Pengoperasian Kernel Bin Storage – Operating Kernel Bin Storage. 31. Analisa FFA CPO No.UMWPOM-WI/LAB/001 – Analysing CPO’s FFA; 32. Analisa FFA Kadar air untuk CPO dispatch No.UMWPOM-WI/LAB/002A – Analysing CPO’s moisture for CPO dispatch; 33. Analisa kadar kotoran dan kadar air untuk kernel dipatch No.UMWPOM-WI/LAB/005A – Analyzing dirt and moisture in kernel for dispatch; 34. Pengiriman CPO No.UMWPOM-WI/LAB/043 – Delivery of CPO; 35. Pengiriman Kernel No.UMWPOM-WI/LAB/044 – Delivery of Kernel; | |
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| | | <p>36. AMT-03-01 dated 5 Oct 2021 - Storage and Despatch</p> <p>37. LAB-06-02 dated 5 Oct 2021 - Water Quality Analysis</p> <p>38. LAB-06-01 dated 5 Oct 2021 - Oil Quality Analysis</p> <p>39. LAB-01-15 dated 5 Oct 2021 - Sounding of CPO and Measuring of Kernel.</p> <p>40. LAB-01-08 dated 20 Dec 2021 - Water Quality Analysis</p> <p>41. LAB-07-02 dated 20 Dec 2021 - Sollution Preparation and Standardization in POM and AMTT</p> <p>42. LAB-01-17 dated 20 Dec 2021 - Dispatch of CPO and Kernel.</p> <p>A set of work instruction for mill's workshop; including use of welding equipments, cutting metal, operating lathe machine, operating drilling machine, operating portable grinding machine, operating and maintenance of smoke density meter.</p> <p>A set of work instruction for biogas plant; comprise of: filling in POME into screen chamber and oil grease skimmer, operating of equalization tank, operating of plate heat exchanger and cooling tower, operating of primary clarigier and sludge pit, operating buffer tank, operating anaerobic reactor, operating degassifier, operating Lamella clarifier, operating sludge sump, operating sludge decanter and operating retention pond.</p> | |
| 3.3.2 | <p>A mechanism to check consistent implementation of procedures is in place.</p> <p>- Minor compliance -</p> | <p>The company has established mechanism to check consistent implementation of procedures under SOP OPM-10-01; dated 06/02/2019; Field Quality Control. The objectives of the procedure are to check if the result of work are in accordance with existing procedures; to evaluate the work and keep in accordance with the standards; to use as a reference for making improvements. Inspections are carried out on items related to harvesting, weeding, manuring, pest and disease, replanting and nursery.</p> | Complied |

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| | | <p>Unit of certification has conducted the field visit to mill and estate to check the operational implementation is align with the current procedures. For example:</p> <ul style="list-style-type: none"> • UMW South Estate: Commissary visit dated 9 Dec 2022. Some notes from the field visit i.e loose fruit picking quality not; decrease population of <i>Nephrolepis biserrate</i> and <i>Stenochlena palustris</i> at interrow, block with low crop; applied fertilizer urea dosage 1 kg/palms on block with EFB application. • UMW North and TUM Estate: commissary visit dated 14 December 2022. Less wide manual circle; decrease population of <i>Nephrolepis biserrata</i> and <i>Stenochlaena palustris</i>; Block with low SPH and Block with low yield. <p>All the record of commissary visit are kept by each unit.</p> | |
| 3.3.3 | <p>Records of monitoring and any actions taken are maintained and available. - Minor compliance -</p> | <p>Record of monitoring in Umbul Mas Wisesa POM are available under documents Action Plan Umbul Mas Wisesa POM as result of Senior Technical Manager (STM) visit on 21 February 2022, such as cleaning gear box ash conveyor routinely; water intake operator shall wear life vest; EFB plant feeding division simulation.</p> <p>Record of monitoring and action plan in UMW South Estate: Available action plan based on Commissary visit dated 9 Dec 2022. Action plan: conducted loose fruit picking completely; decrease population of <i>Nephrolepis biserrate</i> dan <i>Stenochlena palustris</i> at interrow using mechanical method by excavator; inventories of block with low crop; applied fertilizer urea dosage 1 kg/palms on block with EFB application.</p> <p>Action plan as continual improvement recently conducted by UMWS Estate are application of EFB and fibre, Installation of imago trap, recruit loose fruit picker to increase productivity, road maintenance</p> | Complied |

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| | | <p>program, established crop league program to motivate worker in achieving best management practices and productivity.</p> <p>Record of monitoring and action plan in UMW North and TUM Estate: Available action plan based on commissary visit dated 14 December 2022, action: Developed wider oil palm circle manually then conducted chemical control; decrease population of <i>Nephrolepis biserrata</i> and <i>Stenochlaena palustris</i>; inventory of Block with low SPH and Block with low yield; conducted control of <i>Stenochlaena</i> in 2-3 rounds by chemical and implemented zero <i>Stenochlaena</i> program.</p> <p>Available action plan based on Senior Estate Manager (SEM) visit dated 4 Aug 2022. Action Plan: Maximizing manual manuring and application using mini tractor; recruitment of LF picker; install ladder on mini tractor (emdek) for manuring; maintenance and painting of HGU pegs; etc.</p> | |
| <p>Criteria 3.4: A comprehensive Social and Environmental Impact Assessment (SEIA) is undertaken prior to new plantings or operations, and a social and environmental management and monitoring plan is implemented and regularly updated in ongoing operations.</p> | | | |
| <p>3.4.1</p> | <p>(C) SEIA in new planting or operation including mills, is conducted independently and participatively by involving the affected stakeholders, inclusive of impact assessment from the smallholder/outgrower scheme (if any). The assessment is to be documented.</p> <p>- Critical (Major) compliance -</p> | <p>SEIA in unit of certification as an existing operation in mills and estates has been conducted by independent organization. During this ASA 1_3 there is no change in SEIA document owned by unit of certification, which is as follow:</p> <p>PT Umbul Mas Wisesa - Umbul Mas Wisesa POM and Estates has demonstrated the document of Social-Environment Impact Assessment (SEIA), consisted of:</p> <ul style="list-style-type: none"> - ANDAL Document, Environmental Management Plan and Environmental Monitoring Plan in accordance with "Keputusan Bupati Labuhan Batu No. 503.660/268/SET-KOMISI/XII/2008 tanggal 31 Desember 2008 tentang Kelayakan Lingkungan Hidup Rencana Usaha dan/atau Kegiatan serta Dermaga untuk | <p>Complied</p> |

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| | | <p>Kepentingan Sendiri (DUKS) PT. Umbul Mas Wisesa di Desa Sei Siarti Kecamatan Panai Tengah dan Desa Tanjung Mulia Kecamatan Kampung Rakyat, Kabupaten Labuhan Batu, Provinsi Sumatera Utara". The scope of study covers 8,719 Ha and palm oil mill with capacity 45 Ton FFB/Hour expandable to 60 Ton FFB/Hour.</p> <ul style="list-style-type: none"> - Addendum of ANDAL as per "Kelayakan Lingkungan Hidup Adendum Analisis Dampak Lingkungan Hidup dan Rencana Pemantauan Lingkungan Hidup Kegiatan perubahan Pengelolaan Limbah Cair Berbasis Clean Development Mechanism dan Perubahan Pengolahan Limbah Padat PKS PT Umbul Mas Wisesa No.188.44/430/KPTS/2013 – addendum on environmental impact assessment - Environmental Permit as per "Izin Lingkungan Kegiatan Perubahan Pengolahan Limbah Cair berbasis CDM dan Perubahan Pengolahan Limbah Padat Pabrik Kelapa Sawit No.660/221/BPPTSU/2/IV.I/V/2016 - Addendum ANDAL, RKL-RPL TIPE B as per "Keputusan Gubernur Sumatera Utara No: 188.44/381/KPTS/2020 tentang Kelayakan Lingkungan Hidup Addendum Analisis Dampak Lingkungan Hidup, Rencana Pengelolaan Lingkungan Hidup dan Rencana Pemantauan Lingkungan Hidup Tipe B Rencana Kegiatan Pemanfaatan Limbah Padat Tandan Kosong (empty fruit bunch) menjadi torrefied biomass pellets Kapasitas 2,3 ton per jam di Desa Sei Siarti Kecamatan Panai Tengah Kabupaten Labuhanbatu dan Desa Tanjung Mulia Kecamatan Kampung Rakyat Kabupaten Labuhanbatu Selatan Propinsi Sumatera Utara oleh PT Umbul Mas Wisesa", Final nomor: 08/Addendum/2020 dated 13 August 2020. | |
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| | | <p>PT Toton Usaha Mandiri as part of supply base Umbul Mas Wisesa POM has also demonstrated an environmental document named "Dokumen Upaya Pengelolaan Lingkungan Hidup (UKL) dan Upaya Pemantauan Lingkungan Hidup (UPL) Perkebunan Kelapa Sawit seluas 1.200 Ha, PT Toton Usaha Mandiri Desa Sei Siarti, Kecamatan Panai Tengah, Kabupaten Labuhanbatu, Provinsi Sumatera Utara" was approved "Keputusan Kepala Badan Pengendalian Dampak Lingkungan Daerah Kabupaten Labuhanbatu No.660/BLH-LB/AM/2009", datum 2009 with scope of study palm oil plantation area 1,200 Ha. Environmental impact assessments prepared by "Lembaga Penelitian Universitas Sumatera Utara Medan, 2009". Records of all impact assessments carried out are readily available. All operational areas have undertaken reviews of the environmental impacts on at least an annual basis.</p> <p>PT Umbul Mas Wisesa and PT Toton Usaha Mandiri have a Social Impact Assessment (SIA) document. SIA conducted by the team from Bogor University in 2014.</p> <p>SIA document outlining Impact positive and Negative development of plantation PT Umbul Mas Wisesa and PT Toton Usaha Mandiri incorporating Matrix PT Umbul Mas Wisesa and PT Toton Usaha Mandiri Social Management Plan consists of; Tenure, Establish communications and networking with stakeholders and associated with PT Umbul Mas Wisesa Improving the quality of public education, public Health Quality Improvement, community economic empowerment and employment).</p> <p>The SIA document described the Social Management Plan that outlines the type Programs, Activities, challenges, opportunities, strategies, output/outputs and time frame.</p> <p>Community involvement at the time of preparation of the document through Focus Group Discussion (FGD) with the community, such as:</p> | |
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| | | <ul style="list-style-type: none"> • Focus Group Discussion/FGD in Sei Siarti Village on 15 February 2014 which was attended by 26 participants. • FGD in Tanjung Mulia Village on 16 February 2014 which was attended by 19 participants. • FGD with employees of PT UMW-South Estate dated 14 February 2014 which was attended by 25 participants. • FGD with employees of PT UMW-North and PT TUM conducted on 15 February 2014 which was attended by 32 participants. <p>Based on information gathered during stakeholder consultation in ASA 1_3 (January 2023) Environmental Agency of Labuhanbatu Selatan, no changes of social and environmental impacts.</p> <p>The certificate holder still involved affected parties to collect information and develop the social program/plan to mitigate negative impact.</p> | |
| 3.4.2 | <p>For the unit of certification, a SEIA is available and social and environmental management plan and its monitoring have been developed with participation of affected stakeholders.</p> <p>- Minor compliance -</p> | <p>PT Umbul Mas Wisesa has Environmental Management and Monitoring Plan (RKL – RPL), the reports are submitted regularly to the national and local government every 6 months. The management and monitoring plan report is based on SEIA (AMDAL), it was checked that management and monitoring plan is implemented and reported to local government six monthly based. Last report submitted to Environment agency of Labuhan Batu District and Labuhan Batu Selatan District. The company has appointed Sustainability Department RMO NS as responsible team for implementation the document.</p> <p>Within the AMDAL documents, the negative and positive impact of oil palm development has been explained. The environmental management and monitoring plan document lined out effort that company should carried out to minimize negative impact and promote positive impact from oil palm development. Under the document, the social aspect identified covers source of livelihood,</p> | Complied |

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| | | <p>community’s revenue, community ability, community perception on company activity, social conflict, social cultural changes, community health status.</p> <p>The Social-Environment Impact Assessment documents have explained the recommendation that should be done by company to minimize negative impact and promote positive impact from social impact management.</p> <p>As commitment to reduce negative impacts in social aspect, the certificate holder created management and monitoring program of social impact annually. This program was documented and timetable with specific target and strategy of each activity.</p> <p>Unit of certification have carried out social impact evaluation on annual basis through social survey. Questionnaire method is part of participation of affected stakeholders. Questionnaire collected in Oct 2022, gathered 20 respondents from UMWS Estate, 20 respondents from UMWN Estate and 20 respondent from TUM Estate. Survey result have been summarized and recorded onto "<i>Laporan Pelaksanaan Penilaian Aspek Dampak Sosial PT Umbul Mas Wisesa Tahun 2022</i>", dated 12 Jan 2023 and "<i>Laporan Pelaksanaan Penilaian Aspek Dampak Sosial PT Toton Usaha Mandiri Tahun 2022</i>", dated 6 Jan 2023</p> <p>Aspect for the survey such as:</p> <ul style="list-style-type: none"> • Livelihood. • Local community perception of employment opportunities. • Income per month. • Mobility. • Local community perception related to operational activities. | |
| 3.4.3 | (C) The social and environmental management and monitoring plan is implemented, reviewed and updated regularly in participatory way. | Implementation of environment management and monitoring plan has been reviewed to updated it in participatory way. | Complied |

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| | <p>- Critical (Major) compliance -</p> | <p>Implementation report ("<i>Laporan Pelaksanaan RKL-RPL PT Umbul Mas Wisesa</i>") are submitted to government body as part of review and updating. "<i>Laporan Pelaksanaan RKL-RPL PT Umbul Mas Wisesa</i>" period Semester I (Jan-Jun 2022) and Semester II (Jul-Dec 2022) have been submitted to Ministry of Forestry and Environment through Electronic Reporting (SIMPEL), with ID TTE: 1666850408-3381; Period TTE: 1 Jan 2022 to 30 Jun 2022 (Semester I 2022); Printed: 27 Oct 2022; and ID TTE: 1673860051-3381; Period TTE: 1 Jul 2022 to 30 Dec 2022 (Semester II 2022); Printed: 16 Jan 2023.</p> <p>"<i>Laporan Pelaksanaan UKL-UPL PT Toton Usaha Mandiri</i>" period Semester I (Jan-Jun 2022) has been submitted to Ministry of Forestry and Environment through Electronic Reporting (SIMPEL), with ID TTE: 1667182453-4664; Period TTE: 1 Jan 2022 to 30 Jun 2022 (Semester I 2022); Printed: 31 Oct 2022.</p> <p>Report of SEIA Management Plan (Laporan Pelaksanaan RKL-RPL) consist of SEIA Management Plan, Water Pollution Control, Air Pollution Control, Scheduled Waste Handling.</p> <p>During this ASA 1_3, information gathered from interview with Environmental Agency of Labuhanbatu Selatan, the unit of certification has maintain communication with the Environmental Agency in form of consultation related environmental aspects and compliances of relevant regulation.</p> <p>The SEIA management plan is running well. There is no information of improvement activity since previous assessment.</p> | |
| <p>Criteria 3.5: A system for managing human resources is in place.</p> | | | |
| <p>3.5.1</p> | <p>Employment procedures for recruitment, selection, hiring, promotion, retirement and termination are documented and made available to the workers and their representatives in accordance with the applicable regulation.</p> | <p>During surveillance audit ASS 1.3 - year 2023, PT Umbul Mas Wisesa – UMW POM has demonstrated the employment procedures for recruitment, selection, hiring, promotion, retirement, and termination.</p> | <p>Complied</p> |

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| | <p>- Minor compliance -</p> | <p>The was no changed related the procedure. The procedures were documented in "Human Resources Department Manual" Second Edition – 2019 signed by President Director. Document available at the Operation Unit office, and accessible. The HRD Manual consist of 30 Standard Operating Procedure, among others:</p> <ul style="list-style-type: none"> • General (Doc. No. HRD-00-01/01-02-2019/Rev.1) dated 8 April 2019. • Organization Chart (Doc. No. HRD-00-02/01-02-2019/Rev.1) dated 5 April 2019. • Objective and KPI (Doc. No. HRD-00-03/01-04-2019/Rev.1) dated 8 April 2019 • Risk and Opportunities (Doc. No. HRD-00-04/01-04-2019/Rev.1) dated 8 April 2019. • Recruitment in General (Doc. No. HRD-01-00/01-04-2019/Rev.1) dated 5 April 2019. • Internal Recruitment (Doc. No. HRD-01-01/01-04-2019/Rev.1) dated 8 April 2019. <p>Procedure for Promotion to SKU (Doc. HRD-01-04/10-05-2021/Rev.1), dated 1 May 2021. The process of promoting laborers is going well and in accordance with applicable regulation</p> | |
| <p>3.5.2</p> | <p>Employment procedures are implemented and records are maintained. - Minor compliance -</p> | <p>PT Umbul Mas Wisesa – UMW POM has demonstrated the records of implementation the employment procedures for recruitment, selection, hiring, promotion, retirement, and termination. Data verified since last year:</p> <ul style="list-style-type: none"> • Promotion from Daily Workers to Specified Time Work Agreement/PKWT, location in TUM Estate: | <p>Complied</p> |

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| | | <ul style="list-style-type: none"> - Promotion application, Memorandum No: 15/TUME-RMO/II/2022 dated 25 February 2022, form TUM Estate to Regional Office in North Sumatra, regarding "Pengajuan Karyawan PKWT Loose Fruit Picker, total: thirty workers - Approval for the application was signed by Regional Director – North Sumatra. - Document of Specified Time Work Agreement/PKWT, dated 16th March 2022 namely Nursaiyah. • Recruitment process records: <ul style="list-style-type: none"> - Application Form as per "Permohonan Persetujuan Penambahan Tenaga Harvester, Loose Fruit Picker and Mannuring Application" document number: 274/UMWS/RMO-NS/V/2022 dated 30th May 2022. The application has approved by Regional Office on 30 May 2022. - Interview Form Evaluation, namely Mr Rasyid Subakti (position request: Loose Fruit Picker) dated of interview 26th May 2022. Result: recommend for continue stage. - Document of Daily Workers to Specified Time Work Agreement/PKWT, namely Mr Rasyid Surbakti, job title: Loose Fruit Collection, that was issued on 1st June 2022 – valid until 31st May 2023. | |
| <p>Criteria 3.6: An Occupational health and safety (H&S) plan is documented, effectively communicated and implemented.</p> | | | |
| <p>3.6.1</p> | <p>(C) All operational activities risks assessed to identify the H&S issues. Mitigation plans and procedures are documented and implemented.</p> <p>- Critical (Major) compliance -</p> | <p>During surveillance audit ASS 1.3 - year 2023, there was no change procedures.</p> <p>PT Umbul Mas Wisesa - UMW POM has established an Occupational Health and Safety Policy that was signed by President Director dated 27th March 2019, no Dok: QMM-40-01-P04/27-03/2019/Rev. In point 1 was mentioned PT Tolan Tiga Indonesia support the implementation of all relevant laws and regulation of Republic of</p> | <p>Complied</p> |

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| | | <p>Indonesia ad of applicable international agreement and standards relevant to occupational health and safety and at point 2.b mentioned that the company committed to provision of adequate resources for effective implementation of the occupational health and safety (OHS) program and procedure, including strict compliance reviews.</p> <p>The policy has communicated to all interested parties.</p> <p>Sample see: Attendance list on "Sosialisasi Kebijakan Perusahaan", dated 8th August 2022, that was attended by 38 workers.</p> <p>PT Umbul Mas Wisesa – UMW POM has also determined the procedure for OHS under document of Occupational Health and Safety Manual for second edition – 2019, published date 13th March 2019.</p> <p>The document of procedure consisted of:</p> <ol style="list-style-type: none"> 1. Procedure of Hazard Identification Risk Analysis Determining Control for OHS – No: OHS-01-01 2. Procedure of Hot Work – No: OHS-01-02 3. Procedure of Potential Hazard Report – No: OHS-01-03 4. Procedure of P3K (First Aid) – No: OHS-01-04 5. Procedure of Confined Space - No: OHS-01-06 6. Procedure of Working at Heights – No: OHS-01-06 7. Procedure of Gas Pressure Management - No: OHS-01-07 8. Procedure of Incident Reporting - No: OHS-01-08 9. Procedure of Standard for Installation of Safety Sign - No: OHS-01-09 10. Procedure of Personal Protective Equipment - No: OHS-01-10 | |
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| | | <p>PT Umbul Mas Wisesa – UMW POM has also prepared the document of risk assessment as per “Daftar Penilaian dan Pengendalian Risiko K3”, last review on 19th November 2022.</p> <p>The Risk Assessment has covered for all activities in Estate and Mill, e.g.: general activities, central clinic, fertilizer storage, temporary storage of hazardous waste, security, chemical shed, office, workshop, field (spraying, manuring, harvesting, transport FFB, IPM), emplacement, laboratory, weighbridge, grading station, loading ramp, sterilizer station, chain cap stand, pressing station, boiler station, kernel station, static crane, workshop.</p> <p>During ASA 1.3, the auditor has interviewed with sample of workers in Block I28 – in UMW North Estate (Harvesting) dated 18 January 2023, they have demonstrated on understanding related to risk aspect in harvesting activities.</p> | |
| <p>3.6.2</p> | <p>(C) The effectiveness of the H&S plan to address health and safety risks to people is monitored. - Critical (Major) compliance -</p> | <p>During ASA 1.3 – year 2023, PT Umbul Mas Wisesa - UMW POM has demonstrated the record on monitoring effectiveness of the H&S plan to address health and safety risks.</p> <p>PT Umbul Mas Wisesa - UMW POM has prepared the program of OHS year 2022 - 2023 as per document “Program P2K3LHS (safety committee)”, such as:</p> <ul style="list-style-type: none"> • OHS meeting (monthly) • Report of OHS performance to Manpower Agency (threes month base) • General Medical Check Up (annually) and Special Medical Check Up (per threes month base) • Socialization of healthy life (every month) • Routine inspection of OHS facilities (fire extinguisher and first aid box) • Integrated fire drill (annually) | <p>Complied</p> |

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| | | <ul style="list-style-type: none"> • Training for first aider (annually) • Training on hazardous waste both of handing and documentation • Review of risk assessment (annually) <p>The record of effectiveness of implementation the OHS program, e.g.:</p> <ul style="list-style-type: none"> • List OHS license for personnel: <ul style="list-style-type: none"> - OHS expert license, namely Mr Henry Siburian, no: 5/13344/AS.02.04/X/2021 dated 29th October 2021 – valid until 3 years. - License for first aider, namely Mr Tukur, no: 566/525-7/DTK/P3K/2020 dated 20 Mach 2020 valid until 5 years. - Certificate of OHS Motor Grader Operator, namely Mr Hotman Candra Sarumpaet, no: 152268-OPK3/PAA/VIII/2019 dated 30th August 2019 – valid until 5 years. - License of OHS Backhoe Loader Operator, No: P.14.33243-OPK3-LT/PAA/II/2022, dated 6th March 2022 valid until 5 year. - License of OHS Tractor Operator, no: 152253-OPK3-OPK3-LT/PAA/VIII/2019 dated 30th August 2019, valid until 5 years. - Certificate of Competency for Plate Welder, namely Mr Rolisman Saragih, no: C24.024.0002496.2021 dated 20th January 2022. Valid until 3 years. - License for First Aider, namely Mr Dwi Makmur, register number: 3-7/P3K/WIL-IV/DTK/SU/2023, issued on 17th January 2023 – valid until 5 years. • Special Medical Check-Up for workers in high-risk area. Last MCU was conducted on 13 August 2022 by Klinik Spesialis Anugerah | |
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| | | <p>Ibu - Medan, that was attended by 68 workers, consisted of pesticides applicators, manuring, integrated pest, chemical storage keeper.</p> <ul style="list-style-type: none"> • General Medical Check-Up for all workers (Annually). Sample seen: Report of MCU on 12th August 2022. Location in Division 1 UMW South Estate. The MCU was carried out by Company Doctor. • Report on emergency response and preparedness as per document: Laporan Simulasi Keadaan Darurat Tumpahan Bahan B3 atau Limbah B3”, dated 3rd December 2022. Document verified: scenario, photograph, evaluation, and recommendation dan attendance list. • Safety talk record, e.g.: dated 31st December 2022, location Division 2, UMW South Estate. Reported OK. • Report on monitoring fire extinguisher as per “Pemeriksaan Alat Pemadam Api Ringan (APAR)”, month December 2022. Reported OK. Total APAR in UMW South Estate is 29 pcs. • Checklist of using of PPE (daily) that was carried out during Muster Chit Field Work, e.g., on 24th December 2022. Reported OK. All workers were using appropriate PPE. | |
| Criteria 3.7: All staff, workers, Scheme Smallholders, outgrowers, and contract workers are appropriately trained. | | | |
| 3.7.1 | <p>(C) A documented programme that provides training is in place, which is accessible to all staff, workers, scheme smallholders, and outgrowers taking into account gender- specific needs, and which covers applicable aspects of P&C Principles, in a form they understand and includes assessment of the training.</p> <p>- Critical (Major) compliance -</p> | <p>During ASA 1.3 – year 2023, PT Umbul Mas Wisesa – UMW POM has demonstrated the document of training program (Annually). The training related to applicable aspects of P&C RSPO. Document verified: Program Training Year 2022 – 2023, consisted of:</p> <ul style="list-style-type: none"> • Refreshment training on harvesting procedure and quality of FFB (has implemented in October 2022) • Training on pesticides application (has implemented in May 2022) | Complied |

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| | | <ul style="list-style-type: none"> • Training on Leaf Sampling Unit (LSU) and Fertilizer application (has implemented in May 2022 and October 2022) • Training on Pest and Diseases (has implemented in April 2022 and October 2022) • Training on water management (has implemented in September 2022) • Training on handling of hazardous waste management (has implemented in September 2022) • Training on management and monitoring of HCV (has implemented in September 2022) • Training on RSPO requirement, including: SCCS (planned in December 2022) <p>Sample the record of training realization during 2022:</p> <ul style="list-style-type: none"> - Meeting attendance sheet dated 28th November 2022. Subject: Training on FFB quality, that was attended by 32 workers. Training location football field, in J16. - Report of training attendance dated 9th September 2022. Subject: Pesticides application training, that was attended by 8 workers, e.g.: Mr Rahmat, Mr Ramli, Mrs Rosmah Hidayah, Mrs, Rosdiana. - Meeting attendance sheet dated 24th September 2022. Subject: Manuring application and LSU training, that was attended by 26 workers. Training location in Division 3 (OH29), in UMW North Estate. - Meeting attendance sheet dated 21st December 2022. Subject: training on RSPO P&C and SCCS, that was attended by 9 workers, e.g.: E.J Pimen (Act Estate Manager), Mr Surya (FHA – TUM Estate), Joko Purnomo (FA – TUM Estate) | |
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| | | <p>Based on interview with workers sample during ASA 1.3, they has demonstrated on understand of related operational procedures.</p> | |
| <p>3.7.2</p> | <p>Records of training are maintained, where appropriate on an individual basis. - Minor compliance -</p> | <p>PT Umbul Mas Wisesa – UMW POM has maintained the record of training for individual member.</p> <p>Training record:</p> <p>Mr Royupe Juliatur Sinaga – employee number: PKT72 (Material Clerk in UMW North Estate)</p> <ul style="list-style-type: none"> - Training on chemical mixing dated 26th October 2021 - Training on MSDS dated 8th December 2021 - Training SCC dated 8th December 2021 - Training on hazardous waste management dated 9th December 2021 - Training on MSDS and handling of hazardous waste dated 29th September 2022 - Training on fire drill – emergency response and preparedness dated 26th December 2022 <p>Mr Lamboy Silaban (Register number: 00157), Spraying Applicator.</p> <ul style="list-style-type: none"> - Training on Standardization on pesticides application dated 22 October 2021 - Training on pesticides mixing dated 20th May 2022 - Refreshment training on pesticides application dated 9th September 2022 <p>Mr Rudianto (Register number: 0378), title: Supervisor Upkeep Water Management. Location in Division 04, UMW South Estate:</p> | <p>Complied</p> |

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| | | <ul style="list-style-type: none"> - Training on fire drill, dated 6th August 2021 - Training for first aider, dated 18th November 2021 - Training on water management, dated 24th December 2021 - Training on emergency response and preparedness, dated 29th December 2021 - Refreshment training on water management, dated 13th December 2022 - Refreshment training for first aider, dated 19th December 2022 - Training on fire drill, dated 19th December 2022. | |
| <p>3.7.3</p> | <p>Appropriate training is provided for personnel carrying out the tasks critical to the effective implementation of the Supply Chain Certification Standard (SCCS). Training is specific and relevant to the task(s) performed.</p> <p>- Minor compliance -</p> | <p>PT Umbul Mas Wisesa – UMW POM has demonstrated the appropriate training is provided for personnel carrying out the tasks critical to the effective implementation of the Supply Chain Certification Standard (SCCS). Sample seen:</p> <ul style="list-style-type: none"> - Meeting attendance sheet dated 21st December 2022. Subject: training on RSPO P&C and SCCS, that was attended by 9 workers, e.g.: E.J Pimen (Act Estate Manager), Mr Surya (FHA – TUM Estate), Joko Purnomo (FA – TUM Estate) - Attendance list on training RSPO PnC including SCCS, dated 21st December 2022, that was attended by 18 workers consisted of: Mr Nilwan Syah Lubis (Estate Manager), Mr Budi Suswadi (Assistance in Division 01), Mr Efri Paryanto (SnD), Ms Dara Rizky Andida Ginting (SnD), Mr Muhammad Furqan Nasution (SnD). <p>Personnel for carrying out the task on implementation RSPO SCC was provided at Job Description, e.g.: Estate Manager, Field Assistance, Mill Manager, Office Assistance in Mill.</p> | <p>Complied</p> |

Criteria 3.8: Supply chain requirements for mills.

Procedure note: all requirements are classified as **Critical Indicators**. However it will not contribute to suspension if there is more than 5 non-compliance within a principle)

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| <p>3.8.1</p> | <p>Identity Preserved Module A mill is deemed to be Identity Preserved (IP) if the FFB processed by the mill are sourced from plantations/estates that are certified against the RSPO Principles and Criteria (RSPO P&C), or against the Group Certification scheme. Certification for CPO mills is necessary to verify the volumes and sources of certified FFB entering the mill, the implementation of any processing controls (for example, if physical separation is used), and volume sales of RSPO certified products. If a mill process certified and uncertified FFB without physically separating them, then only Mass Balance Module is applicable.</p> | <p>Management of SIPEF Group decided PT Umbul Mas Wisesa – Umbul Mas Wisesa POM, to implement RSPO Supply Chain Module Identity Preserved. With the implementation of Module Identity Preserved, the POM only received and process FFB from certified company-owned estate and certified independent smallholder. Up to this ASA 1_3 Umbul Mas Wisesa POM implemented supply chain requirement based on procedure is SOP Supply Chain and Traceability of Palm Products No.MKT-03-06/04-03-2019/Rev.0 dated 18 March 2019. Procedure completed with the flow diagram of FFB receiving, processing in POM, CPO shipping to tank terminal; Receiving and shipping of CPO from tank terminal. Person responsible for implementation of RSPO Supply Chain standard at the POM is the Mill Manager, whilst in the tank terminal is the storage tank manager.</p> | <p>Complied</p> |
| <p>3.8.2</p> | <p>Mass Balance Module A mill is deemed to be Mass Balance (MB) if the mill process FFB from both RSPO certified and uncertified plantations/estates. A mill may be taking delivery of FFB from uncertified growers, in addition to those from its own and 3rd party certified supply base. In that scenario, the mill can claim only the volume of oil palm products produced from processing of the certified FFB as MB.</p> | <p>Management of SIPEF Group decided PT Umbul Mas Wisesa – Umbul Mas Wisesa POM, to implement RSPO Supply Chain Module Identity Preserved. With the implementation of Module Identity Preserved, the POM only received and process FFB from certified company-owned estate and certified independent smallholder. This indicator is not applicable.</p> | <p>Complied</p> |
| <p>3.8.3</p> | <p>The estimated tonnage of CPO and PK products that could potentially be produced by the certified mill shall be recorded by the certification body (CB) in the public summary of the P&C certification report. This figure represents the total volume of certified oil palm product (CPO and PK) that the certified mill is allowed to deliver in a year. The actual tonnage produced shall then be recorded in each subsequent annual surveillance report.</p> | <p>The estimate annual tonnage for certified FFB, CPO and PK are available in the Estate and Mill annual production budget for 12 months since last surveillance assessment. The FFB tonnage received from all certified supply bases. Umbul Mas Wisesa POM received certified raw material (Fresh Fruit Bunch) from its own estate: UMW South Estate, UMW North Estate, Toton Usaha Mandiri Estate; and from the Independent Smallholders: Koperasi Serba Usaha Suka Makmur.</p> | <p>Complied</p> |

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| | | The certification of all Estates is fall under PT Umbul Mas Wisesa – Umbul Mas Wisesa POM with certificate number RSPO 611834, second certification cycle starts on 27 March 2020, and expired on 26 March 2025. KSU Suka Makmur has been certified as independent smallholder with certificate number RSPO 687965, first certification starts on 5 April 2018, and expired on 4 April 2023. | |
| 3.8.4 | The mill shall also meet all registration and reporting requirements for the appropriate supply chain through the RSPO IT platform. | PT Umbul Mas Wisesa – Umbul Mas Wisesa Palm Oil Mill has been registered in RSPO IT Platform with registered ID number RSPO_PO1000001670. | Complied |
| 3.8.5 | <p>Documented procedures</p> <p>The mill shall have written procedures and/or work instructions or equivalent to ensure the implementation of all elements of the applicable supply chain model specified. This shall include at minimum the following:</p> <ul style="list-style-type: none"> a) Complete and up to date procedures covering the implementation of all the elements of the supply chain model requirements. b) Complete and up to date records and reports that demonstrate compliance with the supply chain model requirements (including training records). c) Identification of the role of the person having overall responsibility for and authority over the implementation of these requirements and compliance with all applicable requirements. This person shall be able to demonstrate awareness of the mill’s procedures for the implementation of this standard. d) The mill shall have documented procedures for receiving and processing certified and non-certified FFBs including ensuring no contamination in the IP mill. | <p>PT Umbul Mas Wisesa has a written procedures and/work instruction for ensuring the implementation of RSPO Supply Chain Standard. The procedure is SOP Supply Chain and Traceability of Palm Products No.MKT-03-06/04-03-2019/Rev.0 dated 18 March 2019. Procedure completed with the flow diagram of FFB receiving, processing in POM, CPO shipping to tank terminal; Receiving and shipping of CPO from tank terminal. Person responsible for implementation of RSPO Supply Chain standard at the POM is the Mill Manager. The procedure is complete and up to date covering the implementation of all elements in the supply chain requirements, such as:</p> <ul style="list-style-type: none"> a. Sales process, covering from contract approval to delivery of oil palm product (CPO and PK) including information of supply chain mechanism; b. Process at the estates, covers harvesting, FFB and loose fruit delivery include harvesting record and delivery to the Palm Oil Mill; c. Process at Palm Oil Mill covers FFB and Loose fruit receiving, identification, production process, delivery of product and recording; d. Evaluation by CB; | Complied |

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| | | <p>e. Transportation records; f. Internal control; g. Contractor control.</p> <p>The mill only receives and process certified FFB, therefore the procedure for receiving and processing non-certified FFB is not applicable.</p> <p>The mill has complete and up to date records and reports that demonstrated compliance with the Identity Preserved requirements including training records. Record seen data of FFB received, CPO and PK stock, production, dispatch, OER, KER, refreshment training of RSPO Supply Chain on 19 October 2022, attended by 10 personnel consist of Office Assistant, Dispatch Operator, General Clerk, Security, Weighbridge Operator, Document Control and Sortation Operator.</p> | |
| 3.8.6 | <p>Internal Audit</p> <p>i) The mill shall have a written procedure to conduct annual internal audit to determine whether the mill;</p> <p>a) Conforms to the requirements in the RSPO Supply Chain Certification Standard and the RSPO Market Communications and Claims Documents.</p> <p>b) Effectively implements and maintains the standard requirements within its organisation.</p> <p>ii) Any non-conformities found as part of the internal audit shall be issued corrective action. The outcomes of the internal audits and all actions taken to correct non-conformities shall be subject to management review at least annually. The mill shall maintain the internal audit records and reports.</p> | <p>Procedure for Internal Audit refer to SOP Routine Visit and Internal Audit No.ENC-01-02/04-03-2019/Rev.2 date 18 March 2019.</p> <p>Section 6. Frequency and Timing stipulates the internal audits are conducted at least once a year according to the standards referred to.</p> <p>Section 5.4 Implementation of Routine/Internal Audit Visits stipulates: <i>In general, non-conformities in each of the principle and criteria, rules and requirement of the sustainable system are categorized under major, minor and observation. Such findings must be immediately followed up. The unit manager may undertake to implement corrections and may also plan improvements according to result of internal audit. Recommended improvement must be completed with timeframe. A review of the non-compliance found in a previous internal audit should be made on the next visit.</i></p> | Complied |

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| | | <p>The latest internal audit for Criterion 3.8 (Supply Chain) carried out on 5 – 6 Jan 2023, against RSPO P&C 2018 Indonesia National Interpretation 2020. The internal auditor assessed Criteria 3.8 related to RSPO SCCS a) and the RSPO Market Communications and Claims Documents. Corrective action related to internal audit findings have been defined.</p> | |
| <p>3.8.7</p> | <p>Purchasing and Goods In</p> <ul style="list-style-type: none"> i) The mill shall verify and document the tonnage and sources of certified and the tonnage of non-certified FFBs received. ii) The mill shall inform the CB immediately if there is a projected overproduction of certified tonnage. iii) The mill shall have a mechanism in place for handling non-conforming FFB and/or documents. | <p>PT Umbul Mas Wisesa ensured that certified FFB received are RSPO certified based on the source of FFB. These FFB source are certified under PT Umbul Mas Wisesa – UMW POM certificate (RSPO 611834). Based on interview with weighbridge clerk and FFB receiving station, UMW POM only received certified FFB from sustainable source: UMW South Estate; UMW North Estate; Toton Usaha Mandiri Estate; and from the Independent Smallholders: Koperasi Serba Usaha/KSU – Suka Makmur.</p> <p>PT Umbul Mas Wisesa – UMW POM has verified the status of the supply base certificate during Internal Audit on 5 – 6 Jan 2023, with certificate number RSPO 611834, valid until 26 March 2025.</p> <p>PT Umbul Mas Wisesa – UMW POM has demonstrated SOP Supply Chain and Traceability of Palm Products No.MKT-03-06/04-03-2019/Rev.0 dated 18 March 2019. Chapter 5.2 of the procedure indicates the Weighbridge Clerk has responsibility to input data and print “Receiving Slip” based on FFB Delivery Note, covering information e.g. estate name and block number, mill name, date of delivery, product description and quantity, RSPO certificate number, transporter identity and unique identification number.</p> <p>The procedure explains Operating Unit can coordinate to an evaluation with CB about the quota between the OU and the CB (projected overproduction). During this annual surveillance assessment, Umbul Mas Wisesa POM was not over sold the CPO and PK production.</p> | <p>Complied</p> |

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| | | <p>The procedure explains control of non-conforming product refer to Marketing Department Manual - SOP Customer Complaint Handling No.MKT-03-02/26-04/2018/rev.1 dated 30 April 2018. The procedure covers returned of all non-conforming product and/or documents. The responsible is Operating Unit Manager and Senior Manager Marketing. Based on audit, UMW POM only receive and processed sustainable FFB. There has been no complaint from buyer.</p> <p>Records of purchasing goods in available:</p> <ul style="list-style-type: none"> - Delivery of FFB from Field "SPB" No. UMWE/051898 dated 17 January 2023 from UMW South Estate, Division I, Block B03 (YOP 2007; 225 bunches) and B04 (YOP 2011; 185 bunches); total 410 bunches; Stamp Sustainable Product-IP. Receiving slip/weighbridge ticket No. FFB23000838W; Truck BK 8034 YN; UMW South Estate dated 17 January 2023; D/O No.051898; Block ID 07B03 and 11B04; nett weight 6,490 kg. Stamp Sustainable Product-IP. - Delivery of FFB from Field "SPB" No. UMWN/001553 dated 17 January 2023 from UMW North Estate, Division III, Block I31A (YOP 2009; 430 bunches); Stamp Sustainable Product-IP. Receiving slip/weighbridge ticket No. FFB23000841W; Truck BK 8572 YO; UMW North Estate dated 17 January 2022; D/O No.001553; Block ID 09I31A; nett weight 4,440 kg; Stamp Sustainable Product-IP. - Delivery of FFB from Field "SPB" No. TUME/000659 dated 17 January 2023 from TUM Estate, Division I, Block M23 (YOP 2009; 475 bunches); Stamp Sustainable Product-IP. Receiving slip / weighbridge ticket No. FFB23000835W; Truck BK 8026 YN; TUM Estate dated 17 January 2022; D/O No.000859; Block ID 09M23; nett weight 5,250 kg; Stamp Sustainable Product-IP. | |
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| | | <p>Delivery of FFB from Field "SPB" No.KSU.SM/079 dated 17 Oct 2022 from Koperasi Serba Usaha Suka Makmur/KSU-SM, Block 09B09 (90 bunches), 09F55 (112 bunches), 09F56 (115 bunches) and 09D32 (116 bunches); planting year 2009; total 433 bunches; vehicle No. BK 8787 EL; Stamp Sustainable Product-IP RSPO 687965. Receiving slip/weighbridge ticket No. FFB22021566W, Koperasi Serba Usaha Suka Makmur dated 17 Oct 2022; D/O No.079; nett weight 4,881 kg; Stamp Sustainable Product-IP.</p> | |
| <p>3.8.8</p> | <p>Sales and Goods Out The supplying mill shall ensure that the following minimum information for RSPO certified products is made available in document form. The information shall be complete and can be presented either on a single document or across a range of documents issued for RSPO certified oil palm products (for example, delivery notes, shipping documents and specification documentation):</p> <ul style="list-style-type: none"> a) The name and address of the buyer; b) The name and address of the seller; c) The loading or shipment / delivery date; d) The date on which the documents were issued; e) RSPO certificate number; f) A description of the product, including the applicable supply chain model (Identity Preserved or Mass Balance or the approved abbreviations); g) The quantity of the products delivered; h) Any related transport documentation; i) A unique identification number. | <p>The SOP Supply Chain and Traceability of Palm Products No.MKT-03-06/04-03-2019/Rev.0 dated 18 March 2019 regulated that several information required by the standard have to be available in the sales and goods out document. Sales and goods out documents seen are as follows:</p> <p>CSPO</p> <ul style="list-style-type: none"> - Contract No.2022/LTC-CPO/UMW/23 between Seller: PT Umbul Mas Wisesa (address Gedung Forum Nine Lt.10, Suite 1-11, Jl. Imam Bonjol No.9 Petisah Tengah, Medan Petisah, Kota Medan Sumatera Utara - 20112) and Buyer: PT Ivo Mas Tunggal (address Sinar Mas Land Plaza Menara 2 Lt.30, Jl. MH Thamrin No.51, Gondangdia, Menteng, Jakarta Pusat); dated 21 September 2022; for sales of 1,000 MT Crude Sustainable Palm Oil – RSPO IP; Certificate No. RSPO 611834. - Delivery Order No.2022/UMW-CPO/LTC/DO/120 dated 22 Sep 2022 from PT Umbul Mas Wisesa to Pengangkutan Sahabat to deliver to PT Ivo Mas Tunggal 250 MT CPO, based on Contract No.2022/LTC-CPO/UMW/23 dated 21 Sep 2022; Loko PKS Perlavian; PO 9100093968. - Dispatch Slip No. CPO22001285W, dated 31/10/2022; from PT. Umbul Mas Wisesa-UMW POM; product CPO; quantity 27.48 MT; Contract No. 2022/LTC-CPO/UMW/23 RSPO-IP; Certificate RSPO | <p>Complied</p> |

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| | | <p>611834; Module Sustainable Product IP; Vehicle ID No. BK 9835 EG; Transporter CV Sejahtera Abadi (SAB).</p> <ul style="list-style-type: none"> - Shipping Announcement, with transaction ID No. TR-f60d01a2-6f70 for 185.19 MT CSPO IP; from PT Umbul Mas Wisesa (RSPO_PO1000001670) as Seller to PT IVO MAS TUNGGAL-LUBUK GAUNG (RSPO_PO1000003168) as Buyer. Shipping date 17-11-2022; Contract 2022/LTC-CPO/UMW/23; Confirmation date 17-11-2022. - Shipping Announcement, with transaction ID No. TR-d2dc5f79-377f for 28.24 MT CSPO IP; from PT Umbul Mas Wisesa (RSPO_PO1000001670) as Seller to PT IVO MAS TUNGGAL-LUBUK GAUNG (RSPO_PO1000003168) as Buyer. Shipping date 24-10-2022; Contract 2022/LTC-CPO/UMW/23; Confirmation date 24-10-2022. <p>Detail Information available:</p> <ul style="list-style-type: none"> • The name and address of the buyer: PT Ivo Mas Tunggal (address Sinar Mas Land Plaza Menara 2 Lt.30, Jl. MH Thamrin No.51, Gondangdia, Menteng, Jakarta Pusat; • The name and address of the seller: PT Umbul Mas Wisesa, Gedung Forum Nine Lt.10, Suite 1-11, Jl. Imam Bonjol No.9 Petisah Tengah, Medan Petisah, Kota Medan 20112; • The loading or shipment / delivery date: 31 Oct 2022; • The date on which the documents were issued: Contract on 21 Sep 2022; • A description of the product, including the applicable supply chain model (Identity Preserved, Segregated or Mass Balance or the approved abbreviations): CSPO RSPO IP notation on all records; | |
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| | | <ul style="list-style-type: none"> • The quantity of the products delivered: from sampled dispatch slip: 27.48 MT of CSPO; • Any related transport documentation: vehicle ID No. BK 9835 EG; Transporter CV Sejahtera Abadi (SAB). • Supply chain certificate number of the seller: RSPO 611834; • A unique identification number: Contract No. 2022/LTC-CPO/UMW/23 (verified on all record). <p>PK Contract:</p> <ul style="list-style-type: none"> - Contract No.2022/LTC-PK/UMW/09 between Seller: PT Umbul Mas Wisesa (address Gedung Forum Nine Lt.10, Suite 1-11, Jl. Imam Bonjol No.9 Petisah Tengah, Medan Petisah, Kota Medan Sumatera Utara - 20112) and Buyer: PT Ivo Mas Tunggal (address Sinar Mas Land Plaza Menara 2 Lt.30, Jl. MH Thamrin No.51, Gondangdia, Menteng, Jakarta Pusat); dated 23 Sep 2022; for sales of 700 MT Crude Sustainable Palm Kernel – RSPO IP; Certificate No. RSPO 611834. - Delivery Order No.09/2022/SLA-IVOMAS/PK/0319 from PT Ivo Mas Tunggal – Lubuk Gaung to PT Sumatra Lintas Asia to deliver from PT Umbul Mas Wisesa 700 MT PK, based on Contract No.2022/LTC-PK/UMW/09; Loko PKS Perlabian. - Dispatch Slip No.PK22000246W, dated 26/10/2021; from PT Umbul Mas Wisesa-UMW POM; product Palm Kernel; quantity 26.25 MT; Contract No. 2022/LTC-PK/UMW/09 RSPO IP; Certificate RSPO 611834; Module Sustainable Product IP; Vehicle ID No. BK 9548 EP; PT Sumatra Lintas Asia (managed by Buyer). - Shipping Announcement, with transaction ID No. TR-405adb71-c2ac for 423.76 MT CSPK IP; from PT Umbul Mas Wisesa (RSPO_PO1000001670) as Seller to PT IVO MAS TUNGGAL-Lubuk | |
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| | | <p>Gaung (RSPO_PO1000006558) as Buyer. Creation date 16/11/2022; Contract 2022/LTC-PK/UMW/09; Confirmation date 16/11/202.</p> <p>- Shipping Announcement, with transaction ID No. TR-15570ca2-61dd for 276.24 MT CSPK IP; from PT Umbul Mas Wisesa (RSPO_PO1000001670) as Seller to PT IVO MAS TUNGGAL-Lubuk Gaung (RSPO_PO1000006558) as Buyer. Creation date 23/12/2022; Contract 2022/LTC-PK/UMW/09; Confirmation date 23/12/202.</p> <p>Detail Information available:</p> <ul style="list-style-type: none"> • The name and address of the buyer: PT Ivo Mas Tunggal (address Sinar Mas Land Plaza Menara 2 Lt.30, Jl. MH Thamrin No.51, Gondangdia, Menteng, Jakarta Pusat; • The name and address of the seller: PT Umbul Mas Wisesa, Gedung Forum Nine Lt.10, Suite 1-11, Jl. Imam Bonjol No.9 Petisah Tengah, Medan Petisah, Kota Medan 20112; • The loading or shipment / delivery date: 26 Oct 2022; • The date on which the documents were issued: Contract on 23 Sep 2022; • A description of the product, including the applicable supply chain model (Identity Preserved, Segregated or Mass Balance or the approved abbreviations): CSPK RSPO IP notation on all mill records; • The quantity of the products delivered: 26.25 MT of PK; • Any related transport documentation: vehicle ID No. BM 8629 MU; PT Sumatra Lintas Asia. • Supply chain certificate number of the seller: RSPO 611834 | |
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| | | A unique identification number: Contract No. 2022/LTC-PK/UMW/09 (verified on all record). | |
| 3.8.9 | <p>Outsourcing Activities</p> <p>i) The mill shall not outsource its milling activities. In cases where he mill outsources activities to independent third parties (e.g. subcontractors for storage, transport or other outsourced activities), the mill holding the certificate shall ensure that the independent third party complies with relevant requirements of this RSPO Supply Chain Certification.</p> <p>ii) The mill shall ensure the following:</p> <p>a) The mill has legal ownership of all input material to be included in outsourced processes</p> <p>b) The mill has an agreement or contract covering the outsourced process with each contractor through a signed and enforceable agreement with the contractor. The onus is on the mill to ensure that certification body (CB) has access to the outsourcing contractor or operation if an audit is deemed necessary.</p> <p>c) The mill has a documented control system with explicit procedures for the outsourced process which is communicated to the relevant contractor.</p> <p>d) The mill shall furthermore ensure (e.g. through contractual arrangements) that independent third parties engaged provide relevant access for duly accredited CBs to their respective operations, systems, and all information, when this is announced in advance.</p> | <p>Up to this ASA 1_3, the transport for RSPO certified sustainable FFB, CPO and PK delivery were still carried out by several contractors. These contractors were appointed, contracted and instructed by PT Umbul Mas Wisesa. During transport, legal ownership of CSPO and CSPK are still under PT Umbul Mas Wisesa. This evident by sales contract that between PT Umbul Mas Wisesa and the buyer.</p> <p>Samples of contract:</p> <ul style="list-style-type: none"> - Addendum No.2021/01 "Perjanjian Pengangkutan" No. 2019/Angkutan/CPO/UMW-SMRT/01 dated 6 April 2021; as revision to "Surat Perjanjian Pengangkutan" No. 2019/Angkutan/CPO/UMW-SMRT/01 dated 17 January 2019 between PT Umbul Mas Wisesa and CV Angkutan Sahabat for transport of CPO from Perlabian to PT Ivo Mas Tunggal, Lubuk Gaung and PT Tapian Nadenggan, Dumai. - Addendum No.2021/02 "Perjanjian Pengangkutan" No. 2019/Angkutan/CPO/UMW-SMRT/02 dated 6 April 2021; as revision to "Surat Perjanjian Pengangkutan" No. 2019/Angkutan/CPO/UMW-SMRT/02 dated 17 January 2019 between PT Umbul Mas Wisesa and CV Sejahtera Abadi for transport of CPO from Perlabian to PT Ivo Mas Tunggal, Lubuk Gaung and PT Tapian Nadenggan, Dumai. - "Perjanjian Pengangkutan" No. 2019/Angkutan/CPO/UMW-SMRT/03 dated 17 January 2019; between PT Umbul Mas Wisesa and CV Jasa Sahabat Abadi for transport of CPO from Perlabian to PT Ivo Mas Tunggal, Lubuk Gaung and PT Tapian Nadenggan, Dumai. | Complied |

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| | | <ul style="list-style-type: none"> - Addendum No.2021/04 "Perjanjian Pengangkutan" No. 2019/Angkutan/CPO/UMW-SMRT/04 dated 6 April 2021; as revision to "Surat Perjanjian Pengangkutan" No. 2019/Angkutan/CPO/UMW-SMRT/04 dated 17 January 2019 between PT Umbul Mas Wisesa and CV Felindo Abadi for transport of CPO from Perlabian to PT Ivo Mas Tunggal, Lubuk Gaung and PT Tapian Nadenggan, Dumai. - "Perjanjian Pengangkutan" No. 01/Angkutan/CPO/UMW/03 dated 1 October 2013; between PT Umbul Mas Wisesa and CV Tua Gabe for transport of CPO from PT Umbul Mas Wisesa POM to transit storage at Perlabian POM. - Addendum No.2017/02 "Perjanjian Pengangkutan" No. 02/Angkutan/CPO/UMW/13 dated 1 January 2017; as revision to "Perjanjian Pengangkutan No.02/Angkutan/CPO/UMW/13" dated 1 October 2013; between PT Umbul Mas Wisesa and Pengangkutan Sahabat for transport of CPO from UMW POM to Perlabian Tank Terminal. - "Perjanjian Pengangkutan No.2014/Angkutan/PK/UMW/02" dated 22 September 2014; between PT Umbul Mas Wisesa and CV Tua Gabe for transport of Palm Kernel from UMW POM to Perlabian Tank Terminal. <p>PT. Umbul Mas Wisesa – Umbul Mas Wisesa POM has demonstrated SOP Supply Chain and Traceability of Palm Products No.MKT-03-06/04-03-2019/Rev.0 dated 18 March 2019. Section 5.4 Evaluation by Certification Body stipulates the Contractor Control: POM ensures that related contractors in the product supply chain have followed applicable procedures and audited contractors by internal/external if necessary. Available statement letter from the contractor to comply with RSPO Supply Chain requirement and willing to be audited by certification body if needed, such as:</p> | |
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| | | <ul style="list-style-type: none"> - "Surat Pernyataan CV Sejahtera Abadi" dated 26 February 2019, signed by Harsono Sikijung. - "Surat Pernyataan Pengangkutan Felindo" dated 1 March 2019, signed by Drs. Sutrisno Sikijung. - "Surat Pernyataan CV Angkutan Sahabat" dated 22 January 2020, signed by Gunawan Ruslan. - "Surat Pernyataan CV Jasa Sahabat Abadi" dated 23 March 2018, signed by Darmin Tanudjaja. - "Surat Pernyataan CV Tua Gabe" dated 13 August 2022, signed by <p>Means of control by Umbul Mas Wisesa POM: "Buku Pemeriksaan Kendaraan CPO dan PK", sampled: CPO truck No. BK 8302 CU from CV Sahabat; inspected to carry CPO from UMW POM to Perlabian tank terminal, dated 24 January 2023. PK truck No. BK 9899 ZF from CV Tua Gabe inspected to carry PK from Umbul Mas Wisesa POM to Perlabian POM, dated 11 January 2023.</p> | |
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| 3.8.10 | The mill shall record the names and contact details of all contractors used for the physical handling of RSPO certified oil palm products. | <p>Umbul Mas Wisesa POM has recorded the names and contact details of all contractors used for the physical handling of Certified CPO and PK, such as:</p> <table border="1" data-bbox="1137 475 1955 914"> <thead> <tr> <th>Contractor</th> <th>Contact Person</th> <th>Telephone</th> <th>Address</th> </tr> </thead> <tbody> <tr> <td>CV Tua Gabe</td> <td>Amin</td> <td>+62852**** ****</td> <td>Jl. Darussalam No.25, Se Sikambang D – Medan Petisah 20119</td> </tr> <tr> <td>CV Sahabat</td> <td>Hedi Saputra</td> <td>+62852**** ****</td> <td>Jl. Asia Mega Mas Blok C No.14, Medan</td> </tr> <tr> <td>CV Sejahtera Abadi</td> <td>Harsono Sikijung</td> <td>+62811**** ***</td> <td>Jl. Jati No.65 Pulo Brayat Bengkel, Kec. Medan Timur, Medan 20239</td> </tr> <tr> <td>CV Jasa Sahabat Abadi</td> <td>Darmin Tanudjaja</td> <td>+62878**** ***</td> <td>Jl. Besar Kisaran Medan Km 5 Sidomulyo, Pul Bandring</td> </tr> </tbody> </table> | Contractor | Contact Person | Telephone | Address | CV Tua Gabe | Amin | +62852**** **** | Jl. Darussalam No.25, Se Sikambang D – Medan Petisah 20119 | CV Sahabat | Hedi Saputra | +62852**** **** | Jl. Asia Mega Mas Blok C No.14, Medan | CV Sejahtera Abadi | Harsono Sikijung | +62811**** *** | Jl. Jati No.65 Pulo Brayat Bengkel, Kec. Medan Timur, Medan 20239 | CV Jasa Sahabat Abadi | Darmin Tanudjaja | +62878**** *** | Jl. Besar Kisaran Medan Km 5 Sidomulyo, Pul Bandring | Complied |
|-----------------------|---|---|---|----------------|-----------|---------|-------------|------|--------------------|--|------------|--------------|--------------------|---------------------------------------|--------------------|------------------|-------------------|---|-----------------------|------------------|-------------------|--|----------|
| Contractor | Contact Person | Telephone | Address | | | | | | | | | | | | | | | | | | | | |
| CV Tua Gabe | Amin | +62852**** **** | Jl. Darussalam No.25, Se Sikambang D – Medan Petisah 20119 | | | | | | | | | | | | | | | | | | | | |
| CV Sahabat | Hedi Saputra | +62852**** **** | Jl. Asia Mega Mas Blok C No.14, Medan | | | | | | | | | | | | | | | | | | | | |
| CV Sejahtera Abadi | Harsono Sikijung | +62811**** *** | Jl. Jati No.65 Pulo Brayat Bengkel, Kec. Medan Timur, Medan 20239 | | | | | | | | | | | | | | | | | | | | |
| CV Jasa Sahabat Abadi | Darmin Tanudjaja | +62878**** *** | Jl. Besar Kisaran Medan Km 5 Sidomulyo, Pul Bandring | | | | | | | | | | | | | | | | | | | | |
| 3.8.11 | The mill shall inform its CB in advance prior to conduct of its next audit of the names and contact details of any new contractor used for the physical handling of RSPO certified oil palm products. | PT Umbul Mas Wisesa inform the audit team in case there is changes in the list of FFB, CPO and PK transporter through the RSPO P&C Pre-Audit Information checklist. | Complied | | | | | | | | | | | | | | | | | | | | |
| 3.8.12 | <p>Record keeping</p> <p>i) The mill shall maintain accurate, complete, up-to-date and accessible records and reports covering all aspects of this RSPO Supply Chain Certification Standard requirements.</p> <p>ii) Retention times for all records and reports shall be a minimum of two (2) years and shall comply with relevant legal and regulatory requirements and be able to confirm the certified status of raw materials or products held in stock.</p> | The Mill has implemented RSPO Supply Chain Certification Standard based on procedure SOP Supply Chain and Traceability of Palm Products No.MKT-03-06/04-03-2019/Rev.0 dated 18 March 2019. The procedure explains all aspects of supply chain and traceability scope in PT Umbul Mas Wisesa: FFB harvesting, harvest recording and delivery to Palm Oil Mill; FFB receiving and processing in Palm Oil Mill, CPO and PK production and reporting; CPO and PK dispatch from Palm Oil Mill and receiving in storage tank for export; Shipping instruction and preparation; CPO and PK stock balancing post-shipment. | Complied | | | | | | | | | | | | | | | | | | | | |

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| | <p>iii) For Identity Preserved Module, the mill shall record and balance all receipts of RSPO certified FFB and deliveries of RSPO certified CPO and PK on a real-time basis.</p> <p>iv) For Mass Balance Module, the mill:</p> <ul style="list-style-type: none"> a) Shall record and balance all receipts of RSPO certified FFB and deliveries of RSPO certified CPO and PK on a real-time basis and / or three-monthly basis. b) All volumes of certified CPO and PK that are delivered are deducted from the material accounting system according to conversion ratios stated by RSPO. c) The mill can only deliver Mass Balance sales from a positive stock. Positive stock can include product ordered for delivery within three (3) months. However, a mill is allowed to sell short (i.e. product can be sold before it is in stock). | <p>Umbul Mas Wisesa Palm Oil Mill maintain accurate, complete, up-to-date and accessible records and reports covering all aspects of this RSPO Supply Chain Certification Standard requirements. Daily Production Figure for 31 Dec 2022: FFB received month todate is 11,418.32 MT; year todate is 181,137.46 MT. Monthly production report is available containing records of FFB received by UMW POM from UMW South Estate, UMW North Estate, TUM Estate and KSU Suka Makmur, all FFB received by UMW POM are certified. The record contains CPO and PK production, dispatch and stocks. Extraction rate of CPO and PK are recorded as well.</p> <p>The SOP Supply Chain and Traceability of Palm Products No.MKT-03-06/04-03-2019/Rev.0 dated 18 March 2019; Section 5.2 Production Process stipulates storage and control of recording related to product sales, transportation until product delivery is stored with minimum period of 5 years.</p> <p>PT Umbul Mas Wisesa – UMW POM is able to provide estimate volume of CPO and PK in a year period as in “Budget Produksi Bulanan” consists estimate of FFB receiving from UMW South Estate, UMW North Estate, Toton Usaha Mandiri Estate and Independent Smallholders (KSU Suka Makmur).</p> <p>Estimate of FFB volume received and processed in Umbul Mas Wisesa POM for period January to December 2023 is 165,710 MT from PT UMW and 27,076 MT from PT TUM. Estimated volume of CPO produced is 46,493 MT and estimated volume of PK produced is 8,717 MT.</p> | |
| 3.8.13 | <p>Extraction Rate</p> <p>The oil extraction rate (OER) and the kernel extraction rate(KER) shall be applied to provide a reliable estimate of the amount of certified CPO and PK from the associated inputs. Mill shall determine and set their own</p> | <p>Extraction rate is applied to provide reliable estimation of CPO and PK produced. For year 2023, the estimated extraction rate from FFB into CPO is 24.00%, whilst from FFB to PK is 4.50%. Based on Daily Production Figure 31 December 2022, actual extraction rate ratios</p> | Complied |

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| | <p>extraction rates based upon past experience, documented and applied it consistently.</p> | <p>from FFB into CPO is 23.44% (year todate 2022), whilst from FFB to PK is 4.12% (year todate 2022).</p> | |
| <p>3.8.14</p> | <p>Extraction rates shall be updated periodically to ensure accuracy against actual performance or industry average if appropriate.</p> | <p>The actual Oil Extraction Rates (OER) and Kernel Extraction Rates (KER) are monitored on daily basis through sounding result and documented in Daily Production Figure.</p> <p>Daily Production Figure dated 31 December 2022: FFB Stock is 130.452 MT; FFB received month todate is 11,418.326 MT; year todate is 181,137.467 MT; FFB processed month todate is 11,518.955 MT; year todate is 181,137.467 MT; CPO Stock is 713.552 MT, CPO produced is 71.522 MT, end stock is 785.074 MT; PK Stock is 179.890 MT, PK produced is 13.090 MT, end stock is 192.980 MT; OER month todate is 22.75%; year todate is 23.44%; KER month todate is 4.06%; year todate is 4.12%.</p> <p>Daily Production Figure dated 19 January 2021: FFB Stock is 101.238 MT; FFB received today is 371.287 MT; month todate is 5,602.239 MT; year todate is 5,602.239 MT; FFB processed today is 346.517 MT; month todate is 5,476.231 MT; year todate is 5,476.231 MT; CPO Stock is 440.209 MT; CPO Dispatched is 26.330 MT; CPO Produced is 80.840 MT; CPO end stock is 494.719 MT; PK Stock is 140.298 MT; PK received is 0; PK dispatched is 0; PK Produced is 13.466 MT; PK end stock is 153.764 MT; OER today is 23.33%; month todate is 22.73%; KER today is 3.89%; month todate is 3.98%.</p> <p>"Laporan Cek Sounding CPO Storage Tank" – CPO tank sounding, dated 18 January 2022; Reading in Storage Tank UMST01 is 0 and UMST02 is 106.20 MT. "Laporan Cek Sounding Kernel Bin Storage" – palm kernel silo sounding, dated 19 January 2022; Reading in silo UMPK01 is 382 MT.</p> | <p>Complied</p> |

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| <p>3.8.15</p> | <p>Processing</p> <p>For Identity Preserved Module, the mill shall assure and verify through documented procedures and record keeping that the RSPO certified oil palm product is kept separated from non-certified oil palm products, including during transport and storage to strive for 100% separation.</p> | <p>PT Umbul Mas Wisesa - UMW POM did not receive any non-certified FFB. Therefore, no non-certified FFB being entered the process, no non-certified CPO and PK being produced, no non-certified product being dispatched. Storage tank for CPO dedicated only for certified oil. Silo for PK used to store certified product.</p> <p>PT Tolan Tiga Indonesia - Perlabian POM, act as Tank Terminal has one dedicated tank to received CPO from UMW POM. Currently Tank uses for RSPO certified CPO are PLST02 (capacity 500 MT). All CPO received in Perlabian POM has a designated piping system to pump the CPO from UMW POM to the tanks.</p> <p>UMW POM bought FFB from the certified partnering outgrower, which is Koperasi Serba Usaha Suka Makmur. Based on Daily Production Figure dated 31 December 2021, FFB received from KSU Suka Makmur for the whole year 2022 is 643.167 MT. There is no contamination in UMW POM during receiving, processing, storage or transport of certified oil palm product. The mill has demonstrated the process integrity for Identity Preserved (IP) module.</p> | <p>Complied</p> |
| <p>3.8.16</p> | <p>Registration of Transactions</p> <p>i) Shipping Announcement in the RSPO IT platform shall be carried out by the mills when RSPO certified products are sold as certified to refineries, crushers, and traders not more than three months after dispatch with the dispatch date being the Bill of Lading or the dispatch documentation date.</p> <p>ii) Remove: RSPO certified volumes sold under different scheme or as conventional, or in case of underproduction, loss or damage shall be removed in the RSPO IT platform.</p> | <p>PT Umbul Mas Wisesa – UMW POM is a palm oil mill which takes legal ownership and physically handled RSPO certified sustainable oil palm product (FFB, CSPO and CSPK), therefore the site has been registered in RSPO IT Platform with ID number RSPO_PO1000001670.</p> <p>As a Palm Oil Mill which takes legal ownership and physically handled RSPO certified sustainable oil palm product, PT Umbul Mas Wisesa – UMW POM has made Shipping Announcement for certified CPO and PK sold.</p> <p>For example:</p> <ul style="list-style-type: none"> Shipping Announcement, with transaction ID No. TR-f60d01a2-6f70 for 185.19 MT CSPO IP; from PT Umbul Mas Wisesa (RSPO_PO1000001670) as Seller to PT IVO MAS TUNGGAL- | <p>Complied</p> |

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| | | <p>LUBUK GAUNG (RSPO_PO1000003168) as Buyer. Shipping date 17-11-2022; Contract 2022/LTC-CPO/UMW/23; Confirmation date 17-11-2022.</p> <ul style="list-style-type: none"> Shipping Announcement, with transaction ID No. TR-d2dc5f79-377f for 28.24 MT CSPO IP; from PT Umbul Mas Wisesa (RSPO_PO1000001670) as Seller to PT IVO MAS TUNGGAL-LUBUK GAUNG (RSPO_PO1000003168) as Buyer. Shipping date 24-10-2022; Contract 2022/LTC-CPO/UMW/23; Confirmation date 24-10-2022. Shipping Announcement, with transaction ID No. TR-405adb71-c2ac for 423.76 MT CSPK IP; from PT Umbul Mas Wisesa (RSPO_PO1000001670) as Seller to PT IVO MAS TUNGGAL-Lubuk Gaung (RSPO_PO1000006558) as Buyer. Creation date 16/11/2022; Contract 2022/LTC-PK/UMW/09; Confirmation date 16/11/202. Shipping Announcement, with transaction ID No. TR-15570ca2-61dd for 276.24 MT CSPK IP; from PT Umbul Mas Wisesa (RSPO_PO1000001670) as Seller to PT IVO MAS TUNGGAL-Lubuk Gaung (RSPO_PO1000006558) as Buyer. Creation date 23/12/2022; Contract 2022/LTC-PK/UMW/09; Confirmation date 23/12/202. <p>PT Umbul Mas Wisesa - UMW POM has done "Remove" in the RSPO IT Platform for CSPO withdrawn due to weight lost during transport, such as:</p> <ul style="list-style-type: none"> Transaction ID: ST-TR-e525940f-3f6f; dated 16/01/2023; Product CSPO; Supply Chain Model IP; Stock Transaction Type: Remove from certified stock; Transaction volume: 0.14 MT. | |
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| | | <ul style="list-style-type: none"> • Transaction ID: ST-TR-b3b855db-d807; dated 23/12/2022; Product CSPO; Supply Chain Model IP; Stock Transaction Type: Remove from certified stock; Transaction volume: 0.35 MT. • Transaction ID: ST-TR-283ef67a-7527; dated 17/11/2022; Product CSPO; Supply Chain Model IP; Stock Transaction Type: Remove from certified stock; Transaction volume: 7.19 MT. • Transaction ID: ST-TR-ef2879ec-b8b0; dated 24/10/2022; Product CSPO; Supply Chain Model IP; Stock Transaction Type: Remove from certified stock; Transaction volume: 4.41 MT. • Transaction ID: ST-TR-384c2064-66ff; dated 15/09/2022; Product CSPO; Supply Chain Model IP; Stock Transaction Type: Remove from certified stock; Transaction volume: 1.47 MT. • Transaction ID: ST-TR-2ac83cff-e823; dated 14/09/2022; Product CSPO; Supply Chain Model IP; Stock Transaction Type: Remove from certified stock; Transaction volume: 0.1 MT. • Transaction ID: ST-TR-8972a80a-2555; dated 13/06/2022; Product CSPO; Supply Chain Model IP; Stock Transaction Type: Remove from certified stock; Transaction volume: 0.1 MT. <p>Total CPO volume removed: 13.76 MT. There is no PK remove from the stock. PT Umbul Mas Wisesa – UMW POM is a palm oil mill, which does not need to confirm any purchase of FFB.</p> | |
| <p>3.8.17</p> | <p>Claims The mill shall only make claims regarding the production of RSPO certified oil that are in compliance with the RSPO Rules on Market Communications and Claims.</p> | <p>The procedure for claims is stated in SOP Supply Chain and Traceability of Palm Products (MKT-03-06/04-03-2019/Rev.0) dated 18 March 2019. The mill only makes claims on RSPO Certified product in compliance with RSPO Rules on Market Communications and Claims. Statement of RSPO certified and Identity Preserved are only stated in sales documents of RSPO certified product.</p> | <p>Complied</p> |

| General corporate communications | | | |
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| 4.1 | A corporate communication is one made by any RSPO member that highlights its membership of the RSPO and/or its commitment to the principles of the RSPO. Corporate communication is an 'off-product' claim. | PT Umbul Mas Wisesa is a subsidiary of RSPO registered member, SIPEF Group. SIPEF Group, is highlighting its commitment to the principles of RSPO – therefore making an off product communication. The corporate communication of SIPEF Group can be seen in the corporate website: www.sipec.com . | Complied |
| 4.2 | In corporate communications a member is allowed to: <ul style="list-style-type: none"> • Display its RSPO membership status • Display the RSPO web address (www.rspo.org) • State that the member supports the work of the RSPO • State the member's history with regard to the RSPO. • Use the RSPO trademark to promote its membership of the RSPO. Additionally, where an RSPO member displays the RSPO trademark in digital format this must be accompanied by the text 'Check our progress at www.rspo.org' where the link must lead to the member's profile page. | Corporate communication in SIPEF Group website: <ol style="list-style-type: none"> a. Display its RSPO membership status: No, SIPEF did not display its RSPO membership status. b. Display the RSPO web address: Not in direct manner. SIPEF made a link to RSPO website. c. State the member supports the work of the RSPO: Not in direct manner. In the website, SIPEF wrote "We believe in a landscape approach to new oil palm developments, guided by the methodology endorsed by the RSPO". d. State the member's history with regards to the RSPO: No. SIPEF did not state their history with regards to the RSPO. Use of RSPO Trademark to promote its membership of the RSPO: No, SIPEF did not use RSPO trademark in its corporate communication such in website. | Complied |
| 4.3 | In corporate communications RSPO members must not make any statement that may lead consumers to believe that RSPO membership by itself implies the selling of RSPO-certified oil palm products. | No, SIPEF did not display its RSPO membership status. It is clear that the statement did not lead consumers to believe that RSPO membership by itself implies the selling of RSPO-certified oil palm products. | Complied |
| 4.4 | Members must ensure that all communication is consistent, clear and cannot mislead consumers or other stakeholders as to the certified content of oil palm products in the member's own products. | No, SIPEF did not display its RSPO membership status. | Complied |

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| | | It is clear that the statement are clear and did not mislead consumers or other stakeholders as to the certified content of oil palm products in the SIPEF’s own products. | |
| 4.5 | Members are not allowed to use the RSPO corporate logo as shown in the RSPO Rules on Market Communications & Claims document. This is for the sole use of the RSPO secretariat. | No, SIPEF Group did not display the RSPO Corporate Logo in the website as well as in the correspondence letter. | Complied |
| Business to business communications | | | |
| 5.1 | Business to Business communication relates to RSPO members in the supply chain selling to and/or communicating with other organizations in the supply chain about the use of certified sustainable oil palm products. | PT Umbul Mas Wisesa stated in sales documents, e.g. Delivery Order and Despatch Slip that the product they sold is RSPO Certified CPO or PK model IP. | Complied |
| 5.2 | When confirming the sale of certified oil palm products, members must adhere to the requirements of the RSPO SCCS. This includes stating the supply chain model and certificate number under which the claim is being made. | PT Umbul Mas Wisesa stated in sales documents, e.g. Delivery Order and Despatch Slip that the product they sold is RSPO Certified CPO or PK model IP. | Complied |
| 5.3 | Where a distributor or wholesaler takes title to products containing certified sustainable oil palm products, the requirements of the RSPO SCCS can follow either of two options: a) If the distributor or wholesaler holds only a Distributor license, it may only communicate RSPO-certified oil palm products by linking the product to the manufacturer using the manufacturer’s SCCS certificate number. This covers both brand and own brand products. However, in the case of own brand products it is essential that customers are aware that the product has been made on behalf of the distributor or wholesaler, with specific evidence either through on-pack claims or documentation. b) If the distributor or wholesaler is supply chain-certified they should follow the requirements outlined in section 5.2. | PT Umbul Mas Wisesa – UMW POM is not a distributor or wholesaler, the site is a Palm Oil Mill that process FFB into CPO and PK. Not Applicable. | Complied |

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| 5.4 | <p>A certified member can provide information to its customers detailing the presence of certified palm oil contained within a product even if it is not eligible for a product-specific under RSPO rules. The end product must not be labelled as certified or sold in such a way that implies RSPO certification.</p> <p>For example, a retailer or food service company may require a breakdown of all palm based ingredients within an end product and the certified status of each. This information may be provided by a certified RSPO member without constituting a product-specific claim.</p> | <p>PT Umbul Mas Wisesa – UMW POM is a Palm Oil Mill that process FFB into CPO and PK. The site sold its product in bulk, no product label attached.</p> <p>Not applicable.</p> | Not Applicable |
| MODULE A – IDENTITY PRESERVED & SEGREGATED SPECIFIC RULES | | | |
| Certified oil palm content (IP) | | | |
| | For IP, 95% or above of the oil palm content must be RSPO IP-certified. | The Certified IP volume that can be sold is only the volume requested in the PalmTrace. Hence the CPO volume sold under IP model is considered 100% content. | Complied |
| | For SG, 95% or above of the oil palm content must be SG, or a combination of SG and IP. | The Certified IP volume that can be sold is only the volume requested in the PalmTrace. Hence the CPO volume sold under IP model is considered 100% content. | Complied |
| | Where there is any percentage of non-certified oil palm within the product, the reason for this must be fully justified and an action plan for moving to fully certified oil palm must be in place, in accordance with the requirements of the RSPO SCCS. In addition, the volume of non-certified oil palm products must be covered by the purchase of RSPO Credits of equivalent volume. | The Certified IP volume that can be sold is only the volume requested in the PalmTrace. Hence the CPO volume sold under IP model is considered 100% content. | Complied |
| Labelling and trademark (IP) | | | |
| | <p>Members are allowed to use the RSPO label in one of the following ways:</p> <ul style="list-style-type: none"> • RSPO trademark which includes the tag 'CERTIFIED' or | There is no product partial claim. | Not Applicable |

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| | <ul style="list-style-type: none"> • RSPO trademark which includes the tag 'This product contains certified sustainable palm oil'. Wherever a RSPO trademark is displayed, the applicable trademark license number must be shown immediately under or next to the trademark or the 'statement'. Font must be Calibri, font size must be at least 4pt (1.4 mm or 0.06 inch). In on-pack communications, the RSPO trademark can be printed anywhere on the pack. | | |
| Messaging (IP) | | | |
| | <p>Messaging ALLOWED in storytelling in product-related communications may include some or all of the following elements:</p> <ul style="list-style-type: none"> • The oil palm products contained in this product have been certified to come from RSPO sources. www.rspo.org • By choosing this product, you are sure it contains RSPO-certified palm oil. For more information: www.rspo.org • RSPO-certified sustainable oil palm products were kept apart from other oil palm products throughout the supply chain. www.rspo.org • Certified sustainable oil palm products can be traced back to RSPO-certified mills and plantations. www.rspo.org • The entire supply chain is monitored by independent, RSPO-accredited auditors. www.rspo.org • RSPO-certified sustainable palm oil has been produced to stringent environmental and social criteria. www.rspo.org • References to (or images of) particular RSPO-certified production units, if the relationship to those units can be shown by company records. | <p>There is no product partial claim.</p> | <p>Not Applicable</p> |
| <p>Principle 4: Respect community and human rights and deliver benefit Respect community rights, provide equal opportunities, maximise benefits from engagement and ensure remediation where needed.</p> | | | |

| Criteria 4.1: The unit of certification respects human rights, which includes respecting the rights of Human Rights Defenders. | | | |
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| 4.1.1 | <p>(C) A policy to respect human rights, including prohibiting retaliation against Human Rights Defenders (HRD), is documented and communicated to all levels of the workforce, operations, supply chain and local communities and prohibits intimidation and harassment by the unit of certification and contracted services, including contracted security forces.</p> <p>- Critical (Major) compliance -</p> | <p>PT Umbul Mas Wisesa – UMW POM has established the policy on respect to human right, including prohibiting retaliation against HRD. The company policy of Human Right was signed by President Director on 22nd August 2022. In point 1 was mentioned that “... Recognises that Human Right are universal and apply to all without any from of distinction”. And point 3 mentioned that “... charges of violation of Human Right, where substantiated, will result in disciplinary action up to and including dismissal and may also lead to legal action”.</p> <p>Code of the policy: QMM-40-01-PD2.</p> <p>The policy has communicated to all interested parties.</p> <p>Sample see:</p> <ul style="list-style-type: none"> - Attendance list on “Sosialisasi Kebijakan Perusahaan”, dated 8th August 2022, that was attended by 38 workers – location in TUM Estate. - Attendance list on socialization of Company Policy, dated 27th December 2022, was attended by 63 workers – location in UMW South Estate. <p>During field visit and interview to sample workers (dated 19th January 2023) location in 07C18, they have demonstrated on understand to a policy to respect human rights, including prohibiting retaliation against Human Rights Defenders (HRD).</p> | Complied |
| 4.1.2 | <p>The unit of certification does not instigate violence or use any form of harassment, including the use of mercenaries and paramilitaries in their operations.</p> <p>- Minor compliance -</p> | <p>During ASA 1.3 – year 2023, PT Umbul Mas Wisesa – UMW POM has shown the evidence that there was employed local residence as workers in their operations.</p> <p>Based on interview with sample of workers and information gathered during stakeholders consultation with local communities of Sei Siarti village (dated 19th January 2023), Gender Committee (dated 20th</p> | Complied |

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| | | <p>january 2023) and Workers Union, there is no issues occurred related violence or use any form of harassment since the company operated in 2022.</p> | |
| <p>Criteria 4.2: There is a mutually agreed and documented system for dealing with complaints and grievances, which is implemented and accepted by all affected parties.</p> | | | |
| <p>4.2.1</p> | <p>(C) The mutually agreed system, open to all affected parties, resolves disputes in an effective, timely and appropriate manner, ensuring anonymity of complainants, HRD, community spokespersons and whistleblowers, where requested, without risk of reprisal or intimidation and follows the RSPO policy on respect for HRD. - Critical (Major) compliance -</p> | <p>During this surveillance audit, there is several changes of resolves disputes procedure and other relevant procedure. Unit of Certification has mutually agreed system, open to all affected parties, resolves disputes in an effective, timely and appropriate manner, ensuring anonymity of complainants, HRD, community spokespersons and whistle-blowers, which described within several procedures such as:</p> <ul style="list-style-type: none"> a) Land disputes refer to Land and Planting Compensation inside Location Permit of the Company No. LCA 01-01/01-02-2019/Rev 0, dated 18 February 2019. b) Resolution of Internal Complaint refers to the Internal Grievance mechanism (HRD-04-01/01-04-2019/Rev.1) dated 5 April 2019. c) Resolving external complaints refers to the External Grievance mechanism (HRD-04-02/19-02-2019/Rev.0) dated 19 February 2019. d) Whistleblowing policy refers to company policy No. QMM-04-03/11-06-2020/Rev.3 (Whistleblowing Policy) dated 11 June 2020. <p>Based on documented sample of complaints recorded from internal in 2022, such as:</p> <ul style="list-style-type: none"> • 20.01.2022: complaint from employee regarding pipeline at house was leakage. Responded at same day and repair on 21.01.2022. (Toton Estate) | <p>Complied</p> |

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| | | <ul style="list-style-type: none"> 03.02.2022: complaint from employee regarding road watering. Responded at same day and completed to follow up on 04.02.2022. (UMW North Estate) 14.11.2022: complaint from employee regarding request for repairing wall room, floor room and backyard floor that was broken. Responded and completed to follow up on 15.11.2022. (UMW South Estate) <p>During 2022, there is no complaints/grievances received by unit of certification from external parties.</p> | |
| 4.2.2 | <p>Procedures are in place to ensure that the system is understood by the affected parties, including by illiterate parties.</p> <p>- Minor compliance -</p> | <p>Procedures related complaints/grievances mechanism are in place. To ensure that the system is understood by the affected parties, auditor team taking direct interview with surrounding communities (village head of Sei Siarti and Tanjung Mulia), contractor (CV. Sahabat and CV. Tua Gabe) and relevant government agencies.</p> <p>In general, the affected parties were understood the mechanism of complaints/grievance submissions, including the timeline to resolves.</p> | Complied |
| 4.2.3 | <p>The unit of certification keeps parties to a grievance informed of its progress, including against agreed timeframe and the outcome is available and communicated to relevant stakeholders.</p> <p>- Minor compliance -</p> | <p>Grievance procedure and resolve management is communicated by unit of certification through several ways. Online: access on their website (https://www.sipef.com/hq/sustainability/grievances-sipef-group/), (https://www.sipef.com/hq/sustainability/report-a-grievance/) or direct to submit the grievance through grievances@sipef.com.</p> <p>The affected parties also can monitor the grievance progress through grievances dashboard by accessing (https://www.sipef.com/hq/sustainability/grievances-dashboard-active-andor-progressing/).</p> <p>Grievance mechanism flow chart also available on notice board village office and estate office. According to stakeholders'</p> | Complied |

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| | | communication during this surveillance audit, no grievances/complaints received by unit of certification. | |
| 4.2.4 | The conflict resolution mechanism includes the option of access to independent legal and technical advice, the ability for complainants to choose individuals or groups to support them and/or act as observers, as well as the option of a third-party mediator. - Minor compliance - | Conflict resolution mechanism has established by the unit of certification as per mentioned on Indicator 4.2.1 above. Including the option of access to independent legal and technical advice and the option of a third-party mediator, depending on the level of grievance/complaint. During 2022, there is no complaints/grievances received by unit of certification from external parties. | Complied |
| Criteria 4.3: The unit of certification contributes to local sustainable development as agreed by local communities. | | | |
| 4.3.1 | Contributions to community development that are based on the results of consultation with local community are demonstrated. - Minor compliance - | Unit of Certification has a commitment to developed surrounding communities. Contributions to community development that are based on the results of consultation with local community. In 2022, total 20 respondent from local community (Sei Siarti village) are collected through questionnaires. Sample of documented questionnaire that demonstrate and verified by auditor such as: 29.09.2022: - Mr. Ber*** Sito**** giving suggestion to the company for soil stockpiling elementary school yard (SDN 16 Sei Siarti). – TUM Estate and UMW North Estate. - Mr. M. Ef***** Hsb giving suggestion to company related road maintenance in village. – TUM Estate and UMW North Estate. Likewise at Tanjung Mulia village, total 20 respondent of questionnaire spreads 28.10.2022: - Mr. Sulai*** giving suggestion to the company related road maintenance at Tanjung Mulia village. – UMW South Estate. | Complied |

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| | | <p>- Mr. Supri*** giving suggestion related road maintenance at Tanjung Mulia village. – UMW South Estate.</p> <p>Based on this result of consultation, the unit of certification create Program Community Development and CSR 2023. All the suggestions from local community already inserted in the program as part of infrastructure (road maintenance) and will be plan on March to April 2023.</p> | |
| <p>Criteria 4.4: Use of the land for oil palm does not diminish the legal, customary or user rights of other users without their free, prior and informed consent.</p> | | | |
| <p>4.4.1</p> | <p>(C) Documents showing legal ownership or lease, or authorised use of customary land authorised by customary landowners through a Free, Prior and Informed (FPIC) process. Documents related to the history of land tenure and the actual legal or customary use of the land are available.</p> <p>- Critical (Major) compliance -</p> | <p><i>There was no change in information since previous assessment.</i></p> <p>Unit of certification has PIC mechanism related land compensation, which described in Land and Planting Compensation Inside Location Permit of The Company No. LCA 01-01/01-02-2019/Rev 0, dated 18 February 2019.</p> <p>Based on statement letter from Kepala Badan Planologi No.768/VII-KP/2001, dated 19 March 2001 and Kepala Balai Pemanfaatan Kawasan Hutan Wilayah I Medan, No. S.351/VII/BPKHI-2/2006, dated 18 April 2006, stated that all areas under PT. Umbul Mas Wisesa and PT. Toton Usaha Mandiri management are non-forest designated area (Areal Penggunaan Lain - APL).</p> <p>FPIC process starting from socialization from the company, ground check measurement (survey/mapping) with relevant parties and refer to land statement/title. Land compensation process completed after all the land is clear and clean legally. During the FPIC process, there is no forced by the company, and land compensation agreement is legal, fair and transparent.</p> <p>Umbul Mas Wisesa POM (PT Umbul Mas Wisesa) as Certificate Holder has obtained land title for its plantation, under "Hak Guna Usaha (HGU)", in detail:</p> <p>1. "Surat Kepala Kepala BPN No.42/HGU/BPN RI/2013 tentang</p> | <p>Complied</p> |

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| | | <p>Pemberian Hak Guna Usaha atas nama PT. Umbul Mas Wisesa atas tanah di Kabupaten Labuhan Batu Selatan dan Labuhan Batu, Provinsi Sumatera Utara dengan luas 6,467.69 Ha” dated 28 May 2013. The HGU valid until 28 May 2048. Then subsequently issued with certificate:</p> <ul style="list-style-type: none"> • “Sertifikat HGU No. 51 dengan luas 2,071.11 Ha yang terletak di Desa Tanjung Mulia sesuai dengan Surat Ukur No.287/Tanjung Mulia/2013 tertanggal 10 Juni 2013”, dated 10 June 2013. NIB No. 02.12.00.00.0008. Valid until 28 May 2048. • “Sertifikat HGU No.52 dengan luas 4,396.58 Ha yang terletak di Desa Sei Siarti sesuai dengan Surat Ukur No.01/Sei Siarti/2013 tertanggal 10 Juni 2013”, dated 10 June 2013. NIB No. 02.12.00.00.00007. Valid until 28 May 2048. <p>2. “Surat Keputusan BPN No.93/HGU/BPN RI/2014 tentang Pemberian HGU atas nama PT. Umbul Mas Wisesa Atas Tanah di Kabupaten Labuhan Batu, Provinsi Sumatera Utara seluas 1,140.99 Ha”, dated 25 June 2014. The permit valid until 25 June 2049. The permit then subsequently issued with certificate:</p> <ul style="list-style-type: none"> • “Sertifikat HGU No.59 seluas 679.09 Ha yang terletak di Desa Sei Siarti sesuai dengan Surat Ukur No. 494/Labuhan Batu/2014 tertanggal 14 Agustus 2014” dated 29 September 2014. NIB No. 02.12.00.00.000139. Valid until 25 June 2049. • “Sertifikat HGU No.60 seluas 461.90 Ha yang terletak di Desa Sei Siarti sesuai dengan Surat Ukur No. 494/Labuhan Batu/2014 tertanggal 14 Agustus 2014”, dated 29 September 2014. NIB No. 02.12.00.00.000140. Valid until 25 June 2049. <p>3. “Surat Keputusan BPN No.1/HGU/BPN.12/III/2014 tentang</p> | |
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| | | <p>Pemberian HGU atas nama PT. Umbul Mas Wisesa Atas Tanah terletak di Kabupaten Labuhan Batu, Provinsi Sumatera Utara seluas 154.67 Ha”, dated 28 March 2014. The permit valid until 28 March 2049. The permit then issued with certificate “Sertifikat HGU No.71 seluas 154.67 Ha yang terletak di Desa Sei Siarti sesuai dengan Surat Ukur No. 493/Labuhan Batu/2014 tertanggal 13 Agustus 2014” dated 5 January 2015. NIB No. 02.12.00.00.000138. Valid until 28 March 2049.</p> <p>Subtotal HGU PT Umbul Mas Wisesa: 7,763.35 Ha.</p> <p>Toton Usaha Mandiri Estate or TUME under PT. Toton Usaha Mandiri (PT. TUM) as supply base of UMW POM, also has land title as follow: “Surat keputusan Kepala BPN No. 23/HGU/BPN RI/2011, tentang Pemberian Hak Guna Usaha atas nama PT. Toton Usaha Mandiri atas Tanah di Kabupaten Labuhan Batu, Provinsi Sumatera Utara seluas 1,198.76 Ha”, dated 26 April 2011. The permit valid until 26 April 2046. The permit then issued with certificate “Sertifikat HGU No.36/2011, atas tanah seluas 1,198.76 Ha atas tanah yang terletak di Desa Sei Siarti sesuai dengan Surat Ukur No.10/Sei Siarti/2011 tertanggal 12 Mei 2011” dated 12 May 2011. NIB No. 02.12.00.00.00010. Valid until 26 April 2046.</p> <p>Subtotal HGU PT Toton Usaha Mandiri: 1,198.76 Ha.</p> <p>Total HGU HGU PT Umbul Mas Wisesa and PT Toton Usaha Mandiri: 8,962.11 Ha</p> <p>There is no new land compensation/land acquisition within this year or since previous assessment. The last land compensation/land acquisition has been done in 2012.</p> <p>In accordance with information gathered during public consultation with village representative from Sei Siarti obtained information that there was no customary rights/land within PT. Umbul Mas Wisesa and PT Toton Usaha Mandiri.</p> | |
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| <p>4.4.2</p> | <p>Copies documents evidencing agreement- making processes and negotiated agreements detailing the FPIC process are available and include:</p> <p>- Minor compliance -</p> <p>4.4.2a Evidence that a plan has been developed through consultation and discussion held in good faith with all affected groups within the community, with specific assurances that vulnerable, minority and gender groups are asked for their opinions, and that information has been provided to all affected groups, including in it information about the steps taken to involve them in decision making.</p> | <p>There is no new land compensation/land acquisition within this year or since previous assessment. The last land compensation/land acquisition has been done in 2012.</p> <p>However, unit of certification has FPIC mechanism related land compensation, which described in Land and Planting Compensation Inside Location Permit of The Company No. LCA 01-01/01-02-2019/Rev 0, dated 18 February 2019.</p> <p>FPIC process starting from socialization from the company, ground check measurement (survey/mapping) with relevant parties and refer to land statement/title. Land compensation process completed after all the land is clear and clean legally. During the FPIC process, there is no forced by the company, and land compensation agreement is legal, fair and transparent.</p> | <p>Complied</p> |
| | <p>4.4.2b Evidence that the unit of certification has respected communities to give or withhold their consent to the operations at the time that these decisions were taken;</p> | <p>There is no new land compensation/land acquisition within this year or since previous assessment. The last land compensation/land acquisition has been done in 2012.</p> <p>However, unit of certification has FPIC mechanism related land compensation, which described in Land and Planting Compensation Inside Location Permit of The Company No. LCA 01-01/01-02-2019/Rev 0, dated 18 February 2019.</p> <p>FPIC process starting from socialization from the company, ground check measurement (survey/mapping) with relevant parties and refer to land statement/title. Land compensation process completed after all the land is clear and clean legally. During the FPIC process, there is no forced by the company, and land compensation agreement is legal, fair and transparent.</p> | |

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| | <p>4.4.2c Evidence that the legal, economic, environmental and social implications of permitting operations on their land have been understood and accepted by affected communities. Including the implications for the legal status of their land at the expiry of the unit of certification's title, concession or lease on the land.</p> | <p>There is no new land compensation/land acquisition within this year or since previous assessment. The last land compensation/land acquisition has been done in 2012.</p> <p>However, unit of certification has FPIC mechanism related land compensation, which described in Land and Planting Compensation Inside Location Permit of The Company No. LCA 01-01/01-02-2019/Rev 0, dated 18 February 2019.</p> <p>FPIC process starting from socialization from the company, ground check measurement (survey/mapping) with relevant parties and refer to land statement/title. Land compensation process completed after all the land is clear and clean legally. During the FPIC process, there is no forced by the company, and land compensation agreement is legal, fair and transparent.</p> | |
| <p>4.4.3</p> | <p>(C) Maps of an appropriate scale showing the extent of recognised legal, customary or user rights are developed through participatory mapping involving affected parties (including neighbouring communities where applicable, and relevant authorities). - Critical (Major) compliance -</p> | <p>There is no new land compensation/land acquisition within this year or since previous assessment. The last land compensation/land acquisition has been done in 2012.</p> <p>However, unit of certification has FPIC mechanism related land compensation, which described in Land and Planting Compensation Inside Location Permit of The Company No. LCA 01-01/01-02-2019/Rev 0, dated 18 February 2019.</p> <p>FPIC process starting from socialization from the company, ground check measurement (survey/mapping) with relevant parties and refer to land statement/title. Land compensation process completed after all the land is clear and clean legally. During the FPIC process, there is no forced by the company, and land compensation agreement is legal, fair and transparent.</p> | <p>Complied</p> |
| <p>4.4.4</p> | <p>All relevant information is available in appropriate forms and languages, including assessment of impact, proposed benefit sharing, and legal arrangements.</p> | <p>There is no new land compensation/land acquisition within this year or since previous assessment. The last land compensation/land acquisition has been done in 2012.</p> | <p>Complied</p> |

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| | - Minor compliance - | <p>However, unit of certification has FPIC mechanism related land compensation, which described in Land and Planting Compensation Inside Location Permit of The Company No. LCA 01-01/01-02-2019/Rev 0, dated 18 February 2019.</p> <p>FPIC process starting from socialization from the company, ground check measurement (survey/mapping) with relevant parties and refer to land statement/title. Land compensation process completed after all the land is clear and clean legally. During the FPIC process, there is no forced by the company, and land compensation agreement is legal, fair and transparent.</p> | |
| 4.4.5 | <p>(C) Evidence is available to show that communities are represented through institutions or representatives of their own choosing, including by legal counsel if they so choose.</p> <p>- Critical (Major) compliance -</p> | <p>There is no new land compensation/land acquisition within this year or since previous assessment. The last land compensation/land acquisition has been done in 2012.</p> <p>However, unit of certification has FPIC mechanism related land compensation, which described in Land and Planting Compensation Inside Location Permit of The Company No. LCA 01-01/01-02-2019/Rev 0, dated 18 February 2019.</p> <p>FPIC process starting from socialization from the company, ground check measurement (survey/mapping) with relevant parties and refer to land statement/title. Land compensation process completed after all the land is clear and clean legally. During the FPIC process, there is no forced by the company, and land compensation agreement is legal, fair and transparent.</p> | Complied |
| 4.4.6 | <p>There is evidence that implementation of agreement negotiated through FPIC is annually reviewed in consultation with affected parties.</p> <p>- Minor compliance -</p> | <p>There is no new land compensation/land acquisition within this year or since previous assessment. The last land compensation/land acquisition has been done in 2012.</p> <p>However, unit of certification has FPIC mechanism related land compensation, which described in Land and Planting Compensation</p> | Complied |

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| | | <p>Inside Location Permit of The Company No. LCA 01-01/01-02-2019/Rev 0, dated 18 February 2019.</p> <p>FPIC process starting from socialization from the company, ground check measurement (survey/mapping) with relevant parties and refer to land statement/title. Land compensation process completed after all the land is clear and clean legally. During the FPIC process, there is no forced by the company, and land compensation agreement is legal, fair and transparent.</p> | |
| <p>Criteria 4.5: No new plantings are established on local peoples' land where it can be demonstrated that there are legal, customary or user rights, without their FPIC. This is dealt with through a documented system that enables these and other stakeholders to express their views through their own representative institutions.</p> | | | |
| <p>4.5.1</p> | <p>(C) Documents showing identification and assessment of demonstrable legal, customary and user rights are available. - Critical (Major) compliance -</p> | <p>Until this ASA, there is no land expansion, new planting or land compensation process from the certified area. The compensation process already finished since 2012 (prior obtaining Land Title in 2013).</p> <p>FPIC process starting from socialization from the company, ground check measurement (survey/mapping) with relevant parties and refer to land statement/title. Land compensation process completed after all the land is clear and clean legally. During the FPIC process, there is no forced by the company, and land compensation agreement is legal, fair and transparent.</p> <p>However, unit of certification has FPIC mechanism related land compensation, which described in Land and Planting Compensation Inside Location Permit of The Company No. LCA 01-01/01-02-2019/Rev 0, dated 18 February 2019.</p> | <p>Complied</p> |
| <p>4.5.2</p> | <p>(C) FPIC is obtained for all oil palm development through a comprehensive process, including in particular, full respect for their legal and customary rights to the territories, lands and resources via local communities' own representative institutions. All the relevant information and documents are made available, and community have option of</p> | <p>Until this ASA, there is no land expansion, new planting or land compensation process from the certified area. The compensation process already finished since 2012 (prior obtaining Land Title in 2013).</p> | <p>Complied</p> |

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| | <p>resourced access to independent third-party advice through a documented, long-term and two-way process of consultation and negotiation.</p> <p>- Critical (Major) compliance -</p> | <p>FPIC process starting from socialization from the company, ground check measurement (survey/mapping) with relevant parties and refer to land statement/title. Land compensation process completed after all the land is clear and clean legally. During the FPIC process, there is no forced by the company, and land compensation agreement is legal, fair and transparent.</p> <p>However, unit of certification has FPIC mechanism related land compensation, which described in Land and Planting Compensation Inside Location Permit of The Company No. LCA 01-01/01-02-2019/Rev 0, dated 18 February 2019.</p> | |
| 4.5.3 | <p>Evidence is available that affected local peoples understand they have the right to say 'agree' or 'not agree' to operations planned on their lands before and during initial discussions, during the stage of information gathering and associated consultations, during negotiations, and up until an agreement with the unit of certification is signed and ratified by these local peoples. Negotiated agreements are non-coercive and entered into voluntarily and carried out prior to new operations.</p> <p>- Minor compliance -</p> | <p>Until this ASA, there is no land expansion, new planting or land compensation process from the certified area. The compensation process already finished since 2012 (prior obtaining Land Title in 2013).</p> | Complied |
| 4.5.4 | <p>To ensure local food and water security and as part of the FPIC process, SEIA participation and participatory land-use planning with local peoples, the full range of food and water provisioning options are considered. There is transparency of land allocations process.</p> <p>- Minor compliance -</p> | <p>Related to FPIC is already explained within indicator 4.4.2 above.</p> <p>Unit of Certification (Umbul Mas Wisesa POM and its supply bases) has various planting year. There is no land expansion, new planting or land compensation process from the certified area. The compensation process already finished since 2012 (prior obtaining Land Title in 2013).</p> | Complied |
| 4.5.5 | <p>Evidence is available that the affected communities and rights holders have had the option to access information and advice, that is independent of the project proponent, concerning the legal, economic, environmental and social implications of the proposed operations on their lands.</p> <p>- Minor compliance -</p> | <p>Related to FPIC is already explained within indicator 4.4.2 above.</p> <p>Unit of Certification (Umbul Mas Wisesa POM and its supply bases) has various planting year. There is no land expansion, new planting or land compensation process from the certified area. The</p> | Complied |

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| | | compensation process already finished since 2012 (prior obtaining Land Title in 2013). | |
| 4.5.6 | Evidence is available that the communities or their representatives gave consent to the initial planning phases of the operations prior to the issuance of a new concession or land title to the operator. - Minor compliance - | Related to FPIC is already explained within indicator 4.4.2 above. Unit of Certification (Umbul Mas Wisesa POM and its supply bases) has various planting year. There is no land expansion, new planting or land compensation process from the certified area. The compensation process already finished since 2012 (prior obtaining Land Title in 2013). | Complied |
| 4.5.7 | After 15 November 2018, new lands will not be acquired for plantations and mills as a result of recent (after November 2005) expropriations in the national interest (eminent domain) without FPIC process, except in cases of smallholders benefitting from agrarian reform or anti-drug programmes. - Minor compliance - | Unit of certification has no land expansion, new planting, or land compensation process from the certified area after 15 November 2018. The compensation process already finished since 2012 (prior obtaining Land Title in 2013). | Complied |
| 4.5.8 | (C) New lands are not acquired in areas inhabited by communities in voluntary isolation. - Critical (Major) compliance - | Unit of certification has no land expansion, new planting, or land compensation process from the certified area after 15 November 2018. The compensation process already finished since 2012 (prior obtaining Land Title in 2013). | Complied |
| Criteria 4.6: Any negotiations concerning compensation for loss of legal, customary or user rights are dealt with through a documented system that enables indigenous peoples, local communities and other stakeholders to express their views through their own representative institutions. | | | |
| 4.6.1 | (C) A mutually agreed procedure for identifying legal, customary or user rights, and a procedure for identifying people entitled to compensation, is in place. - Critical (Major) compliance - | During this ASA, unit of certification has no land expansion, new planting or land compensation process from the certified area. The compensation process already finished since 2012 (prior obtaining Land Title in 2013). | Complied |
| 4.6.2 | (C) A mutually agreed procedure for calculating and distributing fair and gender-equal compensation (monetary or otherwise) is established and | There is no customary rights/land within PT. Umbul Mas Wisesa and PT Toton Usaha Mandiri. | Complied |

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| | implemented, monitored and evaluated in a participatory way, and corrective actions taken as a result of this evaluation. - Critical (Major) compliance - | A mutually agreed procedure for identifying legal, customary or user rights, and a procedure for identifying people entitled to compensation, is covers within Land and Planting Compensation Inside Location Permit of The Company No. LCA 01-01/01-02-2019/Rev 0, dated 18 February 2019. | |
| 4.6.3 | Evidence is available that equal opportunities are provided to both men and women to hold land titles for smallholdings (if possible based on local law, customs and/or agreement). - Minor compliance - | FPIC process starting from socialization from the company, ground check measurement (survey/mapping) with relevant parties and refer to land statement/title. Land compensation process completed after all the land is clear and clean legally. During the FPIC process, there is no forced by the company, and land compensation agreement is legal, fair and transparent. | Complied |
| 4.6.4 | The process and outcomes of any negotiated agreements, compensation and payments are documented with evidence of the participation of affected parties and made available to them. - Minor compliance - | However, unit of certification has FPIC mechanism related land compensation, which described in Land and Planting Compensation Inside Location Permit of The Company No. LCA 01-01/01-02-2019/Rev 0, dated 18 February 2019. In other side, during interview with Village Head of Tanjung Mulia, it is informed that no customary rights/land within PT. Umbul Mas Wisesa. In accordance with the result of interview with one of previous landowner obtain information that the land acquisition process was smooth. There is no objection from the sharecroppers until this audit. | Complied |
| Criteria 4.7: Where it can be demonstrated that local peoples have legal, customary or user rights, they are compensated for any agreed land acquisitions and relinquishment of rights, subject to their FPIC and negotiated agreements. | | | |
| 4.7.1 | (C) A mutually agreed procedure for identifying people entitled to compensation is in place. - Critical (Major) compliance - | In accordance with the result of interview with one of previous landowner obtain information that the land acquisition process was smooth. The company has identified the sharecroppers early before conducted the land compensation. All the process was transparent. No objection from the sharecroppers until this audit. | Complied |
| 4.7.2 | (C) A mutually agreed procedure for calculating and distributing fair compensation (monetary or otherwise) is in place and documented and made available to affected parties. | | Complied |

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| | - Critical (Major) compliance - | There was no customary rights/land within PT. Umbul Mas Wisesa and PT Toton Usaha Mandiri. | |
| 4.7.3 | <p>Communities that have lost access and rights to land for plantation expansion are given opportunities to benefit from plantation development.</p> <p>- Minor compliance -</p> | <p>During this ASA, unit of certification has no land expansion, new planting or land compensation process from the certified area. The compensation process already finished since 2012 (prior obtaining Land Title in 2013).</p> <p>A mutually agreed procedure for identifying legal, customary or user rights, and a procedure for identifying people entitled to compensation, is covers within Land and Planting Compensation Inside Location Permit of The Company No. LCA 01-01/01-02-2019/Rev 0, dated 18 February 2019.</p> <p>FPIC process starting from socialization from the company, ground check measurement (survey/mapping) with relevant parties and refer to land statement/title. Land compensation process completed after all the land is clear and clean legally. During the FPIC process, there is no forced by the company, and land compensation agreement is legal, fair and transparent.</p> <p>However, unit of certification has FPIC mechanism related land compensation, which described in Land and Planting Compensation Inside Location Permit of The Company No. LCA 01-01/01-02-2019/Rev 0, dated 18 February 2019.</p> | Complied |
| <p>Criteria 4.8: The right to use the land is demonstrated and is not legitimately contested by local people who can demonstrated that they have legal customary, or user rights.</p> | | | |
| 4.8.1 | <p>Where there are or have been disputes, proof of legal acquisition of title and evidence that mutually agreed compensation has been made to all people who held legal, customary, or user rights at the time of acquisition is available and provided to parties to a dispute, and that any compensation was accepted following a documented process of FPIC.</p> <p>- Minor compliance -</p> | <p>Based on the result of public consultation with related government agency and village head around the surrounding communities near the operational area obtain information that there is none and disputes is absence for last 5 years.</p> <p>During this ASA, unit of certification has no land expansion, new planting or land compensation process from the certified area. The</p> | Complied |

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| 4.8.2 | <p>(C) Land conflict is not present in the area of the unit of certification. Where land conflict exists, acceptable conflict resolution processes (see Criteria 4.2 and 4.6) are implemented and accepted by the parties involved. In the case of newly acquired plantations, the unit of certification addresses any unresolved conflict through appropriate conflict resolution mechanisms.</p> <p>- Critical (Major) compliance -</p> | <p>compensation process already finished since 2012 (prior obtaining Land Title in 2013).</p> <p>Unit of Certification has had legal land use right to managed and operate the lands.</p> <p>FPIC process starting from socialization from the company, ground check measurement (survey/mapping) with relevant parties and refer to land statement/title. Land compensation process completed after all the land is clear and clean legally. During the FPIC process, there is no forced by the company, and land compensation agreement is legal, fair and transparent.</p> | Complied |
| 4.8.3 | <p>Where there is evidence of acquisition through dispossession or forced abandonment of customary and user rights prior to the current operations and there remain parties with demonstrable customary and land use rights, these claims will be settled using the relevant requirements (Indicators 4.4.2, 4.4.3 and 4.4.4).</p> <p>- Minor compliance -</p> | <p>However, unit of certification has FPIC mechanism related land compensation, which described in Land and Planting Compensation Inside Location Permit of The Company No. LCA 01-01/01-02-2019/Rev 0, dated 18 February 2019.</p> | Complied |
| 4.8.4 | <p>For any conflict or dispute over the land, the extent of the disputed area is mapped out in a participatory way with involvement of affected parties (including neighbouring communities where applicable).</p> <p>- Minor compliance -</p> | | Complied |
| <p>Principle 5: Support smallholder inclusion Include smallholders in RSPO supply chains and improve their livelihoods through fair and transparent partnerships.</p> | | | |
| <p>Criteria 5.1: The unit of certification deals fairly and transparently with all smallholders (Independent and Scheme) and other local businesses.</p> | | | |
| 5.1.1 | <p>Current and previous period prices for FFB are publicly available and accessible by smallholders.</p> <p>- Minor compliance -</p> | <p>Unit of certification using supply chain module identity preserved where the mill only received FFB from certified sources which is own estate and independent smallholders namely KSU Suka Makmur.</p> <p>In accordance with guidance namely "Pedoman Kerja Informasi Harga Tandan Buah Segar" (KSU-SM/PK/019 dated 12 Dec 2019. The document stated that Field Assistant Smallholder communicate FFB price information for one-week period to chairman of KSU Suka Makmur directly or via phone. Chairman of KSU Suka Makmur then</p> | Complied |

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| | | <p>inform the FFB price to the members by writing it on the announcement board in front of the KSU office. Based on interview with board of ISH (Group Manager) obtained information that the smallholders always informed the prices updated.</p> <p>Sample of payment documents: Bank Payment Voucher (IDR); No. BV23010017, dated 11 Jan 2023; Bank Mandiri A/C 11831010; B/A 107-00-1035442-3. Beneficiary Name: Koperasi Serba Usaha Suka Makmur Beneficiary Bank: Mandiri Amount: IDR 9,867,650. Transfer to PASM003 (AZ): 866 kg x Rp 2,210 = Rp 1,913,860. Less Fee Koperasi: 866 kg x Rp 240 = Rp 207,840. Transfer to PASM023 (MH): 1268 kg x Rp 2,210 = Rp 2,802,280. Less Fee Koperasi: 1268 kg x Rp 240 = Rp 304,320. Transfer to PASM042 (Sd): 1343 kg x Rp 2,210 = Rp 2,968,030. Less Fee Koperasi: 1343 kg x Rp 240 = Rp 322,320. Transfer to PASM046 (Sm): 988 kg x Rp 2,210 = Rp 2,183,480. Less Fee Koperasi: 988 kg Rp 240 = Rp 237,120. Transfer to KSU Suka Makmur = Rp 1,071,600.</p> <p>The payment receipt has been acknowledged and signed by the Group Manager of KSU Suka Makmur.</p> <p>FFB prices in North Sumatra province is publicly available through Plantation Agency website http://disbun.sumutprov.go.id/harga_komoditi/. Also, UMW POM is transparent to set and inform the FFB prices for KSU Suka Makmur,</p> | |
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| | | a certified independent smallholder that cooperate with the Unit of Certification. | |
| 5.1.2 | <p>(C) Evidence is available that the unit of certification regularly explains the FFB pricing to smallholders.</p> <p>- Critical (Major) compliance -</p> | <p>The Smallholder which supply FFB to UMW POM, KSU Suka Makmur, has a documented guidance for FFB price information. The guidance is "Pedoman Kerja Informasi Harga Tandan Buah Segar" (KSU-SM/PK/019 dated 12 Dec 2019. The document stated that Field Assistant Smallholder communicate FFB price information for one-week period to chairman of KSU Suka Makmur directly or via phone. Chairman of KSU Suka Makmur then inform the FFB price to the members by writing it on the announcement board in front of the KSU office.</p> <p>Information on FFB prices from UMW POM to KSU Suka Makmur through an official email via Smallholder support staff. The price will be installed in KSU Suka Makmur information board, if there are any changes of prices will be informed by phone immediately.</p> | Complied |
| 5.1.3 | <p>(C) Fair pricing, including premium pricing, when applicable, is agreed with smallholders in the supply base and documented.</p> <p>- Critical (Major) compliance -</p> | <p>Fair pricing, including premium pricing when applicable are agreed with members of KSU Suka Makmur as smallholder as evident in Contract agreement between PT Umbul Mas Wisesa and Koperasi Serba Usaha Suka Makmur, "Perjanjian Kemitraan Usaha Tentang Jual Beli Tandan Buah Segar Kelapa Sawit" dated 1 Nov 2017.</p> | Complied |
| 5.1.4 | <p>(C) Evidences is available that all parties, including women and independent representative organization assisting smallholders where requested, are involved in the decision making processes and understand the contracts. These include involving finance, loans/credits, and repayments through FFB price reductions for replanting and/or, other support mechanisms where applicable.</p> <p>- Critical (Major) compliance -</p> | <p>There are only 6 females of 31 smallholders members of KSU Suka Makmur cooperative. Decision making of any changes in smallholders contracts are responsible of each smallholders.</p> <p>Contract agreement are signed by all Cooperative KSU Suka Makmur member, including women, as examples: Tusiwan, Masnani Nasution, M. Hidayat, Mariati, Edi Hariyanto and Ahmad Zainuddin. The contract has included Terms and conditions of Cooperative members; Legality of Land; FFB quality; Training and Fertilizer</p> | Complied |

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| | | Supports; FFB Transport and Weighing and FFB Prices and Payments. | |
| 5.1.5 | Contracts are fair, legal and transparent and have an agreed timeframe. - Minor compliance - | Contractual agreement between PT Umbul Mas Wisesa and Koperasi Serba Usaha Suka Makmur are fair, legal and transparent, as evident in "Perjanjian Kemitraan Usaha Tentang Jual Beli Tandan Buah Segar Kelapa Sawit" dated 1 Nov 2017. Article 1: Scope of Agreement; Article 2: Terms and conditions of Cooperative members; Article 3: Legality of Land; Article 4: FFB quality; Article 5: Training and Fertilizer Supports; Article 6: FFB Transport and Weighing; Article 7: FFB Prices and Payments; Article 8: Function of Cooperative; Article 9: Contract period; Article 10: Grievance resolution; Article 11: Force Majeure; Article 12: Others. | Complied |
| 5.1.6 | (C) Agreed payments are made in a timely manner and receipts specifying price, weigh, deductions and amount paid are given. - Critical (Major) compliance - | Sample of payment documents: Bank Payment Voucher (IDR); No. BV23010017, dated 11 Jan 2023; Bank Mandiri A/C 11831010; B/A 107-00-1035442-3. Beneficiary Name: Koperasi Serba Usaha Suka Makmur Beneficiary Bank: Mandiri Amount: IDR 9,867,650. Transfer to PASM003 (AZ): 866 kg x Rp 2,210 = Rp 1,913,860. Less Fee Koperasi: 866 kg x Rp 240 = Rp 207,840. | Complied |

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| | | <p>Transfer to PASM023 (MH): 1268 kg x Rp 2,210 = Rp 2,802,280. Less Fee Koperasi: 1268 kg x Rp 240 = Rp 304,320. Transfer to PASM042 (Sd): 1343 kg x Rp 2,210 = Rp 2,968,030. Less Fee Koperasi: 1343 kg x Rp 240 = Rp 322,320. Transfer to PASM046 (Sm): 988 kg x Rp 2,210 = Rp 2,183,480. Less Fee Koperasi: 988 kg Rp 240 = Rp 237,120. Transfer to KSU Suka Makmur = Rp 1,071,600. The payment receipt has been acknowledged and signed by the Group Manager of KSU Suka Makmur.</p> | |
| 5.1.7 | <p>Weighing equipment is verified by an independent third party on a regular basis. - Minor compliance -</p> | <p>Sighted the Surat Keterangan Hasil Pengujian (certificate of testing) No. 530.3/190/DagInd-Met/KHP/10/2022 that issued on 12 October 2022 by UPTD Metrologi Legal, Dinas Perdagangan dan Perindustrian, Kabupaten Labuhan Batu of electronic weighbridge Merk. Avery Weigh Tronix; Type E1205; No. Seri 122350678; weighing scale 40,000 kg/10kg. Valid until 12 October 2023.</p> | Complied |
| 5.1.8 | <p>The unit of certification supports Independent smallholders with certification, where applicable, ensuring mutual agreements between the unit of certification and the smallholder on who runs the Internal Controlling System (ICS), who holds the certificate, and who owns and sells certified materials. - Minor compliance -</p> | <p>The unit of certification has support Koperasi Serba Usaha Suka Makmur to be RSPO certified based on certificate number RSPO 687965 by BSI, dated 5 Apr 2018. The unit of certification has formed a Smallholder Support team to help the smallholder get the certificate and maintained the RSPO implementation.</p> | Complied |
| 5.1.9 | <p>(C) The unit of certification has a grievance mechanism for smallholders, and all grievances raised are dealt with in a timely manner. - Critical (Major) compliance -</p> | <p>It was available procedure External Grievance (HRA-04-02/01-02-2018/Rev.0) as guidance for external grievance management process including smallholders. Complainants can submit their complaints via email at http://www.tolantiga.co.id or directly to the respective person. Person who directly supervises the complainants should discuss with the complainants to solve the issue. Timeframe for resolving grievance is 30 days.</p> | Complied |

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| | | Based on the incoming letter logbook sighted the sample of requested information/grievance from was on 10 May 2022 related to late information of price update. It was replied by smallholder staff via Whatsapp on 12 May 2022. | |
| Criteria 5.2: The unit of certification supports improved livelihoods of smallholders and their inclusion in sustainable palm oil value chains. | | | |
| 5.2.1 | The unit of certification consults with interested smallholders (irrespective of type) including women or other partners in their supply base to assess their needs for support to improve their livelihoods and their interest in RSPO certification. - Minor compliance - | Women and other partners as supply base of the certification unit are involved during assessment of the needs for support to develop the smallholder cooperative. As evidence these names are include in agreement document, Masnani Nasution and Mariati. The contract has included Terms and conditions of Cooperative members; Legality of Land; FFB quality; Training and Fertilizer Supports; FFB Transport and Weighing and FFB Prices and Payments. There was no additional female member since previous assessment. According to the membership list of KSU Suka Makmur, there were 6 females smallholders of 31 smallholders. UMW POM as unit of certification is always involve the smallholders to assess their needs for support to improve their livelihoods and their interest through the training or awareness program by smallholders staff. | Complied |
| 5.2.2 | The unit of certification develops and implements livelihood improvement programmes, including at least capacity building to enhance productivity, quality, organisational and managerial competencies, and specific elements of RSPO certification (including the RSPO Standard for Independent Smallholder). - Minor compliance - | Unit of certification has conducted capacity building program to enhance productivity, quality, organisational and managerial competencies, and specific elements of RSPO certification. Some records of training evidence as follows: <ul style="list-style-type: none"> • OHS and first aid training on 1 November 2022 conducted by safety officer. Attended by 25 smallholder member. • Land fire training on 19 December 2022. Attended by 2 board of KSU representatives. • Safety working training for chemical weeding on 20 June 2022. Attended by 17 participants. This training covered the training | Complied |

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| | | with hazardous waste management and material safety data sheet for each herbicide. | |
| 5.2.3 | Where applicable, the unit of certification provides support to smallholders to promote legality of FFB production. - Minor compliance - | The unit of certification has support Koperasi Serba Usaha Suka Makmur to be RSPO certified based on certificate number RSPO 687965 by BSI, dated 5 Apr 2018. The unit of certification has formed a Smallholder Support team to help the smallholder get the certificate and maintained the RSPO implementation. | Complied |
| 5.2.4 | (C) Evidence exists that the unit of certification trains Scheme Smallholders on pesticide handling. - Critical (Major) compliance - | Pesticide application in Smallholder KSU Suka Makmur are performed by spraying workers from PT Umbul Mas Wisesa. The unit of certification has provided training for all workers that conducted chemical spraying including for Smallholders (KSU Suka Makmur), as evidence in training " <i>Standarisasi Spraying</i> " dated 29 November 2022 in UMWS Estate. The training was attended by 31 participants including Estate Manager, Field Head Assistant, all Field Assistant, all Spraying workers and supervisors including that apply spraying in Smallholders. Training module, evaluation result and attendance list were available. There is no issue regarding pesticide handling in smallholder. | Complied |
| 5.2.5 | The unit of certification regularly reviews and publicly reports on the progress of the smallholder support programme. - Minor compliance - | PT Umbul Mas Wisesa as unit of certification are made regularly reviews and submit the report within Plantation Activities Report of "Laporan Kegiatan Usaha Perkebunan (LKUP)" that submit to plantation agency of regency level. Within the report also describes performance of smallholders, mostly in actual production, fertilizer use and agrochemical use. The unit of certification regularly review and reported the progress of plantation including Smallholders to the relevant stakeholder. The Unit of certification can demonstrate "Laporan Kegiatan Perkebunan Besar Swasta" Umbul Mas Wisesa for period July to December 2022. The report has been distributed to "Dinas Kehutanan dan Perkebunan Pemerintah Kabupaten Labuhan Batu" on 5 January | Complied |

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| | | <p>2023. The report contains information of Smallholders area consist of Koperasi Serba Usaha (KSU) Suka Makmur, 60.15 Ha. The report also described Production, Sales, Fertilizer applied, Agrochemical used, and Labour used.</p> <p>Email from HO to RMO cc to Smallholder Department, then smallholder support disseminates to smallholder through announcement board. The Smallholder which supply FFB to UMW POM, KSU Suka Makmur, has a documented guidance for FFB price information. The guidance is "Pedoman Kerja Informasi Harga Tandan Buah Segar" (KSU-SM/PK/019 dated 12 Dec 2019. The document stated that Field Assistant Smallholder communicate FFB price information for one week period to chairman of KSU Suka Makmur directly or via phone. Chairman of KSU Suka Makmur then inform the FFB price to the members by writing it on the announcement board in front of the KSU office.</p> <p>Payment documents: Bank Payment Voucher (IDR); No. BV23010017, dated 11 Jan 2023; Bank Mandiri A/C 11831010; B/A 107-00-1035442-3. Beneficiary Name: Koperasi Serba Usaha Suka Makmur Beneficiary Bank: Mandiri Amount: IDR 9,867,650.</p> <p>Transfer to PASM003 (AZ): 866 kg x Rp 2,210 = Rp 1,913,860. Less Fee Koperasi: 866 kg x Rp 240 = Rp 207,840. Transfer to PASM023 (MH): 1268 kg x Rp 2,210 = Rp 2,802,280. Less Fee Koperasi: 1268 kg x Rp 240 = Rp 304,320. Transfer to PASM042 (Sd): 1343 kg x Rp 2,210 = Rp 2,968,030. Less Fee Koperasi: 1343 kg x Rp 240 = Rp 322,320. Transfer to PASM046 (Sm): 988 kg x Rp 2,210 = Rp 2,183,480.</p> | |
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| | | <p>Less Fee Koperasi: 988 kg Rp 240 = Rp 237,120. Transfer to KSU Suka Makmur = Rp 1,071,600. The payment receipt has been acknowledged and signed by the Group Manager of KSU Suka Makmur.</p> | |
| <p>Principle 6: respect workers’ rights and conditions</p> | | | |
| <p>Protect workers’ rights and ensure safe and decent working conditions.</p> | | | |
| <p>Criteria 6.1: Any form of discrimination is prohibited.</p> | | | |
| <p>6.1.1</p> | <p>(C) A publicly available non-discrimination and equal opportunity policy is implemented in such way to prevent discrimination based on ethnic origin, caste, national origin, religion, disability, gender, sexual orientation, gender identity, union membership, political affiliation or age. - Critical (Major) compliance -</p> | <p>PT Umbul Mas Wisesa – UMW POM has established the policy on publicly available non-discrimination and equal opportunity. The company policy of non-discrimination and equal opportunity policy was signed by President Director on 22nd August 2022. The policy has explained that:</p> <ol style="list-style-type: none"> 1. SIPEF is not discriminating against anyone during recruitment or in the performance of the company business operation. 2. The company has recruitment election process is based in merit so all applicants with the desired qualification and experience will be considered for the open position. The successful applicant will be the person who best meets the specific requirements of the position offered. 3. Promotions but also sanctions, within the company area handled on the same basis of non-discriminations. <p>The policy has communicated to all interested parties. Sample see: - Attendance list on “Sosialisasi Kebijakan Perusahaan”, dated 8th August 2022, that was attended by 38 workers – location in TUM Estate.</p> | <p>Complied</p> |

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| | | <p>- Attendance list on socialization of Company Policy, dated 27th December 2022, was attended by 63 workers – location in UMW South Estate.</p> <p>During field visit and interview to sample workers (dated 19th January 2-23) location in 07C18, they have demonstrated on understand to a policy to non-discrimination and equal opportunity in such way to prevent discrimination based on ethnic origin, caste, national origin, religion, disability, gender, sexual orientation, gender identity, union membership, political affiliation, or age.</p> | |
| <p>6.1.2</p> | <p>(C) Evidence is provided that workers and groups including local communities, women, and migrant workers have not been discriminated against. Evidence includes migrant workers non-payment of recruitment fees.</p> <p>- Critical (Major) compliance -</p> | <p>During ASA 1.3 – year 2023, PT Umbul Mas Wisesa – UMW POM has demonstrated the evidence of non-discrimination and equal opportunities policy which implemented.</p> <p>Based on review of “Daftar Karyawan Umbul Mas – updated in January 2023”, consisted of:</p> <ul style="list-style-type: none"> • UMW South Estate total employee 523 workers: <ul style="list-style-type: none"> - Local employees (98 workers) - Migrant/Outsider (625 workers) <p><i>Note: migrant/outsider means workers who come from other regions (different districts, provinces) but are still Indonesian citizens.</i></p> <ul style="list-style-type: none"> - Male (500 workers) - Female (223 workers) - Permanent-Daily/SKU-H (501 workers) - Permanent-Monthly/SKU-B (66 workers) - Fixed Period Working Agreement (156 workers) - Moslem (408 workers) - Christian (315 workers) | <p>Complied</p> |

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| | | <ul style="list-style-type: none"> • UMW North Estate total employee 336 workers: <ul style="list-style-type: none"> - Local employees (32 workers) - Migrant/Outsider (304 workers) - Male (238 workers) - Female (98 workers) - Permanent-Daily/SKU-H (225 workers) - Permanent-Monthly/SKU-B (63 workers) - Fixed Period Working Agreement (45 workers) - Moslem (245 workers) - Christian (91 workers) • Toton Usaha Mandiri Estate total employee 165 workers: <ul style="list-style-type: none"> - Local employees (15 workers) - Migrant/Outsider (150 workers) - Male (117 workers) - Female (48 workers) - Permanent-Daily/SKU-H (229 workers) - Permanent-Monthly/SKU-B (32 workers) - Fixed Period Working Agreement (25 workers) - Moslem (82 workers) - Christian (83 workers) | |
| 6.1.3 | <p>The unit of certification demonstrates that the recruitment selection, hiring access to training and promotion are based on skills, capabilities, qualities and medical fitness necessary for the jobs available.</p> <p>- Minor compliance -</p> | <p>During surveillance audit ASS 1.3 - year 2023, PT Umbul Mas Wisesa – UMW POM has demonstrated the employment procedures for recruitment, selection, hiring, promotion, retirement, and termination.</p> <p>The was no changed related the procedure.</p> | Complied |

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| | | <p>The procedures were documented in "Human Resources Department Manual" Second Edition – 2019 signed by President Director. Document available at the Operation Unit office, and accessible. The HRD Manual consist of 30 Standard Operating Procedure, among others:</p> <ul style="list-style-type: none"> • General (Doc. No. HRD-00-01/01-02-2019/Rev.1) dated 8 April 2019. • Organization Chart (Doc. No. HRD-00-02/01-02-2019/Rev.1) dated 5 April 2019. • Objective and KPI (Doc. No. HRD-00-03/01-04-2019/Rev.1) dated 8 April 2019 • Risk and Opportunities (Doc. No. HRD-00-04/01-04-2019/Rev.1) dated 8 April 2019. • Recruitment in General (Doc. No. HRD-01-00/01-04-2019/Rev.1) dated 5 April 2019. • Internal Recruitment (Doc. No. HRD-01-01/01-04-2019/Rev.1) dated 8 April 2019. • Procedure for Promotion to SKU (Doc. HRD-01-04/10-05-2021/Rev.1), dated 1 May 2021. The process of promoting laborers is going well and in accordance with applicable regulation <p>PT Umbul Mas Wisesa – UMW POM has also demonstrated the records of implementation the employment procedures for recruitment, selection, hiring, promotion, retirement, and termination.</p> <p>Data verified since last year:</p> <ul style="list-style-type: none"> • Promotion from Daily Workers to Specified Time Work Agreement/PKWT, location in TUM Estate: | |
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| | | <ul style="list-style-type: none"> - Promotion application, Memorandum No: 15/TUME-RMO/II/2022 dated 25 February 2022, form TUM Estate to Regional Office in North Sumatra, regarding "Pengajuan Karyawan PKWT Loose Fruit Picker, total: 30 workers - Approval for the application, was signed by Regional Director – North Sumatra. - Document of Specified Time Work Agreement/PKWT, dated 16th March 2022 namely Nursaiyah. • Recruitment process records: <ul style="list-style-type: none"> - Application Form as per "Permohonan Persetujuan Penambahan Tenaga Harvester, Loose Fruit Picker and Mannuring Application", document number: 274/UMWS/RMO-NS/V/2022 dated 30th May 2022. The application has approved by Regional Office on 30 May 2022. - Interview Form Evaluation, namely Mr Rasyid Subakti (position request: Loose Fruit Picker) dated of interview 26th May 2022. Result: recommend for continue stage. <p>Document of Daily Workers to Specified Time Work Agreement/PKWT, namely Mr Rasyid Surbakti, job title: Loose Fruit Collection, that was issued on 1st June 2022 – valid until 31st May 2023.</p> | |
| 6.1.4 | <p>Pregnancy testing is not conducted as a discriminatory measure and is only permissible when it is legally mandated. Alternative equivalent employment is offered for pregnant women.</p> <p>- Minor compliance -</p> | <p>PT Umbul Mas Wisesa – UMW POM has established the policy related to protection of reproductive right policy. The policy as authorised by President Director on 22nd August 2022.</p> <p>The policy has mentioned that PT Tolan Tiga Indonesia is committed to protecting the reproductive rights of its employee, and to provide medical services associated with reproductive. In point 3.f was explained that services safeguarding the right of women and encouraging their empowerment.</p> | Complied |

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| | | <p>Based on field visit and interview with women workers (pesticides applicator) in Block 08D30 – location in Division 06, UMW South Estate (date: 19th January 2023), they have explained that pregnancy testing is not conducted as a discriminatory measure and is only permissible.</p> <p>PT Umbul Mas Wisesa – UMW POM has regularly conduct pregnancy test for women workers related chemical (manuring, pesticides applicators, pest, and dieses & upkeep) – monthly.</p> <p>Last pregnancy test was conducted in December 2022 as per document “Daftar Absensi Kerja Ibu Hamil dan Tidak Menyusui – month December 2022”, there was reported a women worker in positive pregnant (12 weeks), named Mrs Erika Bestina G (register number: 0375). She was rotate to working area that not related to chemical. Based on verification of the attendance work allocation dated 13th January 2023, she has worked on census of Leaf Sampling Unit (LSU) – location in block 06-D12/13, Division 4, UMW South Estate.</p> | |
| <p>6.1.5</p> | <p>(C) A gender committee is in place specifically to raise awareness, identify and address issues of concern, as well as opportunities and improvements for women.</p> <p>- Critical (Major) compliance -</p> | <p>During ASA 1.3 – year 2023, PT Umbul Mas Wisesa - UMW POM already has Gender Committee structure to ensure that no violation or harassment for male and female workers. Structure of gender committee consist of company representative (Operation Unit Manager), Representative of Workers Union, Representative of Employees (Male and Female).</p> <p>Based on interview with representative of Gender Committee at UMW South Estate (dated 20th January 2023) company concern to giving opportunities and improvements for women.</p> <p>Based on review of “Daftar Karyawan Umbul Mas – updated in January 2023”, consisted of:</p> <ul style="list-style-type: none"> • UMW South Estate total employee 523 workers: | <p>Complied</p> |

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| | | <ul style="list-style-type: none"> - Male (500 workers) - Female (223 workers) • UMW North Estate total employee 336 workers: <ul style="list-style-type: none"> - Male (238 workers) - Female (98 workers) • Toton Usaha Mandiri Estate total employee 165 workers: <ul style="list-style-type: none"> - Male (117 workers) - Female (48 workers) | |
| 6.1.6 | <p>There is evidence of equal pay for the same work scope.</p> <p>- Minor compliance -</p> | <p>During ASA 1.3 – year 2023, PT Umbul Mas Wisesa – UMW POM has demonstrated evidence of equal pay for the same work scope.</p> <p>Data verified:</p> <ul style="list-style-type: none"> - Year 2022: Minimum Wage of Labuhanbatu Selatan Regency in 2022 is IDR 2,938,260, - based on Keputusan Gubernur Sumatera Utara Nomor: 188.44/781/KPTS/2021 tentang Penetapan Upah Minimum Kabupaten Labuhan Batu Selatan Tahun 2022. - PT Umbul Mas Wisesa – UMW POM has defined the Letter Degree, under Surat Memorandum, no: 07/RMO-All Estate/II/2022 dated 30th March 2022. Minimum wage year 2022 is Rp 3,29.500 per month or Rp 133,180 per day. <p>Sample of document verified for period December 2022,</p> <ul style="list-style-type: none"> • Namely: Mrs Suwarni, Register number (0026), job title: servant in GH: <ul style="list-style-type: none"> Basic salary: Rp 3,191,000 Rice allowance: Rp 152,100 Medical/Accidents assurance benefit: Rp 356,661 Overtime: Rp 1,467,712 | Complied |

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| | | <p>Other benefit: Rp 25,000 Total: Rp 5,227,351.</p> <ul style="list-style-type: none"> Namely: Mr Don Rinson Sinaga, Register number (0037), job title: Warehouse Clerk: Basic salary: Rp 3,475,000 Rice allowance: Rp 319,410 Medical/Accidents assurance benefit: Rp 375,594 Overtime: Rp 1,225,761 Other benefit: Rp 25,000 Total: Rp 5,420,765 Namely: Mr Siti Rahmi, Register number (1134), job title: teacher in Senior High School in Yayasan Umbul Mas Wisesa: Basic salary: Rp 3,329,500 Rice allowance: Rp – Medical/Accidents assurance benefit: Rp 350,930 Overtime: Rp - Other benefit: Rp Total: Rp 3,680,430 | |
| <p>Criteria 6.2: Pay and conditions for staff and workers and for contract workers always meet at least legal or industry minimum standards and are sufficient to provide decent living wages (DLW).</p> | | | |
| 6.2.1 | <p>(C) Documentation of pay and working conditions in accordance with applicable labour laws are available to the workers in national languages and explained to them in language they understand. - Critical (Major) compliance -</p> | <p>During ASA 1.3 – year 2023, PT Umbul Mas Wisesa – UMW POM has demonstrated documentation of pay and working conditions in accordance with applicable labour laws.</p> | Complied |

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| | | <p>PT. Umbul Mas Wisesa has shown the document of Collective Worker Agreement (PKB) period 2022 – 2024 between PT. Umbul Mas Wisesa and labour union (Pengurus Serikat Pekerja Umbul Mandiri – SPUM) as reference in working regulation.</p> <p>Collective Worker Agreement – period 2022 – 2024 was re-registered by Head of Manpower Agency of Labuhanbatu Selatan (namely Mr. Sutrisno, SH) dated 17th November 2022, as per document of “Keputusan Kepala Dinas Ketenagakerjaan Kabupaten Labuhanbatu Selatan Nomor: 560/551/Naker/XI/2022 tentang Perpanjangan Masa Berlaku Perjanjian Kerja Bersama (PKB) PT Umbul Mas Wisesa dengan Serikat Pekerja Umbul Mandiri (SPUM), period 2022 - 2024.</p> <p>Based on interview, with head of SPUM (namely Mr M. Sahlan Laila – dated 20th January 2023) were explained that:</p> <ul style="list-style-type: none"> • Labour Union - Serikat Pekerja Umbul Mandiri (SPUM) has re-registered by Head of Manpower Agency of Labuhanbatu Selatan (namely Mr. Sutrisno, SH) dated 116th January 2023, as per Surat Keputusan Kepala Dinas Ketengakerjaan Kabupaten Labuhanbatu Selatan Nomor: KEP.560/03/Naker/I/2023 tentang Perubahan Pembentukan dan Pengangkatan Anggota Lembaga Kerjasama BIPARTIT di Perusahaan • Total union members of PT. Umbul Mas Wisesa – UMW POM updated in January 2023, consisted of 136 workers member in TUM Estate, UMW North are 288 workers member, UMW South Estate are 567 workers member, and 88 workers member in UMW POM. The workers member consisted of permanent workers (SKU-H and SKU-B). The nature of membership is optional and not coerced. For contract workers (PKWT) and casual daily (FL), they do not become members but are still facilitated if there are labour issues.. | |
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| | | <ul style="list-style-type: none"> • Industrial relations between companies and workers are bipartite on basis of a Collective Labour Agreement (PKB) that has been agreed by both parties. All employment matters refer to the applicable PKB. • Individual contract agreement between company and each worker are available – sample contract provide at indicator 6.2.2. | |
| 6.2.2 | <p>(C) Employment contracts and related documents detailing payments and conditions of employment (e.g. regular working hours, deductions, overtime, sick leave, holiday entitlement, maternity leave, reasons for dismissal, period of notice, etc. in compliance with national legal requirements) and payroll documents give accurate information on compensation for all work performed.</p> <p>- Critical (Major) compliance -</p> | <p>During ASA 1.3 – year 2023, PT Umbul Mas Wisesa – UMW POM has shown the document of individual contracts and related documents detailing payments and conditions of employment</p> <p>Detailing payments and conditions of employment (e.g: regular working hours, deductions, overtime, sick leave, holiday entitlement, maternity leave, reasons for dismissal, period of notice, etc.) already described within the contracts. Other conditions are referred to applicable Collective Working Agreement or “Perjanjian Kerja Bersama (PKB) year 2023 -2024”, which has been re-registered by Head of Manpower Agency of Labuhanbatu Selatan (namely Mr. Sutrisno, SH) dated 17th November 2022, as per document of “Keputusan Kepala Dinas Ketenagakerjaan Kabupaten Labuhanbatu Selatan Nomor: 560/551/Naker/XI/2022.</p> <p>Sample of Individual contracts for contracted workers or “PKWT”, such as:</p> <ul style="list-style-type: none"> - Document of Daily Workers to Specified Time Work Agreement/PKWT, namely Mrs Nusriyah (job title: loose fruit collector), dated 16th March 2022 – valid until 15th March 2023. - Document of Daily Workers to Specified Time Work Agreement/PKWT, namely Mr Rasyid Surbakti, job title: Loose Fruit Collection, that was issued on 1st June 2022 – valid until 31st May 2023. | Complied |

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| <p>6.2.3</p> | <p>(C) There is evidence of legal compliance for regular working hours, deductions, overtime, sickness, holiday entitlement, maternity leave, reasons for dismissal, period of notice, and other legal labour requirements.</p> <p>- Critical (Major) compliance -</p> | <p>During ASA 1.3 – year 2023, PT Umbul Mas Wisesa – UMW POM has demonstrated the evidence of legal compliance for regular working hours, deductions, overtime, sickness, holiday entitlement, maternity leave, reasons for dismissal, period of notice, and other legal labour requirements.</p> <p>Those all requirement has been covered within the latest Collective Worker Agreement (PKB) that was re-registered by Head of Manpower Agency of Labuhanbatu Selatan dated 17th November 2022. As per document of “Keputusan Kepala Dinas Ketenagakerjaan Kabupaten Labuhanbatu Selatan Nomor: 560/551/Naker/XI/2022 tentang Perpanjangan Masa Berlaku Perjanjian Kerja Bersama (PKB) PT Umbul Mas Wisesa dengan Serikat Pekerja Umbul Mandiri (SPUM), period 2022 – 2024.</p> <p>As per Indonesian legal labour requirements refer to Manpower Act No. 13 Year 2013, regular working hours divided into two types, i.e: 7 hours/day or 40 hours/week (6 working days) and 8 hours/day or 40 hours/week (5 working days). PT Umbul Mas Wisesa - UMW POM applied 6 working days, which is 7 hours/day.</p> <p>For overtime, PT Umbul Mas Wisesa - UMW POM applying calculation as per Ministry of Manpower Decree “SK Menakertrans No: KEP-102/MEN/VI/2004”, as below:</p> <ul style="list-style-type: none"> • (Nett income per month + price of primary food/rice per month): 173 • Daily working days: 1st hour of overtime will pay 1.5 x wage per hour. Next hour of overtime will be paid 2 time per hour. • Working on holiday (6 working days): First of 7 hours will be paid twice. Hour 8 will be paid 3 times; Hour 9 to 10 will be paid 4 times. <p>Based on interview with union representatives from mill and estate, the auditor team noted that all the implementation of workers rights</p> | <p>Complied</p> |
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| | | <p>has been complied with the regulation. The calculation of payments are comply with the current regulation. There is no dispute related to the payments.</p> <p>Other than that, all the workers has the rights to sickness leave, holiday entitlement, maternity leave, reasons for dismissal, period of notice, and other legal labour requirements.</p> | |
| 6.2.4 | <p>(C) The unit of certification provides adequate housing. Sanitation facilities, water supplies, medical, educational and welfare amenities to national standards or above, where no such public facilities are available or accessible. In the case of acquisitions of non-certified units, a plan is developed detailing the upgrade of infrastructure. A reasonable time (5 years) is allowed to upgrade the infrastructure.</p> <p>- Critical (Major) compliance -</p> | <p>During ASA 1.3 – year 2023, PT Umbul Mas Wisesa - UMW POM have provided adequate facilities for their workers. Sanitation facilities, water supplies, medical, educational and welfare amenities to national standards or above, where no such public facilities are available or accessible. In the case of acquisitions of non-certified units, a plan is developed detailing the upgrade of infrastructure.</p> <p>According to database from sample of unit which verified.</p> <ul style="list-style-type: none"> • UMW South Estate, List of general facility, updated in December 2022, such as: <ul style="list-style-type: none"> - Housing G-2 (245 units) - Water filtration (3 units) - Policlinic (1 unit) - Hazardous waste storage (1 unit) - Mosque (1 unit) - Church (1 unit) - Employee hall (1 unit) - Sports facilities (volleyball, table tennis, futsal, football), elementary school (1 unit) - Junior and Senior high school (1 unit) - Ambulance (1 unit) | Complied |

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| | | <ul style="list-style-type: none"> - Electricity by PLN - Clean water – water filtration - Cooperative “Outlet Mitra Indogrosir – OMI” (1 unit) - Land fill (block C04 Division I) - Fire Monitoring (3 units) • UMW North and TUM Estate, List of general facility, updated in December 2022, such as: <ul style="list-style-type: none"> - Housing (412 units) - Mosque (1 unit) - Church (1 unit) - Kindergarten (3 units) - Bus for school (3 units), - Sport facilities (football, volleyball) - Incinerator (1 unit), location in 08K11A - Cooperative “Outlet Mitra Indogrosir – OMI” (1 unit) • List of General facility in UMW POM updated in December 2022, such as: <ul style="list-style-type: none"> - Housing (58 units) - Water filtration (1 unit) - Polyclinic (1 unit) - Mosque (1 unit) - Sports facilities (volleyball, futsal, football), elementary school and ambulance (1 unit) - Electricity and - Clean water. | |
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| | | <p>Based on interviews with trade union representatives and sample workers including interviews with workers' wives during field visits, information was obtained that all workers received proper housing privately without mixing with other workers. Every worker gets the privacy they deserve.</p> <p>However, some workers from the surrounding community choose to live in their private homes in the village for personal reasons, not because the company does not provide housing.</p> | |
| 6.2.5 | <p>The unit of certification makes efforts to improve workers' access to adequate, sufficient and affordable food.</p> <p>- Minor compliance -</p> | <p>During ASA 1.3 – year 2023, PT Umbul Mas Wisesa - UMW POM has provided an adequate and affordable food for the workers that provided by PT Umbul Mas Wisesa - UMW POM, e.g.: Cooperative "Outlet Mitra Indogrosir – OIM" (1 unit)</p> <p>PT Umbul Mas Wisesa - UMW POM provide installation of water treatment with Reverse Osmosis System for water filtration that used or consume by workers in compound. The water treatment installation developed due to plantation located in peat soil area. Total water treatment Reverse Osmosis System installed in UMW North Estate and TUM Estate are 2 units covers 501 workers.</p> <p>For Affordable food, workers from UMW North Estate goes to traditional market of Sei Toras every Thursday, with 10 km distance from worker compound. Also, PT Umbul Mas Wisesa - UMW POM provide mini market with cooperative management located in UMW South Estate (7 km).</p> <p>Certificate of clean water testing (annually).</p> <ul style="list-style-type: none"> - Water Filtration Deport 1 - Last testing on 7th November 2022, certificate no: D.11.2334/LHU/2022 conducted by ITEC Solution Indonesia (accredited laboratory), the result has shown comply with Permenkes No 492/MENKES/Per/IV/2010. - Water Filtration Deport 2 - Last testing on 7th November 2022, certificate no: D.11.2334/LHU/2022 conducted by ITEC Solution | Complied |

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| | | Indonesia (accredited laboratory), the result has shown comply with Permenkes No 492/MENKES/Per/IV/2010. | |
| 6.2.6 | <p>A DLW is paid to all workers in accordance with applicable regulations, including workers who work on a piece rate/quota basis, the calculation of which is based on a quota that can be achieved during regular working hours.</p> <p>- Minor compliance -</p> | <p>During ASA 1.3 – year 2023, PT Umbul Mas Wisesa - UMW POM has shown the document of prevailing wage calculation.</p> <p>The document has referred to guidelines on the calculation of Decent Living Wage (DLW) in June 2019.</p> <p>PT Umbul Mas Wisesa – UMW POM have taken into calculation the component:</p> <ul style="list-style-type: none"> • Reference family size (incl. Total Fertility Rate/TFR and Child Mortality Rate/CMR – Indonesia year 2022) • Full time equivalent per Family (incl. Labour Force Participation Rate/LFPR, Unemployment rate, People Working Part Time – Indonesia year 2019) • Food cost (with 2,100 calories, footnote 19 RSPO Guidance on Implementing DLW) and noted company provided in kind benefit, rice • Housing cost (provided by company) • Non-Food Non-Housing cost (electricity, clean water, medical treatment, education, school children transportation cost – provided by company) • Mandatory deduction (from tax and BPJS levy) <p>DLW calculation year 2022 as follow:</p> <ul style="list-style-type: none"> - Year 2022: Minimum Wage of Labuhanbatu Selatan Regency in 2022 is IDR 2,938,260, - based on Keputusan Gubernur Sumatera Utara Nomor: 188.44/781/KPTS/2021 tentang Penetapan Upah Minimum Kabupaten Labuhan Batu Selatan Tahun 2022. - PT Umbul Mas Wisesa – UMW POM has defined the Letter Degree, under Surat Memorandum, no: 07/RMO-All Estate/II/2022 dated | Complied |

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| | | <p>30th March 2022. Minimum wage year 2022 is Rp 3,29.500 per month or Rp 133,180 per day.</p> <ul style="list-style-type: none"> - Gross Living Wage at IDR 3,096,092 - Prevailing wage at IDR. 4,978,842 <p>Gap at IDR 1,882,749 (positive)</p> | |
| <p>PROCEDURAL NOTE:</p> <p>The RSPO has published guidelines on the calculation of Decent Living Wage (DLW) in June 2019. Since Indonesia does not have DLW benchmark yet, the RSPO Secretariat will conduct a DLW benchmark study in accordance with the Global Living Wage Coalition (GLWC) and Indonesian laws and regulations.</p> <p>In the meantime, until DLW benchmark for Indonesia is endorsed by the RSPO, the unit of certification carries out interim measures that was published by RSPO (dated 11 November 2019), including:</p> <ol style="list-style-type: none"> 1. Payment of minimum wages in accordance with applicable regulations 2. Assessment of wages paid (prevailing wages) and in-kind benefits. <p>Once the DLW benchmark is available, this procedural note is no longer applicable.</p> | | | |
| 6.2.7 | <p>Permanent fulltime employment is used for all core work performed by the unit of certification. Casual, temporary and day labour is limited to jobs that are temporary or seasonal.</p> <p>- Minor compliance -</p> | <p>During ASA 1.3 – year 2023, PT Umbul Mas Wisesa – UMW POM has confirmed that there were no casual worker works.</p> <p>All workers have status of permanent workers (SKU-B or SKU-H) of contracted worker (PKWT). PKWT is only applicable for probation period. Gradually the status of PKWT has been upgraded to be Permanent Workers (SKU-H).</p> <p>Sample of workers promotion in Umbul Mas Wisesa North Estate during year 2022 which are based on skills, such as:</p> <ul style="list-style-type: none"> • Promotion from Daily Workers to Specified Time Work Agreement/PKWT, location in TUM Estate: <ul style="list-style-type: none"> - Promotion application, Memorandum No: 15/TUME-RMO/II/2022 dated 25 February 2022, form TUM Estate to Regional Office in North Sumatra, regarding “Pengajuan Karyawan PKWT Loose Fruit Picker, total: 30 workers | Complied |

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| | | <ul style="list-style-type: none"> - Approval for the application, was signed by Regional Director – North Sumatra. - Document of Specified Time Work Agreement/PKWT, dated 16th March 2022 namely Nursaiyah. • Recruitment process records: <ul style="list-style-type: none"> - Application Form as per “Permohonan Persetujuan Penambahan Tenaga Harvester, Loose Fruit Picker and Mannuring Application” document number: 274/UMWS/RMO-NS/V/2022 dated 30th May 2022. The application has approved by Regional Office on 30 May 2022. - Interview Form Evaluation, namely Mr Rasyid Subakti (position request: Loose Fruit Picker) dated of interview 26th May 2022. Result: recommend for continue stage. - Document of Daily Workers to Specified Time Work Agreement/PKWT, namely Mr Rasyid Surbakti, job title: Loose Fruit Collection, that was issued on 1st June 2022 – valid until 31st May 2023. <p>Based on interview with representative of Worker Union (dated 20th January 2023), there was informed clearly that certificate holder employed permanent workers for all core work performed, such as Harvester, FFB Loader, Manuring and several Pesticide applicators. There is only two type of worker status at the company, Permanent workers (daily or monthly basis) and contracted workers (PKWT).</p> | |
| <p>Criteria 6.3: The unit of certification respects the rights of all personnel to form and join trade unions of their choice and to bargain collectively. Where the right to freedom of association and collective bargaining are restricted under law, the employer facilitates parallel means of independent and free association and bargaining for all such personnel.</p> | | | |
| 6.3.1 | <p>(C) A published statement recognizing freedom of association and right to collective bargaining in national languages is available and is explained</p> | <p>Collective Worker Agreement was re-registered by Head of Manpower Agency of Labuhanbatu Selatan dated 17th November 2022, as per document of “Keputusan Kepala Dinas Ketenagakerjaan</p> | Complied |

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| | to all workers in languages that they understand, and is demonstrably implemented. - Critical (Major) compliance - | Kabupaten Labuhanbatu Selatan Nomor: 560/551/Naker/XI/2022 tentang Perpanjangan Masa Berlaku Perjanjian Kerja Bersama (PKB) PT Umbul Mas Wisesa dengan Serikat Pekerja Umbul Mandiri (SPUM), period 2022 – 2024. | |
| 6.3.2 | Minutes of meetings between the unit of certification with trade unions or worker representatives who are freely elected, are documented in the national languages and available upon request. - Minor compliance - | Worker union or "Serikat Pekerja Umbul Mandiri" has conducted regular meetings with Management Representative in monthly basis. Minute of meeting between Management and Labour Union (SP-UM) conducted in monthly basis. For instance the meeting in 6 December 2022 to discuss prepatation of Christmass and New Year Holiday and its impact to company's operation. The meeting was attended Estate Manager, Field Head Assistance, Head of Labour Union. | Complied |
| 6.3.3 | Management does not interfere with the formation or operation of registered labour organizations/unions, or other freely elected representatives for all workers, including migrant and contract workers. - Minor compliance - | Based on interview with Head of Labor Union, it is known that the management does not interfere with the formation or operation of registered labour union. There is no coercion and intervention related to membership and the course of the organization. | Complied |
| Criteria 6.4: Children are not employed or exploited. | | | |
| 6.4.1 | A formal policy for the protection of children, including prohibition of child labour and remediation is in place, and included into service contracts and supplier agreements. - Minor compliance - | PT Umbul Mas Wisesa - UMW POM has a policy on the Prohibition of Child Labour as outlined in the company's "Child Labour Policy" dated 27 March 2019. The policy stated that "PT. Umbul Mas Wisesa is committed not to employ children under 18 years of age, and anything related to work, supports the laws of the Republic of Indonesia and other agreements including the 1999 ILO Child Labour Convention". It also has been verified based on interview and contract review with CV Tua Gabe (Contractor of CPO and PK transporter) and CV Pengangkutan Sahabat (Contractor of CPO transporter), that in the contractual agreements have stated the prohibition of child labor. | Complied |

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| 6.4.2 | <p>(C) Documented evidence on the fulfilment of worker’s minimum age requirements in accordance with applicable regulation and verification procedures for age requirements, are available.</p> <p>- Critical (Major) compliance -</p> | <p>Based on verification of job vacancy announcement documents as well as job application requirements data, it shows that each applicant file must attach a copy of National Identity Card (KTP) to verify data of age and citizenship status.</p> <p>Based on workers list verification in Estates and Mill of December 2022, can be demonstrated that there is no workers with age under 18 years old at the time they were recruited. It is also has been verified during field observation and interview with stakeholders, that the company did not recruit child labour.</p> | Complied |
| 6.4.3 | <p>(C) Young person maybe employed only for non-hazardous work with protective restrictions in place for that work.</p> <p>- Critical (Major) compliance -</p> | <p>Based on descriptions on indicator 6.4.1 and 6.4.2, it has been described that the company did not employ candidate under 18 years old.</p> | Complied |
| 6.4.4 | <p>The unit of certification demonstrates communication about its ‘no child labour’ policy and the negative effects of child labour, and promotes child protection to supervisors and other key staff, smallholders, FFB suppliers and communities where workers live.</p> <p>- Minor compliance -</p> | <p>The policy has been disseminated in instalation of plank in offices, POM, Estate’s storage area and blocks.</p> <p>It also has been verified based on interview and contract review with CV Tua Gabe (Contractor of CPO and PK transporter) and CV Pengangkutan Sahabat (Contractor of CPO transporter), that in the contractual agreements have been stated the prohibition of child labor.</p> <p>Based on interview with workers, Labour Union, Gender Committee, Village Heads, including Contractors, can be concluded that the company has disseminated the no-child labor policy.</p> | Complied |
| <p>Criteria 6.5: There is no harassment or abuse in the workplace, and reproductive rights are protected.</p> | | | |
| 6.5.1 | <p>(C) A policy to prevent sexual and all other form of harassment and violence is documented, implemented and communicated to all levels of the workforce.</p> <p>- Critical (Major) compliance -</p> | <p>PT Umbul Mas Wisesa - UMW POM has a policy on prevention of sexual harassment/ violence as outlined in policy No. QMM-40-01-P10, Rev 1 (Sexual Harassment Policy) dated 27 March 2019. Based on interview with sample of female workers and Gender Committee</p> | Complied |

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| | | <p>representative, it is clearly that they have understood the company's policies on prevention of sexual harassment.</p> <p>Based on interview with Labor Union, Gender Committee, and sampled workers, it is known that the company's policy of sexual harassment prevention and mechanism to report it has been disseminated to all workers.</p> <p>Moreover, the company has formed a Gender Committee as a place to submit grievance related sexual harassment or violation of reproductive rights. Based on interview with Head of Gender Committee and women workers, are known that there is no negative issue related to sexual harassment or violation of reproductive rights.</p> | |
| 6.5.2 | <p>(C) A policy to protect the reproductive rights of all, especially of women, is documented, implemented and communicated to all levels of the workforce.</p> <p>- Critical (Major) compliance -</p> | <p>The PT Umbul Mas Wisesa - UMW POM has a policy on protection of reproductive rights as outlined in policy No. QMM-40-01-P11, Rev 1 (Protection of Reproductive Rights Policy) dated 27 March 2019.</p> <p>Based on interview with sample of female workers and Gender Committee representative, it is clearly that company are respectful on protection of reproductive rights. In example, female workers who has children are given to breastfeeding time for 30-45 minutes every day.</p> <p>Based on interview with Labor Union, Gender Committee, and sampled workers, it is known that the company's policy of reproductive rights.</p> <p>Moreover, the company has formed a Gender Committee as a place to submit grievance related sexual harassment or violation of reproductive rights. Based on interview with Head of Gender Committee and women workers, are known that there is no negative issue related to sexual harassment or violation of reproductive rights.</p> | Complied |

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| 6.5.3 | <p>Management has assessed the needs of new mothers, in consultation with the new mothers, and actions are taken to address the needs that have been identified in accordance with applicable regulations in Indonesia.</p> <p>- Minor compliance -</p> | <p>Based on interview with sample of female workers and Gender Committee representative, it is clearly that company are respectful on protection of reproductive rights. In example, female workers who has children are given to breastfeeding time for 30-45 minutes every day.</p> <p>Management has assessed the needs of new mothers, in consultation with the new mothers, and actions were taken to address the needs that have been identified. The assessments have been taken through questionnaire. For instance, questionnaire to Rika Maulana dated 13 January 2023, stated that the company has fulfilled the need of new mother, such as has provided clinic, regular check and immunisation, ambulance, time for breastfeeding, etc.</p> | Complied |
| 6.5.4 | <p>A grievance mechanism, which respects anonymity and protects complainants where requested, as long as the complaint is supported with adequate information, is documented, implemented and communicated to all levels of the workforce.</p> <p>- Minor compliance -</p> | <p>Based on interview with sample of female workers and Gender Committee, they already understood how the company procedures to resolving disputes, grievance, and complaints. Anonymity and complainants are protected as requested by them.</p> | Complied |
| Criteria 6.6: No forms of forced or trafficked labour are used. | | | |
| 6.6.1 | <p>(C) All work is voluntary and the following are prohibited:</p> <ul style="list-style-type: none"> • Retention of identity documents or passports; • Payment of recruitment fees; • Contract substitution without worker’s consent • Involuntary overtime; • Lack of freedom of workers to resign • Penalty for termination of employment, unless the unit of certification and the workers agree to the penalty and it is stated in the employment agreement | <p>Based on interview with workers, workers union, gender committee, also based on through recruitment documentation, can be concluded that the PT Umbul Mas Wisesa - UMW POM does not practiced such thing as follows:</p> <ul style="list-style-type: none"> • Retention of identity documents or passports • Payment of recruitment fees • Contract substitution • Involuntary overtime • Lack of freedom of workers to resign • Penalty for termination of employment | Complied |

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| | <ul style="list-style-type: none"> • Debt bondage • Withholding of wages <p>- Critical (Major) compliance -</p> | <ul style="list-style-type: none"> • Debt bondage • Withholding of wages | |
| <p>6.6.2</p> | <p>(C) Where temporary (Specified Time Work Agreement/PKWT) or migrant workers are employed, a specific labour policy and procedures are established and evidence of implementation is available.</p> <p>- Critical (Major) compliance -</p> | <p>Unit of certification has had SOP Recruitment for PKWT/Temporary Labour (Non-Staff) No.HRD-01-03/09-03-2020/Rev.2 effective date 17 March 2020. The objective to ensure the recruitment process for labour force are according to valid procedure and approve manpower plan. Field Assistant (FA), Mill Assistant (MA), Office Assistant (OA) prepare a proposal for recruitment based on available budget, work experience, achievements. Estate Manager or Mill Manager check and approve the proposal. General Manager (GM)/Regional Director (RD) approve or reject SER created by Operating Unit. Upon approval, Office Head Assistant create work agreement signed by the employee and Estate Manager or Mill Manager.</p> <p>During ASA 1.3 – year 2023, the auditor team has conducted direct interview with workers and representative of labour union (dated 19 and 20 January 2023), it is informed that PT Umbul Mas Wisesa - UMW POM are employed local workers, resident of surrounding villages (Tanjung Mulia villagers and Sei Siarti villagers) and Indonesian citizen from other regencies or provincial. There were no migrant workers and only two type of working status, permanent (SKU-H/B) and contracted workers (PKWT).</p> <p>Sample of Individual contracts for contracted workers or “PKWT”, such as:</p> <ul style="list-style-type: none"> - Document of Daily Workers to Specified Time Work Agreement/PKWT, namely Mrs Nusriyah (job title: loose fruit collector), dated 16th March 2022 – valid until 15th March 2023. - Document of Daily Workers to Specified Time Work Agreement/PKWT, namely Mr Rasyid Surbakti, job title: Loose | <p>Complied</p> |

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| | | Fruit Collection, that was issued on 1 st June 2022 – valid until 31 st May 2023. | |
| Criteria 6.7: The unit of certification ensures that the working environment under its control is safe and without undue risk to health. | | | |
| 6.7.1 | <p>(C) The responsible person(s) for H&S is identified. There are records of regular meetings between the responsible person(s) and workers. Concerns of all parties about health, safety and welfare are discussed at these meetings, and any issues raised are recorded.</p> <p>- Critical (Major) compliance -</p> | <p>During ASA 1.3 – year 2023, PT Umbul Mas Wisesa – UMW POM has appointed the responsible person(s) for OHS is identified, also for records of regular meetings between the responsible person(s) and workers has demonstrated.</p> <p>Data verified – during ASA 1.3:</p> <ul style="list-style-type: none"> • OHS Committee (P2K3 – Panitia Pembina Keselamatan dan Kesehatan Kerja) has registered to Manpower Agency in Labuhanbatu Selatan Regency as per document “Surat Keputusan Kepala Dinas Ketenagakerjaan Kabupaten Labuhabatu Selatan nomor: KEP.01/P2K3/NAKER/I/2023 tentang Pengesahan Panitia Pembina Keselamatan dan Kesehatan Kerja (P2K3) di Perusahaan, dated 16th January 2023. • OHS Expert namely Mr Hendro Suyono (OHS Staff), certificate number: Reg.0327190422/Q-AK3U/12/IV/2022 tentang Penunjukan Ahli Keselamatan dan Kesehatan Kerja Umum Menteri Tenaga Ketenagakerjaan, issued by Ministry of Manpower of Republic of Indonesia, dated 19 April 2022 – valid until 3 years. • OHS Committee regularly meeting (monthly) – all about health, safety and welfare are discussed at these meetings. Last meeting conducted 15th December 2022. Location in Employee Hall. Agenda: review the result of previous meeting, discussing the report OHS inspection, review the report of accident (if any), discussing an other issues. • Report of OHS performance for period October - December 2022 was sent to Manpower Agency in Labuhanbatu Selatan Regency, dated dated 12 January 2023 (received by Ibu Meril – staff in | Complied |

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| | | <p>Manpower Agency). Document verified. Laporan Triwulan P2K3 Triwulan IV, no: 14/UMWS/Inst/I/2023.</p> | |
| <p>6.7.2</p> | <p>Accident and emergency procedures in Indonesian language are in place and clearly understood by all workers. Assigned operatives trained in first aid are present in both field and other operations. First aid equipment is available at worksites. Records of all accidents are kept and periodically reviewed.</p> <p>- Minor compliance -</p> | <p>During ASA 1.3 – year 2023, there was no changed related to accident and emergency procedures.</p> <p>PT Umbul Mas Wisesa – UMW POM has prepared the procedure of accident and emergency as per document of Incident Report, no: OHS-01-08, issued date 4th February 2019 version 0.</p> <p>Steps to Report Incidents:</p> <ol style="list-style-type: none"> 1. Applies to all accidents, diseases and incidents that occur in the PT Umbul Mas Wisesa and PT Toton Usaha Mandiri. 2. The initial date that must be given if an incident occurs are: <ul style="list-style-type: none"> • Date and time occur • Location of the incidents • Name and or number of victims • Activity at the time of incidents • The is injury or death <p>Procedure of emergency response No: OHS-03-01-2020/Rev.0, possible emergencies include:</p> <ul style="list-style-type: none"> • Natural disasters (floods, earthquakes, storms, volcanoes, etc) • Fire at the mill • Toxic gas leak • Chemicals spills, waste, CPO • Explosion in fuel tank • Civil security disturbances (bomb threats, robberies, demonstrates, riots) • Workplace violence that results in physical injury and trauma | <p>Complied</p> |

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| | | <p>Emergency response team has been registered to Manpower Agency in Labuhan Batu Selatan Regency, as per document no: KEP.05/BAKORTIBA/NAKER/XI/2022, tentang Pengesahan Tim Tanggap Darurat dan BAKOTIBA dated 22nd November 2022.</p> <p>PT Umbul Mas Wisesa – UMW POM has assigned operatives trained in First Aid are present in both field and other operations, e.g: License for First Aider, namely Mr Dwi Makmur, register number: 3-7/P3K/WIL-IV/DTK/SU/2023, issued on 17th January 2023 – valid until 5 years.</p> <p>Report on emergency response and preparedness as per document: Laporan Simulasi Keadaan Darurat BAKORTIBA”, dated 19th December 2022. Document verified: scenario, photograph, evaluation, and recommendation dan attendance list.</p> <p>During field visit to the estate (for example Harvesting at Block I28 North Estate and Spraying Circle and Path at Block D30 South Estate) and mill (loading ramp, sterilizer, boiler and powerhouse station) obtain information that first aid kit has been provided in each station where the items number in accordance to the regulation). The record of first aid kit usage can be seen in logbook for usage of the first aid kit provided along the first aid kit itself.</p> <p>For example, there was a note from the harvest foreman at Block D30 South Estate regarding the use of alcohol and pins when a harvester was punctured by a palm thorn on November 22, 2022.</p> | |
| 6.7.3 | <p>(C) Workers use appropriate personal protective equipment (PPE) which is provided free of charge to all workers in the workplace, to cover all potentially hazardous operations, such as pesticides application, machine operations, land preparation, and harvesting. Sanitation facilities for those applying pesticides are available, so that workers can change out of PPE, wash and put on their personal clothing.</p> <p>- Critical (Major) compliance -</p> | <p>PT. Umbul Mas Wisesa – UMW POM has established the OHS Policy that was authorized by President Director on 22rd August 2022.</p> <p>The policy has mentioned that:</p> <ul style="list-style-type: none"> • SIPEF recognize that a safe and healthy workplace is of the utmost importance. We therefore are committed to provide a safe working environment for our workers and all other stakeholders. | Complied |

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| | | <ul style="list-style-type: none"> The PPE which is provided free of charge to all workers in the workplace, to cover all potentially hazardous operations, such as pesticides application, machine operations, land preparation, and harvesting. Sanitation facilities for those applying pesticides are available, so that workers can change out of PPE, wash, and put on their personal clothing <p>Data verified during surveillance 1.3 – year 2023:</p> <ul style="list-style-type: none"> Safety talk record, e.g.: dated 31st December 2022, location Division 2, UMW South Estate. Reported OK. Report on monitoring fire extinguisher as per “Pemeriksaan Alat Pemadam Api Ringan (APAR)”, month December 2022. Reported OK. Total APAR in UMW South Estate is 29 pcs. Checklist of using of PPE (daily) that was carried out during Muster Chit Field Work, e.g., on 24th December 2022. Reported OK. All workers were using appropriate PPE. | |
| 6.7.4 | <p>All workers are provided medical care and covered by accident insurance. Costs incurred from work related incidents, leading to injury or illness, are covered in accordance with applicable regulations or by the unit of certification if applicable regulations do not provide protection.</p> <p>- Minor compliance -</p> | <p>During ASA 1.3 – year 2023, there was no changed related to policy – Company Statement, that all workers are provided medical care and covered by accident insurance.</p> <p>The statement as mentioned in Memorandum No: 436/MED-CIR/XII/2015, dated 2nd December 2015 was signed by Company Doctor.</p> <p>Based on interview with sample workers during field visit and consultation with labour union - SPUM (dated 20 January 2023), they were stated all workers in PT Umbul Mas Wisesa and PT Toton Usaha Mandiri are provided with medical care and accident under BPJS Kesehatan and BPJS Ketenagakerjaan.</p> <p>Sample of data verified – period December 2023:</p> | Complied |

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| | | <ul style="list-style-type: none"> • Medical Insurance – payment records of health insurance (BPJS Kesehatan) period December 2022 on behalf of 581 workers (South Estate) and 328 workers (North Estate). • UMW South Estate - payment record of accidents insurance (BPJS Ketenagakerjaan), month December 2022 (payment code: 20120355xxxx), amount paid IDR XXX,432,556 covering for 581 workers. Status: PAID • UMW POM - payment record of health insurance (BPJS Kesehatan) for month December 2022, total IDR XX,561,432 was covered for 94 workers (all workers)– status: PAID. • TUM Estate – payment record of health insurance (BPJS Kesehatan) for month November 2022, total IDR XX,457,987 was covered for 328 workers, number of dependents is 364 persons – status: PAID. • UMW North Estate - payment record of accidents insurance (BPJS Ketenagakerjaan), month November 2022 (payment code: 2112038xxxxxx), amount paid IDR XX,671,564 covering for 328 workers. Status: PAID | |
| 6.7.5 | Occupational injuries are recorded using Lost Time Accident (LTA) metrics. - Minor compliance - | <p>PT Umbul Mas Wisesa – UMW POM has shown the record of injuries using lost time accident (LTA) metrics as in "Rekapitulasi Kejadian Kecelakaan Kerja PT Umbul Mas Wisesa dan PT Toton Usaha Mandiri – LTA" year 2022</p> <ul style="list-style-type: none"> • UMW POM, there was 1 accident reported year 2022, lost time accidents were 13 man-days • UMW North Estate, there was 1 accident reported year 2022, lost time accidents were 5 man-days • UMW South Estate, there was 14 accidents reported year 2022, lost time accidents were 375 man-days | Complied |

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| | | <ul style="list-style-type: none"> TUM Estate, there was 2 accidents reported year 2022, lost time accidents were 135 man-days <p>All the accidents have followed up with investigation record and recommendation. The investigation has completed with accident insurance claims records.</p> | |
| Principle 7: Protect the environment, conserve biodiversity and ensure sustainable management of natural resources. | | | |
| Criteria 7.1: Pests, diseases, weeds and invasive introduced species are effectively managed using appropriate Integrated Pest Management (IPM) techniques. | | | |
| 7.1.1 | <p>(C) IPM plans are implemented and monitored to ensure effective pest control.</p> <p>- Critical (Major) compliance -</p> | <p>Integrated Pest Management plan in UMW South Estate is evident, as titled "<i>Program Pengendalian Hama Terpadu 2023</i>", established 11 January 2023. The program consist of Monitoring of installed barn owl box in monthly basis; repair of broken barn-owl box; supplying of barn-owl box; planting of beneficial plant (<i>Turnera subulata</i>, <i>Antigonon</i> and <i>Cassia</i> sp), Nursery and upkeep beneficial plant (<i>Turnera subulatta</i>, <i>Cassia tora</i> and <i>Antigonon leptopus</i>) in monthly basis; identification of beneficial plant available on the field every quarter; pest and disease census in monthly basis; <i>Ganoderma</i> monitoring in 6 monthly basis; monitoring of <i>Sycanus</i> in monthly basis; release of <i>Sycanus</i> in two monthly basis.</p> <p>Realization of Integrated Pest Management for period 2022 was documented, e.g. monitoring of barn-owl box period January to October 53 unit, November 51 unit and December 49 unit; planting of beneficial plant October 3,050 m, November 2,950 m, December 2,550 m; nursery of beneficial plant October 2,800 plants, November 3,000 plants, December 5,000 plants; upkeep of beneficial plant October 218.35 Ha, November 253.52 Ha, December 229.70 Ha; identification of beneficial plant 4,447 Ha; pest and disease census; <i>Ganoderma</i> census and treatment; monitoring and release of <i>Sycanus</i>.</p> | Complied |

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| | | <p>Other records verified: "Berita Acara Pelepasan <i>Sycanus creovittatus</i>" dated 12 Dec 2022, stated in Div. VI Block 09F35 has been release 114 <i>Sycanus</i>; "Peta Serangan Ulat Api December 2022"; "Identifikasi Beneficial Plant". Beneficial plant identification described census result of Nettle Caterpillar natural enemy: <i>Euchanticona</i>, <i>Sycanus</i>, <i>Dolichogenidae</i>, <i>Systropus</i>, <i>Cordyceps</i>; Beneficial Plants: <i>Turnera subulatta</i>, <i>Casia coabnensis</i>, <i>Casia tora</i>, <i>Antigonon leptopus</i>; Beneficial weeds: <i>Nephrolepis</i>, <i>Axonopus</i>, <i>Kretekkan</i> and <i>Pakis Sayur</i>. Rats are naturally control by barn owl. Barn-owl monitoring period December 2022, 7 barn-owl boxes in Div. I; 11 barn-owl boxes in Div. II; 5 barn-owl boxes in Div. III; 5 barn-owl boxes in Div. IV; 9 barn-owl boxes in Div. V; 9 barn-owl boxes in Div. VI. There is also application of Starthene using trunk injection method. The use of Starthene is controlled by the Estate Manager.</p> <p>Realization of IPM monitoring recorded in "UMW North - Program Pengendalian Hama Terpadu Tahun 2022", e.g. realization of planting beneficial plant 1770 plants in October, 550 plants in November, 300 plants in December; leaf eater caterpillar census and termite census are 2,601.22 Ha (12 rounds) in monthly basis; Ganoderma census conducted in May and December; upkeep beneficial plant is 1,550 m in October, 2,750 m in November, 2,550 m in December; imago trap 9,816 imago in October, 12,395 imago in November, 10,289 imago in December. There is no barn owl box existed in UMW North Estate. There is indication that barn owl prefers to go to HCV area.</p> <p>Other records verified in UMW North Estate: "Summary Pest and Disease Control of Bagwarm – <i>Dasychira</i> – Nettle Caterpillar", "Rekap Imago Tahun 2022" and "Beneficial Plant Monitoring".</p> <p>Realization of IPM monitoring recorded in "TUM Estate - Program Pengendalian Hama Terpadu Tahun 2022", e.g. realization of planting beneficial plant 100 m in October, 40 m in November, 2400</p> | |
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| | | <p>m in December; leaf eater caterpillar and termite census are 1,134.95 Ha (12 rounds in monthly basis); Ganoderma census conducted in May and December; upkeep beneficial plants are 1,500 m in January, 1250 m in February, 4,608 m in July; reproduction of <i>Sycanus</i>; release of <i>Sycanus</i> is 200 in September, 296 in October, 200 in November; imago trap 35,095 imago in October, 32,412 imago in November and 24,655 imago in December.</p> <p>Appropriate biological controls have been exercised, such as the application of imago traps, reproduction and release of <i>Sycanus</i> and application of <i>Cordyceps</i>. Biological control also performed by planting and upkeep of beneficial plant. During field visit, it was observed <i>Turnera subulatta</i> and <i>Antigonon leptopus</i> are planted in most of the collection road or transport road. The unit of certification also carried out breeding of nettle caterpillar's natural enemy, <i>Sycanus creovitattus</i>. Auditor visited the breeding location which is at the back of UMWN/TUM Estate office. The person in charge can explained very well regarding the breeding process and growth from eggs to instar 5. Records show that <i>Sycanus creovitattus</i> have been released to the field.</p> <p>Other records verified in TUM Estate are "<i>Berita Acara Pelepasan Sycanus creovitatus</i>", "<i>Summary Pest and Disease Control of Bagwarm – Dasychira – Nettle Caterpillar</i>", "<i>Beneficial Plant</i>" and "<i>Rekap Imago Tahun 2022</i>".</p> | |
| 7.1.2 | <p>Invasive species are not to be used according to applicable regulation in managed areas, unless plans to prevent and monitor their spread are implemented.</p> <p>- Minor compliance -</p> | <p>The unit of certification has a list of Global Invasive Species, and evaluate the status of existence in certified area annually, latest evaluation conducted on 10 Jan 2023. Some species are naturally existed in the area and the spreading are controlled manually and chemically, e.g. <i>Pistia stratiotes</i>, <i>Chromolaena odorata</i>, <i>Mikania micranta</i>, <i>Momordica charantia</i>, <i>Jatropha curcus</i>. The are no species</p> | Complied |

| | | in the list of Global Invasive Species that used to manage areas in unit of certification. | | | | | | | | | | | | | | | | | | | |
|--|---|--|------------------------|---------------------|-------------|--------|---------------------|-------------|--|----------------------------------|---|--------------|--------------------|------------|---|----------------------------|-----|------------------------|--------------------|------------|----------|
| 7.1.3 | There is no use of fire for pest control unless in exceptional circumstances, i.e. where no other effective methods exist, and with prior approval of government authorities. - Minor compliance - | Based on field visit and document review, there is no use of fire for pest control in whole area of UMW South Estate, UMW North Estate, and TUM Estate. | Complied | | | | | | | | | | | | | | | | | | |
| Criteria 7.2: Pesticides are used in ways that do not endanger health of workers, families, communities or the environment. | | | | | | | | | | | | | | | | | | | | | |
| 7.2.1 | (C) Justification of all pesticides used is demonstrated. Selective products and application methods that are specific to the target pest, weed or disease are prioritised. - Critical (Major) compliance - | <p>The company has recorded list of herbicide used, completed with active ingredient, WHO class, trademark, target, registration number and expiry date, documented on "<i>Daftar Pestisida yang Digunakan di Umbul Mas Wisesa</i>". Below are record of pesticide uses including the registration number:</p> <table border="1"> <thead> <tr> <th>Trademark</th> <th>Active Ingredient</th> <th>WHO Class</th> <th>Target</th> <th>Registration Number</th> <th>Expiry date</th> </tr> </thead> <tbody> <tr> <td>Supremo 480SL Note: LD50 oral = 9041 mg/kg LD50 dermal > 5000 mg/kg</td> <td>Isopropil amina glifosat 480 g/l</td> <td>U</td> <td>Grasses weed</td> <td>RI.01030120 021712</td> <td>27/06/2027</td> </tr> <tr> <td>Basta 150 SL Note: LD50 oral = 1910 mg/kg LD50 dermal = 1380 mg/kg</td> <td>Amonium glufosinat 150 g/l</td> <td>III</td> <td>Grass weed, broad leaf</td> <td>RI.01030119 921113</td> <td>12/11/2023</td> </tr> </tbody> </table> | Trademark | Active Ingredient | WHO Class | Target | Registration Number | Expiry date | Supremo 480SL Note: LD50 oral = 9041 mg/kg LD50 dermal > 5000 mg/kg | Isopropil amina glifosat 480 g/l | U | Grasses weed | RI.01030120 021712 | 27/06/2027 | Basta 150 SL Note: LD50 oral = 1910 mg/kg LD50 dermal = 1380 mg/kg | Amonium glufosinat 150 g/l | III | Grass weed, broad leaf | RI.01030119 921113 | 12/11/2023 | Complied |
| Trademark | Active Ingredient | WHO Class | Target | Registration Number | Expiry date | | | | | | | | | | | | | | | | |
| Supremo 480SL Note: LD50 oral = 9041 mg/kg LD50 dermal > 5000 mg/kg | Isopropil amina glifosat 480 g/l | U | Grasses weed | RI.01030120 021712 | 27/06/2027 | | | | | | | | | | | | | | | | |
| Basta 150 SL Note: LD50 oral = 1910 mg/kg LD50 dermal = 1380 mg/kg | Amonium glufosinat 150 g/l | III | Grass weed, broad leaf | RI.01030119 921113 | 12/11/2023 | | | | | | | | | | | | | | | | |

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| | | <p>Metsulindo 20 WP</p> <p>Note: LD50 oral > 5000 mg/kg LD50 dermal > 2000 mg/kg</p> | <p>Metil metsulfuron 20%</p> | <p>U</p> | <p>Ferns, Broad Leaf, Sedges</p> | <p>RI.01030119 991484</p> | <p>22/02/2026</p> | |
| | | <p>Garlon Mix 333/17</p> <p>Note: LD50 oral = 2966 mg/kg LD50 dermal > 5000 mg/kg</p> | <p>Aminopiraliid potassium 17 g/l</p> | <p>III</p> | <p><i>Tetracera indica, Melastoma malabatricum, Clidemia hirta, Lantana camara</i></p> | <p>RI.01030120 155148</p> | <p>31/01/2025</p> | |
| | | <p>Starthene 75 WDG</p> <p>Note: LD50 oral > 2000 mg/kg LD50 dermal > 2000 mg/kg</p> | <p>Acephate 75%</p> | <p>III</p> | <p>Bagworm, beetle caterpillar</p> | <p>RI.01010120 072983</p> | <p>30/11/2025</p> | |
| | | <p>Marshal 5 G</p> <p>Note: LD50 oral > 185 mg/kg (female rat) 250 mg/kg (male rate)</p> | <p>Carbosulfan</p> | <p>II</p> | <p>Oryctes rhinoceros</p> | <p>RI.01010119 961279</p> | <p>04/10/2027</p> | |

| | | <table border="1"> <tr> <td>LD50 dermal > 2000 mg/kg</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>Regent 50 SC</td> <td>Fipronil 50 g/L</td> <td>II</td> <td>Termite</td> <td>RI.01010119 951192</td> <td>03/12/2025</td> </tr> </table> <p>Note: LD50 oral = 1070 mg/kg LD50 dermal > 2000 mg/kg</p> <p>In accordance with the company procedure, the specific target of the pesticide usage shall be refer to licensed pesticide in Indonesia (such as the table above). For example, unit of certification shall using isopropyl amina glifosat to maintain grass weed. The total of the pesticide usage can be seen in indicator 7.2.2.</p> <p>Available monitoring of natural enemy bettle caterpillar, beneficial plants, beneficial weeds, summary data of termite 2022, Ganoderma census.</p> | LD50 dermal > 2000 mg/kg | | | | | | Regent 50 SC | Fipronil 50 g/L | II | Termite | RI.01010119 951192 | 03/12/2025 | | | | | | | | | | | | |
|--------------------------|---|--|--------------------------|--------------------|------------|--|------|------|--------------|------------------|--------|---------|--------------------|------------------|---|-------|---|--------------|----------|----------|---|--------------|---|---|---|----------|
| LD50 dermal > 2000 mg/kg | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Regent 50 SC | Fipronil 50 g/L | II | Termite | RI.01010119 951192 | 03/12/2025 | | | | | | | | | | | | | | | | | | | | | |
| 7.2.2 | <p>(C) Records of pesticides use (including active ingredients used and their LD50, area treated, amount of active ingredients applied per Ha and number of applications) are provided.</p> <p>- Critical (Major) compliance -</p> | <p>Document "<i>Rekapitulasi Penggunaan Pestisida Tahun 2022</i>" recorded pesticides use including active ingredients, LD50, area treated, amount of active ingredients applied per Ha and per ton FFB. Pesticide use in UMWS Estate in 2020 – 2022:</p> <table border="1"> <thead> <tr> <th rowspan="2">Trademark</th> <th colspan="3">Volume (L or kg)</th> </tr> <tr> <th>2020</th> <th>2021</th> <th>2022</th> </tr> </thead> <tbody> <tr> <td>Metsulindo 20 WP</td> <td>322.98</td> <td>322.76</td> <td>361.34</td> </tr> <tr> <td>Meta Prima 20 WG</td> <td>-</td> <td>20.00</td> <td>-</td> </tr> <tr> <td>Smart 486 AS</td> <td>1,303.24</td> <td>2,639.11</td> <td>-</td> </tr> <tr> <td>Elang 480 SL</td> <td>-</td> <td>-</td> <td>-</td> </tr> </tbody> </table> | Trademark | Volume (L or kg) | | | 2020 | 2021 | 2022 | Metsulindo 20 WP | 322.98 | 322.76 | 361.34 | Meta Prima 20 WG | - | 20.00 | - | Smart 486 AS | 1,303.24 | 2,639.11 | - | Elang 480 SL | - | - | - | Complied |
| Trademark | Volume (L or kg) | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 2020 | 2021 | 2022 | | | | | | | | | | | | | | | | | | | | | | | |
| Metsulindo 20 WP | 322.98 | 322.76 | 361.34 | | | | | | | | | | | | | | | | | | | | | | | |
| Meta Prima 20 WG | - | 20.00 | - | | | | | | | | | | | | | | | | | | | | | | | |
| Smart 486 AS | 1,303.24 | 2,639.11 | - | | | | | | | | | | | | | | | | | | | | | | | |
| Elang 480 SL | - | - | - | | | | | | | | | | | | | | | | | | | | | | | |

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| | | Supremo 480 SL | - | 217.82 | 3,319.15 |
| | | Starthane 75 WG | 119.00 | - | 934.75 |
| | | Regent 50 SC | 26.19 | 84.67 | 37.50 |
| | | Trabas 150 SL | - | - | - |
| | | Basta 150 SL | 8,579.92 | 6,745.36 | 5,807.45 |
| | | Kenlon | 15.00 | 14.94 | - |
| | | Garlon Mix | - | 56.30 | 71.50 |
| | | Copton 0.5 RB | 93.39 | - | - |
| | | Marshall 5 gr | - | - | 33.25 |
| | | Pesticide use in UMWN Estate in 2020 - 2022: | | | |
| | | Trademark | Volume (L or kg) | | |
| | | | 2020 | 2021 | 2022 |
| | | Smart 486 AS | 1,266.82 | 1,471.96 | - |
| | | Supremo 480 SL | - | 286.80 | 2,713.90 |
| | | Metsulindo 20 WP | - | 203.65 | 298.04 |
| | | Meta Prima | - | 316.74 | 150.26 |
| | | Regent 50 EC | 179.16 | 115.38 | 103.25 |
| | | Garlon Mix 333/17 | - | 84.15 | 117.10 |
| | | Starthene 75 WG | 898.10 | - | - |
| | | Basta 150 SL | 5,656.07 | 4,447.56 | 3,697.10 |

| | | <p>Pesticide use in TUM Estate in 2020 – 2022:</p> <table border="1"> <thead> <tr> <th rowspan="2">Trademark</th> <th colspan="3">Volume (L or kg)</th> </tr> <tr> <th>2020</th> <th>2021</th> <th>2022</th> </tr> </thead> <tbody> <tr> <td>Smart 486 AS</td> <td>-</td> <td>592.58</td> <td>-</td> </tr> <tr> <td>Supremo 480 SL</td> <td>-</td> <td>-</td> <td>1,374.64</td> </tr> <tr> <td>Metsulindo 20 WP</td> <td>-</td> <td>115.70</td> <td>210.02</td> </tr> <tr> <td>Meta Prima</td> <td>-</td> <td>185.38</td> <td>20.62</td> </tr> <tr> <td>Regent 50 EC</td> <td>99.33</td> <td>126.42</td> <td>117.79</td> </tr> <tr> <td>Garlon Mix 333/17</td> <td>-</td> <td>70.32</td> <td>80.98</td> </tr> <tr> <td>Starthene 75 WG</td> <td>3,737.56</td> <td>-</td> <td>1,143.22</td> </tr> <tr> <td>Basta 150 SL</td> <td>2,209.27</td> <td>2,059.97</td> <td>892.97</td> </tr> </tbody> </table> | Trademark | Volume (L or kg) | | | 2020 | 2021 | 2022 | Smart 486 AS | - | 592.58 | - | Supremo 480 SL | - | - | 1,374.64 | Metsulindo 20 WP | - | 115.70 | 210.02 | Meta Prima | - | 185.38 | 20.62 | Regent 50 EC | 99.33 | 126.42 | 117.79 | Garlon Mix 333/17 | - | 70.32 | 80.98 | Starthene 75 WG | 3,737.56 | - | 1,143.22 | Basta 150 SL | 2,209.27 | 2,059.97 | 892.97 | |
|-------------------|---|---|-----------|------------------|--|--|------|------|------|--------------|---|--------|---|----------------|---|---|----------|------------------|---|--------|--------|------------|---|--------|-------|--------------|-------|--------|--------|-------------------|---|-------|-------|-----------------|----------|---|----------|--------------|----------|----------|--------|--|
| Trademark | Volume (L or kg) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 2020 | 2021 | 2022 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Smart 486 AS | - | 592.58 | - | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Supremo 480 SL | - | - | 1,374.64 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Metsulindo 20 WP | - | 115.70 | 210.02 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Meta Prima | - | 185.38 | 20.62 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Regent 50 EC | 99.33 | 126.42 | 117.79 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Garlon Mix 333/17 | - | 70.32 | 80.98 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Starthene 75 WG | 3,737.56 | - | 1,143.22 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Basta 150 SL | 2,209.27 | 2,059.97 | 892.97 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 7.2.3 | <p>(C) Any use of pesticides is minimised as part of a plan, eliminated where possible, in accordance with IPM plans. - Critical (Major) compliance -</p> | <p>Use of pesticide are minimized as part of the plan, and in accordance with IPM Plans, there are no pesticide application outside of the targeted species and planned intervals. Pesticides are only used to reduce / eliminate existing pest, which has exceeded the economic threshold. There is increase in uses of pesticides, such as Supremo 480 SL due to replacing Smart 486 AS for circle and path spraying and Lalang eradication; Marshall 5 GR used for infilling ex emplacement C30; Starthane used for nettle caterpillar control; Garlon Mix used for controlling wood.</p> <p>Efforts to reduce pesticide use:</p> <ol style="list-style-type: none"> 1. Conducted slashing/selective weeding/circle racking prior to do spraying | Complied | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

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| | | <ol style="list-style-type: none"> 2. Spraying are always according to the dosage and concentration by agronomist's recommendation 3. Conduct a termite census with precise accuracy 4. Carry out proper preassessment where only 60-80% weeds are controlled. | |
| 7.2.4 | <p>There is no prophylactic use of pesticides, unless in exceptional circumstances, as identified in Indonesia best practice guidelines.</p> <p>- Minor compliance -</p> | <p>There is no use of prophylactic use of pesticides throughout PT Umbul Mas Wisesa and PT Toton Usaha Mandiri. Pesticide/Herbicides are only used to reduce / eliminate existing pest, which has exceeded the economic threshold.</p> | Complied |
| 7.2.5 | <p>Pesticides that are categorised as World Health Organisation Class 1A or 1B, or that are listed by the Stockholm or Rotterdam Conventions and paraquat, are not to be used, unless in exceptional circumstances, as validated by a due diligence process, or when authorised by government authorities for pest outbreaks. The due diligence refers to:</p> <p>- Minor compliance -</p> <p>7.2.5a Judgment of the threat and verify why this is a major threat.</p> | <p>There is no use of WHO Class 1A or 1B pesticide in whole plantation operation. The use of paraquat has been stopped since 2017. The company's General Manager of North Sumatera has issued Memorandum No.117/GMO-All Estate/XI/2016, dated 1 December 2015. The memorandum stated that the use of paraquat as contact pesticide is not allowed as of 1 January 2017. Target: Zero use of paraquat effective since 1 January 2017.</p> <p>Based on visit in field operation and agrochemical storage in UMWS, UMWN and TUM Estate, there was no paraquat found. Based on report of "Daftar Pestisida yang digunakan di Tahun 2022" – there were no paraquat was noted in the report.</p> | Complied |
| | 7.2.5b Why there is no other alternative which can be used. | <p>There is no use of pesticide that are categorised as World Health Organisation Class 1A or 1B, or that are listed by the Stockholm or Rotterdam Conventions, or paraquat in whole operation of unit of certification.</p> | |
| | 7.2.5c Which process was applied to verify why there is no other less hazardous alternative. | <p>There is no use of pesticide that are categorised as World Health Organisation Class 1A or 1B, or that are listed by the Stockholm or Rotterdam Conventions, or paraquat in whole operation of unit of certification.</p> | |

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| | 7.2.5d Process to limit the negative impacts of the application. | There is no use of pesticide that are categorised as World Health Organisation Class 1A or 1B, or that are listed by the Stockholm or Rotterdam Conventions, or paraquat in whole operation of unit of certification. | |
| | 7.2.5e Estimation of the timescale of the application and steps taken to limit application to the specific outbreak. | There is no use of pesticide that are categorised as World Health Organisation Class 1A or 1B, or that are listed by the Stockholm or Rotterdam Conventions, or paraquat in whole operation of unit of certification. | |
| 7.2.6 | <p>(C) Pesticides are only handled, used or applied by persons who have completed the necessary training and are always applied in accordance with the product label. All precautions attached to the products are properly observed, applied, and understood by workers (see Criterion 3.6). Personnel applying pesticides must show evidence of regular updates on the knowledge about the activity they conduct.</p> <p>- Critical (Major) compliance -</p> | <p>The unit of certification has provided training for all workers that conducted chemical spraying including for Smallholders (KSU Suka Makmur), as evidence in training "Standarisasi Spraying" dated 29 November 2022 in UMWS Estate. The training was attended by 31 participants including Estate Manager, Field Head Assistant, all Field Assistant, all Spraying workers and supervisors including that apply spraying in Smallholders. Training module, evaluation result and attendance list were available. Training records available for spraying worker of Division 6 UMWS; Muliadi (Supervisor), Suriadi, Ahmad Jainuddin, M. Idris and Darwis.</p> <p>Training of spraying and chemical handling in UMWN and TUM Estate was conducted on 9 Sep 2022. Training attended by 34 personnel consist of spraying workers, Supervisor, Field Assistant and Field Head Assistant. Training module, evaluation result and attendance list were available. Pesticide operators interviewed in the field have been participated in training.</p> <p>Handover of PPE to spraying worker of UMWS recorded recently in 1 Dec 2022 for rubber hand gloves and 4 Jan 2023 for mask.</p> <p>Based on field visit to spraying work at Block J24 Div. II UMWN Estate and Block D30 Div. VI UMWS Estate, observed that PPE were used by workers, such as gumboots, overall, Apron (shift type orange colour); masks; rubber hand gloves orange colour and plastic</p> | Complied |

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| | | <p>googles. Mask used are pollutant mask which can be re-used by immerse in a plain hot water (70-80°C) for 5 minutes. This also strengthen by letter from Group’s Safety Manager dated 19 Jan 2023 that pollutant mask can be used in the field for spraying, whilst for mixing activity using Half Mask Respiratory.</p> | |
| 7.2.7 | <p>(C) Storage of all pesticides in accordance with recognized best practices. - Critical (Major) compliance -</p> | <p>The storage for all pesticide is in good condition. During ASA 1_3 in January 2023, based on filed visit in storage of pesticides and interview with storage keepers both in UMW POM, UMW South, UMW North and TUM Estate; there was found the storage for all pesticide is in good condition.</p> <p>A standard storage system appears to be implemented across by the organization. The storage is equipped with sufficient air ventilation to provide air circulation.</p> <p>Hazard signs, emergency shower, secondary containment, spill kit, appropriate PPE (overall, face shield, impermeable rubber gloves, apron, and mask) is available and ready for use.</p> <p>Working instruction for pre-mixing, stacking, and storing pesticide is current, MSDS is also readily available. Material Data Safety Sheets (MSDS) are obtained for all chemicals used and are available at the areas of mixing. These were all seen be controlled by date and were readily available. No concentrates are taken into the field are pre-mixed in designated mixing area.</p> <p>Awareness training for MSDS are conducted annually, latest training conducted on 28 Dec 2022, attended by 30 workers from various division.</p> | Complied |
| 7.2.8 | <p>All pesticide containers that are disposed of and/or used for other purposes are managed according to applicable regulations and/or instructions on the packaging. - Minor compliance -</p> | <p>All chemical and their containers from Mill and Estates were collected and kept in temporary waste storage for 180 up to 365 days prior disposal.</p> | Complied |

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| | | <p>Review of records showed all hazardous waste has been identified and properly monitored, such as used oil, used oil filter, used batteries, empty chemical container from Estate and Mill activities, contaminated materials, etc. Monitoring was recorded in document "Neraca Limbah B3".</p> <p>Data verified:</p> <ul style="list-style-type: none"> • UMW POM – Permit of limited hazardous waste storage under "Keputusan Kepala Dinas Penanaman Modal dan Pelayanan Perizinan Terpadu Satu Pintu Kabupaten Labuhanbatu Selatan No.503/515/DPMPPTSP-LS/2017 tentang Izin Penyimpanan Sementara Limbah Bahan Berbahaya dan Beracun PT. Umbul Mas Wisesa Mill", dated 25 April 2017. The permit valid for 5 years, up to 10 April 2022. • UMW South Estate - Permit of limited hazardous waste storage under "Keputusan Kepala Dinas Penanaman Modal dan Pelayanan Perizinan Terpadu Satu Pintu Kabupaten Labuhanbatu Selatan No.503/514/DPMPPTSP-LS/2017 tentang Izin Penyimpanan Sementara Limbah Bahan Berbahaya dan Beracun PT. Umbul Mas Wisesa Estate", dated 25 April 2017. The permit valid for 5 years, up to 20 July 2022. <p>Auditor team noted that the unit of certification has implemented effort to extend the two permit above based on letter No.41/RMO-EKS/VI/2022 dated 9 Jun 2022. There is bureaucratic change the permit shall be issued by the Ministry of Forestry and Environmental.</p> <p>Record of disposal all of pesticides container as per "Berita Acara Serah Terima Barang Limbah Bahahn Berbahaya dan Beracun (B3) – No: 0/VIII/UMWS/2022" dated 31 Aug 2022, delivered to PT Amindy Barakah Sumut, consisted of:</p> <ul style="list-style-type: none"> • Used oil (225 liters) • Used Fuel filter (30.5 kg) | |
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| | | <ul style="list-style-type: none"> • Medical waste (25 kg) • Used battery (90 kg) • Empty chemical container (114 Kg) <p>Hazardous waste manifest No. KLHK-1666052274, material name used oil used (0.2025 tonnes); Hazardous waste manifest No. KLHK-1666055469, material name used battery (0.09 tonnes); Hazardous waste manifest No. KLHK-1666141391, material name used empty chemical container (0.114 tonnes); Hazardous waste manifest No. KLHK-1668013926, material name medical waste (0.025 tonnes), vehicle BK 9760 MP, transporter name PT Amindy Barakah, dated 31 Aug 2022.</p> | |
| 7.2.9 | <p>(C) Aerial spraying of pesticides is prohibited, unless in exceptional circumstances where no other viable alternatives are available. This requires prior government authority approval. All relevant information is provided to affected local communities at least 48 hours prior to application of aerial spraying.</p> <p>- Critical (Major) compliance -</p> | <p>There is no aerial application of pesticide in whole operation area of PT Umbul Mas Wisesa and PT Toton Usaha Mandiri.</p> | Complied |
| 7.2.10 | <p>(C) Specific annual medical surveillance for pesticide operators, and documented action to treat related health conditions, is demonstrated.</p> <p>- Critical (Major) compliance -</p> | <p>Specific annual medical surveillance conducted by the company in corporation with clinic "Anugerah Ibu", Medan. UMW South Estate – the special annual medical surveillance for pesticides operators was conducted on 12 Aug 2022 was participated by 139 workers consisted of pesticides applicators, chemical storage keeper, generator (electrical) operator.</p> <p>Data verified: "Daftar Hasil Rekam Medis". Based on information from worker interviewed, verified that result of medical test has been communicated to related workers. The result is good for the current spraying workers.</p> | Complied |

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| 7.2.11 | <p>(C) No pesticide-related work is carried out by pregnant or breastfeeding women, or people with medical limitations and they are offered other equivalent work alternatives.</p> <p>- Critical (Major) compliance -</p> | <p>Based on interview with workers on handling of pesticides, there was no works with pesticides is undertaken by person under the of 18 years old, pregnant or breastfeeding women or other people that have medical restrictions.</p> <p>If any pregnant worker identify through the pregnancy test, she will be automatically transferred into the non chemical working station such as manual upkeep or office girl. For example, through the last pregnancy test was conducted in December 2022 as per document "Daftar Absensi Kerja Ibu Hamil dan Tidak Menyusui – month December 2022", there was reported a women worker in positive pregnant (12 weeks), named Mrs Erika Bestina G (register number: 0375). She was rotate to working area that not related to chemical. Based on verification of the attendance work allocation dated 13th January 2023, she has worked on census of Leaf Sampling Unit (LSU) – location in block 06-D12/13, Division 4, UMW South Estate.</p> | Complied |
| <p>Note For 7.2.11</p> <p>Referring to Act No. 13 of 2003 concerning Manpower, Act No. 35 of 2014 concerning Child Protection, and taking into account the risks of hazards on palm oil plantations and mills on the development and physical, mental and social health of children, the national interpretation mandates that the unit of certifications does not employ people under the age of 18 for pesticide spraying. For this reason, the provisions of young workers under 18 years in indicator 7.2.11 are irrelevant.</p> | | | |
| <p>Criteria 7.3: Waste is reduced, recycled, reused and disposed of in an environmentally and socially responsible manner.</p> | | | |
| 7.3.1 | <p>A waste management plan which includes reduction, recycling, reusing, and disposal based on toxicity and hazardous characteristics, is documented and implemented in accordance with applicable laws and regulations.</p> <p>- Minor compliance -</p> | <p>During ASA 1.3, year 20233, PT Umbul Mas Wisesa – UMW POM has shown the document of waste management plan is documented in procedure "Pengelolaan Sampah" and "Rencana Pengelolaan Limbah", last updated on 3rd January 2023.</p> <p>Waste management which includes reduction, recycling, reusing, and disposal based on toxicity and hazardous characteristics, such as:</p> <ul style="list-style-type: none"> • Medical waste, and other type hazardous waste (waste oil, empty chemical container, contaminated material, battery) regulated as | Complied |

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| | | <p>per procedure “Penyimpanan Limbah Bahan Berbahaya dan Beracun (Limbah B3) dan Tanggap Darurat”.</p> <ul style="list-style-type: none"> • Domestic waste, to reduce pollution to the environment. • Organic and inorganic waste sent to landfill and then covered with soil. • The hazardous waste stored in a dedicated storage completed with alarm, spill kit, secondary containment, and PPE for operation. • Location of landfill for disposed in-organic waste in (location in Block C04 division I in UMW South Estate) and disposed incinerator processing (location in block 08K11A, location in UMW North Estate) - during filed observation dated 17th January 2023 the location is good condition. <p>Until this audit, the waste management plan has running well. Hazardous waste collected by official collector regularly as written in the contract, separation of organic/inorganic domestic waste as well.</p> <p>Based on document review and interview with unit head, the implementation of waste management still aligned with the plan and comply with the current regulation. Thus, there is no requirement to revise the waste management plan.</p> | |
| 7.3.2 | <p>Proper disposal of waste material, according to procedures that are fully understood by workers and managers, is demonstrated.</p> <p>- Minor compliance -</p> | <p>During ASA 1.3 – year 2023, there was no change related the procedure on proper disposal of waste material.</p> <p>PT Umbul Mas Wisesa – UMW POM has prepared a procedure on disposal of waste material under procedure of “Management of Hazardous and Toxic Water Material” no: ENC 01-10/04-03-2019/Revision 1, dated 18th March 2019.</p> <p>Step of the procedures are:</p> | Complied |

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| | | <ul style="list-style-type: none"> • Identification of hazardous waste • Identification of hazardous waste review • Data collection and B3 waste collection • Installation of B3 waste risk and hazard symbols • Review of hazardous waste management B3 • Making contract with carrier (SPK) • Transportation in accordance with SPK • Making a B3 waste management report to the related department <p>Based on interview with PIC on handling of hazardous waste (dated 17th January 2023) are fully understood in proper disposal of waste material accordance the procedures.</p> <p>Data verified – during ASA 1.3:</p> <p>Record of disposal all of pesticides container as per “Berita Acara Serah Terima Barang Limbah Bahhan Berbahaya dan Beracun (B3) – No: 015/I/UMWS/X/2022” dated 16th October 2022, delivered to PT Amindy Barakah Sumut, consisted of:</p> <ul style="list-style-type: none"> • Oil used (530 liters) • Fuel filter used (89 kg) • Empty chemical container (240 Kg) • Medical waste (15 kg) <p>Environmental Performance Report (3month basis) on handling of hazardous was to Environmental Agency in Labuhanbatu Selatan Regency as per “Laporan Kegiatan Pengelolaan dan Penyimpanan Limbah B3” for period Q4 year 2022, was submitted on dated 27 December 2022, document number: 13/UMWS/Inst/XII/2022. Status: received.</p> <p>Based on interview with the workers wife in housing complex UMW South Estate, UMW North Estate and Toton Usaha Mandiri Estate,</p> | |
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| | | the auditor team noted that the workers wife has acknowledge the domestic waste management such as separation of organic/inorganic waste, dispose of waste in a designated place and helping housing area cleaned. | |
| 7.3.3 | The unit of certification does not use open fire for waste disposal. - Minor compliance - | <p>During ASA 1.3-year 2023 – PT Umbul Mas Wisesa - Umbul Mas Wisesa POM prepared the procedure of waste management” as per Standard Operation Procedure on “Pengelolaan Sampah”, no: SOP/General/ESD, revision 0) issued date 1st March 2010.</p> <p>In point 6.1.1. mentioned the waste disposal not allowed to used open fire.</p> <p>PT Umbul Mas Wisesa – UMW POM has shown the document of waste management plan is documented in procedure “Pengelolaan Sampah” and “Rencana Pengelolaan Limbah”, last updated on 3rd January 2023. In point 3 was mentioned that the domestic waste disposed to land fill (location in Block C04 division I in UMW South Estate) and disposed incinerator processing (location in block 08K11A, location in UMW North Estate)</p> <p>During filed observation in landfill area dated 17th January 2023 the location is good condition</p> | Complied |
| <p>Criteria 7.4: Practices maintain soil fertility at, or where possible improve soil fertility to, a level that ensures optimal and sustained yield.</p> | | | |

| <p>7.4.1</p> | <p>Good agricultural practices, as contained in SOPs, are followed to manage soil fertility to optimise yield and minimise environmental impacts is documented.</p> <p>- Minor compliance -</p> | <p>The unit of certification has numbers of procedure for good agriculture practices to maintain soil fertility and minimize environmental impact. Up to this ASA 1_3, there is no change in SOP to maintain soil fertility and minimize environmental impact, as follow:</p> <ol style="list-style-type: none"> 1. OPM-06-01; Inorganic Fertiliser – Pupuk Inorganik. 2. OPM-06-02; Organic Fertiliser – Pupuk Organik 3. OPM-06-03; LSU: Palm Marking – LSU: Penandaan Tanaman. 4. OPM-06-04; Leaf Sampling Unit (LSU) and Rachis Sampling Unit (RSU) – Pengambilan Sampel. <p>Fertilizers are applied to maintain and increase soil fertility, fertilizer applied following the fertilizer recommendation which established based on soil and leaf analysis. Fertilizer program for 2022 available "Oil Palm Fertilizer Recommendation for PT Umbul Mas Wisesa – South Estate 2022", "Oil Palm Fertilizer Recommendation for PT Umbul Mas Wisesa – North Estate 2022" and "Oil Palm Fertilizer Recommendation for PT Toton Usaha Mandiri 2022. Fertilizer application records are documented in "Monthly Manuring Program Sheet". Based on interview, fertilizer applications are performed according to the SOP that refer Best Agriculture Practice and minimize the environmental impacts. By using methods correct type, correct target and correct dosage. Applications near the drainage are avoided.</p> <p>Verified document: Progress of upkeep in UMWS Estate January-December 2022:</p> <table border="1" data-bbox="1137 1283 1823 1374"> <thead> <tr> <th>Type of work</th> <th>Program (Ha)</th> <th>Realization (Ha)</th> </tr> </thead> <tbody> <tr> <td>Circle and Path Spray</td> <td>17,839.96</td> <td>12,879.71</td> </tr> </tbody> </table> | Type of work | Program (Ha) | Realization (Ha) | Circle and Path Spray | 17,839.96 | 12,879.71 | <p>Complied</p> |
|-----------------------|---|---|--------------|--------------|------------------|-----------------------|-----------|-----------|-----------------|
| Type of work | Program (Ha) | Realization (Ha) | | | | | | | |
| Circle and Path Spray | 17,839.96 | 12,879.71 | | | | | | | |

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| | | <table border="1"> <tr> <td>Selective Spraying</td> <td>6,690.40</td> <td>5,792.87</td> </tr> <tr> <td>Circle Weeding</td> <td>3,122.08</td> <td>1,054.63</td> </tr> <tr> <td>Spraying Lalang</td> <td>2,230.41</td> <td>354.54</td> </tr> </table> <p>Progress of upkeep in UMWN Estate January-December 2022:</p> <table border="1"> <thead> <tr> <th>Type of work</th> <th>Program (Ha)</th> <th>Realization (Ha)</th> </tr> </thead> <tbody> <tr> <td>Circle and Path Spray</td> <td>26,483.82</td> <td>11,818.08</td> </tr> <tr> <td>Selective Spraying</td> <td>5,192.90</td> <td>1,245.63</td> </tr> <tr> <td>Spraying Lalang</td> <td>10,385.80</td> <td>783.19</td> </tr> <tr> <td>Pruning</td> <td>10,385.80</td> <td>10,018.11</td> </tr> </tbody> </table> <p>Progress of upkeep in TUM Estate January-December 2022:</p> <table border="1"> <thead> <tr> <th>Type of work</th> <th>Program (Ha)</th> <th>Realization (Ha)</th> </tr> </thead> <tbody> <tr> <td>Circle and Path Spray</td> <td>3,404.85</td> <td>4,607.47</td> </tr> <tr> <td>Selective Spraying</td> <td>1,134.95</td> <td>2,064.22</td> </tr> <tr> <td>Selective Weeding</td> <td>1,134.95</td> <td>516.66</td> </tr> <tr> <td>Spraying Lalang</td> <td>1,134.95</td> <td>380.51</td> </tr> <tr> <td>Pruning</td> <td>1,134.95</td> <td>4,101.40</td> </tr> </tbody> </table> | Selective Spraying | 6,690.40 | 5,792.87 | Circle Weeding | 3,122.08 | 1,054.63 | Spraying Lalang | 2,230.41 | 354.54 | Type of work | Program (Ha) | Realization (Ha) | Circle and Path Spray | 26,483.82 | 11,818.08 | Selective Spraying | 5,192.90 | 1,245.63 | Spraying Lalang | 10,385.80 | 783.19 | Pruning | 10,385.80 | 10,018.11 | Type of work | Program (Ha) | Realization (Ha) | Circle and Path Spray | 3,404.85 | 4,607.47 | Selective Spraying | 1,134.95 | 2,064.22 | Selective Weeding | 1,134.95 | 516.66 | Spraying Lalang | 1,134.95 | 380.51 | Pruning | 1,134.95 | 4,101.40 | |
|-----------------------|---|---|--------------------|----------|----------|----------------|----------|----------|-----------------|----------|--------|--------------|--------------|------------------|-----------------------|-----------|-----------|--------------------|----------|----------|-----------------|-----------|--------|---------|-----------|-----------|--------------|--------------|------------------|-----------------------|----------|----------|--------------------|----------|----------|-------------------|----------|--------|-----------------|----------|--------|---------|----------|----------|--|
| Selective Spraying | 6,690.40 | 5,792.87 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Circle Weeding | 3,122.08 | 1,054.63 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Spraying Lalang | 2,230.41 | 354.54 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Type of work | Program (Ha) | Realization (Ha) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Circle and Path Spray | 26,483.82 | 11,818.08 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Selective Spraying | 5,192.90 | 1,245.63 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Spraying Lalang | 10,385.80 | 783.19 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Pruning | 10,385.80 | 10,018.11 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Type of work | Program (Ha) | Realization (Ha) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Circle and Path Spray | 3,404.85 | 4,607.47 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Selective Spraying | 1,134.95 | 2,064.22 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Selective Weeding | 1,134.95 | 516.66 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Spraying Lalang | 1,134.95 | 380.51 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Pruning | 1,134.95 | 4,101.40 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 7.4.2 | <p>Analysis of tissue samples (e.g. leaves) and soil on a regular basis to monitor and manage changes in soil fertility and plant health is documented.</p> <p>- Minor compliance -</p> | <p>UMW South Estate: The latest periodical leaf sample analysis conducted in January-February 2022 for preparing fertilizer recommendation 2023. Leaf and Rachis Analysis Report 2022 and fertilizer recommendation 2023 were evident. Leaf Analysis Report was issued by Verdant Plant and</p> | Complied | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

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| | | <p>Soil Nutrition Laboratory with Report number 10803A2022, sample received date are 19 and 25 Jan 2022, 7, 8 and 16 Feb 2022, number of samples 176 bags (88 leaf & 88 rachis), analysis date 22 – 24 March 2022.</p> <p>Sample seen Lab No.E3147; Sample type-Leaf; Estate-UMWS; Div-III; Block C26-27; 60.59 Ha; Material Socfindo; Plant Year 2005; Sampling date 20/01/2022. Analysis result: 2.74% N; 0.176% P; 0.89% K; 0.27% Mg; 0.53% Ca; 29 ppm B; 5 ppm Cu and 13 ppm Zn. Sample seen Lab No.E3205; Sample type-Rachis; Estate-UMWS; Div-I; Block B04; 28.51 Ha; Material BLRS; Planting Year 2011; Sampling date 14/01/2022. Analysis result: 0.45% N; 0.424% P; 3.04% K; 0.16% Mg; and 0.23% Ca. UMWS has also done Petiole Cross Section to analyze the wide, depth and rachis length of the palm.</p> <p>UMW North Estate:</p> <p>Latest periodical leaf sample analysis in UMW North Estate conducted in January 2022 for preparing fertilizer recommendation 2023. Leaf and Rachis Analysis Report 2022 and fertilizer recommendation 2023 were evident. Leaf Analysis Report was issued by Verdant Bioscience Plant and Soil Nutrition Laboratory with Ref number 10903A2122, received date 19, 25 Jan and 7, 8, 16 Feb 2022, number of samples 96 bags (48 leaf and 48 rachis). Sample seen Lab No. E3321; Sample type-Leaf; Estate: UMWN; Division: III; Block Code 10H28-29; 62.02 Ha; Material SJ 1; Planting Year 2010; Date Sampled 21/01/2022. Analysis Result: 2.59% N; 0.159% P; 0.61% K; 0.33% Mg; 0.64% Ca; 24 ppm B; 4 ppm Cu; and 8 ppm Zn. Sample seen Lab No. E3339; Sample type-Rachis; Estate-UMWN; Division: I; Block 07H14; 29.82 Ha; Material Socfindo; Plant Year 2007; Date Sampled 15/01/2022.</p> | |
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| | | <p>Analysis Result: 0.35% N; 0.353% P; 2.17% K; 0.22% Mg; and 0.25% Ca.</p> <p>Semi detail soil survey report of PT UMW North and South Estate carried out in June 2010. Total area surveyed is 6,236 ha, the entire area is low lying flat, dominant soil series is <i>Lenggana</i> series and Organic clay/muck (peat soil); <i>Mekar Jaya</i> and <i>Galing</i> series (acid sulphate soil), peat depth is 3 m to > 5 m, potentially acid sulphate layer is within 100 cm.</p> <p>TUM Estate:</p> <p>Latest periodical leaf sample analysis in TUM Estate conducted in January 2022 for preparing fertilizer recommendation 2023. Leaf and Rachis Analysis Report 2022 and fertilizer recommendation 2023 were evident. Leaf Analysis Report was issued by Verdant Bioscience Plant and Soil Nutrition Laboratory with Ref number 11003A2222, received date 19, 25 Jan and 7 Feb 2022, number of samples 46 bags (23 leaf and 23 rachis), analysis date on 25 – 28 March 2022. Sample seen Lab No. E3381; Sample type-Leaf; Estate-TUME; Division I; Block L05; 21.45 Ha; Material MRS; Plant Year 2006; Date sampled 7 January 2022. Analysis results: 2.77% N; 0.166% P; 0.81% K; 0.40% Mg; 0.49% Ca; 19 ppm B; 5 ppm Cu and 11 ppm Zn. Sample seen Lab No. E3421; Sample type-Rachis; Estate-TUME; Division II; Block 09L18-19; 57.54 Ha; Material SJ 2; Plant Year 2009; Date sampled 14/01/2022; Analysis result: 0.56% N%; 0.400% P; 2.67% K; 0.29% Mg and 0.29% Ca.</p> <p>Semi detail soil survey report of PT Toton Usaha Mandiri carried out in June 2010. Total area surveyed 1,484 ha, the entire area is low lying flat, dominant soil series is <i>Lenggana</i> series, <i>Typic haplohemist</i>, peat soil), peat depth is 3 meters to > 5 meters.</p> | |
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| 7.4.3 | <p>A nutrient recycling strategy is in place, which include the recycling of Empty Fruit Bunches (EFB), Palm Oil Mill Effluent (POME), palm residues and optimal use of inorganic fertilisers.</p> <p>- Minor compliance -</p> | <p>There is no POME application in PT Umbul Mas Wisesa and Toton Usaha Mandiri, because the location is mostly peat. Since 2nd Semester of 2022 there are EFB application in UMWS Estate in mineral soil area. Total EFB + Fiber applied in UMWS Estate since Oct to Dec 2022 are 2,491,160 kg, with total area applied are 62.43 Ha, average 39.90 ton/Ha.</p> | Complied | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|-----------------|--|--|---------------------|--------------------------|--|--------------------------|--|---------------------|------------------|---------------------|------------------|------|-----------|-----------|---|---|----------------|-----------|-----------|---|---|-----|---------|---------|-----------|-----------|---------------|---------|---------|---------|---------|--------|-----------|-----------|---|---|----------|
| 7.4.4 | <p>Records of fertilizer inputs are maintained.</p> <p>- Minor compliance -</p> | <p>Record of fertilizer input are maintained. Fertilizer recommendation 2022 described the fertilizer need for each Estate. Records of fertilizer inputs are available in "Monthly Manuring Program" for each division and "Rekapitulasi Penggunaan Fertilizer Tahun 2022" for estate recapitulation.</p> <p>Record of fertilizer recommendation and realization in UMWS Estate 2022 are as follow:</p> <table border="1" data-bbox="1137 823 1937 1238"> <thead> <tr> <th rowspan="2">Fertilizer Type</th> <th colspan="2">1st Semester</th> <th colspan="2">2nd Semester</th> </tr> <tr> <th>Recommendation (kg)</th> <th>Realization (kg)</th> <th>Recommendation (kg)</th> <th>Realization (kg)</th> </tr> </thead> <tbody> <tr> <td>Urea</td> <td>1,002,134</td> <td>1,002,134</td> <td>-</td> <td>-</td> </tr> <tr> <td>Rock Phosphate</td> <td>3,440,208</td> <td>3,440,208</td> <td>-</td> <td>-</td> </tr> <tr> <td>MOP</td> <td>247,575</td> <td>247,575</td> <td>1,041,400</td> <td>1,041,400</td> </tr> <tr> <td>NPK (15.7.25)</td> <td>399,966</td> <td>399,966</td> <td>729,850</td> <td>729,850</td> </tr> <tr> <td>Zincop</td> <td>1,380,450</td> <td>1,380,450</td> <td>-</td> <td>-</td> </tr> </tbody> </table> <p>Record of fertilizer recommendation and realization in UMWN Estate 2022 are as follow:</p> | Fertilizer Type | 1 st Semester | | 2 nd Semester | | Recommendation (kg) | Realization (kg) | Recommendation (kg) | Realization (kg) | Urea | 1,002,134 | 1,002,134 | - | - | Rock Phosphate | 3,440,208 | 3,440,208 | - | - | MOP | 247,575 | 247,575 | 1,041,400 | 1,041,400 | NPK (15.7.25) | 399,966 | 399,966 | 729,850 | 729,850 | Zincop | 1,380,450 | 1,380,450 | - | - | Complied |
| Fertilizer Type | 1 st Semester | | | 2 nd Semester | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Recommendation (kg) | Realization (kg) | Recommendation (kg) | Realization (kg) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Urea | 1,002,134 | 1,002,134 | - | - | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Rock Phosphate | 3,440,208 | 3,440,208 | - | - | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| MOP | 247,575 | 247,575 | 1,041,400 | 1,041,400 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| NPK (15.7.25) | 399,966 | 399,966 | 729,850 | 729,850 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Zincop | 1,380,450 | 1,380,450 | - | - | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

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| Fertilizer Type | 1 st Semester | | 2 nd Semester | |
|-------------------|--------------------------|------------------|--------------------------|------------------|
| | Recommendation (kg) | Realization (kg) | Recommendation (kg) | Realization (kg) |
| Urea | 184,000 | 183,970 | 4,350 | 4,000 |
| RP | 168,550 | 168,520 | 4,350 | 4,230 |
| MOP | 721,900 | 721,810 | 813,850 | 813,534 |
| NPK 15:7:25 | 10,600 | 10,600 | 573,750 | 573,750 |
| CuSO ₄ | 4,545 | 4,538 | 300 | 291 |
| ZnSO ₄ | 33,821 | 33,814 | 300 | 291 |
| ZinCop | 800 | 800 | 800 | 800 |
| Borate | 300 | 293 | 300 | 291 |

Record of fertilizer recommendation and realization in TUM Estate 2022 are as follow:

| Fertilizer Type | 1 st Semester | | 2 nd Semester | |
|-------------------|--------------------------|------------------|--------------------------|------------------|
| | Recommendation (kg) | Realization (kg) | Recommendation (kg) | Realization (kg) |
| Urea | 71,350 | 71,350 | - | - |
| RP | 75,550 | 75,550 | - | - |
| MOP | 299,603 | 299,600 | 342,850 | 342,850 |
| ZnSO ₄ | - | - | 230,300 | 230,300 |
| NPK 15:7:25 | 19,057 | 19,057 | - | - |
| ZinCop 25 | - | 355 | - | - |

Criteria 7.5: Practices minimise and control erosion and degradation of soils.

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| <p>7.5.1</p> | <p>(C) Maps that identify marginal and fragile soils, including steep sloped land are available. - Critical (Major) compliance -</p> | <p>Based on semi detail soil survey, it was found that mostly the plantation areas are peat soil. Up to this ASA 1_3 the unit of certification still using Map of peat soil with scale 1:40,000 printed in A2 paper size. Soil series sources: Shuttle Radar for Topographic Mission (SRTM) 2006; path 57 Row 12, Digital Rupabumi Map scale 1:250,000 BAKORSURTANAL 2004, Field Observation 2010.</p> <p>Projection Transverse Mercator, grid Universal Transverse Mercator and Geographic, datum WGS 84, zone 47N. Soil characteristic consist of:</p> <ul style="list-style-type: none"> • Lenggana Series (LGN 3,4), <i>Typic haplohemist</i>, mature peat land, 16 – 75 % fibre peat, peat depth 3 – 5 meters, poor drainage, low lying flat (5,681 Ha – 91%). • Mekar Jaya (MKJ), <i>Sulfic endoaquept</i>, silty clay, 35-60% clay, poor drainage, depth 50-100cm and Galling (GLG), <i>Typic sulfaquept</i>, depth 50cm, 35-60% clay, low lying flat (464 Ha – 7%). • Organic clay/muck, <i>Typic humaquepts</i> organic clay, high water table during raining days, acid sulphate at within 50 cm, low lying flat (91 Ha – 2%). • Soil profile map in PT Umbul Mas Wisesa and PT Toton Usaha Mandiri was available made on 16 July 2013, sources: Survey GPS – Geo XT Differential correction; Satellite Image; JH Agriculture Service. <p>Based on semi detail soil survey in PT Toton Usaha Mandiri, total area surveyed was 1,484 Ha, the entire area is low lying flat, dominant soil series is Lenggana series, <i>Typic haplohemist</i> (peat soil), peat depth is 3 meters to > 5 meters. Semi detail soil map of PT Toton Usaha Mandiri is available in scale 1:20,000 print in A2 size. Soil series sources: Shuttle Radar for Topographic Mission (SRTM)</p> | <p>Complied</p> |
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| | | <p>2006; path 57 Row 12, Digital Rupabumi Map scale 1:250,000 BAKORSURTANAL 2004, Field Observation 2010. Projection Transverse Mercator, grid Universal Transverse Mercator and Geographic, datum WGS 84, zone 47N. Soil characteristic consist of: <i>Lenggana Series (LGN 3,4), Typic haplohemist</i>, mature peat land, decomposed hemist peat material, fiber content 16- 75% woody, peat depth 3 to > 5 meters, poor drainage (1,484 Ha – 100%).</p> <p>Soil slopes class map PT Umbul Mas Wisesa and PT Toton Usaha Mandiri are available base on soil survey on 10 February 2014. The map indicates that there is no other fragile soil beside peat soil. Management strategy implemented is water management by controlling water level, installation of water pole, water gate and piezometer.</p> | |
| 7.5.2 | <p>The replanting of palm oil is not conducted extensively on steep terrain in accordance with applicable regulations.</p> <p>- Minor compliance -</p> | <p>Based on Slope Class Map Scale 1:50,000. Slope class in unit of certification are <1° (flat); 1° - 2° (gently undulating); 2° - 6° (undulating). Topographic Map Scale 1:30,000, elevation class is between 5-11.5 above sea level. There is no steep terrain existed in unit of certification.</p> <p>Planting year in unit of certification are 2005 – 2011 and soil type categorized as peat soil. Therefore, no replanting program was started in unit of certification.</p> | Complied |
| 7.5.3 | <p>New palm oil planting is not conducted on steep terrain in accordance with applicable regulations.</p> <p>- Minor compliance -</p> | <p>Unit of certification is an existing plantation with planting year 2005 – 2011. There is no new oil palm planting performed by unit of certification.</p> | Complied |
| <p>Criteria 7.6: Soil surveys and topographic information are used for site planning in the establishment of new plantings, and the results are incorporated into plans and operations.</p> | | | |
| 7.6.1 | <p>(C) Evidence of long-term land suitability for oil palm cultivation, soil maps or soil surveys that identify marginal and fragile soils, including steep terrain are available, in planning and operations.</p> | <p>The unit of certification has done identification and analysis of topography and hydrology, as documented in “Laporan Hidrotopografi” issued by Faculty of Agriculture Technology – IPB</p> | Complied |

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| | <p>- Critical (Major) compliance -</p> | <p>University on 17 Jan 2012. The document described analysis results of topography and hydrology survey.</p> <p>Based on semi detail soil survey, it was found that mostly the plantation areas are peat soil, Map of peat soil is available in place with scale 1:40,000 printed in A2 paper size. Soil series sources: Shuttle Radar for Topographic Mission (SRTM) 2006; path 57 Row 12, Digital Rupabumi Map scale 1:250,000 BAKORSURTANAL 2004, Field Observation 2010.</p> <p>Projection Transverse Mercator, grid Universal Transverse Mercator and Geographic, datum WGS 84, zone 47N. Soil characteristic consist of:</p> <ul style="list-style-type: none"> • Lenggana Series (LGN 3,4), <i>Typic haplohemist</i>, mature peat land, 16 – 75 % fibre peat, peat depth 3 – 5 meters, poor drainage, low lying flat (5,681 Ha – 91%). • Mekar Jaya (MKJ), <i>Sulfic endoaquept</i>, silty clay, 35-60% clay, poor drainage, depth 50-100cm and Galling (GLG), <i>Typic sulfaquept</i>, depth 50 cm, 35-60 % clay, low lying flat (464 Ha – 7%). • Organic clay/muck, <i>Typic humaquepts</i> organic clay, high water table during raining days, acid sulphate at within 50 cm, low lying flat (91 Ha – 2%). • Soil profile map in PT Umbul Mas Wisesa and PT Toton Usaha Mandiri was available made on 16 July 2013, sources: Survey GPS – Geo XT Differential correction; Satellite Image; JH Agriculture Service. <p>Based on semi detail soil survey in PT Toton Usaha Mandiri, total area surveyed was 1,484 Ha, the entire area is low lying flat, dominant soil series is Lenggana series, <i>Typic haplohemist</i>, peat soil), peat depth is 3 meters to > 5 meters. Semi detail soil map of PT Toton Usaha Mandiri is available in scale 1:20,000 print in A2 size.</p> | |
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| | | <p>Soil series sources: Shuttle Radar for Topographic Mission (SRTM) 2006; path 57 Row 12, Digital Rupabumi Map scale 1:250,000 BAKORSURTANAL 2004, Field Observation 2010. Projection Transverse Mercator, grid Universal Transverse Mercator and Geographic, datum WGS 84, zone 47N. Soil characteristic consist of: Lenggana Series (LGN 3,4), Typic haplohemist, mature peat land, decomposed hemist peat material, fiber content 16- 75% woody, peat depth 3 to > 5 meters, poor drainage (1,484 Ha – 100%).</p> <p>Soil slopes class map of PT Umbul Mas Wisesa and PT Toton Usaha Mandiri are available base on soil survey on 10 February 2014. The map indicates that there is no other fragile soil beside peat soil. Management strategy implemented is water management by controlling water level, installation of water pole, water gate and piezometer. Based on Slope Class Map Scale 1:50,000. Slope class in unit of certification are <1° (flat); 1° - 2° (gently undulating); 2° - 6° (undulating). Topographic Map Scale 1:30,000, elevation class is between 5-11.5 above sea level.</p> | |
| 7.6.2 | <p>Extensive planting on marginal and fragile soils is avoided or, if necessary, carried out according to the best-practice soil management plan.</p> <p>- Minor compliance -</p> | <p>Planting year in unit of certification are 2005 – 2011 and soil type mostly categorized as peat soil. There is no additional planting carried out by the unit of certification. They are not engaging any activities that would increase the total planted area. They are only maintaining the existing planted area and ensuring that it meets the standards for certification.</p> | Complied |
| 7.6.3 | <p>Soil surveys and topographic information guide the planning of drainage and irrigation systems, roads and other infrastructure.</p> <p>- Minor compliance -</p> | <p>The unit of certification is able to present map, consist of information related to the soil type and topographic condition of the plantation. Based on semi detail soil survey, the plantation located mostly on peat soil. The unit of certification has done identification and analysis of topography and hydrology, as documented in "<i>Laporan Hidrotopografi</i>" issued by Faculty of Agriculture Technology – IPB University on 17 Jan 2012. The document described analysis results</p> | Complied |

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| | | <p>of topography and hydrology survey. Based on semi detail soil survey, it was found that mostly the plantation areas are peat soil. Map of peat soil is available in place with scale 1:40,000 print in A2 paper size.</p> <p>Soil surveys and topographic information from Agronomy Department has guide the company in planning of drainage, irrigation systems, roads and other infrastructure. During field visit in ASA 1_3, drainage and irrigation system are maintained, road maintenances are implemented therefore all road are accessible.</p> | |
| Criteria 7.7: No new planting on peat, regardless of depth after 15 November 2018 and all peatlands are managed responsibly. | | | |
| 7.7.1 | <p>(C) No new planting on peatlands, regardless of depth, after 15 November 2018, in existing plantation areas, as well as in new development areas.</p> <p>- Critical (Major) compliance -</p> | <p>Planting year in unit of certification are 2005 – 2011 and soil type categorized as peat soil.</p> <p>No new planting on peatlands after 15 November 2018 in unit of certification.</p> | Complied |
| 7.7.2 | <p>Peat areas within the managed area are inventoried, documented and reported to the RSPO Secretariat (effective from November 15, 2018).</p> <p>- Minor compliance -</p> | <p>Peat area in UMWS Estate, UMWN Estate and TUM Estate have been inventoried and documented by the unit of certification. Peat Inventory was made based on Guidance on Peat Inventory for RSPO Reporting.</p> <p>Peat Inventory report and boundary map in scale 1:50,000 for reporting year 2018 were available during audit for PT Umbul Mas Wisesa and PT Toton Usaha Mandiri. Report to RSPO Secretariat has been made on 30 Oct 2019 by email, include attachment RSPO Peat Inventory file, Peat Boundary Map and Peat Boundary Shape file (.shp). Acknowledgement of receiving all documents for peat inventory has been made by RSPO GHG Unit on 31 Oct 2019.</p> | Complied |
| PROCEDURAL NOTE: Maps and other documentation for peatlands are provided, prepared and shared according to the RSPO Working Group (Peatland Working Group / PLWG) audit guide (See Procedural Notes for Indicator 7.7.5 below). | | | |

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| <p>7.7.3</p> | <p>(C) Subsidence of peat is monitored, documented and minimised. - Critical (Major) compliance -</p> | <p>The unit of certification has a set of procedure for water management for peat soil, in line with Indonesia’s guideline for peat management.</p> <p>Water management procedure for peat land was available and implemented, the company has installed and maintained water gates, main drain gate, main drainage, secondary drainage, and collection drainage. Regular maintenance of drainages was evident.</p> <p>Unit of certification have prepared “Work Instruction Water Management PT Umbul Mas Wisesa & PT Toton Usaha Mandiri”. The work instruction has also been prepared to monitor water management performance.</p> <p>Monitoring and recording water level and water table in two weeks interval – as well as take immediate action, to open/close water gate accordingly, in order to maintain water level in optimum level.</p> <p>Monitoring of water management by means of regular inspection and recording of water level, piezo meter, peat subsidence level and water gate lever. Record of monitoring were evident. Based on Peat Subsidence Monitoring period 2014 – 2022 as follow:</p> | <p>Complied</p> |
|--------------|---|---|-----------------|

| | | <p style="text-align: center;">Monitoring Peat Soil Subsidence TUM Estate, UMW North Estate (in cm)</p> <table border="1"> <thead> <tr> <th>Year</th> <th>Avg. Subs. TUME (cm)</th> <th>Avg. Subs. UMWN (cm)</th> </tr> </thead> <tbody> <tr><td>2014</td><td>3.38</td><td>3.08</td></tr> <tr><td>2015</td><td>4.13</td><td>1.55</td></tr> <tr><td>2016</td><td>3.65</td><td>3.7</td></tr> <tr><td>2017</td><td>1.65</td><td>1.12</td></tr> <tr><td>2018</td><td>1.12</td><td>0.63</td></tr> <tr><td>2019</td><td>1.05</td><td>1.04</td></tr> <tr><td>2020</td><td>0.42</td><td>0.75</td></tr> <tr><td>2021</td><td>0.62</td><td>0.46</td></tr> <tr><td>2022</td><td>0.57</td><td>0.46</td></tr> </tbody> </table> <p style="text-align: center;">Monitoring Peat Soil Subsidence Umbul Mas Wisesa South Estate (in cm)</p> <table border="1"> <thead> <tr> <th>Year</th> <th>Average Subsidence UMWS (cm)</th> </tr> </thead> <tbody> <tr><td>2014</td><td>0.00</td></tr> <tr><td>2015</td><td>1.05</td></tr> <tr><td>2016</td><td>2.64</td></tr> <tr><td>2017</td><td>1.90</td></tr> <tr><td>2018</td><td>1.18</td></tr> <tr><td>2019</td><td>0.99</td></tr> <tr><td>2020</td><td>0.23</td></tr> <tr><td>2021</td><td>0.56</td></tr> <tr><td>2022</td><td>0.60</td></tr> </tbody> </table> | Year | Avg. Subs. TUME (cm) | Avg. Subs. UMWN (cm) | 2014 | 3.38 | 3.08 | 2015 | 4.13 | 1.55 | 2016 | 3.65 | 3.7 | 2017 | 1.65 | 1.12 | 2018 | 1.12 | 0.63 | 2019 | 1.05 | 1.04 | 2020 | 0.42 | 0.75 | 2021 | 0.62 | 0.46 | 2022 | 0.57 | 0.46 | Year | Average Subsidence UMWS (cm) | 2014 | 0.00 | 2015 | 1.05 | 2016 | 2.64 | 2017 | 1.90 | 2018 | 1.18 | 2019 | 0.99 | 2020 | 0.23 | 2021 | 0.56 | 2022 | 0.60 | |
|-------|--|---|----------|----------------------|----------------------|------|------|------|------|------|------|------|------|-----|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------------------------------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|--|
| Year | Avg. Subs. TUME (cm) | Avg. Subs. UMWN (cm) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2014 | 3.38 | 3.08 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2015 | 4.13 | 1.55 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2016 | 3.65 | 3.7 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2017 | 1.65 | 1.12 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2018 | 1.12 | 0.63 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2019 | 1.05 | 1.04 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2020 | 0.42 | 0.75 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2021 | 0.62 | 0.46 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2022 | 0.57 | 0.46 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Year | Average Subsidence UMWS (cm) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2014 | 0.00 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2015 | 1.05 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2016 | 2.64 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2017 | 1.90 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2018 | 1.18 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2019 | 0.99 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2020 | 0.23 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2021 | 0.56 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2022 | 0.60 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 7.7.4 | (C) Availability of implementation evidence of the water and land cover management program. | Water management program that implemented by unit of certification is developing drain, water gate, water level pole and piezometer to monitor peat subsidence and maintaining water level. | Complied | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

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| | <p>- Critical (Major) compliance -</p> | <p>The measurement conducted in weekly basis. While land cover is naturally growth with <i>Nephrolepis</i> sp. species. The water level and peat subsidence equipment that has been installed onsite as below:</p> <ol style="list-style-type: none"> 1. Installed water gate to control drainage; 112 unit at UMWN; 484 unit at UMWS; 95 unit at TUME. 2. Installed water level pole (near water gate) to monitor water level at two weeks interval; 147 unit at UMWN; 684 unit at UMWS; 56 unit at TUME. 3. Installed piezometer (inside plantation blocks) to monitor the water table at two weeks interval; 29 unit at UMWN; 52 unit at UMWS; 18 unit at TUME. <p>Installed peat subsidence poles, in order to monitor peat subsidence on annual basis; 7 unit at UMWN; 8 unit at UMWS; 3 unit at TUME.</p> | |
| <p>7.7.5</p> | <p>(C) Drainability assessments are conducted for plantations planted on peat following the RSPO Drainability Assessment Procedure, or other method recognized by RSPO, (at least five years or in accordance with the provisions of the RSPO Drainability Assessment Procedure) before replanting. The results of the assessment are used to determine the period of replanting to be carried out, as well as to gradually replace oil palm cultivation for at least 40 years or two cycles, (whichever is longer), before reaching the natural gravitational drainage limit for peat. If oil palm is gradually replaced, it is replaced by other commodity crops that are better suited for higher groundwater levels (paludiculture) or rehabilitated with natural vegetation.</p> <p>- Critical (Major) compliance -</p> | <p>Planting year in unit of certification are 2005 – 2011. Replanting program approximately will be started in 2030.</p> <p>Water management plan are established and implemented by unit of certification as per best management practices on peatland area and Indonesia national regulations requirements.</p> <p>Water management activities which conducted by unit of certification i.e permanent well monitoring, water gate installation, monitoring peat subsidence and monitoring flood area.</p> <p>Drainability assessment will be implemented when replanting program started.</p> <p>According to the planting years, this indicator is not applicable at current time.</p> | <p>Complied</p> |

PROCEDURAL NOTE: For 7.7.5: Detailed information on the RSPO Drainability Assessment Guide along with related concepts and detailed actions is contained in the Guidelines currently being adjusted / tested by the RSPO Working Group on Peatlands (Peatland Working Group / PLWG). The final version must obtain PLWG approval in January 2019 and will include additional Guide on the steps to be followed after deciding not to replant and the consequences for other stakeholders, farmers, local

communities, and the unit of certification concerned. It is recommended that the trial methodology period is proposed to be extended for 12 months for all relevant management units (ie management units that have plantations on peat) to utilize the methodology and provide input to PLWG so that existing procedures can be further refined as needed before January 2020. The unit of certification has the option to delay replanting until the issuance of the revised Guidelines for the guidelines. Additional guidance for alternative commodity crops and rehabilitation of natural vegetation will be regulated by the PLWG.

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| <p>7.7.6</p> | <p>(C) All existing plantations on peat are managed according to applicable laws and/or "RSPO Guidelines for Best Management Practices (BMP) for Oil Palm Cultivation that are already on Peatlands", version 3 (June 2019) along with related audit guidelines (May 2019). - Critical (Major) compliance -</p> | <p>All existing plantings on peat in UMWS Estate, UMWN Estate and TUM Estate are managed according to the RSPO Manual on Best Management Practices (BMPs) for existing oil palm cultivation on peat, version 2 (2018) which described in SOP Water Management in Peat (OPM-09-01/15-02-2019/Rev.3).</p> <p>Implementation of water management program that documented in "Program Kerja Water Management UMWS Tahun 2022" covers monitoring of water table conducted 2 round each month; peat subsidence pole 2 rounds each year; water pole conducted 4 rounds in a year; water gate 9 rounds in a year. Based on "Form Monitoring Peat Subsidence Pole", there are 25 peat subsidence poles in UMWS Estate. During this ASA 1_3 observed total peat subsidence in Block D16 Div. II UMWS Estate is 3.89 cm (peat subsidence pole installed in Jun 2020). Monitoring of peat subsidence recorded in "Form Monitoring Peat Subsidence Pole (PSP).</p> <p>Other record evident is "Form Monitoring Water Level", described record of water table and water pole maintenance contains date, block number, upkeep of water pole, making water pole, upkeep water table, making water table, upkeep peat subsidence pole, pipe cleaning, repaint, weeding and cleaning signboard. During this ASA 1_3 observed digital water level monitoring in Block D16 Div. II UMWS Estate is -37.5 cm.</p> <p>Map of piezometer, water level and water gate are available in scale 1:67,500, for example "Point TMAP Pasca Survey". Based on water table monitoring PT Umbul Mas Wisesa 31 December 2022, stated</p> | <p>Complied</p> |
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| | | <p>that water table monitoring in Block D14 is -21.18 cm; Block D27 is -3.50 cm.</p> <p>Implementation of water management program that documented in "<i>Program Kerja Water Management UMWN Tahun 2022</i>" described water table conducted 2 round each month; peat subsidence pole 2 round each year; water pole upkeep conducted 4 rounds in a year; water gate upkeep conducted 9 rounds in a year. During this ASA 1_3 observed digital water level monitoring at Block H17 Div. II UMWN Estate is -18 cm. Based on "<i>Form Monitoring Peat Subsidence Pole</i>", there are 21 peat subsidence poles in UMWN Estate. Observed peat subsidence pole at Block H17 in good condition. Other record evident is "<i>Form Monitoring Water Level</i>". Observed water level monitoring UMWN Estate in Block H17 is -32 cm. Available record of water table and water pole maintenance contains date, block number, upkeep of water pole, making water pole, upkeep water table, making water table, upkeep peat subsidence pole, pipe cleaning, repaint, weeding and cleaning signboard.</p> <p>Implementation of water management program that documented in "<i>Program Kerja Water Management TUM Tahun 2022</i>" described monitoring of water table conducted 2 round each month; peat subsidence pole monitoring conducted 2 round each year; water pole upkeep conducted 4 rounds in a year; water gate upkeep conducted 9 rounds in a year. Based on "<i>Form Monitoring Peat Subsidence Pole</i>", there are 4 peat subsidence poles in TUM Estate. Other record evident is "<i>Form Monitoring Water Level</i>". Available record of water table and water pole maintenance contains date, block number, upkeep of water pole, making water pole, upkeep water table, making water table, upkeep peat subsidence pole, pipe cleaning, repaint, weeding and cleaning signboard. Audit team visited water table monitoring, water pole and peat subsidence pole.</p> | |
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| | | All station visited are in good condition and scale are readable. Furthermore, the unit of certification has made improvement in establishing Watergate using calcic board. | |
| 7.7.7 | <p>(C) All peat areas not planted and reserved in managed areas (regardless of depth) are protected as 'peatland conservation areas'; unit of certification are prohibited from constructing drainage channels, building roads and new electricity lines on peatlands; unless if it is for a non-corporate land clearance. Peatlands are managed in accordance with 'RSPO Best Management Practices for the Management and Rehabilitation of Natural Vegetation related to Oil Palm Cultivation that already exists in Peatlands' (the latest version) along with relevant audit guidelines.</p> <p>- Critical (Major) compliance -</p> | All peat areas that are not planted are reserved and protected as conservation area. The area are exist in PT Umbul Mas Wisesa (196.09 Ha) and PT Toton Usaha Mandiri (57.54 Ha). There is no additional planting carried out by the unit of certification. | Complied |
| Criteria 7.8: Practices maintain the quality and availability of surface and groundwater. | | | |
| 7.8.1 | <p>A water management plan is available and is implemented to support efficient use of water sources and continuous availability and avoid negative impacts on other users in the catchment. The plan referred to contains the following matters:</p> <p>- Minor compliance -</p> <p>7.8.1a The unit of certification does not limit access to clean water or does not pollute the water used by the community.</p> | <p>Unit of certification has permit for Extension of Surface Water Utilization based on Decree of the Head of the Integrated Licensing Service Agency for Sumatra Utara Province number 610/39/BISMPMPTSP/6/IX.1/I/2018 dated January 3 2018, the maximum allowable discharge is 16.67 liters per second.</p> <p>The unit of certification has established document of "Water Management Plan", dated 2 January 2023. The water management plan identified the water source for mill processing need and domestic water need is from Barumon river, explanation on the water treatment process. The water management plan explains on the water intake/consumption, permit, water need for palm oil mill – based on water consumption/MT FFB processed, water need for domestic use, plan to record the water consumption – on monthly basis.</p> <p>The water management plan explains the effort for water saving: installing automatic control system at water intake, to reduce</p> | Complied |

| | | | |
|--------------|---|--|-----------------|
| | | <p>leakage throughout water piping network, continuously have awareness to all worker on the importance of saving water. The water management plan explains the effort to maintain water quality and schedule for water quality test according to Regulation of Ministry of Health No.492/MENKES/PER/IV/2010 regarding requirement of drinking water quality.</p> <p>Distribution of clean water to emplacement conducted daily at 10.00 to 10.30 AM and 02.30 to 06.00 PM. Distribution of drinking water: operator filled up distribution tank in the morning, noon and afternoon, operator ensure that clean water distribution is fair to all employees according to capacity defined by the company. The water management plan includes facility maintenance by tank cleaning and maintenance of water tank and all supporting facility of water distribution. Based on interview with workers and their family, there is no limitation to access clean water.</p> <p>Based on document verification, water surface usage within 2022 are still less than the permit. The auditor team concluded the water management plan has been well implemented.</p> | |
| | <p>7.8.1b Workers have adequate access to clean water.</p> | <p>Unit of certification provides access to clean water for the workers by installing water filtration at each housing compound. The installation of water filtration due to water availability on peatland is not feasible to consume by workers. Number of water filtration in UMWS and UMW POM compound is three (3) units, while in UMWN and TUME compound consist of two (2) units. The water filtration unit installed which aims to provide adequate access to clean water for workers.</p> <p>Based on interview with worker during field observation, stated that water supplies are adequate.</p> | |
| <p>7.8.2</p> | <p>(C) Water courses and wetlands are protected, including the maintenance and restoration of riparian zones and other buffer zones during or before</p> | <p>Unit of certification located mostly on peatland which categorized as wetlands. The are no river cross over the unit of certification.</p> | <p>Complied</p> |

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| | <p>replanting, in accordance with the "RSPO Manual on BMPs for the management and rehabilitation of riparian reserves" (April 2017) or Simplified Guide Management and Rehabilitation of Riparian Reserves (2018).</p> <p>- Critical (Major) compliance -</p> | <p>Barumun river as source for processing and domestic use for workers and community located outside the unit of certification. Buffer zones of Barumun river are well maintained as observed during field visit. The unit of certification has preserved area alongside drain zone and no chemical applied. Spraying worker aware that they are prohibited to applied chemical near the water flow.</p> | | | | | |
|-------------------|---|--|-------------------|--------------|--|--|-----------------|
| <p>7.8.3</p> | <p>Mill effluent is managed according to applicable regulations. The quality of mill effluent discharged, especially BOD (Biochemical Oxygen Demand) is monitored in accordance with applicable regulations.</p> <p>- Minor compliance -</p> | <p>During ASA 1.3 – year 2023, UMW POM has monitored the mill effluent in compliance with national regulation as per document "Laporan Hasil Analisa Limbah Cair PT Umbul Mas Wisesa - UMW POM year 2022.</p> <p>Measurement of mill effluent quality conducted by accreditation environmental testing and laboratory (PT ITEC Solution Indonesia).</p> <p>Sample certificate analysis – year 2022:</p> <ul style="list-style-type: none"> - Dated 11 October 2022, under "Laporan Hasil Uji", no: D.10.2080/LHU/2022, subject: he quality of mill effluent. The result: BOD5: 91.72 mg/lit, COD: 339.09 mg/lit, minyak lemak: 24.60 mg/lit, Nitrogen: 32.10 mg/lit, Total suspended solid (TSS): 165 mg/lr, PH: 7.10. The result shown complied with national regulation (PermenLH.RI No 5 tahun 2014 Lampiran II, standard of BOD is 100 Mg/Liter) - Dated 15th December 2022, under "Laporan Hasil Uji", no: D.12.2664/LHU/2022, subject: he quality of mill effluent. The result: BOD5: 46.82 mg/lit, COD: 157.91 mg/lit, minyak lemak: 14.8 mg/lit, Nitrogen: 25.17 mg/lit, Total suspended solid (TSS): 96 mg/lr, PH: 7.5. The result shown complied with national regulation (PermenLH.RI No 5 tahun 2014 Lampiran II, standard of BOD is 100 Mg/Liter) <p>Record of BOD5 monitoring year 2022:</p> <table border="1" data-bbox="1137 1334 1688 1391"> <thead> <tr> <th data-bbox="1137 1334 1408 1391">Month – year 2022</th> <th data-bbox="1408 1334 1688 1391">BOD5 (Mg/Lt)</th> </tr> </thead> <tbody> <tr> <td> </td> <td> </td> </tr> </tbody> </table> | Month – year 2022 | BOD5 (Mg/Lt) | | | <p>Complied</p> |
| Month – year 2022 | BOD5 (Mg/Lt) | | | | | | |
| | | | | | | | |

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|---------|--|--|----------|-------|---------|-------|---------|-------|---------|-------|---------|-------|---------|-------|---------|-------|---------|-------|---------|-------|---------|-------|---------|-------|---------|-------|--|
| | | <table border="1"> <tr><td>Jan '22</td><td>25.38</td></tr> <tr><td>Feb '22</td><td>15.26</td></tr> <tr><td>Mar '22</td><td>16.80</td></tr> <tr><td>Apr '22</td><td>19.80</td></tr> <tr><td>May '22</td><td>15.72</td></tr> <tr><td>Jun '22</td><td>60.70</td></tr> <tr><td>Jul '22</td><td>84.96</td></tr> <tr><td>Aug '22</td><td>81.12</td></tr> <tr><td>Sep '22</td><td>84.12</td></tr> <tr><td>Oct '22</td><td>91.72</td></tr> <tr><td>Nov '22</td><td>71.23</td></tr> <tr><td>Dec '22</td><td>46.82</td></tr> </table> <p>Based on the result above, all the parameter was complied with national regulation (PermenLH.RI No 5 tahun 2014 Lampiran II, standard of BOD is 100 Mg/Liter).</p> <p>PT Umbul Mas Wisesa – UMW POM has prepared the report on monitoring and measurement of mill effluent quality. The report has been submitted to Environmental Agency in Labuhanbatu Selatan Regency. Last report under document “Laporan Kinerja Pengelolaan Lingkungan PT Umbul Mas Wisesa – UMW POM” period October – December 2022.</p> | Jan '22 | 25.38 | Feb '22 | 15.26 | Mar '22 | 16.80 | Apr '22 | 19.80 | May '22 | 15.72 | Jun '22 | 60.70 | Jul '22 | 84.96 | Aug '22 | 81.12 | Sep '22 | 84.12 | Oct '22 | 91.72 | Nov '22 | 71.23 | Dec '22 | 46.82 | |
| Jan '22 | 25.38 | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Feb '22 | 15.26 | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Mar '22 | 16.80 | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Apr '22 | 19.80 | | | | | | | | | | | | | | | | | | | | | | | | | | |
| May '22 | 15.72 | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Jun '22 | 60.70 | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Jul '22 | 84.96 | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Aug '22 | 81.12 | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Sep '22 | 84.12 | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Oct '22 | 91.72 | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Nov '22 | 71.23 | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Dec '22 | 46.82 | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 7.8.4 | Mill water use per tonne of FFB is monitored and recorded. - Minor compliance - | PT Umbul Mas Wisesa - UMW POM has shown the document of mill water use per tonne of Fresh Fruit Bunches (FFB) in 2022 as per | Complied | | | | | | | | | | | | | | | | | | | | | | | | |

| | | document "Laporan Pemakaian Air Pabrik per MT FFB tahun 2022". Standard 1.20 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|---|---|--|----------------------------|-----------|--|----------------------------|---------|-----------|----------|------|----------|-----------|----------|------|-------|-----------|-----------|------|-------|-----------|-----------|------|-----|-----------|-----------|------|------|-----------|-----------|------|------|-----------|-----------|------|--------|-----------|-----------|------|-----------|-----------|-----------|------|---------|-----------|-----------|------|----------|-----------|-----------|------|----------|-----------|----------|------|-------|------------|------------|------|--|
| | | <table border="1"> <thead> <tr> <th>Month - 2022</th> <th>FFB (ton)</th> <th>Water us for Process (m³)</th> <th>Rate (ton/m³)</th> </tr> </thead> <tbody> <tr> <td>January</td> <td>10,642.13</td> <td>9,501.90</td> <td>1.12</td> </tr> <tr> <td>February</td> <td>11,014.19</td> <td>8,672.59</td> <td>1.27</td> </tr> <tr> <td>March</td> <td>15,692.68</td> <td>13,887.33</td> <td>1.13</td> </tr> <tr> <td>April</td> <td>14,998.86</td> <td>12,294.15</td> <td>1.22</td> </tr> <tr> <td>May</td> <td>15,727.87</td> <td>12,891.70</td> <td>1.22</td> </tr> <tr> <td>June</td> <td>20,810.23</td> <td>15,189.95</td> <td>1.37</td> </tr> <tr> <td>July</td> <td>18,059.88</td> <td>13,786.17</td> <td>1.31</td> </tr> <tr> <td>August</td> <td>18,376.26</td> <td>15,979.36</td> <td>1.15</td> </tr> <tr> <td>September</td> <td>17,374.67</td> <td>13,899.74</td> <td>1.25</td> </tr> <tr> <td>October</td> <td>14,761.75</td> <td>13,063.50</td> <td>1.13</td> </tr> <tr> <td>November</td> <td>12,159.94</td> <td>10,954.90</td> <td>1.11</td> </tr> <tr> <td>December</td> <td>11,518.95</td> <td>9,215.16</td> <td>1.25</td> </tr> <tr> <td>Total</td> <td>181,137.47</td> <td>149,597.36</td> <td>1.21</td> </tr> </tbody> </table> | Month - 2022 | FFB (ton) | Water us for Process (m ³) | Rate (ton/m ³) | January | 10,642.13 | 9,501.90 | 1.12 | February | 11,014.19 | 8,672.59 | 1.27 | March | 15,692.68 | 13,887.33 | 1.13 | April | 14,998.86 | 12,294.15 | 1.22 | May | 15,727.87 | 12,891.70 | 1.22 | June | 20,810.23 | 15,189.95 | 1.37 | July | 18,059.88 | 13,786.17 | 1.31 | August | 18,376.26 | 15,979.36 | 1.15 | September | 17,374.67 | 13,899.74 | 1.25 | October | 14,761.75 | 13,063.50 | 1.13 | November | 12,159.94 | 10,954.90 | 1.11 | December | 11,518.95 | 9,215.16 | 1.25 | Total | 181,137.47 | 149,597.36 | 1.21 | |
| Month - 2022 | FFB (ton) | Water us for Process (m ³) | Rate (ton/m ³) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| January | 10,642.13 | 9,501.90 | 1.12 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| February | 11,014.19 | 8,672.59 | 1.27 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| March | 15,692.68 | 13,887.33 | 1.13 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| April | 14,998.86 | 12,294.15 | 1.22 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| May | 15,727.87 | 12,891.70 | 1.22 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| June | 20,810.23 | 15,189.95 | 1.37 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| July | 18,059.88 | 13,786.17 | 1.31 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| August | 18,376.26 | 15,979.36 | 1.15 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| September | 17,374.67 | 13,899.74 | 1.25 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| October | 14,761.75 | 13,063.50 | 1.13 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| November | 12,159.94 | 10,954.90 | 1.11 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| December | 11,518.95 | 9,215.16 | 1.25 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Total | 181,137.47 | 149,597.36 | 1.21 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Criteria 7.9: Efficiency of fossil fuel use and the use of renewable energy is optimised | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 7.9.1 | Plans to increase the efficiency of fossil fuel use and to optimize renewable energy are available, monitored and documented. - Minor compliance - | Improving use of fossil fuel (through maintain engine and machinery efficiency). The repair process including replacement main steam valve, replace safety valve, replace manometer, replace cable tray, replace foot blowdown valve, replace chemical dosing pump. HSD Oil usage per metric ton FFB and fruit fibre and shell for processed year 2022 in UMW POM: | Complied | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

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| Months - 2022 | FFB Processed (Kgs) | Fruits Fibres (~ 13 % of FFB Processed) (Kgs) | Shell (~6 % of FFB Processed) (Kgs) | Energy/Kgs CPO Kcal Energy/Kgs CPO |
|---------------|---------------------|---|-------------------------------------|---------------------------------------|
| January | 10,642.13 | 1,383.48 | 638.53 | 2,160.16 |
| February | 11,014.19 | 1,431.85 | 660.85 | 2,149.38 |
| March | 15,692.68 | 2,040.05 | 941.56 | 2,071.71 |
| April | 14,998.86 | 1,949.85 | 899.93 | 2,065.49 |
| May | 15,727.87 | 2,044.62 | 943.67 | 2,112.96 |
| June | 20,810.23 | 2,705.33 | 1,248.61 | 2,165.10 |
| July | 18,059.88 | 2,347.78 | 1,083.59 | 2,183.35 |
| August | 18,376.26 | 2,388.91 | 1,102.58 | 2,181.61 |
| September | 17,374.67 | 2,258.71 | 1,042.48 | 2,144.44 |
| October | 14,761.75 | 1,919.03 | 885.71 | 2,149.33 |
| November | 12,159.94 | 1,580.79 | 729.60 | 2,199.63 |
| December | 11,518.95 | 1,497.46 | 691.14 | 2,213.66 |

Criteria 7.10: Plans to reduce pollution and emissions, including greenhouse gases (GHG), are developed, implemented and monitored and new developments are designed to minimise GHG emissions.

| | | | |
|--------|---|--|----------|
| 7.10.1 | <p>(C) GHG emissions for the unit of certification are identified and assessed. Plans to reduce or minimize GHG emissions are implemented, monitored through the PalmGHG calculator, and reported publicly.</p> <p>- Critical (Major) compliance -</p> | <p>During ASA 1.3-year 2023, the auditor team has verified the identification and assessed the GHG emissions.</p> <ul style="list-style-type: none"> PT Umbul Mas Wisesa – UMW POM has procedure Calculation and Mitigation of Green House Gas Emission No.ENC 01-12/04-03-2019/Rev.1 dated 18 March 2019, whereby provide guidance | Complied |
|--------|---|--|----------|

| | | | |
|--|--|--|--|
| | | <p>to register source of emissions as result of company operation, monitor and reduce the use of materials emitting GHG emission, calculate GHG emission from company operational activities. Source of emission inventory from estate operation: use of inorganic fertilizer Urea (N), Dolomite (CaO), MOP (K₂O), Rock Phosphate (P₂O₅), use of pesticide, use HSD fuel, planted area, mature oil palm, FFB production, distance estate to POM, distance POM to bulking tank, OER %, KER %, presence of methane capture facility, etc.</p> <ul style="list-style-type: none"> • Handling emission from steam boiler in Umbul Mas Wisesa POM No.UMWPOM-WI/PROD/009E, consist of guideline for minimizing soot pollution/particulate: ensure fibre and shell feed; minimize shell to prevent black smoke; setting air damper for ignition, avoid excess air; dumping grate on regular basis, to minimize unburn fuel; shoot blowing every 4 hours to clean heat exchanger pipes; ensure dust collector operating in good manner; monitor boiler exhaust emission through smoke density meter; monitor boiler emission every 6 months by independent laboratory; record any problem. • Handling emission from engine generator No.UMWPOM-WI/PROD/010D, consist of guideline for minimizing emission: ensure the generator operating at permitted load (80% from design); replace air filter element (every 1,250 hours), replace fuel filter (every 500 hours), replace oil filter (250 hours) – on regular basis; replace engine lubricant every 250 hours; should black smoke sighted – check engine load; should the black smoke sighted – check injection pump; monitor engine emission every 6 months by independent laboratory; | |
|--|--|--|--|

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| <p>7.10.2</p> | <p>(C) Since 2014, an estimate of carbon stocks in the proposed development area has been carried out along with potential sources of emissions that can occur directly as a result of the development and plans to minimize these emissions are prepared and implemented (following the RSPO GHG Assessment Procedure for New Development). - Critical (Major) compliance -</p> | <p>PT Umbul Mas Wisesa – UMW POM has shown record of “Hectare Statement updated in updated in January 2023”, recording the land preparation and planting realization. HCV area and the vegetation are maintained. There is no planting year after 2014.</p> <table border="1" data-bbox="1137 547 1942 1026"> <thead> <tr> <th>No</th> <th>Planting Year</th> <th>UMW South Estate (Ha)</th> <th>UMW South Estate (Ha)</th> <th>TUM Estate (Ha)</th> </tr> </thead> <tbody> <tr> <td>1</td> <td>2005</td> <td>1,003.76 Ha</td> <td>-</td> <td>-</td> </tr> <tr> <td>2</td> <td>2006</td> <td>234.27 Ha</td> <td>43.22 Ha</td> <td>89.22 Ha</td> </tr> <tr> <td>3</td> <td>2007</td> <td>1,622.59 Ha</td> <td>440.52 Ha</td> <td>64.23 Ha</td> </tr> <tr> <td>4</td> <td>2008</td> <td>769.87 Ha</td> <td>666.25 Ha</td> <td>495.36 Ha</td> </tr> <tr> <td>5</td> <td>2009</td> <td>351.97 Ha</td> <td>819.55 Ha</td> <td>486.14 Ha</td> </tr> <tr> <td>6</td> <td>2010</td> <td>256.08 Ha</td> <td>399.59 Ha</td> <td>-</td> </tr> <tr> <td>7</td> <td>2011</td> <td>207.64 Ha</td> <td>227.32 Ha</td> <td>-</td> </tr> <tr> <td colspan="2">Total</td> <td>4,446.18 Ha</td> <td>2,596.45 Ha</td> <td>1,134.95 Ha</td> </tr> </tbody> </table> | No | Planting Year | UMW South Estate (Ha) | UMW South Estate (Ha) | TUM Estate (Ha) | 1 | 2005 | 1,003.76 Ha | - | - | 2 | 2006 | 234.27 Ha | 43.22 Ha | 89.22 Ha | 3 | 2007 | 1,622.59 Ha | 440.52 Ha | 64.23 Ha | 4 | 2008 | 769.87 Ha | 666.25 Ha | 495.36 Ha | 5 | 2009 | 351.97 Ha | 819.55 Ha | 486.14 Ha | 6 | 2010 | 256.08 Ha | 399.59 Ha | - | 7 | 2011 | 207.64 Ha | 227.32 Ha | - | Total | | 4,446.18 Ha | 2,596.45 Ha | 1,134.95 Ha | <p>Complied</p> |
|---------------|--|---|-----------------------|-----------------|-----------------------|-----------------------|-----------------|---|------|-------------|---|---|---|------|-----------|----------|----------|---|------|-------------|-----------|----------|---|------|-----------|-----------|-----------|---|------|-----------|-----------|-----------|---|------|-----------|-----------|---|---|------|-----------|-----------|---|-------|--|-------------|-------------|-------------|-----------------|
| No | Planting Year | UMW South Estate (Ha) | UMW South Estate (Ha) | TUM Estate (Ha) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1 | 2005 | 1,003.76 Ha | - | - | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2 | 2006 | 234.27 Ha | 43.22 Ha | 89.22 Ha | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 3 | 2007 | 1,622.59 Ha | 440.52 Ha | 64.23 Ha | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 4 | 2008 | 769.87 Ha | 666.25 Ha | 495.36 Ha | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 5 | 2009 | 351.97 Ha | 819.55 Ha | 486.14 Ha | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 6 | 2010 | 256.08 Ha | 399.59 Ha | - | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 7 | 2011 | 207.64 Ha | 227.32 Ha | - | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Total | | 4,446.18 Ha | 2,596.45 Ha | 1,134.95 Ha | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <p>7.10.3</p> | <p>(C) Other significant pollutant identification results are available and plans to reduce or minimize them are implemented and monitored. - Critical (Major) compliance -</p> | <p>During ASA 1.3 – year 2023, PT Umbul Mas Wisesa – UMW POM has shown the document on monitoring of pollution and emission quality for plantation has been planned and implemented e.g.: air quality ambient for boiler and power generator, water quality test for surface and ground water, effluent water quality, noise level. Monitoring result is incorporated in RKL/RPL (environmental management and monitoring plan) and reported regularly to the government. In UMW POM, all emission are monitored. Data verified during ASA 1.3 – year 2023:</p> | <p>Complied</p> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

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| | | <ul style="list-style-type: none"> • Report of RKL – RPL “environmental management and monitoring plan” period first Semester 2022 (January – June 2022), was submitted to Environmental Agency in Labuhanbatu Regency (DLH – Dinas Lingkungan Hidup) dated 12 August 2022, was received by Ibu Nur Suci Wulandari (Staff in DLH Kabupaten Labuhanbatu) • Emission Certificate of Analysis, sample location: Genset No 2 (Cerobong 1 – UMW POM), Certificate number: DIL-2201193COA, sample matrix is emission. The result for all parameter NO2: 338.12 mg/m3, CO: 299.07 mg/m3, Partikel: 25.2 mg/m3, SO2: 32.68 mg/m3, Velocity: 15.6%, result shown comply with “Peraturan Menteri Negara Lingkungan Hidup No 13 tahun 2009 (Lampiran Ia No1), testing carried out by Delta Indonesia Laboratory, dated 29 August 2022. • Air Ambient - Certificate of Analysis, sample location in Badminton Yard, Certificate no: DIL-2201193COA, The result for all parameter SO2: 25.09 mg/m3, CO: 1376 mg/m3, NO2: 10.45 mg/m3, O3: 3.68 mg/m3, total suspended particulate: 129.4 mg/m3, HC: 18.54 mg/m3, Pb: <0.05 mg/m3, PM2.5: 50.9 mg/m3, PM10: 13.45 mg/m3. The result shown comply with “Peraturan Pemerintah RI No 22 tahun 2021 lampiran VII tentang Penyelenggaraan dan Pengelolaan Lingkungan Hidup”, testing carried out by Delta Indonesia Laboratory, dated 29 August 2022. <p>Noise Level - Certificate of Analysis no: DIL-2201193COA, location in powerhouse station (86.9 dB), boiler station (82.6 dB), sterilizer station (76.5 dB), clarification station (77.5 dB), Kernel station (85.5 dB), Pressing station (86.6 dB), workshop (74.6 dB), Biogas plant (64.0 dB), Loading ramp station (69.6 dB), Office (53.7 dB). Testing carried out by Delta Indonesia Laboratory, dated 29 August 2022. Regulation reference: Environment Ministry Decree of RI number 48, year 1996.</p> | |
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| Criteria 7.11: Fire is not used for preparing land and is prevented in the managed area. | | | |
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| 7.11.1 | <p>(C) Land for new planting or replanting is not prepared by burning. - Critical (Major) compliance -</p> | <p>Planting year in unit of certification are 2005 – 2011. Updated during ASA 1.3-year 2023, The current activities only replanting which using manual method, tractor ploughing, cover crops planting and oil palm planting. Based on field visit to replanting area in Toton Usaha Mandiri Estate, land preparation by burning was not proven.</p> | Complied |
| 7.11.2 | <p>The unit of certification establishes fire prevention and control measures for lands that are directly managed by the unit of certification. - Minor compliance -</p> | <p>PT Umbul Mas Wisesa – UMW POM has list of “Sarana dan Prasarana Pengendalian Kebakaran Lahan Perkebunan” where the list fire equipment as referred to Minister of Agriculture Regulation No. 05/PERMENTAN/KB.410/ 1/2018. Based on field visit to replanting area in Toton Usaha Mandiri Estate, Fire Monitoring Tower is available in place. PT Umbul Mas Wisesa – UMW POM has also established procedure of Land Fire Prevention and Control (OHS-01-12/06-12-2019/Rev.0, dated 6 Dec 2019). The procedure describing fire prevention and control measures as follow:</p> <ul style="list-style-type: none"> • Determining Level of Fire Hazard Risks • Determining of Fire Hazard Risks Status. <ul style="list-style-type: none"> - Green (Low): Normal - Yellow (Medium): no rain falls 6-9 days (on peat soil) or 14-20 days (on mineral soil). - Red (High): no rain falls more than 10 days (on peat soil) or more than 21 days (mineral soil). - Black (Extreme): land situation is very dry and several hot spot points occurs outside the plantation. | Complied |

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| | | <ul style="list-style-type: none"> • Fire Control measures are based on Level of Fire Hazard Risks Colour and referred to signboard of Fire Risk Status. • Fire Control (if occurs) • Fire Response Team with appropriate resources also provides in UMW South Estate and UMW North Estate and registered by relevant agency. Fire watch tower also available in each estate to monitor fire adjacent the plantation. | |
| 7.11.3 | <p>The unit of certification engages stakeholders in adjacent locations for fire prevention and control measures.</p> <p>- Minor compliance -</p> | <p>Updated during ASA 1.3 – year 2023, PT Umbul Mas Wisesa – UMW POM engages stakeholders in adjacent locations for fire prevention and control measures, such as surrounding villages of Tolan Estate are Meranti village; Seri Pinang village; Kampung Bangun.</p> <p>Based on phone interview with representative of local communities (Tanjung Mulia village and Sei Toras village),</p> <p>PT Umbul Mas Wisesa – UMW POM has also periodically dissemination of fire prevention and involving surrounding communities</p> | Complied |

Criteria 7.12: Land clearing does not cause deforestation or damage any area required to protect or enhance High Conservation Values (HCVs) or High Carbon Stock (HCS) forest. HCVs and HCS forests in the managed area are identified and protected or enhanced.

PROCEDURAL NOTE for 7.12:

The RSPO Principles and Criteria 2018 include new requirements to ensure the effective contribution of the RSPO in stopping deforestation. This will be achieved by incorporating the High Carbon Stock Approach (HCSA) Approach Guide into the revised standard.

The RSPO ToC also encourages RSPO to commit to balancing between sustainable livelihoods and reducing poverty with the need to conserve, protect and improve the quality of ecosystems.

High Forest Cover Countries (HFCC) are in dire need of economic opportunities that can help people choose their own path in carrying out development, while at the same time providing social and economic benefits and safeguards.

Procedures will be developed that are adapted to support the development of sustainable palm oil by indigenous peoples and local communities who have legal or customary rights. The procedure will apply in certain HFCC countries and in the High Forest Cover Landscape (HFCL) within it.

The development of this procedure will be guided by the No Deforestation Joint Steering Group (NDJSG) between the RSPO and HCSA members. In HFCC

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| <p>countries, RSPO will work with governments, communities and other stakeholders to develop this procedure through participatory processes at national and regional levels. The duration of this activity is specified in the Terms of Reference for NDJSG and is publicly available.</p> | | |
| <p>7.12.1</p> | <p>(C) Land clearing since November 2005 has not damaged primary forest or any area required to protect or enhance HCVs. Land clearing since 15 November 2018 has not damaged HCV or HCS forests.</p> <p>Historical analysis of Land Use Change Analysis (LUCA) is carried out before any new land clearing, in accordance with the RSPO LUCA Guidance document (see indicator 7.12.2).</p> <p>- Critical (Major) compliance -</p> | <p>PT Umbul Mas Wisesa (PT UMW) was established in 1997 and initial development planting was started in 2005 as RSPO non-member. In March 2007, SIPEF (RSPO member) acquiring this company and continued planting development until 2012. As part of RSPO requirements, that RSPO member shall follow new planting procedure for area developed prior HCV assessment. Therefore, PT UMW conducting HCV assessment in 2009 with independent consultant "Yayasan Kelapa Sawit Berkelanjutan Indonesia" (YASBI-Indonesian Sustainable Palm Oil Foundation). In November 2014, PT Umbul Mas Wisesa submit RSPO notification of proposed new planting (on-going planting) and uploaded at RSPO website on 12 December 2014.</p> <p>In that time, Land Use Change Analysis (LUCA) was not required but because of area being planted within period 2005-2009 prior to HCV assessment, then the company follow Remediation and Compensation Procedure (RaCP). The compensation plan was approved by RSPO in 2015 and detailed explanation related to Compensation Plan to comply with Remediation and Compensation Plan given in indicator 7.12.8.</p> <p>Within HCV identification document of PT Umbul Mas Wisesa, describes:</p> <ul style="list-style-type: none"> Section II.1.5 stated according to interpretation of landsat imagery 2007, almost all of plantation of PT. Umbul Mas Wisesa has been developed into open area and swamp bushes as a result from swamp forest clearing; the other area already in form of oil palm plantation. |
| | | <p>Complied</p> |

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| | | <ul style="list-style-type: none"> Section II.1.5 stated the land cover map year 2008/2009 the land cover in PT. Umbul Mas Wisesa in form of plantation and open peat area. Section II.1.6 stated according to overlay of forest area map against operation map PT. Umbul Mas Wisesa, it is located on "Area Penggunaan Lain/APL", or area for other purpose (including plantation). <p>Within HCV identification document of PT Toton Usaha Mandiri, describes:</p> <ul style="list-style-type: none"> Section II.1.5 stated according to interpretation of landsat imagery 2007, some area of plantation of PT. Toton Usaha Mandiri remains to be secondary swamp forest of 473.20 Ha and the rest has been developed into open area and swamp bushes. Section II.1.5 stated the land cover map year 2008 the land cover in PT. Toton Usaha Mandiri has been planted with oil palm plantation and the surrounding area is open peat area and community oil palm plantation. Section II.1.6 stated according to overlay of forest area map against operation map Toton Usaha Mandiri, it is located on "Area Penggunaan Lain/APL", or area for other purpose (including plantation). However, on the east side of PT. Toton Usaha Mandiri neighbouring with Protected Forest located in Riau Province. | |
| 7.12.2 | <p>(C) HCV and HCS forests, and other conservation areas are identified as follows:</p> <p>- Critical (Major) compliance -</p> | <p>Unit of certification is an existing plantation which HCV assessment was conducted in 2009 with independent consultant "Yayasan Kelapa Sawit Berkelanjutan Indonesia" (YASBI-Indonesian Sustainable Palm Oil Foundation). Reference of HCV Identification is Indonesian HCV</p> | Complied |

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| | <p>7.12.2a) For existing plantations, with an HCV assessments conducted by RSPO- approved assessors and have no new land clearing after 15 November 2018, the existing HCV assessments remains valid.</p> | <p>Toolkit, 2008. HCV assessment conducted by RSPO approved assessor.</p> <p>Total HCV areas PT Umbul Mas Wisesa was identified is 196.09 Ha and consist of 167 Ha is converted forest and riparian covering 20.79 Ha – in form of wildlife corridor.</p> <p>HCV areas which identified in working area of PT. Toton Usaha Mandiri i.e. HCV 1, HCV 3 and HCV 4 with a total area of 57.54 Ha in the form of conservation forest area of 39.30 Ha, border drain and corridors covering an area of 2.38 Ha.</p> <p>Planting year in unit of certification are 2005 – 2011, therefore no new land clearing after 15 November 2018.</p> | |
| | <p>7.12.2 b) Any new land clearing (in existing plantations or new plantings) after 15 November 2018 is preceded by an HCV-HCS assessment, using the latest HCSA Toolkit and HCV-HCSA Assessment Manual that is applicable at the time of the assessment. This includes stakeholder consultation and take into account wider landscape- level consideration.</p> | <p>Planting year in unit of certification are 2005 – 2011, therefore no new land clearing after 15 November 2018.</p> | |
| <p>7.12.3</p> | <p>(C) In High Forest Cover Landscapes (HFCLs) within HFCCs, a specific procedure will apply for legacy cases and development by indigenous peoples and local communities with legal or customary rights, taking into consideration regional and national multi-stakeholder processes. Until this procedure is developed and endorsed, 7.12.2 applies.</p> <p>- Critical (Major) compliance -</p> | <p>PT. Umbul Mas Wisesa - UMW POM and its supply base located in Sumatera Utara Province, Sumatera Island, Indonesia. Based on document "RSPO No Deforestation Consultancy: High Forest Cover Countries – Consultancy report on definitions and recommendations to the RSPO, June 2018" by Proforest; Indonesia fall into "High Remaining Forest, High Rate of Deforestation/HFHD". Refer to "Section 4.6 Statistics on potential high forest cover countries" – Indonesia categorized to have 53% forest cover; 15% Intact Forest Landscape; 54.2% forest (national source); 5.4% Oil Palm Land Area; 0.7%/year deforestation rate (FAO); Increasing Deforestation Trend; 0.9%/year deforestation rate (national source); constant deforestation rate/trend.</p> <p>PT. Umbul Mas Wisesa's estate and PT. Toton Usaha Mandiri Estate located under "Area Penggunaan Lain/APL" – Area for Other Purpose</p> | <p>Complied</p> |

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| | | <p>(non-forest area). But along the eastern boundary, the companies located next to Riau Province whereby the land designated as "Hutan Lindung/Protected Forest".</p> <p>PT. Umbul Mas Wisesa's NDPE policy:</p> <p>General Information: New Development Area and Replanting No.OPM- 03-00/02-10-2017, dated 20 November 2017. Stipulates "land clearing and replanting on peat land will be influenced by the type and depth of peat".</p> <p>General Information: Survey and Mapping No.OPM-03-01/02-10-2017, dated 20 November 2017. Stipulates company views on peat: "Especially for extensive peat soil, the distribution, level of maturity and depth of peat must be explained"; company view on conservation area: "the presence or absence of national park, production forest and limited production forest. Whether or not there is riparian reserves and conservation area".</p> <p>SOP Planting Plant – Approval No.ESD-01-02/11-03-2019 dated 27 March 2019. The planting approval process will review information on planting material (incl. seed certificate), soil information (soil type, peat depth map, drainability assessment, water management plan, drainage and Watergate map), topographic and slope information, presence of conservation area, OHS requirement and fire risk.</p> <p>This indicator is Not Relevant in Indonesia</p> | |
| <p>PROCEDURAL NOTE for 7.12.3: Indicator 7.12.3. is not relevant to Indonesia, until further decisions by the RSPO.</p> | | | |
| 7.12.4 | <p>(C) Where HCVs, HCS forests after 15 November 2018, peatland and other conservation areas have been identified, they are protected and/or enhanced. An Integrated management plans to protect and/or enhance HCV and HCS forests, peatland and other conservation areas are</p> | <p>Peat land is present. Maintenance, protection and enhancement as explained on Criterion 7.7.</p> <p>HCV area only present in UMW North Estate and TUM Estate.</p> | Complied |

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| | <p>developed, implemented and adapted if necessary, and contains monitoring requirements. The integrated management plan is reviewed at least once every five years. The integrated management plan was developed in consultation with relevant stakeholders and includes the directly managed area and any relevant wider landscape level considerations (where these are identified).</p> <p>- Critical (Major) compliance -</p> | <p>In 2022: "Program Kerja NKT PT. Umbul Mas Wisesa North and PT. Toton Usaha Mandiri 2022" is evident. The HCV management plan including Forest guard patrol in two weeks interval, upkeep HCV corridor in monthly basis, planting of corridor planned in July, monitoring of wildlife in monthly basis, upkeep HCV notice board planned in May, upkeep of HCV and corridor poles planned in January and June.</p> <p>Implementation: "Form Monitoring Satwa Liar UMW North dan TUM Estate" period December 2022: Javan Myna/<i>Acridotheres javanicus</i> (IUCN Vu) sighted 15 December 2022 in HCV TUM Estate; Slender-billed crow/<i>Corvus enca</i> (IUCN LC) sighted 24 December 2022 in HCV UMW North; Rufous-bellied Eagle/<i>Lophotriorchis kienerii</i> (IUCN NT) sighted 9 December 2022 in HCV TUM Estate.</p> <p>Based on document verification, the implementation of the maintenance, protection and enhancement of peatland is running well. All the program such as forest guard control, monitoring flora/fauna was running and ensuring no legume cover crop entering the HCV area.</p> <p>Until this audit, auditor team noted there is no change of management plan since previous assessment.</p> | |
| 7.12.5 | <p>Where rights of local communities have been identified in HCV areas and HCS forest after 15 November 2018, peatland and other conservation areas, there is no reduction of these rights without evidence of a negotiated agreement, obtained through FPIC, encouraging their involvement in the maintenance and management of these conservation areas.</p> <p>- Minor compliance -</p> | <p>All HCV located inside HGU/legal ownership of the land. There is no HCV area located in local community's land. HCV area only present in UMW North Estate and TUM Estate.</p> | Complied |

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| 7.12.6 | <p>All rare, threatened or endangered (RTE) species are protected, whether or not they are identified in an HCV assessment. A programme to regularly educate the workforce about the status of RTE species is in place. Appropriate disciplinary measures are taken and documented in accordance with company rules and national law if any individual working for the company is found to capture, harm, collect, trade, possess or kill these species.</p> <p>- Minor compliance -</p> | <p>In 2022, dissemination of HCV area and RTE species conducted at Sei Siarti village on 18 November 2022 and attended by 7 villagers. A programme to regularly educate the workforce about the status of RTE species is in place in form of "Program Kerja NKT PT. Umbul Mas Wisesa North and PT. Toton Usaha Mandiri 2023" is evident. The HCV management plan including Forest guard patrol in two weeks interval, upkeep HCV corridor in monthly basis, planting of corridor planned in July, monitoring of wildlife in monthly basis, upkeep HCV notice board planned in May, upkeep of HCV and corridor poles planned in January and June.</p> | Complied |
| 7.12.7 | <p>The status of HCVs, HCS forests after 15 November 2018, other natural ecosystems, peatland conservation areas and RTE species is monitored. Outcomes of this monitoring are fed back into the management plan.</p> <p>- Minor compliance -</p> | <p>Planting year in unit of certification are 2005 – 2011, therefore no new land clearing after 15 November 2018.</p> <p>Activities to maintain HCV area and its wildlife conducted by periodical monitoring on RTE species surrounding HCV area and daily patrol by security forces related possibility of illegal activities in HCV area. The entire monitoring result are fed back into the management plan.</p> <p>Until this audit, there is no changes management plan since previous assessment.</p> | Complied |
| 7.12.8 | <p>(C) Where there has been land clearing without prior HCV assessment since November 2005, or without prior HCV- HCSA assessment since 15 November 2018, the Remediation and Compensation Procedures (RaCP) applies.</p> <p>- Critical (Major) compliance -</p> | <p>Planting year in unit of certification are 2005 – 2011, therefore no new land clearing after 15 November 2018.</p> <p>The HCV assessment for PT. Umbul Mas Wisesa carried out on 2009 by "Yayasan Sawit Berkelanjutan Indonesia/YASBI".</p> <p>The company has planted some of areas before HCV identification was conducted by YASBI in 2009. For those areas, the company has gone through the "Compensation and Remediation Plan" and it has been approved by RSPO in February 2015 – before being certified.</p> | Complied |

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| | | <p>PT. Umbul Mas Wisesa has also gone through NPP process and it has been announced in RSPO website in December 2014.</p> <p>The compensation plan is implemented well and monitored by the company.</p> <p>In order to comply with "RSPO Remediation and Compensation Procedure, November 2015", PT. Umbul Mas Wisesa demonstrating the annual report and annual working plan for company's off-site compensation project to CAB. The compensation project in form of PT. SIPEF Biodiversity Indonesia, was obtained in September 2013, a license to manage a 12,672 Ha forest area under very high risk of deforestation.</p> <p>The area is located in Mukomuko Regency, Bengkulu Province, Indonesia – with the "Hutan Produksi Terbatas Air Manjuntjo (reg.62)". The forest concession is a critical buffer zone to the "Kerinci Seblat National Park". PT. SIPEF Biodiversity Indonesia obtained the license type "Izin Usaha Pemanfaatan Hasil Hutan Kayu-Restorasi Ekosistem/IUPHHK- RE", issued by Ministry of Forestry through permit "Surat Keputusan Menteri Kehutanan No.SK.622/MENHUT-II/2013 untuk Izin Usaha Pemanfaatan Hasil Hutan Kayu Restorasi Ekosistem seluas 12,672 Ha" dated 17 September 2013. The permit valid from 17 September 2013 up to 16 September 2072, located in Mukomuko Regency, Bengkulu Province.</p> <p>SBI annual management report year 2022</p> <p>This management report frequently submitted to Ministry of Forestry and Environmental and sighted the evidence as below:</p> <ol style="list-style-type: none"> 1. Letter No: 46/SBI-HO/RKTPH/V/2022, dated 19 May 2022. Dokumen RKTPH 2022 Pemegang PBPH PT Sipef Biodiversity Indonesia. | |
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| | | <ol style="list-style-type: none"> 2. Letter No: 54/SBI-HO/LapKin/VI/2022, dated 3 June 2022. Laporan Bulanan RKT pemegang PBPH PT Sipef Biodiversity Indonesia. 3. Letter No: 47/SBI-HO/FormLapKin/V/2022, dated 20 May 2022. Penyampaian Form Isian Evaluasi Kinerja Usaha PBPH PT Sipef Biodiversity Indonesia. 4. Letter No: 13/SBI-HO/LapKin/II/2022, dated 3 February 2022. Laporan Bulanan RKT pemegang PBPH PT Sipef Biodiversity Indonesia. 5. Letter No: 36/SBI-HO/LapKin/IV/2022, dated 5 April 2022. Laporan Bulanan RKT pemegang PBPH PT Sipef Biodiversity Indonesia. 6. Letter No: 43/SBI-HO/LapKin/V/2022, dated 18 May 2022. Laporan Bulanan RKT pemegang PBPH PT Sipef Biodiversity Indonesia. | |
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Appendix B: GHG Reporting Executive Summary

The GHG emissions that were produced in **2022** for **PT Umbul Mas Wisesa - Umbul Mas Wisesa POM** and supply base was calculated using the PalmGHG Calculator version 3.0.1. The assessment team had verified the data input in the PalmGHG Calculator against operations records. PalmGHG Calculation Options selected 'Full version' and 'Exclude LUC Emission' calculation option is not applied. The records verified includes:

- i. Estates area planted data
- ii. Fuel consumed
- iii. Mill datas include CPO produced, PKO Produced and FFB Processed
- iv. Fertilizer consumed data for both estates and smallholders.

The summary of the Net GHG emitted in **2022** for **PT Umbul Mas Wisesa - Umbul Mas Wisesa POM** and supply base are as following:

| Emission per product | tCO ₂ e/tProduct |
|----------------------|-----------------------------|
| CPO | 10.17 |
| PKO | 0.00 |

| Extraction | % |
|------------|-------|
| OER | 23.42 |
| KER | 4.12 |

| Production | t/yr |
|--------------|------------|
| FFB Process | 181,137.46 |
| CPO Produced | 42,419.82 |
| PKO Produced | 7,456.83 |

| Land Use | Ha |
|-----------------------------|-----------------|
| OP Planted Area | - |
| OP Planted on peat | 8175.66 |
| Conservation (forested) | 0.00 |
| Conservation (non-forested) | 253.63 |
| Total | 8,429.29 |

Summary of Field Emission and Sink

| | Own Crop* | | Group | | 3 rd Party | | Total | |
|--|--------------------|--------------------------|--------------------|--------------------------|-----------------------|--------------------------|--------------------|--------------------------|
| | tCO ₂ e | tCO ₂ e / FFB | tCO ₂ e | tCO ₂ e / FFB | tCO ₂ e | tCO ₂ e / FFB | tCO ₂ e | tCO ₂ e / FFB |
| Emission | | | | | | | | |
| Land Conversion | 50,113.90 | 0.33 | 8,078 | 0.29 | 428.13 | 0.00 | 58,620.30 | - |
| CO ₂ Emission from fertilizer | 3,672.35 | 0.02 | 785.45 | 0.03 | 10.28 | 0.00 | 4423.07 | - |
| NO ₂ Emission | 52,705.07 | 0.34 | 8,495.96 | 0.31 | 450.27 | 0.00 | 61,651.30 | - |
| Fuel Consumption | 616.00 | 0.09 | 35.66 | 0.00 | 3.50 | 0.00 | 655.16 | - |
| Peat Oxidation | 384,422.75 | 2.51 | 61,968.26 | 2.24 | 3,284.19 | 0.00 | 44,9675.20 | - |
| Sink | | | | | | | | |
| Crop Sequestration | -65,908.75 | -9.36 | -10,625.09 | -0.38 | -523.01 | 0.00 | -77,056.85 | - |
| Conservation Sequestration | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | - |
| Total | 426,666.50 | 60.60 | 69,113.29 | 2.50 | 3,659.82 | 0.00 | 499,439.61 | - |

**Note: Includes both estates and smallholders*

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Summary of Mill Emission and Credit

| | tCO ₂ e | tCO ₂ e/tFFB |
|------------------------------|--------------------|-------------------------|
| Emission | | |
| POME | 7,360.79 | 0.04 |
| Fuel Consumption | 133.02 | 0.00 |
| Grid Electricity Utilization | 629.58 | 0.00 |
| Credit | | |
| Export of Grid Electricity | 0.00 | 0.00 |
| Sales of PKS | 0.00 | 0.00 |
| Sales of EFB | 0.00 | 0.00 |
| Total | 8,123.39 | 0.04 |

Summary of Kernel Crusher Emission and Credit (if applicable)

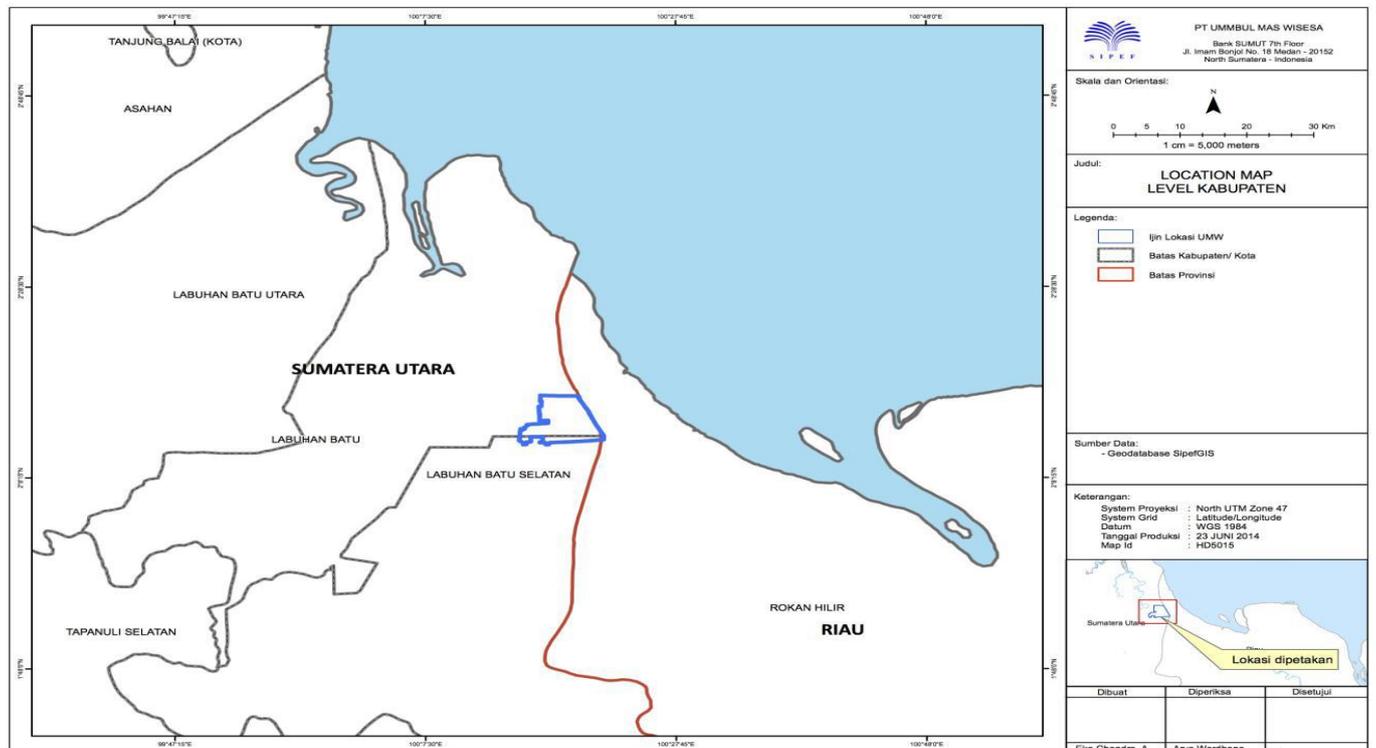
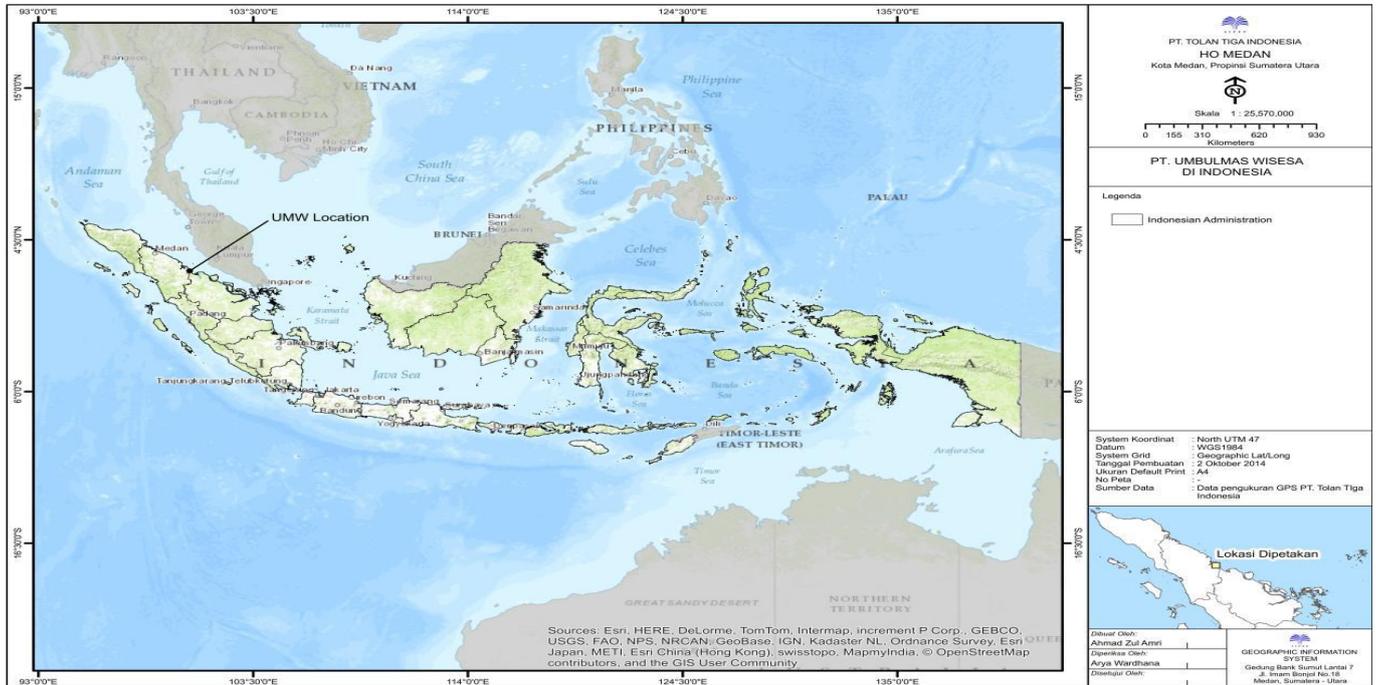
| Emissions | tCO ₂ e |
|--------------------------------|--------------------|
| PK from own mill | 0.00 |
| PK from other source | 0.00 |
| Fuel Consumptions | 0.00 |
| Total Crusher emissions | 0.00 |

*This mill has no kernel crusher operation.

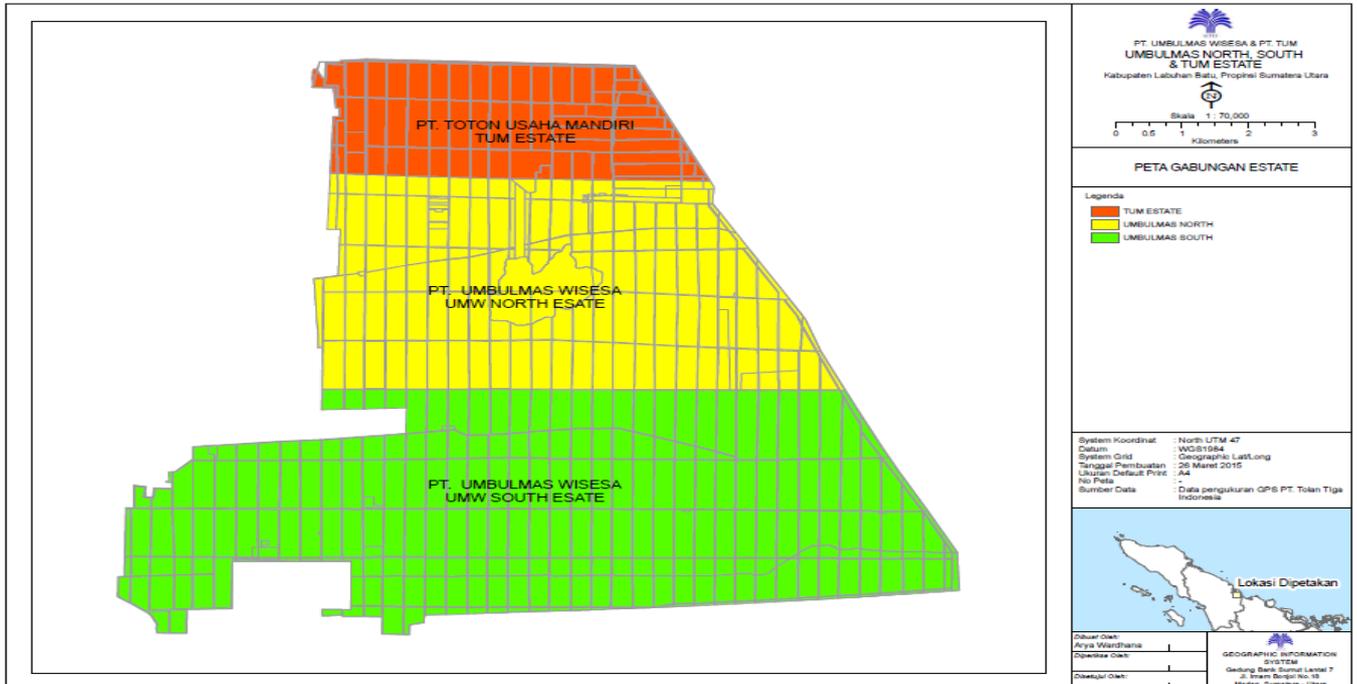
| Palm Oil Mill Effluent (POME) Treatment: | |
|---|-----|
| Divert to Compost (%) | 0 |
| Divert to anaerobic diversion (%) | 100 |

| POME Diverted to Anaerobic Digestion: | |
|--|-----|
| Divert to anaerobic pond (%) | 0 |
| Divert to methane captured (flaring) (%) | 100 |
| Divert to methane captured (energy generation) (%) | 0 |

Appendix C: Location Map of Certification Unit and Supply bases



Appendix D: Estate Field Map



Appendix E: List of Smallholder Registered and/or sampled

Not applicable

Appendix F: List of Abbreviations

| | |
|------------|--|
| a.i | Active Ingredient |
| BOD | Biochemical Oxygen Demand |
| CB | Certification Bodies |
| CHRA | Chemical Health Risk Assessment |
| COD | Chemical Oxygen Demand |
| CPO | Crude Palm Oil |
| CSPO | Certified Sustainable Palm Oil |
| CSPKO | Certified Sustainable Palm Kernel Oil |
| EFB | Empty Fruit Bunch |
| EHS | Environmental, Health and Safety |
| EIA | Environmental Impact Assessment |
| EMS | Environmental Management System |
| FFB | Fresh Fruit Bunch |
| FPIC | Free, Prior, Informed and Consent |
| GAP | Good Agricultural Practice |
| GHG | Greenhouse Gas |
| GMP | Good Manufacturing Practice |
| GPS | Global Positioning System |
| HCV | High Conservation Value |
| IPM | Integrated Pest Management |
| IP | Identity Preserved |
| IS - CSPO | Independent Smallholder Certified Sustainable Palm Oil |
| IS – CSPKO | Independent Smallholder Certified Sustainable Palm Kernel Oil |
| IS – CSPKE | Independent Smallholder Certified Sustainable Palm Kernel Expeller |
| ISCC | International Sustainable Carbon Certification |
| ISS | Independent Smallholder Standard |
| LD50 | Lethal Dose for 50 sample |
| MB | Mass Balance |
| MSDS | Material Safety Data Sheet |
| MT | Metric Tonnes |
| OER | Oil Extraction Rate |
| OSH | Occupational Safety and Health |
| PK | Palm Kernel |
| PKO | Palm Kernel Oil |
| POM | Palm Oil Mill |
| POME | Palm Oil Mill Effluent |
| PPE | Personal Protective Equipment |
| RSPO | Roundtable on Sustainable Palm Oil |
| P&C | Principles & Criteria |
| RTE | Rare, Threatened or Endangered species |
| SCCS | Supply Chain Certification Standard |
| SEIA | Social & Environmental Impact Assessment |
| SIA | Social Impact Assessment |
| SOP | Standard Operating Procedure |
| TUM | Toton Usaha Mandiri |
| UMW | Umbul Mas Wisesa |
| UMWN | Umbul Mas Wisesa North |
| UMWS | Umbul Mas Wisesa South |